

# Evolution of Gene Regulation during Transcription and Translation

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## Abstract

Understanding how gene regulation evolves is a key area in the current evolutionary field. Gene regulation occurs at various levels. Previous work on the evolution of gene regulation has largely focused on gene transcription. In this study, we used a recently developed ribosomal footprint profiling method to investigate how gene regulation evolves at both the transcription (mRNA abundance) and translation (ribosomal density) levels. By constructing a hybrid between *Saccharomyces cerevisiae* (Scer) and *Saccharomyces bayanus* (Sbay), which diverged ~20 Ma, and quantifying transcriptome and translome in both parental strains and their hybrid, we showed that translation is much more conserved than transcription, mostly due to the buffering effect of translational regulation for the transcriptional divergence. More conservation in translation than transcription is also confirmed by the inheritance mode of transcription and translation between two species. Furthermore, *cis* and *trans* effects are widely involved in changes at both transcription and translation levels. Finally, our results showed that genes with certain functions and sequence features might employ specific modes for evolution at these two critical levels of gene regulation. Our results demonstrated that it is essential to investigate the evolution of gene regulation at various levels from different genetic backgrounds to obtain a complete picture of its evolutionary modes in nature.

**Key words:** evolution of gene regulation, RNA-Seq, ribosomal profiling.

## Introduction

Gene regulation translates static genomic information into dynamic organism phenotypes. It is increasingly clear that regulatory changes play important roles in determining phenotypic diversity between and within species. Therefore, a thorough understanding of how gene regulation evolves will have profound impacts on both basic and biomedical research (King and Wilson 1975; Wray 2007). Technological strides have enabled great progress, such as the recently published ENCYCLOPEDIA OF DNA ELEMENTS (ENCODE) consortium project (Consortium et al. 2012), in understanding gene regulation and its evolution (Rockman and Kruglyak 2006; Wray 2007; Zheng et al. 2011; Gordon and Ruvinsky 2012; Wittkopp and

Kalay 2012). It is well known that the expression of a gene is regulated at multiple levels, including chromatin structure (Wyrick et al. 1999), gene transcription (O'Malley et al. 1977), posttranscriptional regulation (Filipowicz et al. 2008), translation, and protein modification (Westermann and Weber 2003), all of which determine the fate of its transcripts. Understanding the evolution of regulation at these various levels will enable a complete picture underlying the evolution of phenotypic adaptation in nature.

Gene transcriptional change might be a rapid and efficient approach for environmental adaptation during evolution. However, posttranscriptional regulation, which is more

directly relevant to the amount of functional proteins in cells (Ingolia et al. 2009), also likely contributes to phenotypic adaptation. Gene translation regulation can be affected by multiple factors such as 5'- or 3'- untranslated regions (UTRs) composition, mRNA structure, RNA binding protein (RBP), and ribosomal proteins. Changes at these regulatory components could lead to translational divergence, and thus possible phenotypic consequences. However, the evolution of gene translation regulation has been understudied, likely due to the technical limitation with a lack of efficient and high-throughput approaches for translation quantification. Ribosomal footprint profiling (RFP) was recently invented to use the next-generation sequencing to monitor *in vivo* translation (Ingolia et al. 2009). By profiling the ribosome protected fragments on mRNAs after nuclease digestion, the method can enable determining the positions of ribosomes, thus providing snapshots of the ribosome locations during translation across the whole genome. The approach is now widely used to address various issues related with translation (Ingolia 2014) and has been recently employed to investigate the evolution of translation regulation (Artieri and Fraser 2014; Coate et al. 2014; McManus et al. 2014). The overall goal of this study is to use this approach and yeasts as model systems to investigate the evolution of gene translation regulation.

The genetic causes of gene transcription divergence can be generally classified into two categories: 1) *cis* element regulation (e.g., changes in promoters and enhancers), which affects the expression and mRNA stability of nearby genes on the same chromosome (Wittkopp et al. 2008; Tirosh et al. 2009); 2) *trans* element regulation, which results from functional divergence of transcription factors and chromatin modifiers, or from other components that transduce external signal into gene expression changes. The *cis* and *trans* effects for transcription divergence can be uncovered by conducting expression quantitative trait loci (eQTL) mapping (Gilad et al. 2008) or the hybrid experiments (Tirosh et al. 2009). In the hybrid cell, both alleles are under the same cellular environment, thus changes in gene transcription between alleles can only be attributed to the *cis* effect. In contrast, allelic gene transcription changes between the parental strains can be caused by both *trans* and *cis* effects. The advantage of the hybrid approach in comparison to the eQTL method is that it has a higher reliability in distinguishing the *cis*- and *trans*-acting elements (Schaefer et al. 2013) and can also be used to investigate mechanisms underlying regulatory divergence other than the ones at the transcription level. The approach has been widely applied in inter and intraspecific hybrids of yeast (Tirosh et al. 2009; Emerson et al. 2010; Khan et al. 2012; Schaefer et al. 2013), fly (Wittkopp et al. 2004, 2008), maize (Springer and Stupar 2007), *Arabidopsis* (Shi et al. 2012), and mouse (Goncalves et al. 2012) to study divergence in various aspects of gene regulation, such as gene transcription (Tirosh et al. 2009; Bullard et al. 2010; Emerson et al. 2010; Tirosh and Barkai 2011; Shi et al.

