

HHS Public Access

Author manuscript

Pediatr Res. Author manuscript; available in PMC 2015 April 20.

Published in final edited form as:

Pediatr Res. 2014 May; 75(5): 603-611. doi:10.1038/pr.2014.7.

The Reparative Effects of Neural Stem Cells in Neonatal Hypoxic Ischemic Injury are Not Influenced by Host Gender

Stephen Ashwal 1 , Nirmalya Ghosh 1 , Christine I. Turenius 1 , Melissa Dulcich 2 , Christopher M. Denham 1 , Beatriz Tone 1 , Richard Hartman 2 , Evan Y. Snyder 3 , and Andre Obenaus 1,4

¹Department of Pediatrics, Loma Linda University School of Medicine, Loma Linda, CA USA

²Department of Psychology, Loma Linda University School of Medicine, Loma Linda, CA USA

³Sanford-Burnham Medical Research Institute, Program in Stem Cell & Regenerative Biology, La Jolla USA

⁴Div. of Translational Studies, School of Behavioral Health, Loma Linda, CA USA

Abstract

BACKGROUND—Gender is increasingly recognized as an important influence on brain development, disease susceptibility, and response to pharmacologic/rehabilitative treatments. In regenerative medicine, it remains entirely unknown whether there is an interaction between transplanted stem cells and host gender that might bias efficacy and safety in some patients but not others.

METHODS—We examined the role of recipient gender in a neonatal rat hypoxia-ischemic injury (HII) model, treated with human female neural stem cells (hNSCs), labeled with superparamagnetic iron-oxide (SPIO) particles implanted into the contralateral cerebral ventricle. We monitored HII evolution (by MRI, histopathology, behavioral testing) and hNSC fate (migration, replication, viability).

RESULTS—Recipient gender after implantation did not influence the volume or location of ischemic injury (1, 30, or 90d) or behavior (90d). SPIO labeling did not influence HII evolution. Implantation had its greatest benefit on mild/moderate injuries which remained stable rather than increasing as in severe HII as is the natural history for such lesions.

CONCLUSIONS—Our results suggest that hNSC treatment (including using hNSCs that are prelabeled with iron to allow tracking in real time by MRI) would be equally safe and effective for male and female human newborns with mild-to-moderate HII.

Users may view, print, copy, download and text and data- mine the content in such documents, for the purposes of academic research, subject always to the full Conditions of use: http://www.nature.com/authors/editorial_policies/license.html#terms

Corresponding author: Stephen Ashwal MD, Division of Pediatric Neurology, Department of Pediatrics, Loma Linda University School of Medicine, 11175 Campus St, Room 1120G, Loma Linda, CA 92350, Tel: (909) 558-8242; Fax: (909) 558-0479 sashwal@llu.edu.

INTRODUCTION

Gender is increasingly recognized to play an important role in adult and pediatric neurologic disease (1). The relation to outcome is complex as it is associated with at least four primary variables: 1) effects on brain development (2); 2) specific biological susceptibility to disease (3–6); 3) gender-dependent ability of the nervous system to respond to pharmacologic or rehabilitative treatments (7–11); and 4) gender of donor cells and their interactions with host tissues in individuals undergoing transplantation (12, 13).

Accumulating clinical and translational evidence suggests that the male neonatal brain is more susceptible to HII (1, 5, 6). While the underlying mechanisms remain elusive, it is likely that gonadal hormones and differences in gender-dependent gene expression modulate many of the cellular reactions. Similarly, compelling data suggest that the female neonatal brain responds better to HII neuroprotective treatments (1, 5, 6, 9).

The importance of gender effects from both donor cells and on host tissues is recognized in the field of transplantation (12–14) and in view of the strong interest in pursuing stem cell treatment for neonatal HII (15, 16), we examined the effect of host gender on the outcome of such treatment. Our study evaluated the role of recipient gender in a rat pup model of neonatal HII after hNSC implantation. Using a model of unilateral permanent carotid occlusion followed by 8% hypoxia in both male and female rat pups, we implanted female hNSCs, labeled with and without superparamagnetic iron-oxide (SPIO) particles, into the lateral ventricle contralateral to the injury and analyzed 1) progression of HII and 2) therapeutic activity and fate of implanted hNSCs, including replication and viability. HII progression was determined by (a) serial high field MRI; (b) neurological testing that measured motor impairment; (c) behavioral testing that assessed functional outcome; and (d) histology and immunohistochemistry.

METHODS

All experimental protocols complied with federal and Loma Linda University Animal Health and Safety Committee regulations.

Animal Model of Hypoxic-Ischemic Injury (HII)

HII of the left hemisphere resulted in 20 male and 25 female 10-day-old Sprague-Dawley rat pups (Harlan, Livermore, CA) surviving as previously described (17). Using an MRI-based rat pup scoring system (RPSS), we categorized injuries as mild (0.25–0.9; n=9), moderate (1.0–2.49, n=29) or severe (>2.5, n=7) (Table 1) (18). We divided groups into those treated with SPIO-labeled (n=19) vs. unlabeled (n=26) hNSCs.

Neurological Testing

Neurological testing (circling, C-shaped lateral bending, limb flexion, tremors, convulsions, righting reflexes, and negative geotaxis), as in our previous studies (17), was done daily (P10–13) to assess functional motor impairment. Summed scores ranged from 0–60 (60 = most impaired).

