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## Guanidinium-Rich, Glycerol-Derived Oligocarbonates: A New Class of Cell-Penetrating Molecular Transporters That Complex, Deliver, and Release siRNA

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## Abstract



A highly versatile and step-economical route to a new class of guanidinium-rich molecular transporters and evaluation of their ability to complex, deliver, and release siRNA are described. These new drug/probe delivery systems are prepared in only two steps, irrespective of length or composition, using an organocatalytic ring-opening co-oligomerization of glycerol-derived cyclic carbonate monomers incorporating either protected guanidine or lipid side chains. The resultant amphipathic co-oligomers are highly effective vehicles for siRNA delivery, providing an excellent level of target protein suppression (>85%). These new oligocarbonates are nontoxic at levels required for cell penetration and can be tuned for particle size. Relative to the previously reported methyl(trimethylene)carbonate (MTC) scaffold, the ether linkage at C2 in the new transporters markedly enhances the stability of the siRNA/co-oligomer complexes. Both hybrid co-oligomers, containing a mixture of glycerol- and MTC-derived monomers, and co-oligomers containing only glycerol monomers are found to provide tunable control over siRNA complex stability. On the basis of a glycerol and CO<sub>2</sub> backbone, these new co-oligomers represent a rapidly tunable and biocompatible siRNA delivery system that is highly effective in suppressing target protein synthesis.

## Keywords

guanidinium-rich molecular transporters; siRNA delivery; organocatalytic ring-opening oligomerization; oligocarbonates; 1,3-glycerol carbonate

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## Introduction

Precise control over gene expression is invaluable in basic research and pathway elucidation and is recognized for its immense potential in the treatment of human disease.<sup>1–3</sup> The ability of small interfering RNA (siRNA) to engage the RNA interference (RNAi) pathway and induce post-transcriptional gene silencing through the suppression of protein synthesis in a sequence-specific manner allows for potent and selective inhibition of expression for nearly any gene of interest.<sup>4</sup> While the use of siRNA to suppress protein synthesis offers a powerful and quickly implemented alternative to the development of small molecule protein inhibitors, clinical application of this technology has been hampered principally by a single major problem: delivery of siRNA across cell membranes.<sup>2,5–8</sup> siRNA is double-stranded and generally composed of 19–23 base pairs, with two overhanging nucleotides at the 3' ends. Its size, susceptibility to enzymatic degradation, and hydrophilic, polyanionic character collectively prevent its diffusion across nonpolar cellular membranes, as is required to engage the cytosolic machinery of the RNAi pathway.<sup>9</sup>

As part of our work on drug delivery systems, we previously showed that the ability of the HIV-Tat 9-mer, a highly polar and water-soluble polycation, to enter cells is determined by its arginine content, more specifically, by the number and spatial array of its guanidinium groups.<sup>10</sup> We and others have since shown that guanidinium-rich transporters enable or enhance the uptake of small molecules,<sup>11,12</sup> probes,<sup>13,14</sup> peptides,<sup>15,16</sup> proteins,<sup>17–19</sup> siRNA,<sup>20–22</sup> and plasmids<sup>23,24</sup> into cells.<sup>25–29</sup> They also facilitate passage of agents across skin, buccal, lung, brain, and even cell wall (algal<sup>30</sup>) barriers. They can be targeted<sup>31,32</sup> and also used to overcome export pump-based resistance, a major cause of chemotherapy failure.<sup>33,34</sup>

