

# Characterization of the myeloid-derived suppressor cell subset regulated by NK cells in malignant lymphoma

Yusuke Sato<sup>1</sup>, Kanako Shimizu<sup>1</sup>, Jun Shinga<sup>1</sup>, Michihiro Hidaka<sup>2</sup>, Fumio Kawano<sup>2</sup>, Kazuhiro Kakimi<sup>3</sup>, Satoru Yamasaki<sup>1</sup>, Miki Asakura<sup>1</sup>, and Shin-ichiro Fujii<sup>1,\*</sup>

<sup>1</sup>Laboratory for Immunotherapy; RIKEN Center for Integrative Medical Science; Yokohama, Kanagawa, Japan; <sup>2</sup>Department of Hematology; Internal Medicine; National Hospital Organization; Kumamoto Medical Center; Kumamoto, Japan; <sup>3</sup>Department of Immunotherapeutics; Graduate School of Medicine; The University of Tokyo; Tokyo, Japan

**Keywords:** IL-10, immunoregulation, malignant lymphoma, MDSC, NK cells

**Abbreviations:** DFS; disease-free survival; GM-CSF, granulocyte macrophage colony-stimulating factor; HLA, Human Leukocyte Antigen; IL, interleukin; LPS, Lipopolysaccharide; MDSC, myeloid-derived suppressor cell; NHL, non-Hodgkin lymphoma; NK, Natural killer cells; OS, overall survival; PBMC, peripheral blood mononucleated cell; TGFβ, transforming growth factor β; TNFα, tumor necrosis factor α; VEGF, vascular endothelial growth factor.

Myeloid-derived suppressor cells (MDSCs) are a heterogeneous population with the ability to suppress immune responses and are currently classified into three distinct MDSC subsets: monocytic, granulocytic and non-monocytic, and non-granulocytic MDSCs. Although NK cells provide an important first-line defense against newly transformed cancer cells, it is unknown whether NK cells can regulate MDSC populations in the context of cancer. In this study, we initially found that the frequency of MDSCs in non-Hodgkin lymphoma (NHL) patients was increased and inversely correlated with that of NK cells, but not that of T cells. To investigate the regulation of MDSC subsets by NK cells, we used an EL4 murine lymphoma model and found the non-monocytic and non-granulocytic MDSC subset, i.e., Gr1<sup>+</sup>CD11b<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSC, is increased after NK cell depletion. The MDSC population that expresses MHC class II, CD80, CD124, and CCR2 is regulated mainly by CD27<sup>+</sup>CD11b<sup>+</sup>NK cells. In addition, this MDSC subset produces some immunosuppressive cytokines, including IL-10 but not nitric oxide (NO) or arginase. We also examined two subsets of MDSCs (CD14<sup>+</sup>HLA-DR<sup>-</sup> and CD14<sup>-</sup>HLA-DR<sup>-</sup> MDSC) in NHL patients and found that higher IL-10-producing CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSC subset can be seen in lymphoma patients with reduced NK cell frequency in peripheral blood. Our analyses of MDSCs in this study may enable a better understanding of how MDSCs manipulate the tumor microenvironment and are regulated by NK cells in patients with lymphoma.

## Introduction

The host immune system plays a protective or suppressive role in the development and progression of cancer. It has been reported that a heterogeneous population of immature myeloid cells known as MDSCs can modulate NK<sup>1-3</sup> and T cell function<sup>4-7</sup> and may contribute to the immune escape of tumors.<sup>6,8</sup> In fact, the elimination of MDSCs by chemotherapy in some models resulted in the augmentation of both the proportion and function of cytotoxic T cells.<sup>9-11</sup> MDSCs have been intensively studied in spleen<sup>7,11,12</sup> and within a tumor microenvironment.<sup>13,14</sup> In mice, MDSCs are broadly characterized by the expression of CD11b and Gr-1. Using additional markers, these cells can be further divided into three subsets: Gr1<sup>hi</sup> or CD11b<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>low</sup> (granulocytic MDSCs) and Gr1<sup>low</sup> or CD11b<sup>+</sup>Ly6G<sup>low</sup>Ly6C<sup>hi</sup> (monocytic MDSCs) and Gr1<sup>int</sup> or CD11b<sup>+</sup>Ly6G<sup>int</sup>Ly6C<sup>int</sup> MDSCs (non-monocytic and

non-granulocytic MDSCs).<sup>4,10,15-17</sup> MDSC composition is dependent on tumor type as differences of MDSC subset frequency and/or function have been demonstrated between different tumor model systems.<sup>18</sup>

As a first defense, NK cells and other innate lymphocytes play an essential role in the inhibition of tumorigenesis, tumor growth, and tumor metastasis.<sup>19-21</sup> We and other researchers previously demonstrated that dendritic cell (DC) therapy increases the activation of NK cells against tumor cells<sup>22-24</sup> and that CD1d<sup>+</sup> cells loaded with invariant NKT cell ligand generate NKT cell-mediated protection against tumor cells.<sup>25</sup> Although there are reports in tumor-bearing mice of interactions between NK cells and various types of myeloid cells, i.e., DCs, macrophages, MDSCs, it is unclear whether NK cells influence the number or function of MDSCs.

IL-10 is known to be a major immune regulatory cytokine in lymphoma.<sup>26,27</sup> In fact, increased serum levels of IL-10 in diffuse

\*Correspondence to: Shin-ichiro Fujii; Email: shin-ichiro.fujii@riken.jp

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**Table 1.** Clinical disease characteristics of patients

Patients	Gender	Age	Diagnosis	Stage	Treatment status	WBC (K/mL)	Hb (g/dL)	Plts (K/mL)	LDH (IU/L)	sIL2R (U/mL)
1	Male	73	DLBCL	Relapsed	Post R-CHOP	5	14.4	166	121	432
2	Female	75	FL	Relapsed	Post R-CHOP	5.3	11.9	179	172	837
3	Male	78	DLBCL	Relapsed	Post R-CHOP	6.9	15.7	168	304	299
4	Male	71	PTCL	Newly diagnosed	—	5.3	11.3	151	608	6200
5	Female	62	DLBCL	Relapsed	Post R-CHOP	7.5	10.6	140	384	1480
6	Female	59	DLBCL	Newly diagnosed	—	2.4	9.3	156	876	638
7	Female	82	DLBCL	Newly diagnosed	—	2.9	9.8	368	205	454
8	Male	50	DLBCL	Newly diagnosed	—	4.3	12.1	389	613	1780
9	Male	74	FL	Newly diagnosed	—	4.3	9.1	134	240	7350
10	Male	34	DLBCL	Relapsed	Post R-CHOP	11.4	15.2	218	151	219
11	Male	80	AITL	Relapsed	Post CHOP	4.4	12.5	163	236	10000
12	Female	58	MALT	Newly diagnosed	—	5.2	12.4	239	177	416
13	Female	82	DLBCL	Newly diagnosed	—	3.5	9.4	27	616	23900
14	Male	61	FL	Relapsed	Post CHOP	4.9	11.4	99	155	4550
15	Female	73	FL	Newly diagnosed	—	7.0	13	273	218	348

AITL, Angioimmunoblastic T-cell lymphoma; DLBCL, Diffuse large B-cell lymphoma; FL, Follicular lymphoma; MALT, Mucosa-associated lymphoid tissue; PTCL, Peripheral T-cell lymphoma.

large B cell lymphoma was detected in 20% of NHL patients<sup>26,28</sup> and was correlated with poor prognosis including significantly shortened overall survival (OS) and disease-free survival (DFS).<sup>26,29,30</sup> It was shown that IL-10 is also produced by MDSCs.<sup>31-33</sup> Therefore, we also focused on the importance of IL-10 production by MDSC subsets in this study.

