



Published in final edited form as:

*Diagn Microbiol Infect Dis.* 2015 May ; 82(1): 65–69. doi:10.1016/j.diagmicrobio.2015.02.003.

## Activities of ceftazidime, ceftaroline and aztreonam alone and combined with avibactam against isogenic *Escherichia coli* strains expressing selected single $\beta$ -lactamases

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### Abstract

Avibactam is a novel  $\beta$ -lactamase inhibitor that restores the activity of otherwise hydrolyzed  $\beta$ -lactams against Gram-negative bacteria expressing different classes of serine  $\beta$ -lactamases. In the last decade,  $\beta$ -lactam-avibactam combinations were tested against a variety of clinical isolates expressing multiple commonly encountered  $\beta$ -lactamases. Here, we analyzed isogenic *Escherichia coli* strains expressing selected single  $\beta$ -lactamase genes that were not previously tested or were not characterized in an isogenic background. The activities of ceftazidime, ceftaroline and aztreonam alone and in combination with 4 mg/L of avibactam, as well as comparator agents, were assessed against an unique collection of isogenic strains of *E. coli* carrying selected extended-spectrum, inhibitor-resistant, and/or carbapenem-hydrolyzing *bla* genes. When combined with avibactam, ceftazidime, ceftaroline or aztreonam MICs were reduced for 91.4%, 80.0% and 80.0% of isolates, respectively. The data presented adds to our understanding of the

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microbiologic spectrum of these  $\beta$ -lactams with avibactam and serve as a reference for further studies.

## Keywords

$\beta$ -lactamase; avibactam; ESBL; carbapenemase; inhibitor-resistance

## 1. Introduction

The prevalence of extended-spectrum  $\beta$ -lactamases (ESBLs), AmpCs and KPC-carbapenemases among Gram-negative bacteria is increasing worldwide. As a result, the use of  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations such as piperacillin-tazobactam and “last-line”  $\beta$ -lactams such as carbapenems is challenged. These agents were formerly active against the majority of Gram-negative pathogens encountered in the clinic and were used empirically without much concern for resistance in the treatment of serious Gram-negative infections (Boucher, et al., 2009; Livermore, Warner, et al., 2011). Regrettably, this situation has changed in recent years, and a wide variety of these pathogens manifested resistance to piperacillin-tazobactam, expanded-spectrum cephalosporins, and carbapenems (Livermore, Warner, et al., 2011). The Gram-negative pathogens most associated with resistance to these antibiotics include *Klebsiella pneumoniae*, *Klebsiella oxytoca*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa* and *Enterobacter* spp. (Boucher, et al., 2009). A recent survey showed that 12.2% of Enterobacteriaceae isolated from 72 US hospitals harbored  $\beta$ -lactamases, with CTX-M (53.5%), SHV (25.1%), KPC (16.8%) and CMY (9.1%) accounting for the majority of these enzymes (Castanheira, Farrell, Krause, Jones, & Sader, 2014). To overcome the growing problem of microbial resistance, researchers and pharmaceutical companies are adopting a number of strategies, including the development of both new classes of agents and new  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations (Boucher, et al., 2013; Shlaes, 2013).

Avibactam is a novel non- $\beta$ -lactam  $\beta$ -lactamase inhibitor of serine  $\beta$ -lactamases developed to restore  $\beta$ -lactam efficacy (Drawz, Papp-Wallace, & Bonomo, 2014). Previous microbiological studies using clinical isolates expressing different combinations of  $\beta$ -lactamases showed that avibactam recovered the antibacterial activity of ceftazidime *in vitro* and *in vivo* against strains producing class A, class C (i.e., AmpC), and some class D enzymes (reviewed in (Drawz, et al., 2014). However, the interpretation of the minimum inhibitory concentration (MIC) data derived from a clinical isolate is difficult to assess as multiple resistance mechanisms can be present. Isolates may possess multiple different  $\beta$ -lactamases, but also non- $\beta$ -lactamase-mediated mechanisms such as changes in permeability or upregulation of efflux systems. Expression of individual  $\beta$ -lactamases in an isogenic *E. coli* background allows for the direct evaluation and comparison of the activities of  $\beta$ -lactams and  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations against a single  $\beta$ -lactamase. To this end, a unique testing panel of isogenic *E. coli* strains expressing selected class A, C, and D  $\beta$ -lactamases that were not previously tested or were not characterized in an isogenic background was designed to assess  $\beta$ -lactam-avibactam potency. The strains selected

included 17 that resulted in nonsusceptibility to piperacillin-tazobactam, and 5 with decreased susceptibility to meropenem.