Since our initial studies, homooligomers of arginine have been synthesized on GMP scale and evaluated in the clinic for dermatological applications.<sup>11</sup> However, because the number of steps required for the synthesis of oligoarginines is determined by their length, typically requiring 16 steps to prepare an octamer via solid-phase synthesis or nine steps using a segment doubling strategy, certain research and clinical applications have been hampered by cost and time concerns.<sup>35</sup> To address these problems and thereby more quickly access and screen transporter compositions for optimal cellular uptake, we reported in 2009 a two-step, metal-free living oligomerization that enables rapid access to cell-penetrating, guanidiniumrich oligocarbonates based on a methyl(trimethylene)carbonate (MTC) scaffold (Figure 1).<sup>36</sup> This new class of rapidly accessible molecular transporters was shown, using a real-time living cell uptake quantification procedure, to efficiently deliver fluorescent and bioluminescent cargos into cells.<sup>13,36,37</sup> We and others have further advanced oligomerization strategies for synthesizing guanidinium-rich molecular transporters and have shown their use for both covalent and noncovalent cargo association and delivery.<sup>38-42</sup> More recently, we reported the first amphipathic oligocarbonate systems derived from oligomerization of lipid and guanidinylated MTC monomers that can noncovalently complex, deliver, and release siRNA in vitro with over 85% silencing efficiencies.<sup>21</sup>

This current study was directed at determining whether the siRNA silencing efficiency of oligocarbonate delivery systems could be retained while enhancing their biocompatibility and stability. Toward these ends, a new class of oligocarbonates derived from functionalized 1.3-glycerol carbonate monomers was designed. Glycerol-based polymers have attracted interest ranging from pharmaceutical to industrial applications.<sup>43–46</sup> In the context of biomedical applications, the ubiquity of glycerol in living systems suggests that glycerolbased materials should have excellent biocompatibility.<sup>47</sup> To create an siRNA delivery system, we have functionalized 1,3-glycerol carbonate monomers with guanidine- or lipidcontaining side chains to produce, upon ring-opening oligomerization and deprotection, amphipathic co-oligomers that noncovalently complex and deliver siRNA into cells (Figure 1). We hypothesized that this change in transporter structure, particularly the C2-ether linkage of the guanidine and lipid side chains, would enhance the stability of siRNAcontaining complexes relative to our previously reported MTC scaffold while retaining functional delivery. Indeed, here we show that these glycerol-derived oligocarbonates effectively complex, deliver, and release siRNA in cells, with over 85% suppression of target protein production in some cases. Moreover, through selective incorporation of appropriately functionalized monomers derived from either glycerol or MTC, exquisite control over physical properties, such as the half-life of the siRNA/co-oligomer complexes, is achieved while maintaining both function and cell viability. This ability to control by design the physical properties of these noncovalent complexes could be leveraged for different therapeutic applications of oligonucleotide delivery. Our studies on this new siRNA delivery system are described herein.

## **Experimental Section**

#### Materials

Chemical reagents were purchased from Sigma-Aldrich and were used as received unless otherwise indicated. A lithium naphthalenide solution,<sup>48</sup> 1-(3,5-bistrifluoromethylphenyl)-3-cyclohexyl-thiourea,<sup>49</sup> MTC-guanidine monomer,<sup>36</sup> and MTCdodecyl monomer<sup>21</sup> were all prepared according to literature procedures. siRNAs were synthesized by Thermo Fisher Scientific, Dharmacon Products. CBL3<sup>50</sup> and K6a<sup>51</sup> siRNA sequences have been previously reported. Silencer FAM-labeled siRNA was purchased from Life Technologies. Regenerated cellulose dialysis membranes (Spectra/Por 6 Standard RC; MWCO 1000) were purchased from Spectrum Laboratories, Inc. PBS buffer was prepared from RNase-free 10× PBS solution (Fisher Scientific). Dulbecco's modified Eagle's medium (DMEM) was purchased from Invitrogen and supplemented with 10% FBS and 1% penicillin/streptomycin. Lipofectamine 2000 was purchased from Life Technologies. 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) was purchased from Fluka.