In the current study, we initially evaluated a relation of NK cells to MDSCs in NHL patients. Next, we evaluated MDSC subset composition and function in murine lymphoma models and then translated these results to NHL patients. In murine lymphoma models, we studied about an effect of NK cells on MDSCs in the number and function. To confirm the clinical relevance of MDSC subsets, we evaluated peripheral blood from NHL patients for MDSC subsets in detail. These results imply that NK-MDSC interactions may be important in tumor growth and progression and could therefore be a target for novel immunotherapeutic approaches to alter the tumor-associated microenvironment.

## Results

### Inverse correlation in the number of MDSCs and NK cells in lymphoma patients

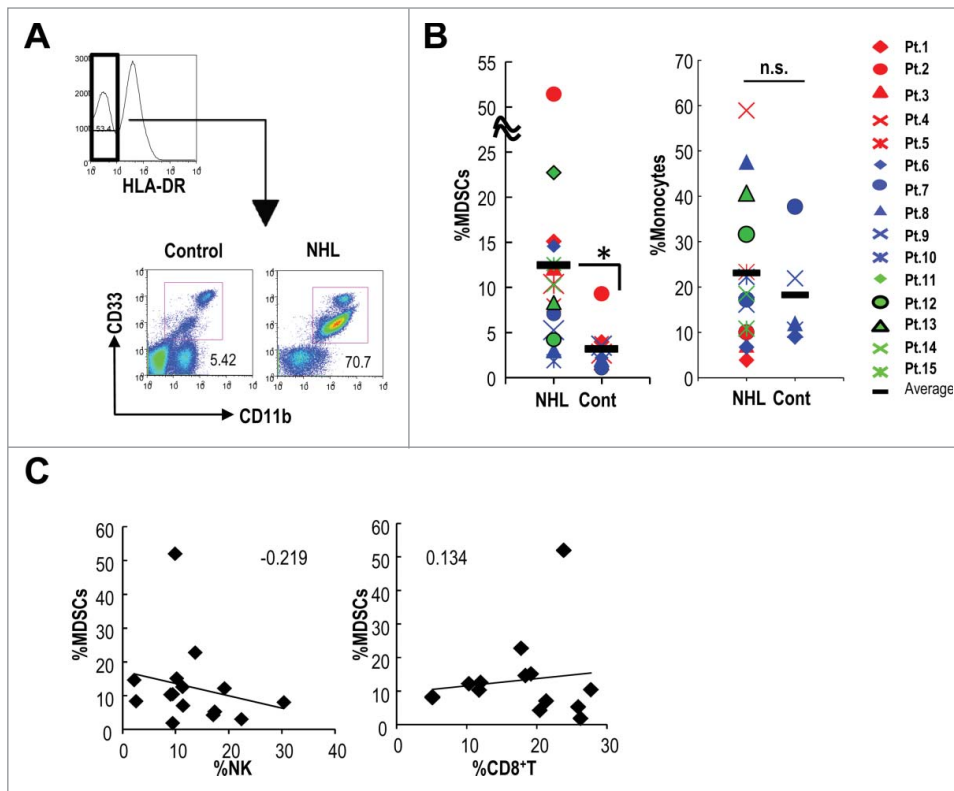
MDSCs are increased in many types of human cancers.<sup>34,35</sup> However, only a few studies have attempted to identify MDSCs in patients with malignant lymphoma.<sup>6</sup> We assessed the percentage of HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> MDSCs and CD14<sup>+</sup>HLA-DR<sup>+</sup> monocytes in the peripheral blood of 15 patients with NHL (Table 1) and 12 healthy controls and found that HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> MDSCs, but not CD14<sup>+</sup>HLA-DR<sup>+</sup> monocytes were significantly increased in NHL patients compared to healthy controls (Fig. 1A and 1B). In NHL patients, the frequency of MDSCs, but not of monocytes was increased compared to the healthy controls. We assessed the relationship between MDSCs and NK cells in the peripheral blood of 15

NHL patients and found an inverse correlation between numbers of MDSCs and NK cells, but not between MDSCs and CD8<sup>+</sup> T cells (Fig. 1C).

### NK cell depletion in mice increases CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs capable of IL-10 production

We assessed the regulation of MDSCs by NK cells using the EL4 murine lymphoma model. MDSCs, which are defined as CD11b<sup>+</sup>Gr1<sup>+</sup> cells, were not detected on day 5 (data not shown) but were detected on day 10, with an increase on day 20 after an inoculation of EL4 lymphoma (Fig. 2A). These MDSCs demonstrated enhanced arginase activity and NO production at both time points, which induced an impairment of T cells (Fig. 2B). To evaluate the effect of NK cells on MDSCs, we analyzed MDSCs in tumor-bearing wild-type mice and tumor-bearing NK cell-depleted mice. Although EL4 lymphoma cells are generally known to be NK cell resistant *in vitro*,<sup>36</sup> NK cell-depleted, tumor-bearing mice exhibited a significantly decreased survival time (Fig. 2C). We focused on immune cells in this study, although a variety of factors are suspected to be involved in decreased survival in NK cell-depleted mice, including the defect of IFN $\gamma$ -promoted inhibition of angiogenesis at tumor sites.<sup>37</sup> We could not find any difference in numbers of CD4<sup>+</sup> T cells, regulatory T cells and CD8<sup>+</sup> T cells in EL4 bearing mice and NK cell-depleted EL4 bearing mice (Fig. S1). CD11b<sup>+</sup>Gr1<sup>+</sup>MDSCs were increased in the NK cell-depleted group and could be one of the factors that contributed to the decreased survival seen in the NK cell-depleted mice (Fig 2C).

To rule out the possibility that NKT cells are also depleted with anti-NK1.1 Ab, we used NKT cell-lacking  $\alpha 18^{-/-}$  mice and found the similar results as those in EL4 tumor-bearing WT mice (Fig. 2D). Interestingly, there was no difference in arginase activity and NO production in the MDSC subsets of tumor-bearing WT, anti-NK1.1-Ab treated WT, and  $\alpha 18^{-/-}$  mice (Fig. 2E). However, the MDSCs from anti-NK1.1 Ab-treated mice produced more IL-10 than those from tumor-bearing WT



**Figure 1.** Increased MDSCs were inversely correlated with NK cells in lymphoma patients. **(A)** The MDSC subsets by gating HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> cells were evaluated in the peripheral blood of 15 non-Hodgkin lymphoma patients (NHL) and 12 healthy volunteers (control: cont) using anti-CD11b-Pacific Blue, anti-CD33-PE and anti-HLA-DR-PerCP antibodies. The representative flow cytometry data **(A)** and the frequencies **(B)** of HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> cells and CD14<sup>-</sup>HLA-DR<sup>+</sup> monocytes were enumerated. The characterization of the patients is shown in **Table 1**. (\**p* < 0.01 for cont. versus NHL) **(C)** The correlation between the frequency of HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> cells and the frequency of NK or CD8<sup>+</sup> T cells in blood of each patient was assessed. The number indicated the correlation coefficient.

or  $\alpha 18^{-/-}$  mice (**Fig. 2E**). Thus, the depletion of NK cells either enhances the quantity of IL-10 produced by individual MDSCs or increases the number of the MDSC subset capable of producing IL-10. These results indicate that NK cells may work as a first defense against tumor and MDSCs.

#### CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> and CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSC subsets are increased in NK-depleted tumor-bearing mice

The CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs can be separated into three subsets.<sup>10,15-17</sup> Differential expression of Ly6C and Ly6G divides CD11b<sup>+</sup>Gr1<sup>+</sup> cells into three distinct MDSC subsets: Ly6G<sup>hi</sup>Ly6C<sup>med</sup> (granulocytic), Ly6G<sup>med</sup>Ly6C<sup>hi</sup> (monocytic), and Ly6G<sup>med</sup>Ly6C<sup>med</sup> (non-monocytic and non-granulocytic). Initially, we compared the frequency of the three subsets in EL4 tumor bearing mice and found Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs were the most prevalent and the other two subsets were equivalent in number. In NK cell-depleted tumor-bearing mice, the frequency and absolute numbers of the CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs were increased (**Figs. 3A and B**). The absolute number of CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs was also increased in NK cell-depleted tumor-bearing mice (**Fig. 3B**). To assess which subset of NK cells primarily

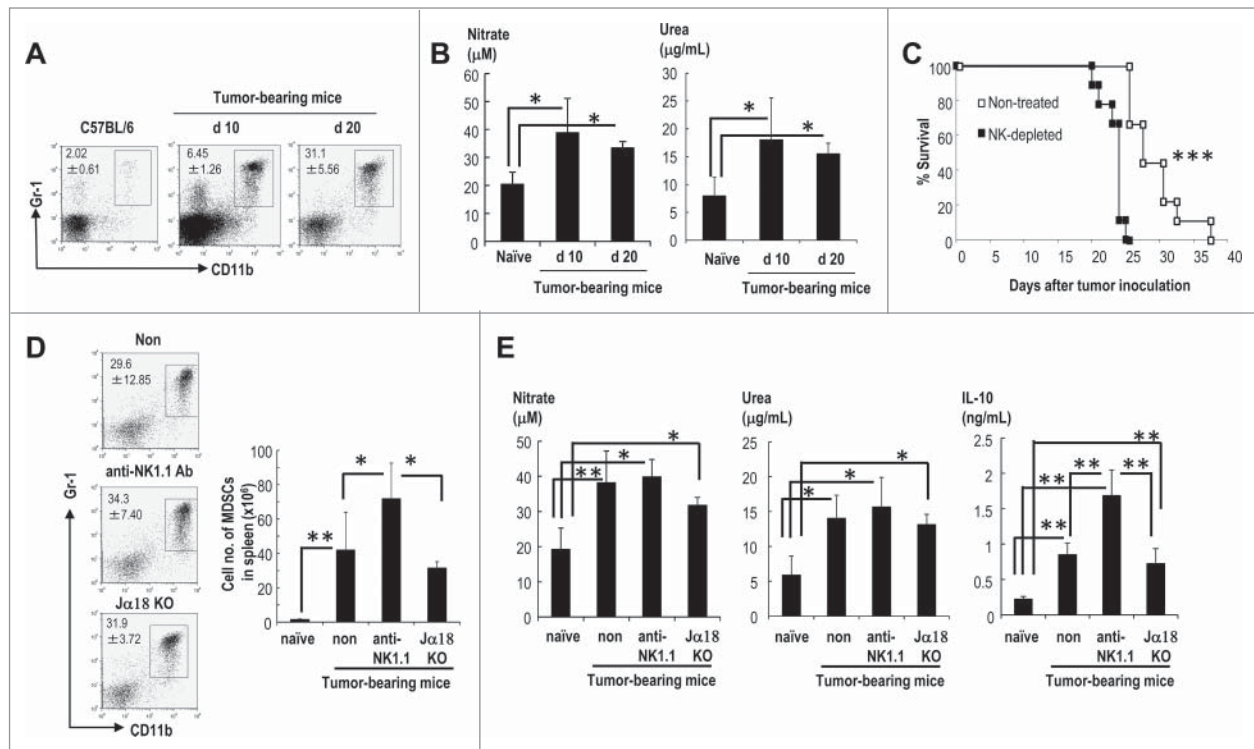
responded to CD11b<sup>+</sup>Gr1<sup>+</sup>MDSCs, we cultured NK cells with CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs from tumor bearing mice and verified three subsets of NK cells, i.e., CD27<sup>+</sup>CD11b<sup>-</sup>, CD27<sup>+</sup>CD11b<sup>+</sup>, CD27<sup>-</sup>CD11b<sup>+</sup> after gating CD3<sup>-</sup>NK1.1<sup>+</sup> cells. We analyzed each NK cell subset for IFN $\gamma$  production and CD107a expression. As shown in **Fig. 3C**, CD27<sup>+</sup>CD11b<sup>+</sup>NK cells produced IFN $\gamma$  and expressed CD107a in response to co-culture with MDSCs. Further analysis showed both Ly49D<sup>+</sup> and Ly49D<sup>-</sup> NK cells, or both Ly49H<sup>+</sup> and Ly49H<sup>-</sup> NK cells expressed CD107a in response to co-culture with MDSCs (data not shown). NK cells are highly cytotoxic against Ly6G<sup>med</sup>Ly6C<sup>med</sup> and Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs but not against Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs (**Fig. 3D**). These findings suggested that CD27<sup>+</sup>CD11b<sup>+</sup> mature NK cells may mainly have the cytotoxic capacity against two subsets of MDSCs.

#### Characterization of CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs

We were interested in further evaluating the different subsets of MDSCs, particularly CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs, which have not been well characterized.

Therefore, we compared phenotypic markers on these MDSCs with those present on granulocytic MDSCs and monocytic MDSCs. All three MDSC subsets expressed CD80, CD124, and CCR2 (**Fig. 3E**), but not CD11c (data not shown). They did not express B220, CD36, CD40, CD86, CD103, TIM1, and TIM4 (data not shown). Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs preferentially expressed CD115 (M-CSF receptor) (**Fig. 3E**), and both Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSC subsets expressed MHC class II (I-Ab) (**Fig. 3E**).

We then assessed the function of the three MDSC subsets. Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs showed increased levels of arginase activity, whereas Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs did not (**Fig. 4A, left**). In contrast, Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs produced NO while Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs did not (**Fig. 4A, right**). IL-10 was preferentially produced by Ly6G<sup>med</sup>Ly6C<sup>med</sup> and Ly6G<sup>med</sup>Ly6C<sup>hi</sup> subsets (**Fig. 4B**). The number of Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs but not Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs increased after depletion of NK cells (**Fig. 3B**), however levels of IL-10 production did not change (**Fig. 4B**). NK cell depletion did not enhance the quantity of IL-10 produced per cell (**Fig. 4B**). The number of MDSCs capable of producing IL-10 is inversely regulated by NK cells. Some immunosuppressive and inflammatory cytokines, such as IL-13,



**Figure 2.** MDSCs capable of producing IL-10 are increased in NK cell-depleted EL4 lymphoma-bearing mice. C57BL/6 (WT) mice were injected subcutaneously (s.c.) with EL4 lymphoma cells. **(A)** The percentages of CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs in spleen on d 10 and 20 were analyzed using Gr1-APC and CD11b-FITC ( $n = 4-6$ , mean  $\pm$  SEM). **(B)** The arginase activity (right) and NO production (left) of the sorted CD11b<sup>+</sup>Gr1<sup>+</sup> splenic MDSCs were analyzed on day 10 and 20 after EL4 inoculation ( $n = 4-7$ , mean  $\pm$  SEM;  $*p < 0.05$ ). **(C)** The survival of EL4-bearing WT or NK cell-depleted mice was assessed. The survival curves were plotted using Kaplan-Meier estimates and compared through long-rank analysis ( $n = 9$  per group;  $***p < 0.001$ ). **(D)** The frequencies of CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs in tumor-bearing WT, anti-NK1.1 Ab-treated mice, and J $\alpha$ 18-KO mice on day 20 were analyzed by FACS (left). The total numbers of CD11b<sup>+</sup>Gr1<sup>+</sup> splenic MDSCs in these animals were assessed 20 d after tumor inoculation (right;  $n = 4-6$ , mean  $\pm$  SEM;  $**p < 0.01$ ,  $*p < 0.05$ ). **(E)** The activities of NO (left) and arginase (middle) and the production of IL-10 (right) by splenic MDSCs were assessed in these animals on day 20 ( $n = 4-6$ , mean  $\pm$  SEM;  $**p < 0.01$ ,  $*p < 0.05$ ).