2012), replication timing (Muller and Nieduszynski 2012), protein abundance (Khan et al. 2012), nucleosome positioning (Tirosh et al. 2010), and mRNA degradation (Dori-Bachash et al. 2011).

In this study, we used the RNA-Seq and RFP approaches to investigate how gene transcription and translation regulation evolved between two remotely related yeast species. To achieve this, we constructed a hybrid between *Saccharomyces cerevisiae* (Scer) and *Saccharomyces bayanus* (Sbay) that diverged around 20 Ma (Lin et al. 2006), two most remotely related species hybrid constructed so far in addressing the evolution of gene translation. Transcriptome (mRNA) and translome (RFP) were then sequenced for both parental strains and their hybrid. We compared orthologous gene transcription and translation between two species and demonstrated that translation is much more conserved than transcription during evolution. Furthermore, the *cis* and *trans* effects are widely involved in changes at both transcription and translation levels. Our major conclusions are consistent with previous work comparing transcriptome (Bullard et al. 2010) and proteome (Khan et al. 2012), and two very recent publications using a similar RFP approach with hybrids between two closely related yeast species, *S. cerevisiae* and *Saccharomyces paradoxus*, that diverged ~5 Ma (Artieri and Fraser 2014; McManus et al. 2014). We also reported some unique discoveries using the hybrid constructed between two much more remotely related species in this study, indicating that survey of various levels of gene regulation from different genetic backgrounds can enable a more comprehensive understanding of the gene regulation evolutionary modes in nature.

## Materials and Methods

### Yeast Strains and Growth Condition

Scer strains BY4741 (MAT $\alpha$ , *his3 $\Delta$ 1*, *leu2 $\Delta$ 10*, *met15 $\Delta$ 10*, *ura3 $\Delta$ 10*) and BY4742 (MAT $\alpha$ , *hoD::NatMX*, *his3 $\Delta$ 1*, *lys2 $\Delta$ 10*, *ura3 $\Delta$ 10*, *leu2 $\Delta$ 10*), and Sbay strain JRY8154 (MAT $\alpha$ , *hoD::NatMX*, *his3 $\Delta$ 1*, *lys2 $\Delta$ 15*, *trp $\Delta$ 1*, *ura3 $\Delta$ 11*) were used in this study. The BY4741 and JRY8154 were hybridized to obtain the diploid strain. The parental strains were cocultured to eliminate possible experimental variations. To avoid the potential hybridization of the parental strains in the coculture condition, we replaced BY4741 with the isogenetic opposite mating type strain BY4742. All strains were cultured in YPD at 25°C, 200 rpm.

### RNA-Seq and RFP

Both parental strains (cocultured) and diploid strain (hybrid) were cultured in YPD medium starting at OD<sub>600</sub>=0.1 and harvested when OD<sub>600</sub> reached 0.6–0.8. Cells were treated immediately with cycloheximide (CHX, to a final concentration of 100  $\mu$ g/ml) for 2 min to inhibit cytosolic translation elongation. The treated cells were then separated into two parts.

One part was used for mRNA sequencing: the total RNA was extracted using hot phenol method (Ingolia 2010), mRNA was then purified using oligo-dT DynaBeads, and the cDNA and the sequencing library was constructed using the method described by Zhong et al. (2011). The other part was prepared for RFP sequencing by the method described by Ingolia (2010).

To conduct RFP sequencing, cell pellet with 2.5 ml poly-some lysis buffer (20 mM Tris-HCl [pH 8.0], 140 mM KCl, 1.5 mM MgCl<sub>2</sub>, 100 µg/ml CHX, 1% [v/v] Triton X-100) was grounded in a mortar with liquid nitrogen. The cell powder was thawed at 30 °C for 2 min and centrifuged at 3,000 × g in 4 °C for 5 min to get rid of the cell debris. The supernatant was then centrifuged at 20,000 × g in 4 °C for 10 min. The cell extract was diluted to A<sub>260</sub> = 200 with the lysis buffer. To digest the polysome to monosome, 7.5 µl RNase I (Ambion, AM2294) was added to 250 µl cell extract for 1 h at 25 °C and then the reaction was stopped by adding 5 µl SUPERase.In (Ambion, AM2694). The sucrose density gradients (centrifuged at 150,000 × g in 4 °C for 3 h) were used to collect the 80S monosome fraction from the cell extract. The purified footprint fragments by a standard SDS/phenol method were dephosphorylated at its 3' end by the T4 polynucleotide kinase. The polyacrylamide denaturing gel purification was conducted to purify the mRNA footprint fragments. The region near the 28 nt marker was excised. RNA was recovered from the gel slice and quantified by BioAnalyzer (Agilent).