#### Instrumentation

<sup>1</sup>H NMR and <sup>13</sup>C NMR were recorded on a Varian Inova 500 (<sup>1</sup>H at 500 MHz, <sup>13</sup>C at 125 MHz) or Varian Inova 600 (<sup>1</sup>H at 600 MHz, <sup>13</sup>C at 150 MHz) spectrometer. Infrared spectra were measured on a PerkinElmer 1600 Series Fourier transform spectrometer (FTIR). High-resolution mass spectra (HRMS) were obtained from the Vincent Coates Foundation Mass Spectrometry Laboratory at Stanford University. Gel permeation chromatography (GPC)

was performed with a Viscotek S3580 refractive index detector and Viscotek GPCmax autosampler. The system was calibrated using monodisperse polystyrene standards (Polymer Laboratories). Particle size and zeta potential were measured by dynamic light scattering on a Malvern Zetasizer Nano ZS90. Flow cytometry analysis was performed on a BD LSRII FACS Analyzer (LSRII, LSRII.UV, Stanford University Shared FACS Facility).

#### Synthesis of Glycerol-Derived, C2-Ether Monomers

Glycerol-derived monomers **3** and **6** were both prepared from commercially available (Aldrich) 1,3-dibenzyloxy-2-propanol (Scheme 1). Dodecyl monomer 3 was prepared by alkylation of protected glycerol **1**, Pd-mediated hydrogenation of the benzyl ethers, and phosgenation. The synthesis of guanidine monomer **6** began with conjugate addition of 1 into acrylonitrile<sup>52</sup> to provide nitrile **4** in near-quantitative yield. Cobalt(II)-assisted NaBH<sub>4</sub> reduction<sup>53</sup> of nitrile **4** followed by guanidinylation afforded acyclic protected guanidine **5** in 75% yield over two steps. Deprotection of the benzyl ethers with lithium naphthalenide afforded the desired diol, which was then converted to the cyclic carbonate with triphosgene, generating guanidine monomer **6** in 54% overall yield over 5 steps.

#### Synthesis of Glycerol-Derived Amphipathic Oligocar-bonates

Amphipathic co-oligomers **10–13** were synthesized using an organocatalytic oligomerization previously used to make MTC oligomers (Scheme 2).<sup>21,36,54</sup> This method relies on selective hydrogen-bond mediated activation of the cyclic carbonate monomers with thiourea catalyst 7 in conjunction with a DBU co-catalyst to facilitate reaction with an alcohol initiator. The acyclic carbonate products do not undergo similar activation.<sup>55</sup> The general method for the preparation of block co-oligomers **10–13** is as follows: a solution of lipidated monomer (3 or 8<sup>21</sup>) in methylene chloride was added to a vial charged with alcohol initiator (R–OH, see Scheme 2A) in the presence of both the thiourea catalyst 7 and catalytic DBU under a nitrogen atmosphere at room temperature. After 75 min, guanidine-protected monomer (**6** or **9**<sup>21</sup>) was added for an additional 75 min, and then the reaction was quenched with 10 mg of benzoic acid. The reaction mixture was dialyzed (MWCO 1000) against methanol for 20 h total; the methanol solution was changed after the first 6 h. Global deprotection of the guanidine functionality with trifluoroacetic acid (TFA) yielded the amphipathic carbonate co-oligomers **10–13** (Scheme 2). The degree of polymerization (DP) was determined by end-group analysis using <sup>1</sup>H NMR.

#### **Gel Stability Assay**

Agarose gels (2% w/v) were prepared with 25  $\mu$ L of ethidium bromide stock solution (10 mg/mL), cast, and allowed to set for 1 h. siRNA/co-oligomer solutions were made in 1× PBS at a constant ± charge ratio of 4.77:1 by mixing varying amounts of PBS (5.2–6.8  $\mu$ L) and co-oligomer solution (1.25 mM, 1.2–2.8  $\mu$ L) with K6a siRNA (2.0  $\mu$ L of a 25  $\mu$ M stock in RNase-free PBS pH 7.4). This charge ratio was selected based on previous optimization studies.<sup>21</sup> The siRNA/co-oligomer solutions were incubated at room temperature for 30 min and then transferred to a heating block and incubated at 37 °C for varying amounts of time (0–96 h) before being loaded onto the gel. TAE buffer was added to the gel until covered (~1 L), and 2.0  $\mu$ L of a 50:50 glycerol/H<sub>2</sub>O solution was added to each sample before

loading onto the gel. Twenty-five microliters of additional ethidium bromide stock was added to the TAE buffer, and the gel was run at 106 V for 1 h, after which band migrations were visualized with a UV illuminator. Gel band intensities were analyzed by ImageJ software.

