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Molecular Pathways: A Novel Approach to Targeting Hypoxia and Improving Radiotherapy Efficacy via Reduction in Oxygen Demand

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Abstract

Tumor hypoxia presents a unique therapeutic challenge in the treatment of solid malignancies. Its presence has been established to be a poor prognostic factor in multiple cancer types, and past hypoxia-directed approaches have yielded generally disappointing results. Previous approaches have centered on either increasing oxygen delivery or administering agents that preferentially radiosensitize or kill hypoxic cells. However, a novel and potentially more effective method may be to increase therapeutic benefit by decreasing tumor oxygen consumption via agents such as metformin or nelfinavir, in a patient population that is enriched for tumor hypoxia. This promising approach is currently being investigated in clinical trials and the subject of this article.

Background

Hypoxia/anoxia is a well-characterized component of the solid tumor microenvironment. In their comprehensive review of studies in the literature examining tumor hypoxia using polarographic needle electrode systems, Vaupel and colleagues found that the overall median pO₂ levels in malignant brain tumors and cancers of the uterine cervix, head and neck and breast was 10 mm Hg with the hypoxic fraction (percentage of tumor with pO₂ < 2.5 mm Hg) approximately 20–30% (1). In general there is not a correlation between tumor diameter and median pO₂ or hypoxic fraction. For many tumors there is spatial heterogeneity of hypoxia, i.e. there is no characteristic topological distribution of pO₂ within tumors (periphery versus center). For example, Evans and colleagues showed that there was substantial intra- and intertumoral hypoxic heterogeneity within human grade IV glial neoplasms (2). The majority of cells within these tumors had levels of hypoxia that were mild to moderate (defined as 10% to 0.5% pO₂) rather than severe (approximately 0.1% pO₂).

Even if only a minority of cells within a tumor contain are hypoxic, this can have a negative effect on outcome (3). Hypoxia is associated with chemoresistance, increased genomic

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instability, and the propensity for invasion and metastasis (4). Hypoxic cells are more resistant to radiotherapy due to the fact that O₂ must be present for optimal fixation of DNA damage induced by ionizing radiation (5). Hypoxic cells are relatively resistant to radiotherapy, requiring 2.5–3 times the radiation dose as normoxic cells to result in the same level of cell killing (5). Gray and colleagues found this to be the case in a landmark study examining a wide range of cells and tissues (6). Furthermore, these authors showed that it was the presence of oxygen during the actual time of irradiation that resulted in sensitivity. A free radical is the primary product induced by ionizing radiation that leads to DNA damage/lethality. When oxygen, which is highly electron-affinic, is present, it reacts rapidly with the free radical, hence “fixing” the damage. Without oxygen, this free radical damage can be reversed by hydrogen donation from nonprotein sulfhydryls in the cell. For these multiple reasons, hypoxia correlates with poor prognosis in a variety of cancers, including carcinoma of the cervix (7), head and neck (8, 9), and sarcomas (10). In patients with head and neck squamous cell carcinomas (HNSCC) treated with definitive radiotherapy, hypoxia has been shown to adversely affect not only local-regional control but also survival (3).

For the reasons discussed above, there have been multiple approaches to hypoxia-directed therapy in patients receiving radiotherapy. One strategy to counter hypoxia is to reverse it by increasing oxygen delivery using hyperbaric oxygen (HBO). Although some trials demonstrated a modest benefit in cancers of the head and neck and cervix (11–13), other trials demonstrated no appreciable benefit (14, 15). An alternative approach is the use of hypoxic cell radiosensitizers, specifically nitroimidazoles, such as misonidazole and nimorazole. These drugs are electron affinic, undergoing bioreductive activation under hypoxic conditions leading to the creation of reactive intermediates that can form adducts with target molecules within the cells. For example, misonidazole reacts with intracellular glutathione (GSH) to form covalently bound conjugates. As GSH is an important free radical scavenger, conjugation/depletion of this antioxidant leads to increased DNA damage when cells are exposed to radiation. In this way nitroimidazoles preferentially radiosensitize hypoxic cells, since they do not undergo bioreduction under normoxic conditions. Hence, the use of nitroimidazoles should theoretically increase the therapeutic ratio of radiation. As discussed later, misonidazole can also be used to image hypoxia by positron emission tomography (PET) scanning when bound to radioactive fluoromisonidazole (¹⁸F-MISO). Multiple clinical studies using different nitroimidazoles in various cancer types have been performed, and again show mixed results, with modest benefit (16, 17), no benefit (18, 19), or even worse outcome (20).