Human Neural Stem Cells (hNSC)

We used hNSCs that are designated as "HFB 2050" cells, a stable line of hNSCs isolated from the ventricular zone of a single female human fetal cadaver that had been propagated with mitogens under defined conditions (19, 20). These well characterized, genomically and phenotypically stable hNSCs have been used safely and effectively for more than 13 years in rodent and primate models. Cultured hNSCs were labeled with SPIO particles in a manner virtually identical to that previously used with mouse NSCs (17). Freshly trypsinized hNSCs were incubated for 24 hours with Feridex (11.2 μ g/ml) prior to implantation.

hNSCs were implanted into the contralateral (right) lateral ventricle 3d after HII, as previously described (17). Rat pups were anesthetized with 3% Isoflurane in 100% 0_2 . A Hamilton syringe was inserted through a burr hole and into the brain parenchyma to the ventricle (2mm, +20°) and a 5 μ l suspension of ~250,000 hNSCs was infused (1 μ l/min for 5 min). The needle was removed after 5 minutes, the burr hole closed with bone wax, and the scalp sutured.

Neuroimaging and Analysis

T2-weighted (T2WI) and diffusion weighted imaging (DWI) were performed at 1, 30 and 90d post-injury as reported previously(17) on a Bruker Avance 11.7 T MRI (1d) and a larger-bore Bruker 4.7 T machine (30, 90d). Data were analyzed using Hierarchal Region Splitting (HRS) to rapidly and semi-automatically identify regions of lesions (21). Analyses included HII volume, total brain volume with HII volumes expressed as a percent of total brain volume.

We employed the same MRI and computational methods as reported previously to estimate volumes non-invasively of SPIO-labeled NSCs (17, 22). Location of hNSCs was not detectable in pups implanted with unlabeled hNSCs. Although MRI cannot necessarily differentiate viable from non-viable cells (23), serial increases in NSC volume likely represent viable cell replication, an algorithm which we previously published (17). hNSC volumes were normalized to brain volume and averaged across all animals for each group (gender and time).

Behavioral Testing

A wide variety of behavioral domains were assessed at 2.5 months similar to that previously reported (17, 18). Tests included measures of activity (open field), learning and memory (cued and spatial water maze), anxiety (zero maze), and sensorimotor coordination (rotarod).

Histology/Immunohistochemistry

Following 90d, animals were euthanized, perfused transcardially and their brains removed and post-fixed. Serial 30µm sections (every 10th section) were stained (0.1% cresyl violet acetate). Prussian blue staining for SPIO containing cells was performed (17).

Free-floating sections were blocked with 10% normal goat serum (NGS; Invitrogen, Carlsbad, CA) for 10 min. Primary antibodies were diluted in 0.1M PBS containing 10% NGS and 0.1% triton x-100 and used at the following concentrations: rabbit anti-human glial

fibrillary acidic protein 1:200 (GFAP; Abcam, Cambridge, MA); mouse anti-human nestin 1:100 (Abcam); mouse anti-human cyclic nucleotide phosphodiesterase 1:100 (CNPase; Millipore, Temecula, CA); mouse anti-human nuclei 1:100 (Acris Antibodies, Germany); and mouse anti-rat GFAP 1:300 (Sigma-Aldrich, St Louis, MO). Secondary antibodies (1:1000; Invitrogen) included: goat anti-rabbit AlexaFluor (488 or 568 nm) or goat anti-mouse AlexaFluor (488 or 555 nm). Sections were air-dried and coverslipped with VectaShield anti-fade mounting media (Vector Labs, Burlingame, CA). Slides were stored at 4°C and immunolabeled slides were scanned on a confocal microscope (BioRad 1024). Z-series of 10–12 images were collected by stepping through ~1μm sections for each tissue.

Statistics

Data analyses used an α -level of 0.05 to define significance. In our behavioral tests, to avoid violating statistical assumptions regarding compound symmetry and sphericity, the reported values for every repeated-measures analysis reflect the conservative Huynh-Feldt correction to the degrees of freedom (SPSS, Armonk, NY). Significant main and interaction effects were explored using Scheffe's post hoc tests. General activity levels and cued spatial learning were analyzed with repeated-measures ANOVAs, while swim speed and sensorimotor coordination analyses were performed using one-way ANOVAs. MRI data significance was tested using one-way or two-way ANOVAs followed by appropriate post hoc testing (SigmaPlot V11, Northampton, MA).

RESULTS

Animal weights and neurological scores

No significant weight differences between groups were found, although post-HII weights were always lower for females. Compared to mildly-injured animals at 90d post HII ($323 \pm 26 \text{gm}$), moderately-injured animals were 3.45% lighter ($312 \pm 13 \text{gm}$) and severely-injured animals were 18.65% lighter ($272 \pm 24 \text{gm}$). Weights on days 1-4 post-HII were negatively correlated with MRI lesion volumes at 1, 30 and 90 days post-HII (lower weight associated with larger lesion; r's=-0.3 to -0.54; p's<0.05). No overall differences (i.e., at 90d) in mortality rates were observed for male (60%) compared to female (50%) rat pups (50 males, 50 females).

Total neurological scores and righting reflex times were significantly different between injury severity groups at 1d but not at 2d post HII. The righting reflex time at 1d post HII correlated with weight at 2d post HII (p<0.05). At 48 hrs, righting took 10% and 17% longer in moderately and severely injured as compared to mildly injured animals. In moderately injured animals, there were no neurological or behavioral differences based on gender or iron-labeling.