#### Cell Lines

Untransfected immortalized human keratinocyte (HaCaT) cells and dual-fluorescent reporter HaCaT cells, transduced with two lentiviral vectors containing the fluorescent proteins tdTomato and EGFP, were used.<sup>21</sup> The tdTomato vector contained a Luciferase2 cDNA fused upstream of tdTomato with the CBL3 target site cloned into the 3' UTR under the ubiquitin C promoter. HaCaT cells were maintained in DMEM at 37 °C in a 5%  $CO_2$  atmosphere.

#### **Flow Cytometry**

tdTomato/EGFP expressing HaCaT cells were seeded at 20 000 cells per well and cultured in a 24-well plate for 24 h at 37 °C. siRNA/co-oligomer complexes were formed at a  $\pm$ charge ratio of 4.77:1 and were prepared by mixing various amounts of co-oligomer from a 1.25 mM stock solution (3.0–7.0  $\mu$ L in RNase-free PBS pH 7.4) with CBL3 siRNA (5.0  $\mu$ L of a 25  $\mu$ M stock in RNase-free PBS pH 7.4) and RNase-free PBS pH 7.4 (50.5–54.5  $\mu$ L). The complexes were allowed to form at room temperature for 30 min. The Lipofectamine 2000 control was prepared in OptiMEM according to the manufacturer's instructions. The cells were washed with ~0.5 mL of serum-free DMEM medium, and then 375  $\mu$ L of serumfree DMEM medium was added to the wells with untreated cells, 337.5  $\mu$ L to the wells treated with Lipofectamine 2000/siRNA, and 356.25  $\mu$ L was added to the wells treated with siRNA/co-oligomer complex Then, 37.5 µL Lipofectamine/siRNA and 18.75 µL siRNA/cooligomer complex were added to each of the appropriate wells; all conditions were performed in triplicate. The cells were incubated at 37 °C for 4 h, the medium was replaced with  $\sim 1.0$  mL of fresh serum-containing DMEM medium, and the cells incubated for 68 h at 37 °C. The medium was then removed, and the cells were washed with 1.0 mL of PBS. 0.4 mL EDTA trypsin was added, and the cells were incubated for 15 min at 37 °C. Next, 0.6 mL of serum-containing DMEM medium was added, and the contents of each well were transferred to a 15 mL centrifuge tube and centrifuged (1200 rpm for 5 min). The cells were collected and redispersed in 250  $\mu$ L of PBS, transferred to FACS tubes, and read on a flow cytometry analyzer. Results were analyzed using FlowJo software. The data presented are the mean fluorescent signals from 10 000 cells analyzed. The normalized percent tdTOM expression was calculated with the following formulate: (mean fluorescence tdTOM<sub>treated cells</sub>/mean fluorescence EGFP<sub>treated cells</sub>)/(mean fluorescence tdTOM<sub>untreated cells</sub>/mean fluorescence EGFP<sub>untreated cells</sub>)  $\times$  100.<sup>21</sup> The knockdown values are the average of a minimum of three different trial runs (n = 3). Error expressed as  $\pm$ standard deviation (SD).

For studies at reduced temperature, untransfected HaCat cells were seeded at 40 000 cells per well, and cells were incubated at 4 °C for 30 min before treatment with complex, Lipofectamine 2000, or siRNA alone. siRNA/co-oligomer complex was prepared by mixing co-oligomer **12** (7.0  $\mu$ L of a 1.25 mM stock solution in RNase-free PBS pH 7.4), Silencer

FAM siRNA (5.0  $\mu$ L of a 25  $\mu$ M stock in nuclease free water), and RNase-free PBS pH 7.4 (50.5  $\mu$ L). siRNA alone was prepared by adding Silencer FAM siRNA (5  $\mu$ L of a 25  $\mu$ M stock in nuclease-free water) to RNase-free PBS pH 7.4 (57.5  $\mu$ L). All washes were done with ice-cold media. The cells were treated on ice and incubated for 30 min at 4 °C before analysis by flow cytometry. The fold-increase in fluorescence was calculated from the mean fluorescence of treated cells divided by the mean fluorescence of untreated cells. The fluorescence values are the average of three different trial runs. Error is expressed as ±SD.