Direct, comparative clinical evidence was provided by Overgaard who recently published a systematic review and meta-analysis of randomized trials with 4,805 patients with HNSCC treated with radiotherapy and some type of hypoxia-modifying therapy including HBO, nitroimidazole, or breathing carbogen or normobaric oxygen (21). The conclusion for this analysis was that hypoxic modification of radiotherapy in HNSCC did result in a significant improved therapeutic benefit, most clearly seen in loco-regional control (odds ratio 0.71, 95% CI 0.63–0.80; p<0.001) and disease-specific survival. The improvement in loco-regional control translated into an improvement in overall survival for 60% of patients.

Another approach to combat hypoxia is with hypoxic cytotoxins, such as Mitomycin C and tirapazamine, which are reduced intracellularly to form an active cytotoxic species in the presence of hypoxia. Trials of Mitomycin C in head and neck cancer have shown mixed results, with some reporting improvement in local control (22, 23), while others demonstrate no benefit (24, 25). A phase III trial (TROG 02.02, HeadSTART) of tirapazamine for advanced stage head and neck cancers did not show an overall benefit (26), but a subset analysis demonstrated a trend towards improved locoregional control (92% vs 81% at 2 years) favoring the tirapazamine arm in p16-negative (with p16 a surrogate for human papilloma virus (HPV)) patients with oropharyngeal cancer (27). The investigators also found that the patients who derived the greatest benefit from the addition of tirapazamine were those with hypoxic tumors as demonstrated on ^{18}F -MISO-PET imaging (28), suggesting that hypoxia-directed therapy can be beneficial, and that upfront patient selection via methods such as imaging, are of critical importance.

The clinical data reviewed above, particularly the Overgaard meta-analysis, suggest that there may be merit to hypoxia modification in patients treated with radiotherapy, although many individual trials have failed to show a clearcut improvement in loco-regional control or survival (21). Possible explanations for this may be the substantial dose-limiting toxicity associated with some of these agents (such as peripheral neuropathy with misonidazole) thus limiting their dosage in trials or the failure to enrich the study populations for hypoxic tumors, thus diluting the effect of hypoxia modification. The remainder of this review focuses on novel strategies for tackling the hypoxia problem in patients receiving radiotherapy, including drugs that may be better tolerated or imaging techniques that will better identify patients who might benefit from such therapy.

Clinical–Translational Advances

An alternative approach to attacking the problem of hypoxia is to address it on the demand side, i.e. to decrease O_2 consumption. Based on mathematical modeling, Secomb *et al.* predicted that even a 30% decrease in O_2 consumption would decrease the hypoxic fraction from 37% to 11% (29). This particular approach has not been as well explored as targeting the supply side or using agents that are preferentially toxic to hypoxic cells. There have been recent reports that describe clinically relevant agents that decrease O_2 consumption and could lead to improved radiation response, which are described below.