MRI-based lesion and hNSC volumes

We found no differences in the volume or location of ischemic brain injury on MR images for male vs. female pups or labeled vs. unlabeled hNSCs (Figure 1A). HRS lesion volumes serially increased for all severities (classified by RPSS; Figure 2), particularly in severely injured animals (Figures 1B, 3C), as we have previously established is the natural history of

HII lesions (17). Female pups had smaller HII volumes than male pups (Figure 3B, D) but were not significantly different.

Transplanted hNSCs were found in the ipsilateral ventricle, on the side of implantation, at 1 day post-implantation and were detected on the contralateral side (adjacent to the HII lesion) at 30 and 90 days (Figures.1B, 4B), suggesting extensive migration and "pathotropism". 3D reconstructions confirmed the unilateral nature of the HII lesion and the bilateral distribution of the hNSCs in animals from all severity groups (Figure 1A, B). We have previously published an MRI algorithm by which hNSC "volume" can be translated into the degree of hNSC migration and proliferation (17).

Rat pup severity scores (RPSS) and lesion volumes

RPSS scores were significantly different between groups (p<0.001) (Table 1). Gender and hNSC labeling did not affect RPSS (Table 2). There was a significant (p<0.001) correlation between RPSS and lesion volume at 1d, but the correlation was weaker at 30 and 90d (Figure 2).

Lesion location and size

The distribution, location and size of the HII lesions were similar among moderately injured animals on MRI and confirmed by cresyl violet histology (Figure 3A). MR images and histologically stained brains at 90d were matched in lesion size and morphology. Neither MRI nor histological analysis showed an effect of gender or iron-labeling on HII lesion volumes at 90d (Figure 3A, B). In contrast to untreated animals, lesion volumes in hNSC-transplanted rats were stable at 1, 30, and 90d in mildly (0.7%, 0.9%, and 0.6% of total brain volume) and moderately (5.4%, 5.6%, and 7.5%) injured animals. Despite hNSC implantation, lesion volume significantly increased from 1d to 30d to 90d in severely injured animals (12.7%, 19.4%, and 25.2%) (Figure 3C).

hNSC volumes and viability

We found greater hNSC volumes in males than in females (p<0.001) at 30d, when these measures peaked in both groups, but not at 1 or 90d (Figure 4A). There was a significant (p<0.001) increase in hNSC volume over time on the implanted uninjured side but not on the injury side (Figure 4B). No significant gender effect was seen between hNSC volumes and HII lesion volume at 90d (Figure 4C). No cell overgrowth, tumor formation, deformation, or abnormalities were noted.

Immunohistochemistry

Our immunohistological data corroborated our MRI findings that neither gender nor hNSC labeling status altered ischemic injury or hNSC volumes. Human GFAP immunoreactive (hGFAP) cells exhibiting classical astrocyte morphology were consistently found in the striatum (Figure 5A1), with the most robust expression observed along the third ventricle adjacent to the ventral hypothalamus (Figure 5A2), exhibiting morphology similar to radial glial cells often proximal to the ventricle (Figure 5A2, expanded).

Human nestin (hNestin), a marker of undifferentiated neural progenitors, in donor-derived cells located in the hemisphere contralateral to the injury was typical of intermediate filament proteins (Figure 5B1). hNestin expression was abundant in the lining of the ventricles (a region lined by a NSC germinal zone) and next to the lesion (Figure 5B2, expanded), but absent within the parenchyma. The hNestin expression within cells along the ventricular lining was reminiscent of tangles arising from a central core, as seen in some glial morphologies. However, hNestin and hGFAP did not colocalize in the parenchyma or along the ventricles (Figure 5C1–3).

Rodent GFAP staining (i.e., marking host cells) was prominent next to the lesion and within the tissue parenchyma (Figure 5D1, expanded); hGFAP was expressed, within glial processes throughout the parenchyma (Figure 5D2, expanded). hGFAP somata were consistently found in or near the corpus callosum (Figure 5C2), ipsilesional cortex (Figure 5D2), and striatum (Figure 5A1). hGFAP labeled astrocytes (Figure 5D3) were found embedded adjacent to native rat astrocytes (Figure 5D2, expanded) but were never found to colocalize with these native cells (Figure 5D3, expanded).

Behavioral outcomes

Behavioral assessment just prior to the final 90d time point found no significant differences based on gender or hNSC labeling (Figure 6). Neither gender nor labeling had any effects on anxiety-like behaviors in the elevated zero maze, although females spent more time in the enclosed arms, suggesting some increased anxiety (p<0.02; data not shown).

Mildly injured animals exhibited superior spatial memory during water maze probe trials compared to moderately and severely injured animals (p<0.007), independent of gender or hNSC labeling status (Figure 6A). When labeling and gender were pooled for a global analysis of lesion size on behavior, we found that mild and moderate HII animals habituated to the open field with significantly decreased exploratory behavior during their second exposure to the open field, whereas severely injured animals did not (p<0.04) (Figure 6B). Thus, behavioral evaluation discriminated between injury severities but not gender or hNSC labeling status.

DISCUSSION

Our study revealed three clinically relevant and important findings: 1) the gender of an HII recipient of an hNSC implant does not affect the volume or location of ischemic injury at 1–90d post-injury or ultimate behavioral outcomes; 2) hNSC iron labeling did not affect HII volume or behavior; and 3) lesion volumes in pups receiving hNSCs remained stable and did not increase in size in mild and moderate severity groups but such a beneficial effect was not seen in the severely injured group where lesion volume continued to increase. The reparative efficacy of implanted hNSC compared to no implantation control groups has been previously discussed by us and others in published works (24, 25). The focus of our current study was to evaluate whether host gender may impact that efficacy in the *neonatal* brain (as interventions often do in *adult* stroke) and to assess the risk of toxicity to the *developing* brain from the iron-labeling required to track implanted stem cells by MRI as will be required in any clinical trial addressing perinatal HIE.