#### **Cell Viability Assays**

HaCaT cells were seeded at 5000 cells per well and cultured in a 96-well plate for 24 h at 37 °C. siRNA/co-oligomer complexes were formed at a charge ratio of 4.77:1 and were prepared by mixing various amounts of co-oligomer from a 1.25 mM stock solution (0.96-2.24  $\mu$ L in RNase-free PBS pH 7.4) with K6a siRNA (1.6  $\mu$ L of a 25  $\mu$ M stock in RNasefree PBS pH 7.4) and RNase-free PBS pH 7.4 (16.16–17.44  $\mu$ L). The complexes were allowed to incubate at room temperature for 30 min. Two microliters of 10 mM colchicine was added to 1 mL of serum-free medium. Cells were washed with serum-free DMEM medium, and 100  $\mu$ L of serum-free DMEM medium was added to the untreated wells, 95  $\mu$ L of serum-free DMEM medium was added to the wells treated with siRNA/co-oligomer complex, and 150  $\mu$ L of serum-free media was added to wells treated with colchicine. Then, 5 µL of siRNA/co-oligomer or co-oligomer alone was added to each respective well and 200  $\mu$ L colchicine to one well, followed by a serial dilution of 50  $\mu$ L across 10 wells. The cells were incubated with the compounds for 4 h at 37 °C, and then the medium was removed and replaced with 150  $\mu$ L of fresh, serum-containing DMEM medium. The cells were incubated for a further 68 h. Viability was assayed by adding 10  $\mu$ L of MTT solution (5 mg/mL in DMEM medium). After 2 h of incubation at 37 °C, 100  $\mu$ L of solubilizing solution (10% Triton-X-100, 90% 0.1 N HCl in isopropanol) was added to each well, and colorimetry data was obtained on a plate reader. Percent viability was determined by dividing the average colorimetric value obtained for a treated sample by the average colorimetric value obtained for untreated cells. The values reported are the average of a minimum of three different trial runs  $(n \quad 3)$ . Error is expressed as  $\pm$  SD.

#### **Dynamic Light Scattering and Zeta Potential**

Dynamic light scattering (DLS) was used to determine the size of the siRNA/co-oligomer complexes. siRNA/co-oligomer solutions were made by mixing RNase-free PBS pH 7.4 (101–109  $\mu$ L), various amounts of co-oligomer from a 1.25 mM stock solution (6.0–14  $\mu$ L in RNase-free PBS pH 7.4), and 10  $\mu$ L of K6a siRNA (25  $\mu$ M stock in RNase-free PBS pH 7.4) to achieve charge ratios of 4.77:1. The solution was immediately transferred to a disposable clear plastic cuvette, and the size was measured. Size measurements were taken at the initial time (0 min), 30 min, and 60 min. The sizes reported are the *z*-averages based on the intensity measurements and are reported in diameter nm (dnm). The numbers reported are the average of three different trial runs. Error is expressed as ± SD. Zeta potential measurements were taken by diluting the siRNA/co-oligomer complexes formulated for DLS into 800  $\mu$ L of PBS pH 7.4, transferring to zeta cell (DTS1060), and measuring zeta potential. The values reported are the average of a minimum of three trial runs. Error is expressed as ± SD.

#### **Statistical Analysis**

All data are represented as the mean  $\pm$  standard deviation. Statistical differences between conditions were determined using a one-way ANOVA with a Newman–Keuls posthoc test, performed using GraphPad Prism software. To compare significance between two groups, a *t*-test was performed. For all statistical tests, a *p*-value less than 0.05 indicated significance.