The SHV variants selected include those with amino acid substitutions at positions 8, 43, 69, 164, 197, 187, 234 and 238, and are representative of the most common ESBL or inhibitor resistant (IR) SHV variants (e.g., SHV-2, -5, -7, -12 and -30) found in the US (Castanheira, et al., 2014). The TEM variants chosen include those with amino acid substitutions at positions 104, 164, 238 and 240, representative of the most common TEM ESBLs (e.g., TEM-10, -12 and -26) variants. Previously untested KPC variants (i.e., KPC-5, -6, -7, and -8) with substitutions at positions 49, 104, 240, and 274 were included. In addition, several AmpC (i.e., ADC-7, CMY-32, CMY-33, PDC-3, P99, and FOX-4) and two OXA variants (i.e., OXA-1 and OXA-24/40) were assayed; four of these clinically important AmpCs (FOX-4, CMY-32 and -33, and ADC-7) were never previously evaluated against ceftazidime-avibactam in an isogenic *E. coli* background.

Ceftazidime, ceftaroline (the active metabolite of ceftaroline fosamil) and aztreonam were selected for study with avibactam to assess the degree of inhibition of  $\beta$ -lactamases as these different partner  $\beta$ -lactams agents are in various stages of clinical development with avibactam (<http://www.clinicaltrials.gov>).

## 2. Materials and methods

### 2.1. Strains and Plasmids

Thirty-four isogenic *E. coli* recombinants carrying single selected  $\beta$ -lactamase genes were assembled. Cloning and/or origins of 25 of the *bla* genes have been previously described (Bethel, et al., 2006; Blazquez, Negri, Morosini, Gomez-Gomez, & Baquero, 1998; Drawz, et al., 2010; Drawz, Taracila, Caselli, Prati, & Bonomo, 2011; Endimiani, Doi, et al., 2010; Endimiani, Hujer, et al., 2010; Giakkoupi, et al., 2001; Giakkoupi, Tzelepi, Tassios, Legakis, & Tzouvelekis, 2000; Helfand, et al., 2003; A. M. Hujer, Hujer, Helfand, Anderson, & Bonomo, 2002; K. M. Hujer, et al., 2005; Mallo, et al., 2010; Nukaga, et al., 1995; Rice, et al., 2000; Sun, et al., 2001; Winkler, et al., 2013). The other 9 recombinants containing *bla*<sub>SHV-7</sub>, *bla*<sub>SHV-14</sub>, *bla*<sub>SHV-26</sub>, *bla*<sub>SHV-30</sub>, *bla*<sub>SHV-106</sub>, *bla*<sub>SHV-129</sub>, *bla*<sub>SHV-141</sub>, *bla*<sub>SHV-154</sub>, and *bla*<sub>SHV-161</sub> recombinants were produced for this study by conducting site-directed mutagenesis of the pBC SK(-) phagemid carrying *bla*<sub>SHV-1</sub> using the methods described by Hujer *et al.* (A. M. Hujer, et al., 2002).

### 2.2. Susceptibility testing

MICs were determined by broth microdilution using custom frozen panels (ThermoFisher Scientific, Cleveland, OH) according to Clinical Laboratory Standards Institute (CLSI) methods (Clinical and Laboratory Standards Institute, 2015a). MIC values were obtained in triplicate and modal values determined.  $\beta$ -Lactam agents tested (doubling dilution concentration range in mg/L) included ceftazidime (0.06–128), ceftaroline (0.03–64), aztreonam (0.06–64), meropenem (0.015–32) and piperacillin-tazobactam (0.06/4–128/4). Ceftazidime, ceftaroline and aztreonam were also tested at the same concentrations in the presence of a fixed concentration of 4 mg/L of avibactam. MICs were interpreted according to current CLSI breakpoints for these compounds in the absence of the inhibitor (Clinical

and Laboratory Standards Institute, 2015b). Avibactam and ceftaroline were provided by AstraZeneca as kind gifts.