Gender effects

Gender has proven important in four broad areas affecting the developing nervous system, prompting our studies. *Gender effects on brain development* have been reviewed, with the suggestion that anatomical differences may, explain differences in disease occurrence and susceptibility (2). This has been noted in males with a higher incidence of cerebral palsy and neurodevelopmental disorders (1).

Increasingly, the significance of *gender-specific biological susceptibility to disease* is being recognized in translational studies (3, 5, 7, 26, 27). Although endogenous estrogen production is not initiated before P12 in rodents, the role of maternal gonadal hormones and neonatal metabolism of estrogens and androgens, suggest an important role in gender susceptibility (5–7). Neurons derived from female brains predominantly use the cytochrome caspase 3-dependent apoptotic pathway whereas male neurons tend to use caspase independent apoptotic pathways (28). Mechanisms involving mitochondrial resistance to injury/recovery also might contribute (26, 29). Clinical studies (e.g., stroke and preterm neonatal white matter injury) also have demonstrated gender differences with greater HII in males (1, 27); although gender effects on perinatal brain injury remains less certain (7).

Gender-dependent brain responses to treatment have been observed in several studies of perinatal brain injury therapeutics. For example, female rat pups respond better to erythropoietin (7), hypothermia or copper/zinc superoxide dismutase (30); caspase inhibitors (e.g., 2-Iminobiotin) (8, 9); 17β-estradiol (5); and 'rehabilitative programs' (11). To a lesser degree, improved therapeutic responses in male rodents after neonatal HII have been reported (10). In clinical studies Indomethacin reduced the incidence of intraventricular and parenchymal hemorrhage (associated with higher childhood verbal scores) in very low birth weight boys compared to girls (31). Thus, we felt it critical to ascertain whether such differences extended to stem cell-mediated interventions. Our data suggest that they do not – an observation heretofore unexplored in the field of perinatal HII treated with stem cells of any type. We observed no gender significant differences in the severity of injury, in whole body animal weights over time, or in 90d mortality. Implicit in our findings is the suggestion that matching the gender of the donor hNSCs to the gender of the recipient is not critical (12-14). The exhaustively-characterized hNSCs we employed in these studies was derived from a female fetus yet performed equally well in male and female recipient rodent brains. The absence of gender effects is clinically relevant, as our data suggest that if hNSC transplantation trials in human newborns begin, gender need not be considered in candidate screening.

Iron labeling and HII volume/behavior

Iron, the most abundant metal ion in the brain, is important for CNS development and is found in high levels within the globus pallidus and striatum, regions frequently affected by HII (32, 33). Iron content/distribution in the adult brain is largely established in the neonatal period (32). Iron is a cofactor in numerous processes including oxidative metabolism, free radical formation and synthesis of nucleotides, proteins, and myelin. Iron chelation in immature animals reduces lesion size and improves motor/behavioral activity (32).

Conversely, increasing free iron increases superoxide production and impairs memory, behavior; and white matter damage (34).

We found no evidence that SPIO labeling of hNSCs altered HII volume (Figure 3B, D) or behavior. Our dose of Feridex resulted in an approximate 85% labeling efficiency (17), similar to others, but there are considerable variations (35, 36). To our knowledge, our findings are the first to demonstrate that iron labeling of stem cells does not increase ischemic injury (23, 36). One previous study demonstrated that intracerebral administration of iron oxide into normal adult rat brain did not result in neuropathological abnormalities (37). This observation is consistent with our previous study in which iron-labeled murine NSCs migrated rapidly and survived long term (>1 year) (17). It is also consistent with other reports that, for the most part, SPIO labeling imparts virtually no adverse effects on stem cell viability and function in vivo at currently used labeling doses (23, 36).

hNSCs effective only in mild/moderate but not in severe HII

Although the primary aim of this study was to examine gender effects, an incidental, yet important, observation was that lesion volumes in pups receiving hNSCs did not increase over the 90d observation period in the mild and moderate severity groups (countering the natural history of such lesions) but were unable to blunt the increase of lesion volume in the severe injury group (Figure 3C). This result is consistent with the observations of the effects of therapeutic hypothermia in term newborns (38) and in rodent models (39). The likely explanation for this finding is that the primary therapeutic action of our undifferentiated and non-genetically manipulated hNSCs is neuroprotection, and that severe HII is not simply quantitatively different from mild/moderate HII but is also qualitatively different in that host cell death happens quickly and extensively so that significant neuroprotection is not feasible. The hope, however, will be that, because of their unique properties (40), including being genetically-modified to express additional factors (e.g., anti-apoptotic) and/or to be layered upon biomaterials and/or to be pre-differentiated down particular neural lineages (e.g., bridging interneurons), that stem cells will nevertheless ultimately offer new therapeutic approaches to all grades of HII. While additional studies are required to confirm and extend these new findings, we provide data suggesting that male and female newborns with HII have an equal potential to benefit from treatment with hNSCs.