## **Results and Discussion**

We have developed a new class of cell-penetrating, guanidinium-rich molecular transporters that are capable of noncovalently complexing, delivering, and releasing siRNA *in vitro*. These oligomeric transporters are derived from glycerol. While aliphatic polycarbonates from simple derivatives of 1,3-glycerol carbonate have been reported, <sup>56–61</sup> this study represents the first synthesis of guanidinium-rich, amphipathic, glycerol-derived 1,3-oligocarbonates and their first evaluation for use in siRNA delivery.

To evaluate the functional differences influenced by the C2-ether linkage of the glycerolderived oligocarbonates, the relative stability of the resulting siRNA/co-oligomer complexes was examined under biological assay conditions by gel electrophoresis (Figures 2 and S1). siRNA complexes of the previously reported oligomer  $14^{21}$  released siRNA with a half-life of 12 h, whereas those of the hybrid co-oligomer 12 had a release half-life of 16 h. In comparison, siRNA complexes formed with the C2-ether co-oligomers 10 and 11 displayed half-lives of greater than 96 h (Figures 2C and S1). Upon evaluating guanidinium homooligomers derived from glycerol, MTC, or a hybrid, it was found that their relative hydrolytic stabilities correlated with the stabilities of siRNA-containing complexes derived from oligomers of the same backbone composition (Table S2). This may indicate that the release of siRNA could be, at least in part, driven by a degradation mechanism. While determination of the mechanism(s) of release, i.e., oligocarbonate degradation, dissociation, or a combination of the two, is beyond the scope of this initial study, functional intracellular release of siRNA is nevertheless achieved. This finding, in turn, provides the basis for controlling cargo release rates. Despite significant differences in half-lives (hours vs days), the varying compositions of siRNA/co-oligomer complexes retain the ability to inhibit target protein production, thus providing the ability to control the lifetime of the electrostatic (noncovalent) complexes. The ability to tune the stability of the siRNA-encapsulating complex through selective incorporation of different cyclic carbonate monomers is an attractive attribute of this delivery system that could potentially be used to control the rate of release for different in vivo applications.

A dual fluorescent protein reporter assay was used to evaluate the ability of the amphipathic co-oligomers to deliver and release siRNA that targets expression of the red fluorescent protein, tdTOM, in human keratinocytes.<sup>21</sup> All of the co-oligomers tested were capable of delivering and releasing siRNA but exhibited varying efficacies at 100 nM siRNA concentrations (Figure 3). In a comparison study, the most effective MTC co-oligomer  $14^{21}$  outperformed the corresponding, glycerol-derived 10 in protein suppression over 72 h (p < 0.01). Significantly, however, the efficacies of hybrid constructs 12 and 13 were comparable to that of 14, exhibiting, in the case of 12, over 86% silencing of the target protein in cells. Exchange of the counterion from TFA to chloride minimally impacts protein suppression.

Formulation with 5% of a PEG-initiated amphipathic co-oligomer, a strategy that is known to decrease particle size and add stability, reduced the protein suppression, presumably due to the well-established shielding effect of PEG,<sup>62</sup> yet it still achieved protein suppression levels of over 60%. As expected, neither siRNA alone nor the delivery of a control siRNA (K6a) with oligomer **12** resulted in the suppression of tdTOM expression.

To explore the mechanism of cellular uptake of the siRNA/co-oligomer complexes, a fluorescently labeled control siRNA was delivered to nonfluorescent HaCaT cells using co-oligomer **12** at 4 °C (a condition that inhibits endosomal pathways),<sup>26</sup> and the relative mean fluorescence observed was compared to treatment at 37 °C (Figure 4). Endocytosis inhibition under these conditions resulted in a 57% reduction in mean fluorescence when compared to uptake at 37 °C (p < 0.01). This decrease suggests that endocytotic mechanisms are a main contributor to cellular uptake of the complexes, but other processes may also be operative.