### 3. Results

Modal MIC values of the agents tested are presented in Table 1, and MIC distributions of ceftazidime, ceftaroline and aztreonam alone and in the presence of a fixed concentration of 4 mg/L of avibactam are shown in Figure 1.

Of the strains included in this study, the four *bla*<sub>KPC</sub> and the *bla*<sub>OXA-24/40</sub> recombinants showed decreased susceptibility to meropenem (MICs 0.12–0.5 mg/L) (Table 1). Seventeen of the thirty-four strains (i.e., *E. coli* carrying *bla*<sub>SHV-7</sub>, *bla*<sub>SHV-1 S130G</sub>, *bla*<sub>SHV-14</sub>, *bla*<sub>SHV-26</sub>, *bla*<sub>SHV-49</sub>, *bla*<sub>SHV-102</sub>, *bla*<sub>SHV-120</sub>, *bla*<sub>SHV-161</sub>, *bla*<sub>TEM-17</sub>, *bla*<sub>TEM-191</sub>, *bla*<sub>KPC-7</sub>, *bla*<sub>KPC-8</sub>, and *bla*<sub>OXA-1</sub>) were resistant (MICs > 128 mg/L; n=13) or intermediate (MICs 32–64 mg/L; n=4) to piperacillin-tazobactam.

In the panel studied, we observed that of the strains expressing *bla*<sub>ESBLs</sub> chosen most demonstrated increased MICs against ceftazidime, ceftaroline, and aztreonam when compared to control strains. Similar results were seen with *bla*<sub>AmpC</sub> bearing strains. As expected all the *bla*<sub>KPC</sub> carrying strains were resistant to ceftazidime, ceftaroline and aztreonam. *bla*<sub>OXA-24/40</sub> and *bla*<sub>OXA-1</sub> producing strains were susceptible to ceftazidime and aztreonam, but MICs were elevated when the strains were tested against ceftaroline.

MICs of all recombinant strains with raised ceftazidime, ceftaroline or aztreonam MICs compared with the parent *E. coli* were lowered by the addition of 4 mg/L of avibactam (Table 1 and Figure 1). Sixteen of the thirty-four strains (i.e., *E. coli* producing *bla*<sub>SHV-7</sub>, *bla*<sub>SHV-8</sub>, *bla*<sub>SHV-1-G238S</sub>, -E240K, -R275L, and -N276D, *bla*<sub>SHV-102</sub>, *bla*<sub>SHV-154</sub>, *bla*<sub>TEM-10</sub>, *bla*<sub>TEM-15</sub>, *bla*<sub>TEM-26</sub>, *bla*<sub>KPC-5</sub>, *bla*<sub>KPC-6</sub>, *bla*<sub>KPC-7</sub>, *bla*<sub>KPC-8</sub>, *bla*<sub>ADC-7</sub>, *bla*<sub>CMY-32</sub>, *bla*<sub>CMY-33</sub>, and *bla*<sub>FOX-4</sub>) were resistant to ceftazidime (MIC range 16 to >256 mg/L). However, when combined with avibactam, ceftazidime MICs were lowered (range 0.25 to 4 mg/L). *bla*<sub>KPC-8</sub> expressed in *E. coli* DH10B was singular in that the MIC of ceftazidime-avibactam vs. this transformant was the highest (4 mg/L).

Twenty-nine of the thirty-four isolates (i.e., *E. coli* carrying *bla*<sub>SHV-2</sub>, *bla*<sub>SHV-7</sub>, *bla*<sub>SHV-8</sub>, *bla*<sub>SHV-14</sub>, *bla*<sub>SHV-26</sub>, *bla*<sub>SHV-30</sub>, *bla*<sub>SHV-84</sub>, *bla*<sub>SHV-102</sub>, *bla*<sub>SHV-106</sub>, *bla*<sub>SHV-120</sub>, *bla*<sub>SHV-1-G238S</sub>, -E240K, -R275L, and -N276D, *bla*<sub>SHV-154</sub>, *bla*<sub>SHV-161</sub>, *bla*<sub>TEM-10</sub>, *bla*<sub>TEM-15</sub>, *bla*<sub>TEM-17</sub>, *bla*<sub>TEM-19</sub>, *bla*<sub>TEM-26</sub>, *bla*<sub>KPC-5</sub>, *bla*<sub>KPC-6</sub>, *bla*<sub>KPC-7</sub>, *bla*<sub>KPC-8</sub>, *bla*<sub>ADC-7</sub>, *bla*<sub>CMY-32</sub>, *bla*<sub>CMY-33</sub>, *bla*<sub>p99</sub>, *bla*<sub>FOX-4</sub>, *bla*<sub>OXA-24/40</sub>, and *bla*<sub>OXA-1</sub>) were resistant to ceftaroline (MIC range 2 to >64 mg/L). When avibactam was added, the MICs decreased considerably (MIC range 0.06 to 0.5 mg/L).