Acknowledgments

<u>Statement of Financial support.</u> Supported by grants from the Department of Pediatrics, Loma Linda University (Loma Linda, CA, USA) and National Institutes of Health - <u>National Institute of Neurological Disorders and Stroke</u> (NIH-NINDS; Bethesda, MD, USA) (1RO1NS059770-01A2)

References

- 1. Johnston MV, Hagberg H. Sex and the pathogenesis of cerebral palsy. Dev Med Child Neurol. 2007; 49:74–78. [PubMed: 17209983]
- de Courten-Myers GM. The human cerebral cortex: gender differences in structure and function. J Neuropathol Exp Neurol. 1999; 58:217–226. [PubMed: 10197813]
- 3. Arteni NS, Pereira LO, Rodrigues AL, Lavinsky D, Achaval ME, Netto CA. Lateralized and sexdependent behavioral and morphological effects of unilateral neonatal cerebral hypoxia-ischemia in the rat. Behav Brain Res. 2010; 210:92–98. [PubMed: 20156487]

4. Mayoral SR, Omar G, Penn AA. Sex differences in a hypoxia model of preterm brain damage. Pediatr Res. 2009; 66:248–253. [PubMed: 19542909]

- Nunez J. Sex and steroid hormones in early brain injury. Rev Endocr Metab Disord. 2012; 13:173– 186. [PubMed: 22565659]
- Hill CA, Fitch RH. Sex differences in mechanisms and outcome of neonatal hypoxia-ischemia in rodent models: implications for sex-specific neuroprotection in clinical neonatal practice. Neurol Res Int. 2012; 2012:867531. [PubMed: 22474588]
- 7. Wen TC, Rogido M, Peng H, Genetta T, Moore J, Sola A. Gender differences in long-term beneficial effects of erythropoietin given after neonatal stroke in postnatal day-7 rats. Neuroscience. 2006; 139:803–811. [PubMed: 16581190]
- 8. Nijboer CH, Groenendaal F, Kavelaars A, Hagberg HH, van Bel F, Heijnen CJ. Gender-specific neuroprotection by 2-iminobiotin after hypoxia-ischemia in the neonatal rat via a nitric oxide independent pathway. J Cereb Blood Flow Metab. 2007; 27:282–292. [PubMed: 16736041]
- Renolleau S, Fau S, Goyenvalle C, Joly LM, Chauvier D, Jacotot E, Mariani J, Charriaut-Marlangue C. Specific caspase inhibitor Q-VD-OPh prevents neonatal stroke in P7 rat: a role for gender. J Neurochem. 2007; 100:1062–1071. [PubMed: 17166174]
- Saucier DM, Yager JY, Armstrong EA. Housing environment and sex affect behavioral recovery from ischemic brain damage. Behav Brain Res. 2010; 214:48–54. [PubMed: 20434487]
- 11. Tsuji M, Aoo N, Harada K, Sakamoto Y, Akitake Y, Irie K, Mishima K, Ikeda T, Fujiwara M. Sex differences in the benefits of rehabilitative training during adolescence following neonatal hypoxia-ischemia in rats. Exp Neurol. 2010; 226:285–292. [PubMed: 20833167]
- Csete M. Gender issues in transplantation. Anesth Analg. 2008; 107:232–238. [PubMed: 18635494]
- 13. Lecanu L. Sex, the underestimated potential determining factor in brain tissue repair strategy. Stem Cells Dev. 2011; 20:2031–2035. [PubMed: 21671727]
- 14. Pearce V. Stem cells and neuroprotection: understanding the players. Int J Mol Sci. 2010; 11:3288–3297. [PubMed: 20957094]
- 15. Borlongan CV, Weiss MD. Baby STEPS: a giant leap for cell therapy in neonatal brain injury. Pediatr Res. 2011; 70:3–9. [PubMed: 21659957]
- Titomanlio L, Kavelaars A, Dalous J, Mani S, El Ghouzzi V, Heijnen C, Baud O, Gressens P. Stem cell therapy for neonatal brain injury: perspectives and challenges. Ann Neurol. 2011; 70:698–712. [PubMed: 22162055]
- 17. Obenaus A, Dilmac N, Tone B, Tian HR, Hartman R, Digicaylioglu M, Snyder EY, Ashwal S. Long-term magnetic resonance imaging of stem cells in neonatal ischemic injury. Ann Neurol. 2011; 69:282–291. [PubMed: 21387373]
- Recker R, Adami A, Tone B, Tian HR, Lalas S, Hartman RE, Obenaus A, Ashwal S. Rodent neonatal bilateral carotid artery occlusion with hypoxia mimics human hypoxic-ischemic injury. J Cereb Blood Flow Metab. 2009; 29:1305–1316. [PubMed: 19436315]
- 19. Lee IS, Jung K, Kim M, Park KI. Neural stem cells: properties and therapeutic potentials for hypoxic-ischemic brain injury in newborn infants. Pediatr Int. 2010; 52:855–865. [PubMed: 21029253]
- 20. Redmond DE Jr, Bjugstad KB, Teng YD, Ourednik V, Ourednik J, Wakeman DR, Parsons XH, Gonzalez R, Blanchard BC, Kim SU, Gu Z, Lipton SA, Markakis EA, Roth RH, Elsworth JD, Sladek JR Jr, Sidman RL, Snyder EY. Behavioral improvement in a primate Parkinson's model is associated with multiple homeostatic effects of human neural stem cells. Proc Natl Acad Sci USA. 2007; 104:12175–12180. [PubMed: 17586681]
- Ghosh N, Recker R, Shah A, Bhanu B, Ashwal S, Obenaus A. Automated ischemic lesion detection in a neonatal model of hypoxic ischemic injury. J Magn Reson Imaging. 2011; 33:772– 781. [PubMed: 21448940]
- 22. Ghosh N, Yuan X, Turenius CI, Tone B, Ambadipudi K, Snyder EY, Obenaus A, Ashwal S. Automated core-penumbra quantification in neonatal ischemic brain injury. J Cereb Blood Flow Metab. 2012; 32:2161–2170. [PubMed: 22929436]
- Cromer Berman SM, Walczak P, Bulte JW. Tracking stem cells using magnetic nanoparticles. Rev Nanomed Nanobiotechnol. 2011; 3:343–355.