GM-CSF, TNF- $\alpha$  and IL-1 $\beta$  were also assessed. Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSC subsets produced more of these cytokines than Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs (Fig. 4C). TGF- $\beta$  was released in similar amounts by all three groups, whereas IL-6 was secreted only by Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs.

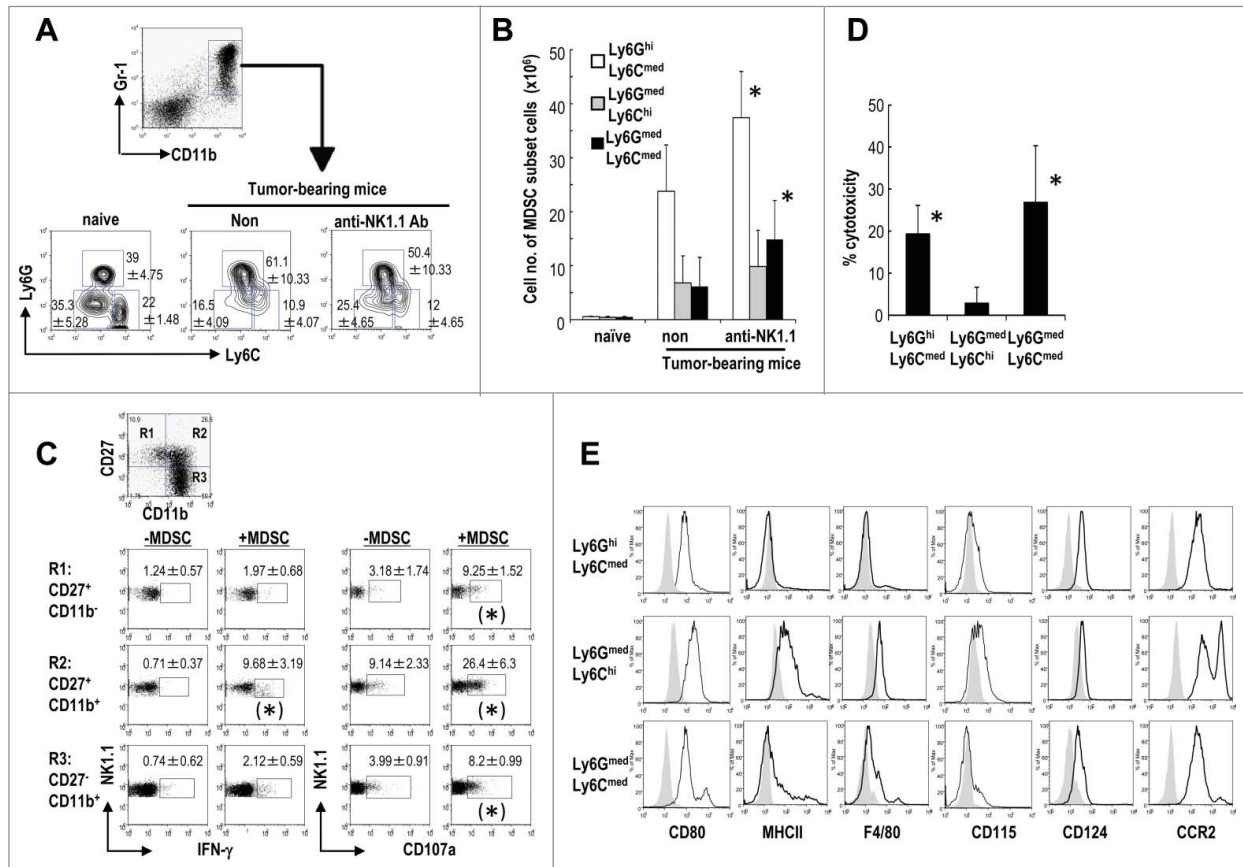
Next, we tested the capacity of the three MDSC subsets to inhibit antigen-specific proliferation of CD4<sup>+</sup> T cells. Individual MDSC subsets were isolated from EL4 bearing mice and co-cultured with spleen cells of OT-II transgenic mice in the presence of OVA peptide. Interestingly, CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>hi</sup> monocytic MDSCs significantly inhibited CD4<sup>+</sup> OT-II T cells, but CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup> granulocytic MDSCs did not (Fig. 4D). In addition to Ly6G<sup>med</sup>Ly6C<sup>hi</sup> MDSCs, CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs exhibited the suppressive activity on antigen-specific CD4<sup>+</sup> T cells (Fig. 4D).

#### Characterization of MDSCs in E $\mu$ -myc spontaneous B cell lymphoma mouse models

We then used E $\mu$ -myc transgenic mice to assess the phenotypes of three MDSC subsets and their capacity for IL-10 production. The E $\mu$ -myc transgenic mouse, in which the *Myc* proto-oncogene is under the control of the IgH enhancer, is a

valuable model for the study of spontaneously occurring Myc-driven B cell lymphomas. The onset of lymphoma in E $\mu$ -myc mice occurs at approximately 4 mo of age and is heralded by lymph node swelling. To assess the role of the MDSC subsets in the context of spontaneous lymphoma, we analyzed the MDSCs from 4 mo old E $\mu$ -myc mice with lymphadenopathy. The total number of CD11b<sup>+</sup>Gr1<sup>+</sup> MDSC cells were increased in the E $\mu$ -myc transgenic mice as compared to C57BL/6 wild type mice and E $\mu$ -myc mice without lymphadenopathy and the distribution and phenotypes of the three subsets were similar to those found in mice injected with EL4 (Fig. 5A). Next, we focused on the development of lymphoma of E $\mu$ -myc mice. We treated the 4 mo-old E $\mu$ -myc mice without lymphadenopathy with anti-NK1.1Ab for 1 mo, and compared the development of lymphoma and frequency of MDSC subsets as to the control E $\mu$ -myc mice without lymphadenopathy that were not treated with anti-NK1.1Ab. Two out of five mice treated with anti-NK1.1 Ab developed lymphoma (Fig. 5B). They demonstrated an increased frequency of at least two subsets of MDSCs (arrow in Fig. 5B), i.e., Ly6G<sup>hi</sup>Ly6C<sup>med</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup>. On the other hand, the increase in number of MDSCs apparently did not occur in non-treated mice group or anti-NK1.1Ab-treated, E $\mu$ -myc mice