Only nine isolates (i.e., *E. coli* carrying *bla*<sub>SHV-7</sub>, *bla*<sub>SHV-1-G238S</sub>, -E240K, -R275L, and -N276D, *bla*<sub>SHV-154</sub>, *bla*<sub>TEM-10</sub>, *bla*<sub>TEM-26</sub>, *bla*<sub>KPC-5</sub>, *bla*<sub>KPC-6</sub>, *bla*<sub>KPC-7</sub>, and *bla*<sub>KPC-8</sub>) were resistant to aztreonam (MIC range 16 to >64 mg/L), but when combined with avibactam all MICs were lowered considerably (MIC range 0.12 to 0.25 mg/L).

## 4. Discussion

Avibactam in combination with the selected  $\beta$ -lactams yielded lower MICs against all *E. coli* strains expressing selected single class A, C, or D  $\beta$ -lactamases that showed raised MICs of ceftazidime, ceftaroline, or aztreonam. This restored the antibacterial potency of these agents against strains expressing multiple variants of SHV and TEM ESBLs, previously untested class C  $\beta$ -lactamases, and uncommon KPC variants.

As absolute MIC values are affected not only by the hydrolytic activity of the  $\beta$ -lactamase, but also the level of expression, codon usage, protein stability, and extent of localization to the periplasm, use of isogenic recombinant strains minimized these variations. Thus the results reported here reflect both the hydrolytic activity of the  $\beta$ -lactamases (MICs  $\propto V_{\max}/K_m$ ) against the  $\beta$ -lactams studied, and inhibition of the  $\beta$ -lactamases by avibactam in a uniform background (Cantu & Palzkill, 1998).

Notable findings of this study include demonstration of activity of the  $\beta$ -lactam-avibactam combinations studied against piperacillin-tazobactam and meropenem nonsusceptible strains. However, although the activities of the  $\beta$ -lactamases and their inhibition by avibactam were demonstrated by testing in the isogenic background, these studies necessarily obscured any effect that might result from differences in cell physiology between strains, species and genera of bacteria. In this regard, we highlight that the combination with avibactam substantially shifts MICs of ceftazidime to lower values in studies of unselected clinical isolates (Flamm, Stone, Sader, Jones, & Nichols, 2014; Sader, Castanheira, Flamm, Farrell, & Jones, 2014; Wang, et al., 2014), implying that these other factors do not currently compromise that activity of avibactam in inhibiting  $\beta$ -lactamases in wild-type strains of multiple species. Interestingly, the *E. coli* strain producing KPC-8 (with amino acid substitutions of V240G and H274Y) presents an unexpected finding. Further studies are in progress to define the properties of this novel variant; we anticipate that the substitutions that are present enhance the  $\beta$ -lactamase's kinetic properties towards ceftazidime.

In summary, the  $\beta$ -lactam-avibactam combinations will potentially be a significant addition to the antibiotic armamentarium against pathogens expressing members of the classes of  $\beta$ -lactamases studied. Our study is unique in that we assay the activity of these agents in a uniform isogenic background. We also provide a reference collection for future studies.

Ceftazidime-avibactam restores ceftazidime potency against contemporary ceftazidime-resistant *Enterobacteriaceae* and *P. aeruginosa* (Flamm, et al., 2014), while aztreonam-avibactam is active against metallo- $\beta$ -lactamase producers (Livermore, Mushtaq, et al., 2011). The ability of ceftaroline-avibactam to inhibit the growth of cephalosporin-resistant Gram-negative bacteria as well as methicillin-resistant *Staphylococcus aureus* makes ceftaroline-avibactam a potentially useful broad-spectrum combination for empirical treatment of infections and directed treatment of resistant infections (Kanafani & Corey, 2009).