24. Park KI, Himes BT, Stieg PE, Tessler A, Fischer I, Snyder EY. Neural stem cells may be uniquely suited for combined gene therapy and cell replacement: Evidence from engraftment of Neurotrophin-3-expressing stem cells in hypoxic-ischemic brain injury. Exp Neurol. 2006; 199:179–190. [PubMed: 16714016]

- 25. Smith EJ, Stroemer RP, Gorenkova N, Nakajima M, Crum WR, Tang E, Stevanato L, Sinden JD, Modo M. Implantation site and lesion topology determine efficacy of a human neural stem cell line in a rat model of chronic stroke. Stem Cells. 2012; 30:785–796. [PubMed: 22213183]
- 26. Leger PL, Bonnin P, Lacombe P, Couture-Lepetit E, Fau S, Renolleau S, Gharib A, Baud O, Charriaut-Marlangue C. Dynamic spatio-temporal imaging of early reflow in a neonatal rat stroke model. J Cereb Blood Flow Metab. 2013; 33:137–145. [PubMed: 23047273]
- Vannucci SJ, Hurn PD. Gender differences in pediatric stroke: is elevated testosterone a risk factor for boys? Ann Neurol. 2009; 66:713–714. [PubMed: 20035500]
- Zhu C, Xu F, Wang X, Shibata M, Uchiyama Y, Blomgren K, Hagberg H. Different apoptotic mechanisms are activated in male and female brains after neonatal hypoxia-ischaemia. J Neurochem. 2006; 96:1016–1027. [PubMed: 16412092]
- 29. Normann S, de Veber G, Fobker M, Langer C, Kenet G, Bernard TJ, Fiedler B, Strater R, Goldenberg NA, Nowak-Gottl U. Role of endogenous testosterone concentration in pediatric stroke. Ann Neurol. 2009; 66:754–758. [PubMed: 20033984]
- 30. Bona E, Hagberg H, Loberg EM, Bagenholm R, Thoresen M. Protective effects of moderate hypothermia after neonatal hypoxia-ischemia: short- and long-term outcome. Pediatr Res. 1998; 43:738–745. [PubMed: 9621982]
- 31. Ment LR, Vohr BR, Makuch RW, Westerveld M, Katz KH, Schneider KC, Duncan CC, Ehrenkranz R, Oh W, Philip AG, Scott DT, Allan WC. Prevention of intraventricular hemorrhage by indomethacin in male preterm infants. J Pediatr. 2004; 145:832–834. [PubMed: 15580211]
- 32. Caputa M, Rogalska J, Wentowska K, Nowakowska A. Perinatal asphyxia, hyperthermia and hyperferremia as factors inducing behavioural disturbances in adulthood: a rat model. Behav Brain Res. 2005; 163:246–256. [PubMed: 16038989]
- 33. Connor JR, Pavlick G, Karli D, Menzies SL, Palmer C. A histochemical study of iron-positive cells in the developing rat brain. J Comp Neurol. 1995; 355:111–123. [PubMed: 7636007]
- 34. de Lima MN, Polydoro M, Laranja DC, Bonatto F, Bromberg E, Moreira JC, Dal-Pizzol F, Schroder N. Recognition memory impairment and brain oxidative stress induced by postnatal iron administration. Eur J Neurosci. 2005; 21:2521–2528. [PubMed: 15932609]
- 35. Hsiao JK, Tai MF, Chu HH, Chen ST, Li H, Lai DM, Hsieh ST, Wang JL, Liu HM. Magnetic nanoparticle labeling of mesenchymal stem cells without transfection agent: cellular behavior and capability of detection with clinical 1.5 T magnetic resonance at the single cell level. Magn Reson Med. 2007; 58:717–724. [PubMed: 17899592]
- 36. Cromer Berman SM, Kshitiz, Wang CJ, Orukari I, Levchenko A, Bulte JW, Walczak P. Cell motility of neural stem cells is reduced after SPIO-labeling, which is mitigated after exocytosis. Magn Reson Med. 2013; 69:255–262. [PubMed: 22374813]
- 37. Muldoon LL, Sandor M, Pinkston KE, Neuwelt EA. Imaging, distribution, and toxicity of superparamagnetic iron oxide magnetic resonance nanoparticles in the rat brain and intracerebral tumor. Neurosurgery. 2005; 57:785–796. discussion 785–796. [PubMed: 16239893]
- 38. Shankaran S, Pappas A, Laptook AR, McDonald SA, Ehrenkranz RA, Tyson JE, Walsh M, Goldberg RN, Higgins RD, Das A, Network NNR. Outcomes of safety and effectiveness in a multicenter randomized, controlled trial of whole-body hypothermia for neonatal hypoxic-ischemic encephalopathy. Pediatrics. 2008; 122:e791–798. [PubMed: 18829776]
- 39. Sabir H, Scull-Brown E, Liu X, Thoresen M. Immediate hypothermia is not neuroprotective after severe hypoxia-ischemia and is deleterious when delayed by 12 hours in neonatal rats. Stroke. 2012; 43:3364–3370. [PubMed: 22996953]
- 40. Kang KS, Trosko JE. Stem cells in toxicology: fundamental biology and practical considerations. Toxicol Sci. 2011; 120(Suppl 1):S269–289. [PubMed: 21163910]