After adding a poly-A tail to each RNA fragment by *Escherichia coli* poly-A polymerase (NEB, M0276S), reverse transcription for the polyadenylated RNA samples was performed with primers that are linked to unique barcodes. The reverse transcription products were then purified on 10% denaturing polyacrylamide gel, and the region between 90 and 130 nt was excised and cDNA was recovered. The CirLigase (Epicentre Biotechnologies CL4111K) was added to the gel extraction products and the mix was incubated for 1 h at 60 °C and then inactivated by heating at 80 °C for 10 min. The circularized DNA was amplified by Phusion polymerase for 12 cycles, and the PCR products were purified on 8% non-denaturing polyacrylamide gel. The PCR products at ~120 bp were finally recovered from the excised gel slice and quantified by BioAnalyzer (Agilent). Sequencing was performed on Illumina HiSeq2000 platform (50 bp, single-end). We conducted two biological replicates for each sample.

### Reads Mapping and Transcription/Translation Quantification

Raw reads for both mRNA and RFP were cleaned by removing the barcodes and low-quality reads using custom perl scripts. For the RFP data, reads were further cleaned by removing the 3' end polyA and then only those with length between 16 and 35 bp were retained. The wide range of read length was applied to include the ribosomal footprints that might be over or underdigested. Narrowing the read size (27–30 bp)

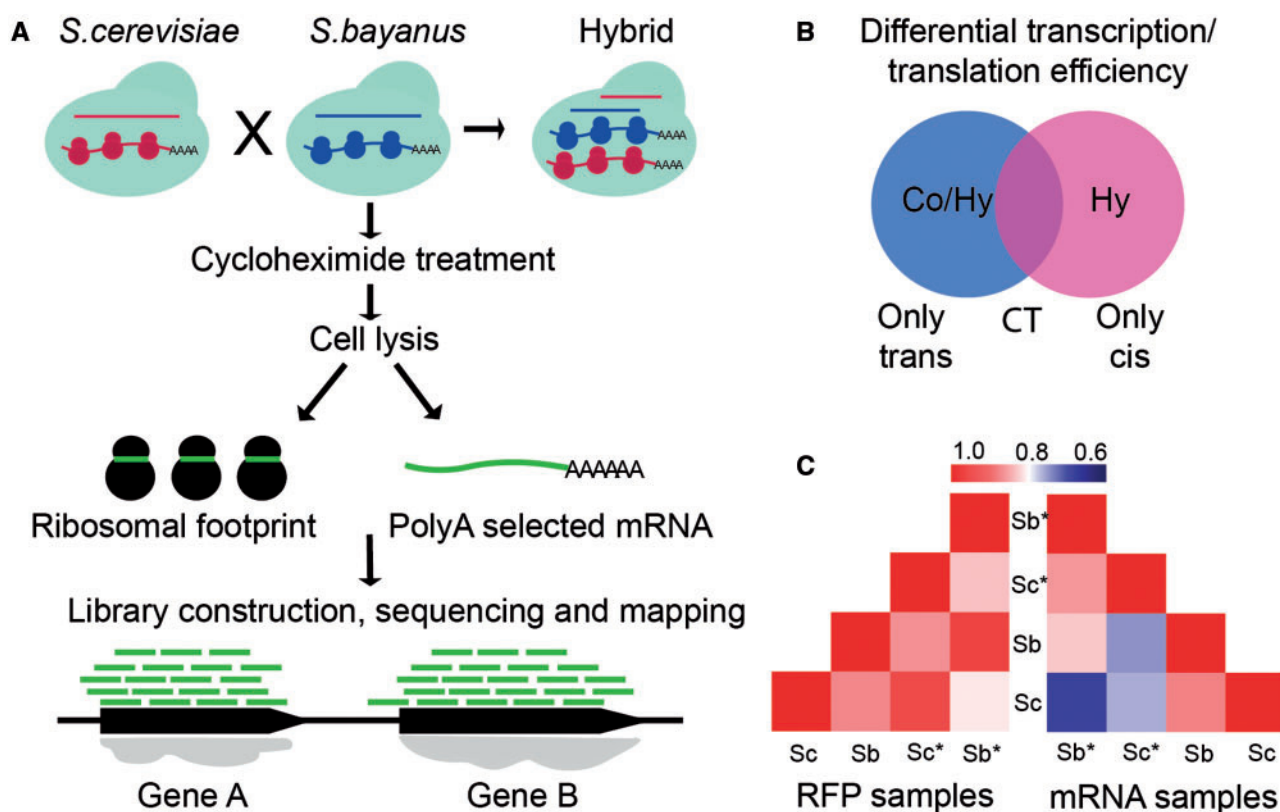
reduced the total read number for each gene but essentially did not change the level of translation for each gene (supplementary table S4, Supplementary Material online). We removed reads mapped to rRNA genes using bowtie (Langmead 2010). The remaining reads were then aligned to the combined Scer and Sbay genomes with no more than two mismatches allowed. Allele-specific reads were assigned to either species when satisfying the following criteria: 1) the read was uniquely mapped to one species, or had at least two mismatches difference between the best alignment and the second best alignment if it mapped to multiple locations in one species; or 2) the read was mapped to both genomes, but the alignment to one species was significantly better than the other (with at least two mismatches difference). Reads for each gene were counted using htseq-count (<http://www-huber.embl.de/users/anders/HTSeq/doc/count.html>, last accessed April 7, 2015), and genes with more than ten mapped reads in both mRNA and RFP were retained for further analysis.