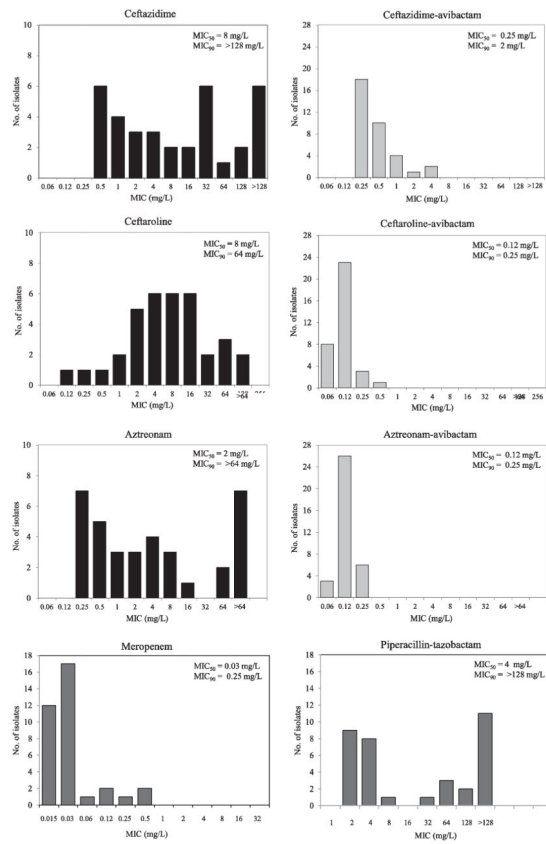
## Acknowledgments

This work was supported by a research grant from AstraZeneca Pharmaceuticals and Actavis (formerly Forest Laboratories) to K.P.W. and R.A.B. M.L.W. has been supported by Medical Scientist Training Program Training Grant, Case Western Reserve University-T32 GM07250. K.P.W. is supported by funds and/or facilities provided by the Cleveland Department of Veterans Affairs and a Veterans Affairs Career Development Award. R.A.B. is supported in part by funds and/or facilities provided by the Cleveland Department of Veterans Affairs, the Department of Veterans Affairs Merit Review Program 1I01BX001974, the Veterans Integrated Service Network 10 Geriatric Research, Education, and Clinical Center (VISN 10 GRECC), and the National Institute of Allergy and Infectious Diseases of the National Institutes of Health under Award Numbers R01 AI100560 and R01 AI063517. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. RT and WWN are employees of AstraZeneca.

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**Figure 1.** MICs of meropenem, piperacillin-tazobactam, and ceftazidime, ceftaroline and aztreonam alone and combined with avibactam against the *E. coli* recombinants studied.