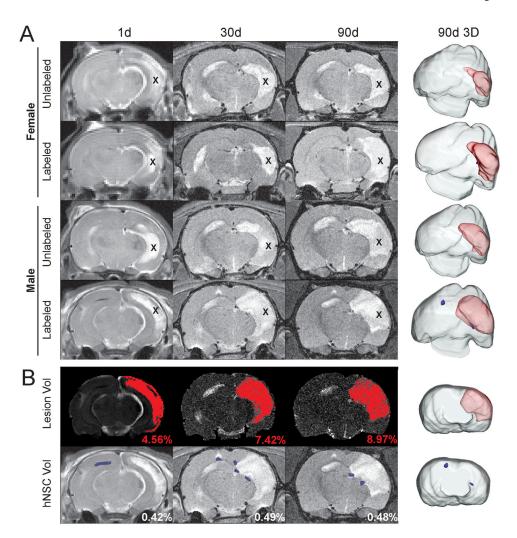


Figure 1. Neither gender nor labeling status of hNSC alters lesion volumes

A) No significant effects were observed at any time point when lesion volume was assessed for gender (male vs. female) or for whether hNSCs were SPIO labeled/unlabeled. 3D lesion volume reconstruction (90d) illustrates this lack gender or labeling status. B) HRS was used to extract HII volumes from T2WI (same male/labeled animal shown in panel A, bottom). hNSC volumes were similarly extracted. Reconstructions show the extent of the lesion (red) and hNSC volumes (blue). (X indicates lesion)

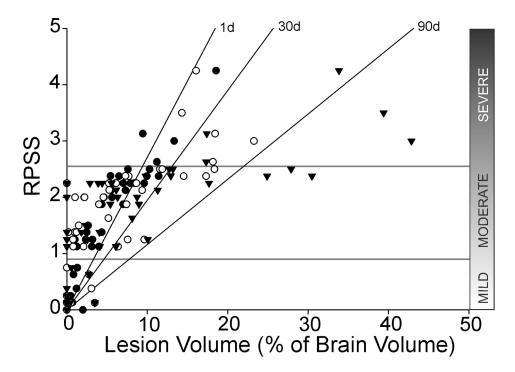


Figure 2. Manual RPSS and semi-automated HRS produce comparable lesion volumes RPSS at 1d post-implantation categorized animals based on injury severity. HRS correlated well with the RPSS method (R^2 : 1d = 0.813, 30d = 0.550, 90d = 0.543). (\blacksquare HRS 1d, \bigcirc HRS 30d, \blacksquare HRS 90d)

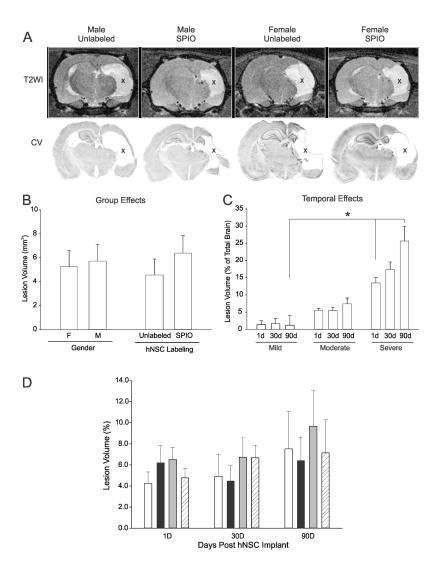


Figure 3. Lesion volumes are not influenced by gender or hNSC labeling

A) At 90d post HII T2WI lesions (hyperintensities, X) appeared similar across all gender and implant groups. Cresyl violet post-mortem histology (lower panel) showed similar lesion size to T2WI (upper panel). Cystic lesions (X) were similar between gender and hNSC labeled animals; B) Lesion volumes are not altered by gender or labeling; C) Lesion volume evolution over time did not change in mildly injured animals, but there was an increase in lesion volume in moderate and severe HII injury groups (see text). Across all groups there was a significant difference between severity groups at 1 and 90d (p=0.05). D) No significant differences were found in lesion volumes over the 90d experimental period by gender or labeling. (Comparison of gender, labeling status and lesion volume, p=0.684) (white bar, M Unlabeled, black bar, F Unlabeled, gray bar, M SPIO; hatched bar, F SPIO)

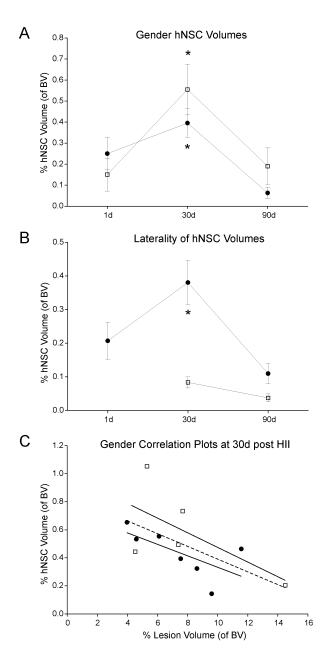


Figure 4. Labeled hNSCs had no effect on gender or lesion volumes in moderate HII A) There were no significant differences between hNSC volumes extracted from MR images neither between males (\blacksquare) or females (\square) nor between time points (1, 30, 90d; p= 0.099). However, a highly significant difference in hNSC volumes was found at 30d (* p= 0.00005) compared to 1 or 90d post HII. B) We also observed a significant difference in hNSC volumes between the implanted side (left, \blacksquare) and the hNSC volumes observed on MRI on the injury side (right; \square , *p=0.0006), but no differences were seen between males and females (p=0.172). C) At 30d post HII, male and female correlation plots of hNSC compared to HII lesion volumes did not reveal any significant interactions (males: \square , R²=0.41, females: \blacksquare , R²=0.44). These results further confirmed that iron-labeling of hNSC was not influenced by gender resulting in similar lesion volumes in each group over time.