To test the statistical significance of allelic differential transcription/translation (Sbay/Scer) in the parental strains, estimation of variability throughout the dynamic range of the sequence data and a suitable error model for statistical analysis are essential. We used a method by Anders and Huber (2010) that was developed to analyze differential expression using the sequence count data. The method is based on a negative binomial model and estimates the variance and mean of data by local regression (Anders and Huber 2010). We used the DESeq package which implements the method using R. To determine a significant transcription/translation difference (Sbay/Scer ≠ 1), we applied the criteria of false discovery rate (FDR) ≤ 0.05 and fold change ≥ 1.5 throughout the article.

### Identifying the Buffering/Amplifying Effects in Translation Efficiency

Translation efficiency (TE) for each gene in the parental strains was calculated from RFP/mRNA. To test the significance of TE difference between two parental strains, we employed a likelihood ratio test (LRT), as described by Khan et al. (2013). For each gene, the method uses the framework of nested linear models to compare the fit of the null model (TE = c + ε, where c is a constant for each gene and ε measures the random errors) that correspond to no difference between species, to the alternative model (TE = c + ε + β\*species, where β measures the regression coefficient for species) that correspond to significant differences between species. Sequence data for two biological replicates in each species were used for the regression analysis. The P values from LRT for all genes in the analysis were adjusted for the multiple test correction by the Benjamini–Hochberg method (Benjamini and Hochberg 1995) to control for the FDR.

We defined the buffering or amplifying effects in TE as described by McManus et al. (2014). Briefly, to consider the buffering or amplifying TE effect on the transcription divergence,



**FIG. 1.**—The workflow for RNA-Seq and RFP. (A) The mixed parental strains or their hybrid were cultured in the YPD medium to the log phase. Cells were collected and treated by CHX for 2 min, and then divided into two aliquots, one for RNA-Seq and the other for RFP. (B) Diagram depicting the *cis* and *trans* effects for gene regulation. In the hybrid, genes face the same *trans* environment, so the divergence in gene regulation between alleles is only subject to the *cis* effect. Whereas in the parental strains, the divergence is subject to either *trans* or *cis* effect, or both. (C) Pearson correlation coefficient ( $r$ ) among mRNA and RFP data between biological replicates and alleles (Sc: *Saccharomyces cerevisiae*, Sb: *Saccharomyces bayanus*). The asterisk indicates the hybrid strain.

genes that showed significant differences in both transcription and TE were investigated. The amplifying effect was defined if the translation changes were at least 1.5-fold higher than the transcription changes. In contrast, the buffering effect was assigned if translation changes were at least 1.5-fold lower than the transcription changes. Genes with diverged transcription but no TE divergence were classified as no amplifying or buffering effect.

#### Inheritance of Gene Transcription/Translation

The inheritance modes of gene transcription and translation were shown in figure 3A, as defined by McManus et al. (2010). To be specific, the overall transcription or translation for both alleles in the hybrid strain (sum of the counts in both Scer and Sbay, hereafter named as  $H_{\text{all}}$ ) were compared with that of either Scer ( $P_{\text{Scer}}$ ) or Sbay ( $P_{\text{Sbay}}$ ) in the parental strains using the DESeq package, as described earlier. We defined genes as being similarly transcribed (or translated) if the fold change  $< 1.5$ . Under this definition, genes in the hybrid showing similar transcription (or translation) with both parental Scer and Sbay were considered as having the conserved inheritance

mode. The other five nonconserved modes were defined as the following: 1) underdominant: the  $H_{\text{all}}$  was 1.5-fold lower than both  $P_{\text{Scer}}$  and  $P_{\text{Sbay}}$ ; 2) overdominant: the  $H_{\text{all}}$  was 1.5-fold higher than both  $P_{\text{Scer}}$  and  $P_{\text{Sbay}}$ ; 3) additive: the  $H_{\text{all}}$  was an intermediate between  $P_{\text{Scer}}$  and  $P_{\text{Sbay}}$ ; 4) Scer dominant:  $H_{\text{all}}$  was similar to  $P_{\text{Scer}}$  but different from  $P_{\text{Sbay}}$ ; 5) Sbay dominant:  $H_{\text{all}}$  was similar to  $P_{\text{Sbay}}$  but different from  $P_{\text{Scer}}$ .

#### Identifying the *Cis/Trans* Effects in Transcription and TE

The schematic diagram for the *cis* and *trans* effects for gene transcription (or TE) was shown in figure 1B. Generally, the hybrid strain eliminates the *trans* effect, thus the changes between alleles are only subject to the *cis* effect regulation. In the parental strains, however, as both *trans* and *cis* effects are present, the fraction of gene transcription (or TE) divergence not explained by the *cis* changes can be attributed to the differences caused by the *trans* effect (Tirosh et al. 2009; Emerson et al. 2010; Shi et al. 2012; Schaefer et al. 2013; Artieri and Fraser 2014; McManus et al. 2014). Following this logic, we estimated the *cis* regulatory effects for those genes showing significantly different transcription (or TE) between

Scer and Sbay in the hybrid ( $[\text{Sbay/Scer}]_{\text{hybrid}} \neq 1$ ). The statistical methods determining the *cis* effects in transcription and TE (in the hybrid) were the same to those described earlier that measured the allelic differential transcription and TE between the parental strains.