**Figure 3.** Characterization of three subsets of CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs in NK-depleted tumor-bearing mice. C57BL/6 mice were inoculated with EL4 s.c. and assessed 20 d later. In some of the experiments, the mice were treated with anti-NK1.1 Ab to deplete NK cells. Splenocytes from EL4 tumor-bearing mice were analyzed by flow cytometry. (A) The cells were gated to identify the CD11b<sup>+</sup>Gr1<sup>+</sup> MDSC subsets. EL4-tumor bearing mice were either treated with anti-NK1.1Ab or not, and after gating on CD11b<sup>+</sup>Gr1<sup>+</sup> cells, each CD11b<sup>+</sup>Gr1<sup>+</sup> MDSC fraction was analyzed to determine its expression levels of Ly6C and Ly6G using anti-CD11b-PerCP/Cy5.5, anti-Gr1-APC, anti-Ly6C-FITC, and anti-Ly6G-Pacific Blue antibodies ( $n = 4-6$ , mean  $\pm$  SEM). (B) The absolute numbers of three MDSC subsets in the spleen from mice groups described in (A) were quantified after gating based on the expression levels of Gr1 and CD11b ( $n = 4-6$ , mean  $\pm$  SEM; \* $p < 0.05$  for non- vs. anti-NK1.1). (C) NK cells were directly isolated from spleen of Rag1<sup>-/-</sup> mice using anti-DX5 Ab-conjugated bead were cocultured with CD11b<sup>+</sup>Gr1<sup>+</sup>MDSCs at a 1:1 ratio for 6 h. CD107 expression was analyzed using Alexa488-CD107a and IFN $\gamma$  production by intracellular staining as previously described<sup>53</sup>. ( $n = 4$ , mean  $\pm$  SEM; IFN $\gamma$ ; \* $p < 0.05$  for -MDSC vs. +MDSC in R2, CD107a; \* $p < 0.05$  -MDSC vs. +MDSC in R1, R2, and R3) (D) NK cell cytotoxicity against each MDSC subset was determined as described in Methods ( $n = 4$ , mean  $\pm$  SEM; \* $p < 0.05$  for Ly6G<sup>hi</sup>Ly6C<sup>med</sup> vs. Ly6G<sup>med</sup>Ly6C<sup>hi</sup>, and Ly6G<sup>hi</sup>Ly6C<sup>med</sup> vs. Ly6G<sup>med</sup>Ly6C<sup>med</sup>). (E) CD11b<sup>+</sup>Gr1<sup>+</sup> MDSC subsets from EL4 tumor-bearing mice were stained with PE-labeled anti-CD80, MHC II, F4/80, CD115, CD124, and CCR2, and the expression levels of these markers were analyzed. The data are representative of four experiments ( $n \geq 4$  per group).

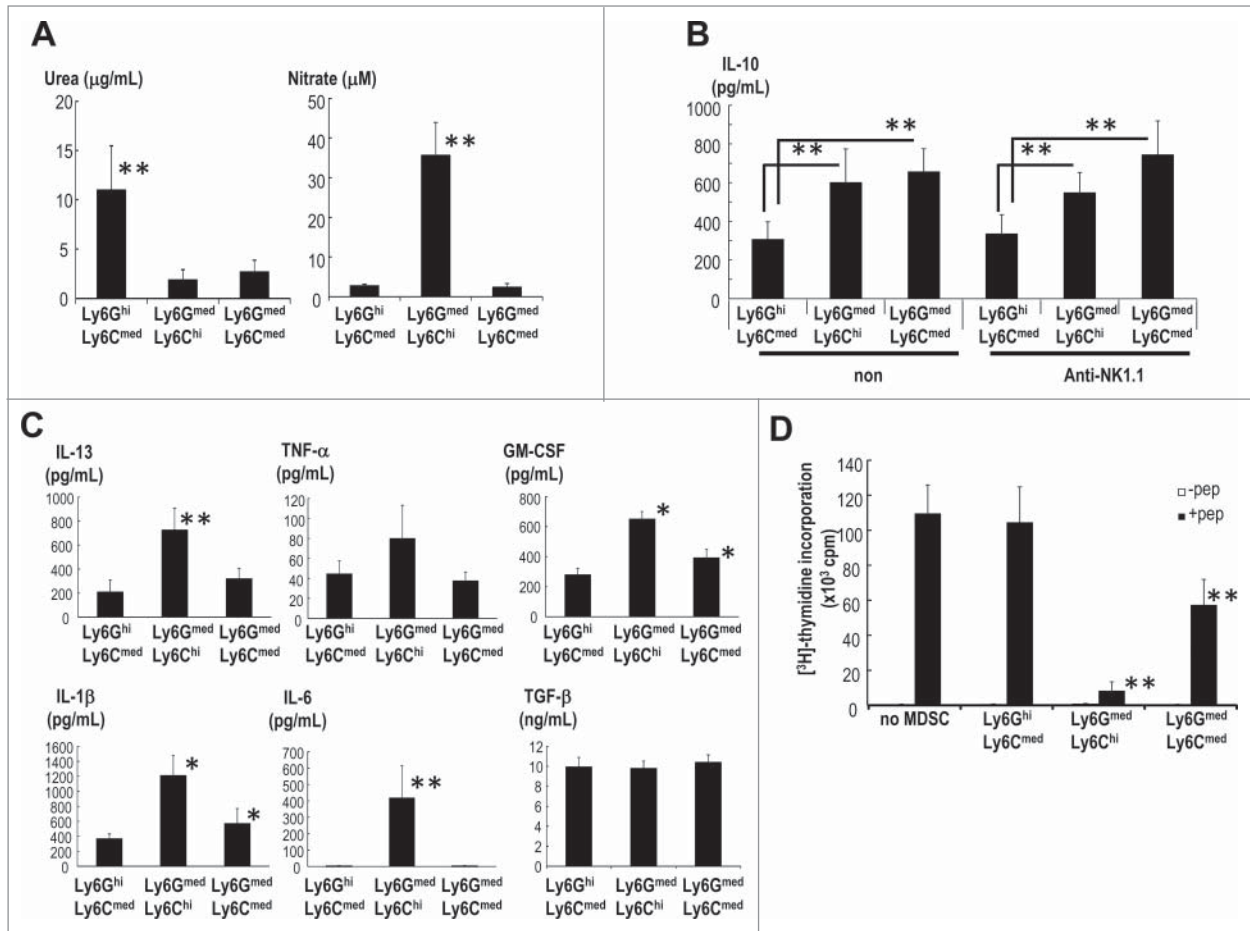
without lymphadenopathy (Fig. 5B). Progression to E $\mu$ -myc lymphoma mice was correlated with an increased frequency of MDSCs. Compared to CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup> MDSCs, both CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs preferentially produced IL-10 (Fig. 5C). Therefore, the phenotype of three MDSC subsets and their capacity for IL-10 production were similar in both the EL4 lymphoma model and the E $\mu$ -myc spontaneous lymphoma model and may suggest how lymphoma progresses in humans.

#### The relationship of MDSC subsets and NK cell number in lymphoma patients

As shown in Fig. 1, the percentage of HLA-DR<sup>-</sup>CD11b<sup>+</sup>CD33<sup>+</sup> MDSCs was significantly increased in the peripheral blood of NHL patients. MDSCs in humans can be

further separated into CD33<sup>+</sup>CD11b<sup>+</sup>CD14<sup>+</sup> and CD33<sup>+</sup>CD11b<sup>+</sup>CD14<sup>-</sup> after gating on HLA-DR (Fig. 6A left).<sup>6</sup> Using this gating strategy, we assessed the percentage of CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs and CD14<sup>-</sup>HLA-DR<sup>-</sup> MDSCs in the peripheral blood of 15 patients with NHL (Table 1) and 12 healthy controls. CD14<sup>-</sup>HLA-DR<sup>-</sup> MDSCs were significantly increased in the peripheral blood of NHL patients (Fig. 6A right). CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs were not significantly increased, but there was a trend toward higher frequency of these MDSCs in NHL patients (Fig. 6A middle).