In pre-clinical models including spheroids, there are published data showing that inhibition of O_2 consumption using respiratory inhibitors can lead to increased killing by radiation (30). Similar findings have been made using drugs such as meta-iodobenzylguanidine (31) and arsenic trioxide (32). Arsenic trioxide has been postulated to decrease oxygen consumption via inhibition of the electron transport chain (33) (see Fig. 1). However, the toxicity of these agents *in vivo* has prevented their use in patients. On the other hand, metformin and rosiglitazone, which are used to treat type 2 diabetes, have been also shown to reduce O_2 consumption *in vitro* by inhibiting complex I in the mitochondrial respiratory chain (34) (Fig. 1). As shown in this figure, complex 1 is the first complex in the electron transport chain that resides in the mitochondrial inner membrane. In Complex 1, two electrons are removed from NADH and transferred to a lipid-soluble carrier,

ubiquinone. Complex 1 also translocates 4 protons across the membrane, thus producing a proton gradient. Zanella *et al.* recently showed that metformin increases oxygenation *in vivo* within tumor xenografts and improves radiotherapy response by delaying tumor regrowth of xenografts (35). This *in vivo* effect was not due to any change in the intrinsic (*in vitro*) radiation response of the tumor cells induced by metformin, so it was presumed to result from improved oxygenation. Furthermore, the authors went on to analyze clinical data to see whether metformin use during radiotherapy might be associated with better outcome. Indeed, they found that patients with localized prostate cancer who had been on metformin during their radiation had a reduction in biochemical relapse compared to those who had not been on the drug. While not proof that this was due to changes in oxygenation leading to better radiation effect, these data are consistent with this idea.

Storozhuk *et al.* found that the addition of metformin to radiotherapy led to delayed tumor regrowth when given to mice bearing A549 and H1299 lung adenocarcinoma xenografts (36). Simone and colleagues made similar observations in a mouse model, and in a clinical correlate, went on to show a dramatic decrease in local relapse in a subset of patients with stage III non-small cell lung cancer treated with chemoradiation who were taking metformin for diabetes compared to patients not taking the drug (37). Similar retrospective data analyses have suggested that metformin use is associated with improved treatment response following chemoradiation in patients treated for esophageal and rectal cancers (38, 39).

Drugs that target the PI3K Akt pathway also decrease tumor hypoxia (40, 41). In our own work, we have shown that the HIV protease inhibitor nelfinavir can decrease tumor hypoxia (42, 43). Nelfinavir has been shown to inhibit the PI3K/Akt pathway, although it probably does not do so directly (44). How does PI3K/Akt inhibition alter tumor oxygenation? There is some evidence (40, 41, 43) that these drugs may improve blood flow by “normalizing” vascular blood flow within tumors. Hence these drugs may address the problem on the supply side. However, there is also evidence that they may affect the demand side. Kelly *et al.* have shown that treatment of cells *in vitro* with inhibitors of the PI3K pathway including NVP-BEZ235 and NVP-BKM226, both inhibitors of PI3K/mTOR, results in decreased O₂ consumption (45). We have made similar observations using multiple drugs such as the Akt inhibitor GDC-0068 and the dual PI3K/mTOR inhibitors NVP-BGT226 and GDC-0098 (A. Maity; unpublished data). Pharmacologic or genetic inhibition of this pathway decreased the oxygen consumption rate (OCR) *in vitro* in SQ20B head and neck squamous cell carcinoma cells and other cell lines by 30–40%. Inhibition of this pathway also increased phosphorylation of the E1 α subunit of the pyruvate dehydrogenase (PDH complex of Ser293 in SQ20B cells) (Fig. 1). This phosphorylation inhibits activity of this critical gatekeeper of mitochondrial respiration, which catalyzes the conversion of pyruvate to acetyl coA which can then enter the Krebs cycle to start oxidative phosphorylation (OXPHOS). Hence, inhibition of the PDH complex would be predicted to decrease OXPHOS and reduce OCR and offers an explanation as to how the PI3K/Akt pathway affects O₂ metabolism, although we have not yet determined the exact steps connecting Akt to E1 α phosphorylation. As further evidence of a causal relationship, introduction of exogenous PDH-E1 α that contains serine to alanine mutations, which can no longer be regulated by phosphorylation, blunted the decrease in OCR seen with PI3K/mTOR

inhibition. We have also shown that nelfinavir also decreases *in vitro* OCR in a variety of cells. Studies are currently underway to determine mechanistically how this drug reduces OCR. Decreasing tumor hypoxia should increase *in vivo* radiation response. In fact, dual PI3K/mTOR inhibitors and nelfinavir have both been shown to delay tumor regrowth following radiation (41, 42, 44).