To infer the *trans* effect for transcription (or TE), we identified genes that have significantly different ratio of (Sbay/Scer) for transcription (or TE) between the parental and hybrid strains. We applied the Fisher's exact test (FET) using the sequence counts to identify genes with significant *trans* effect in transcription. The *trans* effect in TE for each gene was inferred using the above LRT method, with the null model of no-*trans* effect,  $Y = c + \varepsilon$ , where  $Y$  is the ratio of TE (Sbay/Scer),  $c$  is a constant for the *cis* effect in TE ( $c = 1$  if there is no *cis* effect), and  $\varepsilon$  measures the random errors. The alternative model with a significant *trans* effect is  $Y = c + \varepsilon + \beta * \text{treatment}$ , where  $\beta$  measures the regression coefficient for treatment (coculture or hybrid). The parameters determining the significance of the *cis* or *trans* effect were subject to the criteria mentioned earlier. The  $\log_2$ -transformed *cis* and *trans* regulatory divergence for transcription (or TE) were then represented by  $|\log_2(\text{Sbay/Scer})_{\text{hybrid}}|$  and  $|\log_2(\text{Sbay/Scer})_{\text{parental}} - \log_2(\text{Sbay/Scer})_{\text{hybrid}}|$ , respectively.

### Gene ontology (GO) Enrichment Analysis and Statistics

GO enrichment analysis was conducted using an online service of DAVID (Huang et al. 2009). The corrected  $P$  value  $\leq 5\%$  was used to define significant functional enrichment. All statistics in this study was performed using the R version 2.15.2 (R Core Team 2012). FDRs were calculated using the Benjamini–Hochberg method implemented in the `p.adjust()` command. The FET and the linear regression were conducted using the `fisher.test()` and `lm()` functions.

### Data Access

The high-throughput sequencing data in this study has been deposited in NCBI Sequence Read Archive (<http://www.ncbi.nlm.nih.gov/sra>, last accessed April 7, 2015) under the accession number: SRR1175760, and SRR1175763 to SRR1175769. The genome sequence and annotation for Scer used in this study was downloaded from the Saccharomyces Genome Database (<http://www.yeastgenome.org/>, last accessed April 7, 2015) with the latest version (R64-1-1), and the sequence for Sbay was downloaded from Yeast Gene Order Browser (YGOB; <http://ygob.ucd.ie/>, last accessed April 7, 2015).

## Results

We performed cellular mRNA and RFP sequencing to investigate the transcription and translation divergence between cocultured parental strains of Scer and Sbay, as well as their hybrid (fig. 1A and B). The sequencing statistics are shown in [supplementary table S1, Supplementary Material](#) online.