We evaluated the production of immunosuppressive cytokines, including IL-10, by each MDSC subset found in the peripheral blood of NHL patients. Compared to CD14<sup>-</sup>HLA-DR<sup>-</sup> MDSCs, CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs produced higher levels of IL-10 and IL-6, but not TGF- $\beta$  and VEGF production (Fig. 6B).



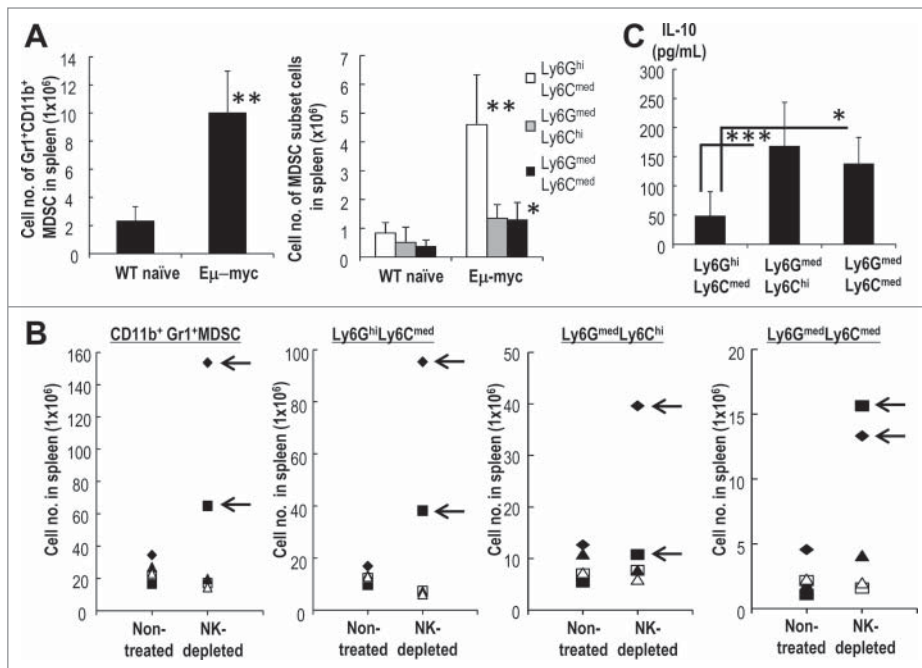
**Figure 4.** Functional characterization of MDSC subsets. (A) The three subsets of MDSCs in spleen were sorted 20 d after EL4 inoculation s.c. The arginase activity and NO production were evaluated ( $n = 4-6$ , mean  $\pm$  SEM;  $**p < 0.01$  for the comparison of urea between Ly6G<sup>hi</sup>Ly6C<sup>med</sup> and others and for the comparison of nitrate between Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and others). (B) The IL-10 production by each splenic MDSC subset in untreated (non) and anti-NK1.1 Ab-treated tumor-bearing mice was measured by ELISA ( $n = 4-6$ , mean  $\pm$  SEM;  $**p < 0.01$  Ly6G<sup>hi</sup>Ly6C<sup>med</sup> vs. Ly6G<sup>med</sup>Ly6C<sup>hi</sup>, and Ly6G<sup>hi</sup>Ly6C<sup>med</sup> vs. Ly6G<sup>med</sup>Ly6C<sup>med</sup>). (C) The cytokine production in the mice described in (B) was assessed by Luminex after 24 h of culture ( $n = 4-6$ , mean  $\pm$  SEM;  $**p < 0.01$  for the comparison of IL-6 and IL-13 between Ly6C<sup>hi</sup> and others;  $*p < 0.05$  for the comparison of GM-CSF and IL-1 $\beta$  between the Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup> cells and the Ly6G<sup>hi</sup>Ly6C<sup>med</sup> cells). (D) Individual three MDSC subsets ( $6 \times 10^4$  cells/well) were isolated from EL4-bearing mice and co-cultured with spleen cells from OT-II TCR Tg mice ( $2 \times 10^5$  cells/well) in the presence or absence of 10  $\mu$ M OVA<sub>323-339</sub> peptide (ISQAVHAAHAEINEAGR) for 64 h. For the last 16 h of culture, (<sup>3</sup>H)-thymidine was added, and the cell proliferation was measured ( $n = 6$ , mean  $\pm$  SEM;  $**p < 0.01$  for Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup> cells vs. the group without MDSCs and the Ly6G<sup>hi</sup>Ly6C<sup>med</sup> group).

Elevated serum IL-10 is a known poor prognostic indicator for patients with malignant lymphoma.<sup>26,27</sup> In addition, it was reported that increased numbers of CD14<sup>+</sup>HLA-DR<sup>-</sup> cells in NHL patients inversely correlated with survival.<sup>38</sup> We then evaluated the correlation between IL-10 production by CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSC and NK cell frequency. CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs producing high levels of IL-10 which indicates the high relative intensity ( $>0.01$ ) of IL-10 expression, were found in patients with low frequency of NK cells in their peripheral blood, while CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs producing low intensity IL-10 expression ( $<0.01$ ) were found in patients with preserved NK cells in their peripheral blood. Thus, CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSC can be still separated into two populations. These findings suggested that the high IL-10-producing CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSC subset in NHL patients appears to be

equivalent to the mouse Ly6G<sup>med</sup>Ly6C<sup>hi</sup> and Ly6G<sup>med</sup>Ly6C<sup>med</sup> subsets, which also preferentially produce IL-10 in the EL4 and E $\mu$ -myc mouse lymphoma models.

## Discussion

MDSCs are a heterogeneous population of immune suppressor cells that are known to inhibit NK and T cell function, resulting in tumor metastasis.<sup>1-3,39-44</sup> The current study demonstrates for the first time that certain MDSC subsets are susceptible to NK cells, and NK cells control the MDSC subsets. We evaluated the relationship between MDSC subsets and NK cells in two murine lymphoma models as well as NHL patients. In the lymphoma mouse models, we characterized three distinct subsets of MDSC:



**Figure 5.** Characterization of MDSCs in spontaneous lymphoma mice. **(A)** The absolute numbers of CD11b<sup>+</sup>Gr1<sup>+</sup> MDSCs (a, left) or each subset of MDSCs (a, right) from naïve or Eμ-myc mice that had been developed with lymphadenopathy were measured ( $n = 5$ , mean  $\pm$  SEM; \*\* $p < 0.01$  and \* $p < 0.05$  for WT naïve vs. Eμ-myc). **(B)** Ten Eμ-myc mice that had been 4 mo after a birth, but still did not develop the lymphadenopathy were assessed. In some mice, NK cells were depleted with anti-NK1.1Ab for one month ( $n = 5$ ). One month later, the MDSCs in spleen were evaluated. Arrows in the figure indicate the development of lymphoma. **(C)** As shown in **(A)**, splenic MDSCs from Eμ-myc mice with lymphadenopathy were stimulated with LPS, and the levels of IL-10 in the supernatants of the cell cultures were assessed by ELISA ( $n = 5$ , mean  $\pm$  SEM; \*\*\* $p < 0.001$  Ly6G<sup>med</sup>Ly6C<sup>hi</sup> vs. Ly6G<sup>hi</sup>Ly6C<sup>med</sup>, \* $p < 0.05$  Ly6G<sup>med</sup>Ly6C<sup>med</sup> vs. Ly6G<sup>hi</sup>Ly6C<sup>med</sup>).

CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>hi</sup>MDSCs, CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup>MDSCs, and CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs. Conventional Ly6C MDSCs (also denoted as CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>hi</sup>MDSCs) are the most immunosuppressive of the MDSC subsets (Fig. 4D); however these cells are not targets of NK cell killing (Fig. 3D). Conversely, the other conventional MDSC subset, Ly6G, (also denoted as CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>hi</sup>Ly6C<sup>med</sup>MDSCs), though the most abundant and sensitive to NK cell cytotoxicity (Fig. 3B and D), are not the most immune suppressive (Fig. 4D). We also describe a third non-monocytic, non-granulocytic MDSC subset, CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSC, which produces IL-10, is immune suppressive, and sensitive to NK cell cytotoxicity (Figs. 3D and 4B).

NK cells have the natural capacity directly to kill virally infected or malignantly transformed cells, but the interaction between NK cells and infected or malignant cells is altered in the presence of myeloid cells. In the bidirectional cross-talk between NK cells and DCs, NK cells can induce DC maturation through the secretion of pro-inflammatory cytokines. NK cells can also eliminate immature DCs via the NK cell activating molecules, NKp30 and DNAM-1, and the absence of inhibitory KIRs specific for self-HLA class I alleles on immature DCs.<sup>45-47</sup> NK cells can also directly regulate the adaptive immune response. It has been reported that NK cell-derived IFN $\gamma$  induces direct

upregulation of the major Th1 transcription factor T-bet and inhibition of the Th2 transcription factor GATA3.<sup>48</sup> It has also recently been reported in infection models that CD4<sup>+</sup> T cells are eliminated by NK cells via TRAIL,<sup>49</sup> whereas the elimination of CD8<sup>+</sup> T cells is 2B4, NKG2D and perforin mediated.<sup>50,51</sup> In the current study, to investigate how NK cells recognize CD11b<sup>+</sup>Gr1<sup>+</sup>Ly6G<sup>med</sup>Ly6C<sup>med</sup> MDSCs, we assessed NK cell cytotoxicity against MDSCs by using anti-NKG2D or anti-NKp46 blocking antibodies. Anti-NKG2D Ab partially blocked NK cytotoxicity, but anti-NKp46 Ab did not (data not shown). Further studies are needed to completely understand the mechanism of NK cell-mediated MDSC cytotoxicity.

In this study, we demonstrated an inverse correlation between NK cells and a novel MDSC subset capable of producing IL-10. This correlation could offer insight into the pathogenesis of lymphoma and could potentially be used as a prognostic indicator for patients with lymphoma. The identification of the role MDSCs play in cancer progression uncovers potential new treatment modalities, including targeting NK-sensitive MDSCs with NK cell therapy for the treatment of recalcitrant tumors.

## Materials and Methods

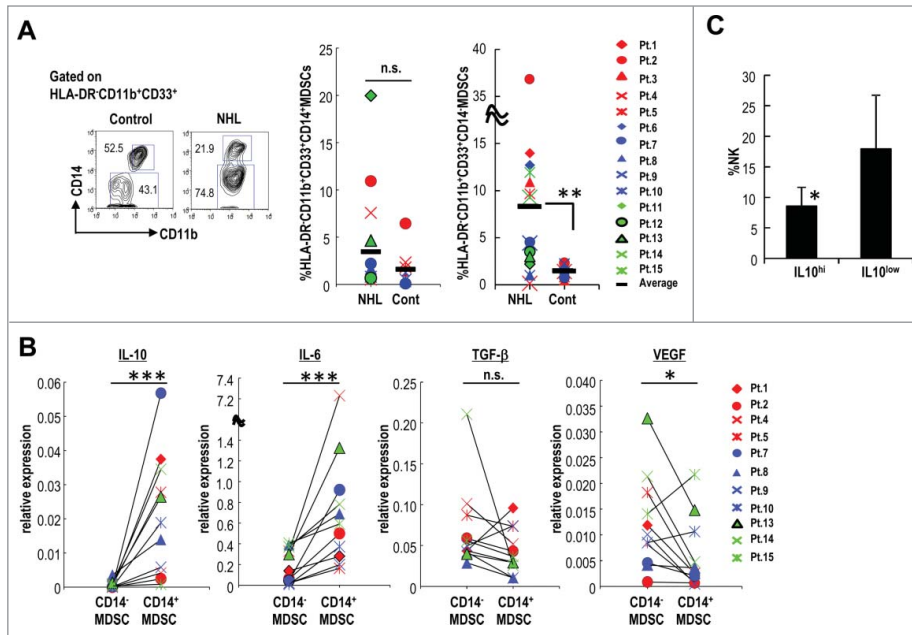
### Mice and cell lines

Pathogen-free 6- to 8-wk-old C57BL/6 (WT) and Rag1<sup>-/-</sup> mice were purchased from CLEA Japan. B6 J $\alpha$ 18<sup>-/-</sup> mice and TCR-transgenic mice harboring ovalbumin (OVA)-specific CD4<sup>+</sup> T cells (OT-II) were bred in the IMS animal facility. Eμ-Myc mice were obtained from Jackson Laboratory. All of the mice were maintained under specific pathogen-free conditions and studied in compliance with institutional guidelines. EL4 thymoma were purchased from the American Type Culture Collection.

### Human samples

Peripheral blood samples from 12 healthy volunteers and 15 non-Hodgkin type malignant lymphoma patients who were newly diagnosed or had recurrent disease were obtained from National Hospital Organization Kumamoto Medical Center (Kumamoto, Japan). PBMCs were separated by Ficoll-Hypaque (Amersham Pharmacia Biotech) density centrifugation, washed three times with PBS, and stored in liquid nitrogen until use. Written informed consent was obtained from all of the patients





**Figure 6.** Characterization of MDSC subsets in lymphoma patients. **(A)** The MDSC subsets were evaluated as CD14<sup>+</sup> or CD14<sup>-</sup> by gating HLA-DR<sup>+</sup>CD11b<sup>+</sup>CD33<sup>+</sup> cells in the peripheral blood of 15 NHL and 12 healthy volunteers (control: cont) using anti-CD11b-Pacific Blue, anti-CD14-Alexa647, anti-CD33-PE, and anti-HLA-DR-PerCP antibodies. (\*\**p* < 0.01 for control vs. NHL) **(B)** The cytokine (IL-10, IL-6, TGF-β, and VEGF) gene expression levels in CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs and CD14<sup>-</sup>HLA-DR<sup>-</sup> MDSCs from lymphoma patients was measured by real-time PCR after stimulation with LPS. (\**p* < 0.05 and \*\*\**p* < 0.001 for CD14<sup>-</sup>MDSC vs. CD14<sup>+</sup>MDSC) **(C)** The frequency of NK in blood of each patient was assessed between IL-10 high (relative intensity > 0.01) or IL-10 low (relative intensity < 0.01) in CD14<sup>+</sup>HLA-DR<sup>-</sup> MDSCs. (\**p* < 0.05 for IL10<sup>hi</sup> vs. IL10<sup>low</sup>).

according to the Declaration of Helsinki. All of the studies were approved by the National Hospital Organization Kumamoto Medical Center review board and the RIKEN institutional review board. The clinical characteristics of patients are demonstrated in **Table 1**.