The relative cytotoxicity of the glycerol-derived transporters was evaluated by analyzing the mitochondrial staining of MTT in treated cells relative to untreated cells. The co-oligomers displayed little to no cytotoxicity to HaCaT cells, either in treatment alone or when complexed with siRNA at concentrations of 100 nM siRNA (Figure 5). This is in agreement with the relative nontoxicity of the previously reported MTC co-oligomers, indicating that the increase in stability associated with the C2-ether linkage does not result in increased cell toxicity. Additionally, exchange of the counterion from TFA to chloride results in oligomers with virtually no cytotoxicity at the tested concentrations (cell viability 99% in some experiments).

Lastly, it was shown that the size of the siRNA/co-oligomer complexes can be tuned from aggregates to discrete particles with stable size profiles through formulation with a PEG-initiated amphipathic co-oligomer or through counterion exchange from TFA to chloride (Figures 6 and S2).

Importantly, these formulation conditions result in a significant reduction in particle size and an increase in the stability of that size profile over time, while minimally impacting protein suppression. As expected, the incorporation of 5 mol % PEG-initiated **15** also resulted in a decrease in surface charge, as measured by zeta potential (Table S1). The ability to tune the size and stability of the particles is important for certain in vivo applications in order to potentially take advantage of the enhanced permeation and retention (EPR) effect in tumor tissue and to achieve optimal clearance and circulation in an organism.<sup>63</sup>

The ability to tune function by controlling the initiator, size, stability, length, and composition of the oligomers in this study, and by extension other oligomers accessible through this two-step strategy, allows one to create a diverse library of nontoxic amphipathic co-oligomers with distinct but variable physical properties, which can be used to effectively complex, deliver, and release siRNA into cells (here, human keratinocytes).

## Conclusions

The safe and effective delivery of oligonucleotides such as siRNA remains a significant challenge in research and in the development of oligonucleotide therapeutics. The objective of the current work has been to design and evaluate new delivery systems, in this case, resulting in cell-penetrating, guanidinium-rich oligocarbonates that can be rapidly synthesized (two steps) and that noncovalently complex and deliver siRNA utilizing the abundant and biocompatible molecules, glycerol and CO<sub>2</sub>, as the oligomer backbone. The synthesis of functionalized glycerol 1,3-carbonates has been achieved, and the resulting C2-etherified cyclic monomers undergo organocatalytic ring-opening oligomerization to rapidly afford guanidinium-rich, amphipathic delivery vectors that effectively complex, deliver, and release siRNA into cells.

We have shown that siRNA/co-oligomer complexes with the C2-ether scaffold display prolonged stability relative to previously reported carbonate complexes, demonstrating the importance of the side chain linkage in the stability of guanidinium-rich oligocarbonates. This extended stability allows one to tune the half-life of the particles, and thus the rate at which siRNA is released, from hours to days by selectively incorporating either glycerol- or MTC-derived monomers in the transporter synthesis. This control could potentially be exploited in different therapeutic applications. Additionally, the glycerol-derived oligocarbonates were observed to be nontoxic at concentrations needed for delivery, despite this increase in stability. Furthermore, the glycerol-derived monomers in this work establish a foundation for the further exploration of transporters with highly biocompatible, nontoxic degradation products. Finally, the ability to mix and match on demand various glycerol and MTC cyclic carbonate monomers with control of oligomer length and stability provides the basis for the broader use of this strategy in research, potentially even in kit form.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Figure 1.

Development of step-economical routes to guanidinium-rich molecular transporters since 2000. In this work, glycerol and carbon dioxide are utilized as biocompatible building blocks for the synthesis of guanidinium-rich oligocarbonate molecular transporters for siRNA delivery.