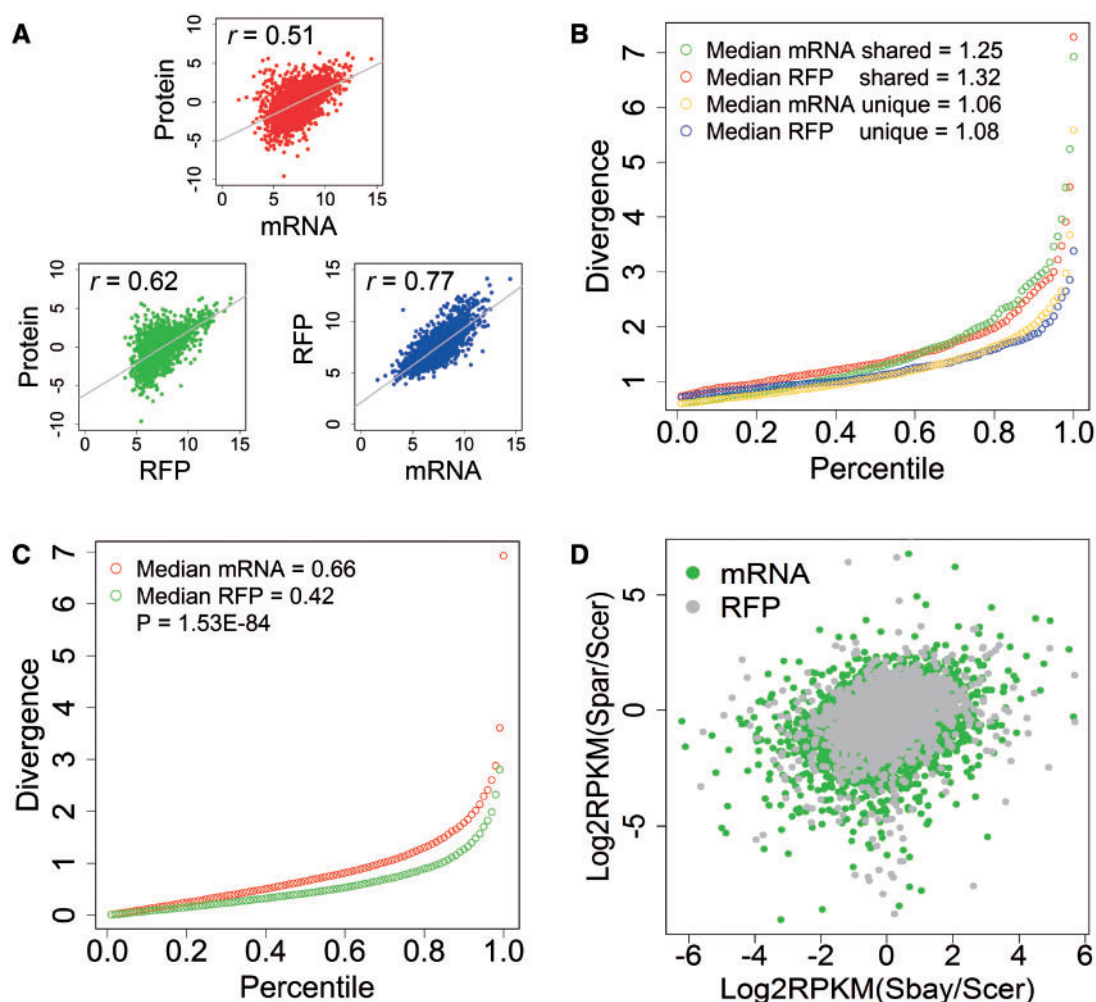
Orthologous genes between Scer and Sbay were predicted using a method based on a Markov Cluster algorithm (Li et al. 2003), and then only one to one ortholog groups were kept for further analysis. Altogether 4,774 ortholog pairs were included ([supplementary table S2, Supplementary Material](#) online). Of these genes, 4,674 genes were both transcribed and translated (defined as having  $\geq 10$  reads per transcript) and thus used in the analysis. Our results indicated that each sample had high correlation between biological replicates (Pearson correlation coefficient,  $r \sim 0.98$  for mRNA and  $r \sim 0.99$  for RFP), which is more significant than the correlation between orthologous genes between two species ( $r \sim 0.88$  for mRNA,  $r \sim 0.87$  for RFP) or between corresponding alleles within the hybrid ( $r \sim 0.86$  for mRNA,  $r \sim 0.83$  for RFP; fig. 1C).

We also compared our transcriptome and translome data for the parental *S. cerevisiae* with the *S. cerevisiae* data from two recently published work with a similar experimental design using hybrids between two closely related yeast species, *S. cerevisiae* and *S. paradoxus* (Artieri and Fraser 2014; McManus et al. 2014). Using the same analysis pipeline, we noted that our sequence reads for *S. cerevisiae* were highly correlated with these publications for both mRNA ( $r \sim 0.80$ – $0.87$ ) and RFP ( $r \sim 0.83$ – $0.89$ ), whereas the  $r$  between these two previous studies for mRNA is from 0.86 to 0.87 and RFP from 0.89 to 0.90 ([supplementary table S3, Supplementary Material](#) online), implying that our sequencing reads are comparable with these previous work, and thus providing an important quality check before further analyses.

### Gene Translation Is More Conserved than Transcription during Evolution

To illustrate the relationship between transcriptome and translome with respect to the protein product, we first explored the correlation of our transcriptome and translome data with the whole-cell proteome data in *S. cerevisiae* (Picotti et al. 2013). As shown in figure 2A, the Pearson correlation coefficient between translome and proteome ( $r \sim 0.62$ ) is much higher than that between transcriptome and proteome ( $r \sim 0.51$ ). Of note, the correlation between transcriptome and translome ( $r \sim 0.77$ ) is still much higher than that between translome and proteome, confirming that there are a large amount of unknown regulatory steps shaping protein biosynthesis. In the following, we just used RFP data to represent translation.