### Reagents

The following monoclonal antibodies (mAbs) were purchased from BD Bioscience, BioLegend, or e-Bioscience: anti-mouse CD11b(M1/70), CD27(LG.3A10), CD80(16-10A1), CD107a(1D4B), CD115(AFS98), CD124(mIL4R-M1), CCR2(475301), F4/80(BM8), Gr-1(RB6-8C5), I-Ab(AF6-120.1), Ly6C(AL-21), Ly6G(1A8), NK1.1(PK136), Ly49D((4E5), Ly49H(3D10), CD3(145-2C11), TCRβ(H57-597), IFN-γ(XMG1.2), anti-human CD11b(ICRF44), CD14 (M5E2), CD15(HI98), CD33(WM53), HLA-DR(L243), CD3(HIT3a) and CD8<sup>+</sup>(RPA-T8). LIVE/DEAD Fixable Aqua Dead Cell stain kit (Invitrogen) was used to eliminate dead cells. For analysis, a FACSCalibur<sup>TM</sup> or Canto II instrument and the CELL-Quest<sup>TM</sup> or FACSDiva (BD Biosciences) or FlowJo software packages were used. For depletion *in vivo*, anti-NK1.1 Ab was prepared in our laboratory from a hybridoma (PK136, ATCC), and anti-asialoGM1 Ab was purchased from Wako Pure Chemical Industries, Ltd.

### Cell preparation

Splenocytes were obtained by crushing the spleen through a 70-μm cell strainer, lysing erythrocytes with ACK lysing buffer (Invitrogen), and two washes in RPMI. In some of the experiments, spleens were digested with collagenase D (Roche). MDSCs were isolated from spleens of control or tumor-bearing mice (on day 10 or 20). CD11b<sup>+</sup>Gr-1<sup>+</sup> MDSCs were isolated using anti-Gr1-PE and PE magnetic beads (MACS), and the purity of the resulting population was higher than 90%. Each subset of MDSCs was sorted from pooled spleens using a BD FACSAria cell sorter.

### *In vivo* tumor experiments

WT mice were inoculated with 1 × 10<sup>6</sup> EL4 lymphoma cells. In some of the experiments, mice were treated with anti-asialoGM1 Ab (50 μL/mouse) to study their survival or anti-NK1.1 Ab (200 μg/mouse) for MDSC analysis every 2 d starting on day 2 before EL4 inoculation.

### Arginase activity

Arginase activity in cell lysates was measured as previously described.<sup>4,52</sup> Briefly, 1 × 10<sup>6</sup> cells were lysed for 30 min with 100 μL of 0.1% TritonX-100. Subsequently, 100 μL of 25 mM Tris-HCl and 10 μL of 10 mM MnCl<sub>2</sub> were added to the mixture, and the enzyme was activated by heating for 10 min at 56°C. Arginine hydrolysis was conducted by incubating the lysate with 100 μL of 0.5 M L-arginine (pH 9.7) at 37°C for 120 min. The reaction was stopped by the addition of 900 μL of H<sub>2</sub>SO<sub>4</sub> (96%)/H<sub>3</sub>PO<sub>4</sub> (85%)/H<sub>2</sub>O (1/3/7, v/v/v). The urea concentration was measured at 540 nm after the addition of 40 μL of α-isonitrosopropiophenone (dissolved in 100% ethanol) and subsequent heating at 95°C for 30 min.

### Nitric oxide (NO) production

Equal volumes of culture (1 × 10<sup>6</sup> cells) supernatants (100 μL) were mixed with Griess reagent (1% sulfanilamide in 5% phosphoric acid and 0.1% *N*-1-naphthylethylenediamine dihydrochloride in double-distilled water). After a 10-min incubation at room temperature, the absorbance at 550 nm was measured using a microplate reader.

### Inhibition assay of antigen-specific CD4<sup>+</sup> T cell proliferation

Spleen cells from OT-II TCR Tg mice were cultured at a density of 2 × 10<sup>5</sup> cells/well with or without each subset of MDSCs (6 × 10<sup>4</sup> cells/well) isolated from EL4-bearing mice in the presence or absence of 10 μM OVA<sub>323-339</sub> peptide



(ISQAVHAAHAEINEAGR) for 64 h. During the last 16 h of culture, [<sup>3</sup>H]-thymidine was added to the culture, and thymidine incorporation was measured.

#### Cytokine secretion assays and intracellular staining

MDSCs were cultured at a density of 1 or 2 × 10<sup>5</sup> cells/well in the presence of 100 ng/mL LPS (SIGMA) for 24 h. The supernatants were collected, and the IL-10 production was measured using an ELISA kit (BD). In some of the experiments, the concentrations of IL-1β, IL-6, IL-13, GM-CSF, TGF-β, and TNF-α were measured by Luminex (Bio Rad).

#### Killing assay *in vitro*

NK cells were prepared from spleen and liver of Rag1<sup>-/-</sup> mice and stimulated with 10 ng/mL IL-12 and 50 ng/mL IL-18 for 24 h. PKH-26-labeled spleen cells of EL4-bearing mice were cocultured with activated NK cells at an E:T ratio of 20. Four hours later, the spleen cells were stained with anti-Gr-1-PerCP/Cy5.5, CD11b-PE/Cy7, Ly6C-FITC, Ly6G-PB, and To-PRO3 immediately prior to their analysis to identify dead cells. The spontaneous target cell death (SD) was determined by the labeling of the cells that were cultured alone with PKH-26. As a positive control for total cytotoxicity (TD), the labeled target cells were permeabilized with BD Cytotfix/Cytoperm reagent (BD Pharmingen). The specific lysis was calculated using the following formula: (Sample-SD/TD-SD) × 100.

#### Quantitative PCR assay

To evaluate the gene expression profile of human MDSC, PBMCs were stimulated with 100 ng/mL LPS overnight. Each subset of MDSCs was then sorted by an Aria sorter. The FACS-sorted MDSCs were directly subjected to cDNA synthesis and pre-amplification without RNA purification using a CellsDirect One-Step qRT-PCR kit (Invitrogen) with a mixture of pooled gene-specific primers (0.2 μM each). After 18 cycles of pre-amplification (each cycle consisted of 95°C for 30 sec and 60°C for 4 min), an aliquot was used as the template for quantitative PCR using FastStart Universal Probe Master (Roche), a gene-

specific forward and reverse primer pair as below, and the corresponding FAM-labeled hydrolysis probe (Universal Probe Library Set, Roche). Quantitative PCR was performed on a StepOne Plus instrument (Applied Biosystems). The gene expression was measured by the ΔΔC<sub>T</sub> method using the expression of GAPDH as the internal control. The primers used in these experiments were purchased from Invitrogen, and the sequences were the following: IL-6 forward, 5'-caggagcccagctatgaact-3', and reverse, 5'-gaaggcagcaggaacac-3'; IL-10 forward, 5'-tgcttcagcagagtgaaga-3', and reverse, 5'-gcttggaaccaggttaa-3'; TGF-β forward, 5'-actactacgccaaggaggtcac-3', and reverse, 5'-tgcttgaaactgtcatagatttcg-3'; VEGF forward, 5'-gcagcttgagtaaagaacg-3', and reverse, 5'-ggttcccgaaccctgag-3'; and GAPDH forward, 5'-agccacatcgctcagacac-3', and reverse, 5'-gcccaatcagcaaatcc-3'.

#### Statistical analysis

The survival curves of the treatment groups were plotted using the Kaplan–Meier estimates and compared through long-rank analysis. The Mann–Whitney U-test was used for the statistical analysis of the remaining data. *p* < 0.05 was considered statistically significant.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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#### Supplemental Material

Supplemental data for this article can be accessed on the publisher's website.

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