Table 1

Activity of  $\beta$ -lactams alone and in combination with avibactam

Class	$\beta$ -lactamase	MIC in mg/L of $\beta$ -lactams alone and in combination with avibactam at a fixed concentration of 4 mg/L										Ratio of MIC of $\beta$ -lactam alone to $\beta$ -lactam/avibactam combination <sup>b</sup>		
		Meropenem <sup>d</sup>	Piperacillin-tazobactam <sup>a</sup>	Ceftazidime <sup>a</sup>	Ceftazidime-avibactam <sup>b</sup>	Ceftaroline <sup>a</sup>	Ceftaroline-avibactam <sup>b</sup>	Aztreonam <sup>a</sup>	Aztreonam-avibactam <sup>b</sup>	Ceftazidime	Ceftaroline	Aztreonam		
	None <sup>c</sup>	0.015-0.03	1-2	0.25	0.12	0.06-0.12	0.03	0.06	0.06-0.12	0.06	2	2	1	
A	SHV-2	0.03	4	4	0.25	8	0.12	0.12	4	0.12	16	64	32	
	SHV-7	0.03	> 128	> 128	1	64	0.12	0.12	> 64	0.25	>128	512	>256	
	SHV-8	0.03	2	32	0.5	8	0.12	0.12	1	0.12	64	64	8	
	SHV-1 S130G	0.015	> 128	0.5	0.25	0.12	0.12	0.12	0.25	0.12	2	1	2	
	SHV-14	0.015	> 128	1	0.25	2	0.06	0.06	0.25	0.12	4	32	2	
	SHV-26	0.03	> 128	2	0.5	4	0.12	0.12	0.5	0.12	4	32	4	
	SHV-30	0.015	2	4	0.25	16	0.12	0.12	2	0.06	16	128	32	
	SHV-49	0.03	> 128	0.5	0.25	0.25	0.12	0.12	0.25	0.06	2	2	4	
	SHV-84	0.015	4	0.5	0.25	2	0.12	0.12	0.25	0.25	2	16	1	
	SHV-102	0.03	> 128	16	1	> 64	0.12	0.12	8	0.12	16	>512	64	
	SHV-106	0.015	8	8	0.25	16	0.06	0.06	4	0.12	32	256	32	
	SHV-120	0.03	> 128	8	0.5	4	0.12	0.12	1	0.12	16	32	8	
	SHV-1 G238S, E240K, R275L, & N276D	0.015	2	64	0.25	2	0.06	0.06	16	0.12	256	32	128	
	SHV-141	0.015	2	1	0.25	1	0.06	0.06	0.5	0.12	4	16	4	
	SHV-154	0.03	4	> 128	0.5	64	0.12	0.12	> 64	0.25	>256	512	>256	
	SHV-161	0.03	> 128	2	0.25	4	0.12	0.12	0.25	0.12	8	32	2	
	TEM-10	0.03	4	> 128	2	32	0.12	0.12	> 64	0.25	>64	256	>256	
	TEM-15	0.03	2	16	0.5	8	0.12	0.12	8	0.12	32	64	64	
	TEM-17	0.015	> 128	2	0.25	8	0.06	0.06	1	0.12	8	128	8	
	TEM-19	0.03	4	0.5	0.25	2	0.12	0.12	0.25	0.12	2	16	2	
	TEM-26	0.06	2	> 128	1	64	0.06	0.06	64	0.12	>128	1024	512	
	TEM-191	0.03	> 128	1	0.5	1	0.12	0.12	0.5	0.25	2	8	2	

Class	MIC in mg/L of $\beta$ -lactams alone and in combination with avibactam at a fixed concentration of 4 mg/L										Ratio of MIC of $\beta$ -lactam alone to $\beta$ -lactam/avibactam combination <sup>b</sup>			
	Meropenem <sup>a</sup>	Piperacillin-tazobactam <sup>a</sup>	Ceftazidime <sup>a</sup>	Ceftazidime-avibactam <sup>b</sup>	Ceftaroline <sup>a</sup>	Ceftaroline-avibactam <sup>b</sup>	Aztreonam <sup>a</sup>	Aztreonam-avibactam <sup>b</sup>	Ceftazidime	Ceftaroline	Aztreonam			
	0.12	64	32	0.5	8	0.12	> 64	0.12	64	>512				
KPC-5	0.12	64	32	0.5	16	0.25	> 64	0.12	64	>512				
KPC-6	0.5	128	32	0.25	16	0.12	64	0.12	128	512				
KPC-7	0.5	128	> 128	4	32	0.25	> 64	0.12	128	>512				
KPC-8	0.015	32	32	0.5	16	0.12	4	0.12	128	32				
ADC-7	0.015	2	32	0.25	4	0.06	8	0.12	64	64				
CMY-32	0.015	4	128	1	4	0.12	4	0.12	32	32				
CMY-33	0.03	4	1	0.25	0.5	0.12	0.5	0.12	4	4				
PDC-3	0.03	2	4	0.25	4	0.12	2	0.12	16	16				
P99	0.03	4	128	0.5	16	0.06	2	0.06	256	32				
FOX-4	0.25	64	0.5	0.25	2	0.5	0.25	0.12	4	2				
OXA-24/40	0.03	> 128	0.5	0.25	8	0.25	0.5	0.12	2	4				
OXA-1														

<sup>a</sup> Grey shaded values indicate modal MIC values 4-fold higher in *E. coli*  $\beta$ -lactamase containing recombinants than modal MIC values of the parent *E. coli*.

<sup>b</sup> Bolded values indicate 4-fold decrease in modal MIC values of  $\beta$ -lactam-avibactam combinations compared to  $\beta$ -lactams alone or 4-fold ratio of MIC of  $\beta$ -lactam alone to MIC of  $\beta$ -lactam/avibactam combination

<sup>c</sup> Parent *E. coli*