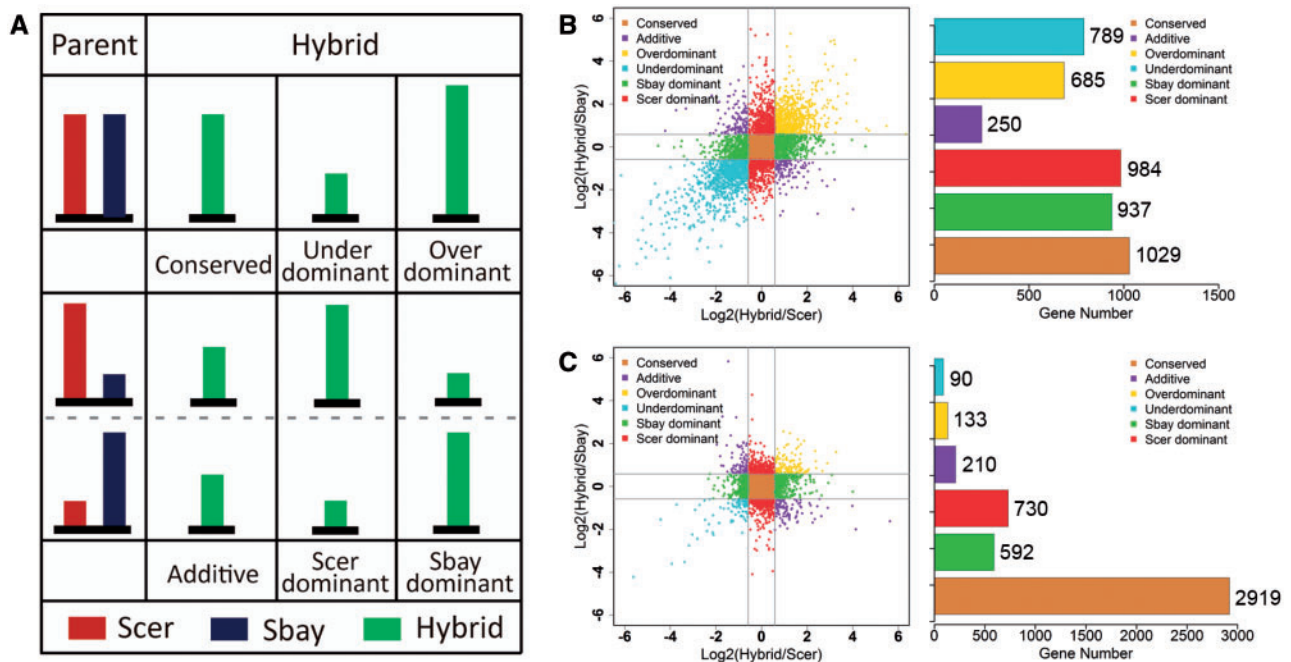
To investigate what genes show differential transcription and translation, we compared the mRNA and RFP abundance between species using a method based on a negative binomial model that was developed by Anders and Huber (2010). We applied the criteria of a  $\text{FDR} \leq 0.05$  and a fold change  $\geq 1.5$  to define genes with differential transcription or translation in the respective dataset. Although significant genes ( $\text{FDR} \leq 0.05$ ) with small fold changes might still be biologically meaningful, we set the criteria of fold change  $\geq 1.5$  to reduce the impact



**FIG. 2.**—Comparison of transcriptome, translatoome, and proteome in yeast species. (A) Pearson correlation coefficient ( $r$ ) between transcriptome (mRNA), translatoome (RFP), and proteome (Protein) data in *Saccharomyces cerevisiae*. Reads per kilo per million (RPKM) for mRNA and RFP were calculated and compared with the normalized proteome data from Picotti et al. (2013). The line in each plot indicates the linear fitting with  $P$  values all essentially 0. (B) Comparison of transcription and translation for genes that had significant changes between the parental strains in mRNA (2,509 genes) and RFP (901 genes). The “shared” means that those genes had significant changes in both mRNA and RFP (559 genes), whereas the “unique” means that those genes had changes in either mRNA or RFP, but not both. Divergence was defined as  $|\log_2(\text{fold change})|$  between the parental strains. The figure shows the quantile-normalized divergence for mRNA and RFP, and the number indicated the median divergence for the gene group. The shared genes have significantly higher divergence than the unique genes (WRT:  $P = 1.24\text{E}-10$  for mRNA and  $P = 1.59\text{E}-11$  for RFP). (C) The quantile-normalized divergence of transcription and translation for all genes. The transcription (median mRNA divergence = 0.66) has a significantly higher divergence than the translation (median RFP divergence = 0.42, WRT:  $P = 1.53\text{E}-84$ ). (D) Comparison of the transcription and translation divergence between three yeast species, *S. cerevisiae*, *S. bayanus*, and *S. paradoxus*. The RPKM values of mRNA and RFP for each species were calculated and averaged between replicates. The  $\log_2$ -transformed RPKM ratios of transcription and translation were plotted. The plot for mRNA is more dispersed than RFP for the same species pairs. Significant more transcription than translation divergence was observed between *S. cerevisiae* and *S. paradoxus* (WRT:  $P < 2.22\text{E}-16$ , data were obtained from McManus et al. [2014]).

from noise in the sequencing data. In total, 2,509 out of 4,674 studied genes (~54%) showed significant transcriptional changes between two parental species. Using the same criteria, only 901 genes showed significant translational changes. Among all studied genes, 559 genes showed differences at both transcription and translation levels, and 425 (76%) of them showed difference in the same direction. Furthermore,

genes that changed at both transcription and translation levels had significantly higher regulation divergence [represented as  $|\log_2(\text{fold change})|$ ] than those genes with changes in only transcription or translation (fig. 2B). To reduce the impact of noise in the sequencing data, we also increased the minimum cutoff of the number of mapped reads to 100 to define that a gene is transcribed/translated. With more stringent criteria,