However, when male and female cohorts were combined, a significant correlation (dotted line, R=0.585, p=0.046) was found demonstrating that increased hNSC volumes were associated with smaller HII lesion volumes, irrespective of gender.

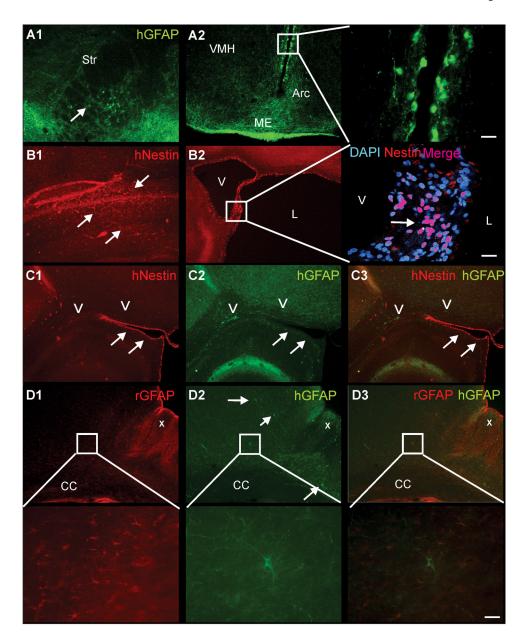


Figure 5. Immunostaining for hNSC reveals immature and mature cellular phenotypes independent of gender or hNSC labeling status $\,$

A1) Immunostaining for human GFAP (hGFAP) identified positive (+) cells in the ipsilateral striatum (Str) adjacent to the lesion that had a mature astrocytic morphology distinct from those seen adjacent to the 3rd ventricle. A2) hGFAP+ cells were also observed within the periventricular region, the median eminence (ME) and the arcuate nucleus (Arc), with fewer hGFAP+ cells were seen in the ventro-medial hypothalamus (VMH). hGFAP+ cells lining the 3rd ventricle had similar morphology to astrocytic radial glia. B1) humannestin (hNestin) within the contralateral cortex revealed hNSC that exhibited an intermediate filament morphology (arrows). B2) In the ipsilateral hemisphere, hNestin staining was observed adjacent to the ventricle (V), as well as tissues exposed to cerebrospinal fluid, such as cystic regions of the lesion (L), consistent with a more immature cellular phenotype, with

only ~50% of these cells nestin+. C1–3) hNestin+ staining (arrows) along the ventricles did not colocalize with hGFAP+ cells (arrows). D1) Abundant endogenous rodent (rGFAP) astrogliosis (X) was observed in the ipsilateral cortex adjacent to the HII lesion. Higher magnification revealed numerous rGFAP+ astrocytes. D2) In contrast, only scattered hGFAP+ cells were observed within the cortical regions adjacent to the HII lesion. High magnification illustrated typical astrocyte morphology in an hGFAP+ cell. D3) No colocalization of human (green) and rat (red) astrocytes was observed. Higher magnification illustrates a single human astrocyte (green) surrounded by rodent astrocytes (red) with no colocalization. (cal bar – 20um)

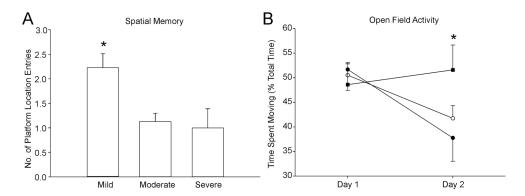


Figure 6. Behavioral testing demonstrated learning and exploratory deficits based on injury severity but not on gender or labeling status

A) Behavioral testing based on lesion severity revealed that those animals with mild injury had improved spatial memory compared to moderate or severely injured animals (p<0.007). B) Similarly, animals with severe injury spent more time exploring an open field than their mild and moderate injury counterparts (p<0.04). (\blacksquare mild, \bigcirc moderate, \blacksquare severe)

Ashwal et al.

Table 1

Injury severity group assignments

Injury Severity a	Male Labeled	Male Unlabeled	Female Labeled	Injury Severity a Male Labeled Male Unlabeled Female Labeled Female Unlabeled	$\operatorname{RPSS}{b}$
Mild	0	5	0	4	0.31 ± 0.09
Moderate	9	7	8	8	1.80 ± 0.10
Severe	1	1	4	1	3.07 ± 0.24

Data show the number of rat pups/group for different Injury severity groups, categorized by gender and whether they were implanted with SPIO labeled hNSCs.

 $a^{\rm b}$ based on RPSS

b all animals combined by group severity

Page 19

Table 2

RPSS comparisons for injury severity, gender and hNSC labeling status

Injury Severity	Female	Male	p = <i>a</i>
Mild	0.32±0.12	0.31±0.15	0.960
Moderate	1.85±0.13	1.73±0.15	0.548
Severe	3.15±0.33	2.88±0.25	0.654
Moderate Labeled	1.84±0.20	2.00±0.19	0.584
Moderate Un-Labeled	1.86±0.18	1.53±0.19	0.228

a_{t-test} comparisons