Other clinically useful agents have also been shown to decrease O₂ consumption by cells. Using electron paramagnetic resonance (EPR) oximetry, a proven method to obtain direct absolute measurement of oxygen in tissue, Crockart *et al.* found that the *in vivo* administration of commonly used non-steroidal anti-inflammatory drugs (NSAIDs) including diclofenac, indomethacin, and piroxicam caused a rapid reduction in hypoxia within murine liver tumors and fibrosarcomas (46). The administration of NSAIDs led to a decrease in tumor perfusion, so the reduction in hypoxia was not due to an increase in oxygen supply, but more likely primarily mediated by a decrease in mitochondrial respiration. The administration of NSAID led to an augmentation in tumor regrowth delay following a single 18 Gy fraction of radiation. Using EPR oximetry, Danhier *et al.* showed that the chemotherapeutic agent paclitaxel in a micelle formulation (M-PTX) dramatically reduced hypoxia within tumors grown in mice (47). Additional experiments showed that this was due to both an increase in blood flow as well as an inhibition of O₂ consumption. This dual effect led to synergistic, functional improvement when delivered with 10 Gy irradiation.

While these results are very interesting and suggestive that agents that improve tumor oxygenation can lead to improved radiation response, they are hardly definitive. First, these studies have been performed in mouse tumor models, which for obvious reasons may not reflect the situation in patients. Second, interpretation of these results is confounded by the fact that these drugs may also affect intrinsic radiosensitivity. Therefore, their *in vivo* effects when combined with radiation may not be exclusively due to altered tumor oxygenation. For example, metformin, which in the study cited above was not found to alter *in vitro* radiosensitization (35), has been shown by others to impair the repair of DNA damage following radiation *in vitro* (48) and lead to radiosensitization (36).

Current clinical trials

As discussed above, there is retrospective evidence that patients with prostate, lung, and gastrointestinal cancers taking metformin may have a better outcome following radiation therapy (35, 38, 39). There is currently a phase II trial open in the U.S. (NRG-LU001, NCT02186847; www.clinicaltrials.gov) that randomizes patients with stage III non-small cell lung cancer receiving chemoradiation to either receive metformin during radiation or not. A similar study (ALMERA, NCT02115464; www.clinicaltrials.gov) is currently recruiting patients in Canada. Metformin is also being investigated in the treatment of prostate cancer. A clinical trial (NCT01864096; www.clinicaltrials.gov) is currently being planned at Princess Margaret Cancer Center in which non-diabetic patients with early stage prostate cancer are given metformin as a lead-in prior to the initiation of definitive radiotherapy. Biopsies will be taken before metformin administration and then again prior to the start of radiotherapy, which will allow for assessment of biomarkers reporting on

metformin activity and tumor hypoxia (R. Bristow and M. Koritzinsky; personal communication).

While these studies will give a clearer answer as to whether the use of metformin will improve outcomes when administered with chemoradiation, it is difficult to conclude that the effect is secondary to changes in oxygenation. One way of directly studying this would be to assess hypoxia non-invasively in patients receiving metformin or other drugs that can alter tumor oxygenation. Hypoxia imaging, using agents such as radiolabeled nitroimidazoles, has been available for some time and is being slowly introduced into the clinical setting (49). Therefore, the means currently exist to treat patients with a given agent and determine radiographically whether their tumors become less hypoxic. At our institution, we are employing such an approach in an open phase II trial (NCT02207439; www.clinicaltrials.gov) using nelfinavir in combination with cisplatin and radiation for locally-advanced, human papilloma virus-negative, larynx cancer. The patient population for this trial is enriched for hypoxia (tobacco-induced, HPV-negative), with evidence that hypoxia modification is of benefit (27, 28). Patients undergo baseline hypoxia imaging (^{18}F -EF5 PET/CT), receive 2 weeks of a “lead-in” period of nelfinavir, undergo repeat ^{18}F -EF5 PET/CT, and then are treated with standard platinum-based chemoradiation with nelfinavir. We hypothesize that the patients demonstrating the greatest decrease in tumor hypoxia secondary to nelfinavir, as assessed by EF5-PET/CT scanning, will derive the greatest benefit.