#### Figure 2.

Assessment of the relative stability of siRNA/co-oligomer complexes formed with (A) MTC co-oligomer **14**, (B) hybrid glycerol–MTC co-oligomer **12**, or (C) glycerol co-oligomer **10**. Complexes were formulated in PBS, pH 7.4, at a  $\pm$  ratio of 4.77:1, incubated at room temperature for 30 min, and then placed in a 37 °C heat block for the indicated amount of time (in hours) before loading onto the gel. Reappearance of the siRNA band indicates release of siRNA from the complex; the time corresponding to first reappearance is denoted with an arrow.



## Figure 3.

Reduction of tdTOM fluorescence normalized to EGFP fluorescence in dual fluorescence reporter HaCaT cells by glycerol-derived, amphipathic co-oligomers, as measured by flow cytometry. All treatments were 100 nM with respect to siRNA. Particles formulated at  $4.77:1 \pm$  charge ratio. 12 Cl<sup>-</sup> denotes oligomer **12** after exchange of the TFA counterion to chloride. 5:95 15:12 represents a mixture containing 5 mol % PEG-initiated oligomer **15** and 95 mol % oligomer **12**.



## Figure 4.

Comparison of cellular uptake of a fluorescently labeled siRNA after 30 min incubation with HaCaT cells at 37 or 4 °C. Values reflect the fold-increase in fluorescence observed in treated cells relative to untreated cells. The 57% reduction in mean fluorescence for complexes treated at 4 °C indicates that endocytotic mechanisms of uptake for the siRNA/co-oligomer complexes are in effect.



### Figure 5.

Normalized viability of HaCaT cells treated with siRNA/co-oligomer complexes relative to untreated cells, as determined by MTT assay over 72 h. Complexes tested at concentrations of 100 nM with respect to siRNA. Particles formulated at  $4.77:1 \pm$  charge ratio.



## Figure 6.

DLS measurements of siRNA/co-oligomer complexes formed with **12** over time. Particle size and stability over time of the noncovalent complexes can be controlled by counterion exchange from TFA to chloride or with 5 mol % PEG-initiated **15** incorporation. Particles were formulated at  $4.77:1 \pm$  charge ratio.



Scheme 1. Synthesis of (A) Glycerol-Derived Dodecyl Monomer 3 and (B) Guanidinylated Glycerol-Derived Monomer  $6^a$ 

"Reagents and conditions: (A) (a) KO'Bu, 1-bromododecane, CH<sub>2</sub>Cl<sub>2</sub>, reflux 20 h, 58%; (b) H<sub>2</sub> (1 atm), Pd/C, EtOAc, 24 h, >99%; (c) triphosgene, pyridine, CH<sub>2</sub>Cl<sub>2</sub>, -78 °C to rt, 19 h, 70%. (B) (d) Triton B, acrylonitrile, CH<sub>2</sub>Cl<sub>2</sub>, rt, 18 h, >99%; (e) CoCl<sub>2</sub>·6H<sub>2</sub>O, NaBH<sub>4</sub>, MeOH, 0 °C, 1 h; (f) *N*,*N*'-Di-Boc-1*H*-pyrazole-1-carboxamidine, THF, 12 h, 75% over 2 steps; (g) lithium naphthalenide, THF, -25 °C, 3 h; (h) triphosgene, pyridine, CH<sub>2</sub>Cl<sub>2</sub>, -78 °C to rt, 3 h, 72% over 2 steps.





<sup>*a*</sup>(A) Synthesis by ring-opening oligomerization, followed by guanidine deprotection. Reagents and conditions: (a) (i) R–OH, 7 (5 mol %), DBU (5 mol %), lipidated monomer **3** or **8**, CH<sub>2</sub>Cl<sub>2</sub>, rt, (ii) guanidinylated monomer **6** or **9**; (b) TFA, CH<sub>2</sub>Cl<sub>2</sub>, rt; yields (over 2 steps): **10** = 45%, **11** = 48%, **12** = 75%, **13** = 34%. (B) Co-oligomers were synthesized with one of two possible lipidated monomers and guanidine monomers. (C) Structures (X, Y) and DP (n, m) of co-oligomers. <sup>b</sup>From ref 21.