Conclusions

Tumor hypoxia remains a significant issue in multiple cancers, with its presence associated with poor clinical outcomes. We believe that decreasing oxygen consumption to be a promising method in reversing hypoxia. Identifying, selecting and enriching populations with hypoxic tumors will be paramount in order to conduct clinical trials of novel agents that we hope and anticipate will improve outcomes for our patients.

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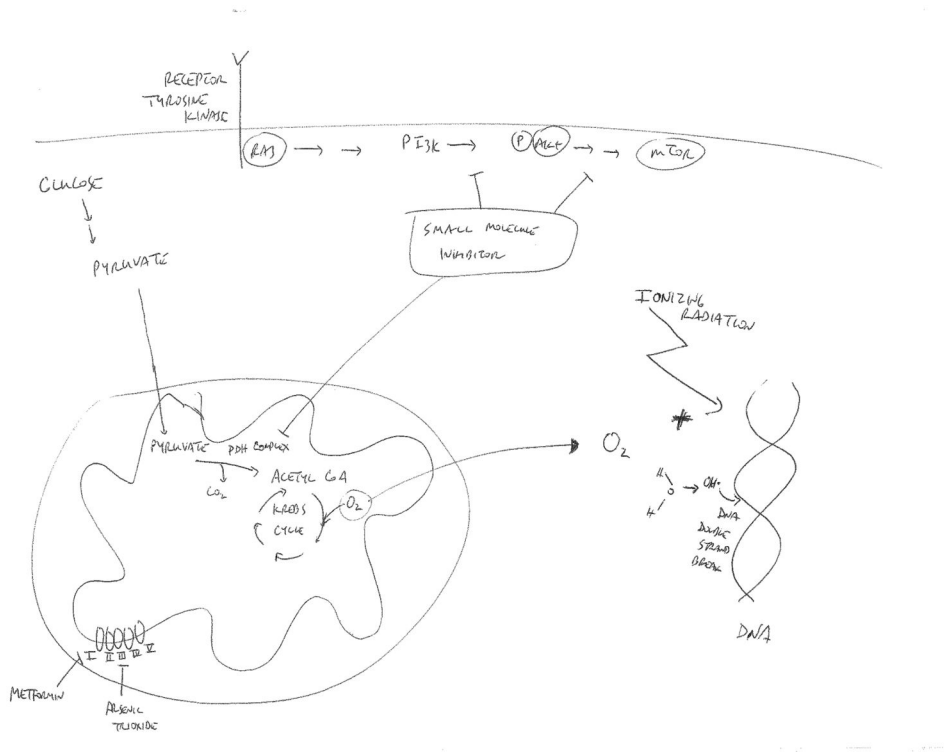


Figure 1.

The role of oxygen in radiation-induced DNA damage and agents that can reduce cellular oxygen consumption. Oxygen is required to elicit maximal DNA damage following ionizing radiation (primarily double strand breaks) through the generation of oxidative free radicals. Hence, hypoxic tumors are relatively resistant to cell killing in response to radiation. Drugs such as metformin and arsenic trioxide have been shown to reduce oxygen consumption, likely by inhibiting electron transport chain function. Inhibitors of the PI3K/AKT/mTOR signaling pathway have also been shown to decrease oxygen consumption, possibly by inhibiting the pyruvate dehydrogenase (PDH) complex. These drugs could be used to reduce tumor oxygen consumption and tumor hypoxia, thus potentially increasing radiosensitization.