**FIG. 3.**—The inheritance modes of gene transcription and translation. (A) Diagram depicting the six inheritance modes in gene regulation. The overall mRNA (or RFP) level of two alleles for each gene in the hybrid was compared with the gene in either parental strain. Genes showing similar mRNA (or RFP) levels between the hybrid and both parental strains were classified as the “conserved” category, whereas the genes with lower or higher mRNA/RFP levels than both parents were designated as “underdominant” or “overdominant”, respectively. The “additive” mode was defined for a gene if its mRNA (or RFP) level in the hybrid was an intermediate between the two parents. The gene with a similar mRNA (or RFP) level between the hybrid and only one of the two parental strains was classified into “Scer dominant” or “Sbay dominant”. The inheritance modes of gene transcription (B) and translation (C) are shown. Transcription or translation divergence between the hybrid and the parental strains in each category are shown in a scatter plot and the number of genes in each category is displayed to the right. The gray lines in B and C represent the  $\log_2$ -transformed minimum cutoff of the fold change (1.5-fold difference) used to define the regulation divergence.

the numbers of differentially transcribed and translated genes were both reduced, but the general conclusion that more genes show transcription than translation divergence between two species was still similar (supplementary fig. S1, Supplementary Material online).

At the genome level, RFP also had a lower divergence (median divergence = 0.42) than that of mRNA (median divergence = 0.66, Wilcoxon rank-sum test [WRT]:  $P = 1.53E-84$ , fig. 2C), indicating that the evolution of gene translation might be slower than gene transcription. To test whether this is a common phenomenon for other organisms, we used the same approach to reanalyze the recently published mRNA and RFP data from *S. cerevisiae* and *S. paradoxus* (McManus et al. 2014). A similar pattern was observed for these two species that had a significant lower RFP divergence than transcription divergence (fig. 2D).

#### Dominant Buffering Effect in Translation Efficiency

TE was calculated by normalizing the RFP reads with the mRNA reads of the same genes. In almost all previous RFP research, TE was used as a proxy to represent the rate of translation. It is worthy to note that this term is indeed the

“ribosomal density,” which could be different from TE if the rate of ribosome movement varies in different type of genes. However, in a recent study (Ingolia et al. 2011), the authors monitored the kinetics of protein synthesis globally by tracing runoff elongation using the ribosome profiling approach, and the results showed that the average ribosome movement speed is around 5.6 amino acids per second, very close to the single gene measurement by a classical biochemical method (6 amino acids per second [Bostrom et al. 1986]). More importantly, the results indicated that translation rate is remarkably consistent among different classes of mRNAs, regardless of gene length, transcription level, and codon usage bias, indicating that the overall rate of translation elongation is generally constant. To be consistent with these previous literatures, we kept using TE for RFP/mRNA for each gene throughout the following discussion.

Changes in gene TE and transcription could occur at the same (amplifying effect) or opposite (buffering effect) direction, as defined by McManus et al. (2014). We found that more than seven times genes have the buffering effect in translation than those with the amplifying effect ( $N = 1,522$  vs.  $N = 207$ ), consistent with the observation that gene

translation is more conserved than transcription. Functional enrichment analysis revealed that genes with the buffering effect in translation were highly associated with oxidation reduction (GO:0055114,  $P=3.63E-5$ ) and sterol metabolism (GO:0008202,  $P=3.71E-4$ ). The sterol biosynthesis pathway, which is responsible for synthesizing the lipid components of yeast cell plasma membrane, was significantly downregulated in gene transcription (9 out of 14 genes) in Scer, which is consistent with the previous observations (Fraser et al. 2010; Chang et al. 2013). However, our results indicated that gene transcription divergence for this pathway was buffered during translation, so the genes in this pathway might not show the same scale of difference at the protein level. Genes with the amplifying effect during translation were more related to amino acid biosynthetic processes, like cysteine biosynthetic process (GO:0019344,  $P=5.73E-4$ ), sulfur amino acid biosynthetic process (GO:0000097,  $P=5.70E-4$ ), and methionine biosynthetic process (GO:0009086,  $P=2.38E-2$ ), consistent with the fact that these strains have different auxotrophies in the amino acid biosynthesis. Genes with no buffering or amplifying effect were strongly associated with mitochondrial translation (GO:0032543,  $P=7.84E-7$ ) and other mitochondrion-related functions.

### Inheritance Patterns of Gene Transcription and Translation

The inheritance of gene transcription and translation was measured by comparing the dominance of gene transcription and translation between the parental strains and the hybrid as described previously (McManus et al. 2010). As shown in the Materials and Methods and figure 3A, genes with <1.5-fold differences were considered as the conserved genes, whereas other genes were classified into five categories based on their relative levels among different genetic backgrounds. For gene transcription inheritance, ~22.0% of the investigated genes were classified as the conserved genes (1,029/4,674). The other 78% genes were assigned to the following categories: additive (250, ~5.3%), overdominant (685, ~14.7%), underdominant (789, ~16.9%), Scer dominant (984, ~21.1%), and Sbay dominant (937, ~20.0%, fig. 3B).

The gene transcription inheritance could be modified by posttranscriptional regulation. In our analysis, 20–81% genes in the five nonconserved inheritance categories of transcription were buffered by the translational process, whereas only 4–6% of them were amplified during translation. The inheritance of translation was more stable than transcription (fig. 3C). Indeed, ~62.5% (2,919/4,674) genes had the conserved inheritance pattern in translation. Genes with the additive, overdominant, or underdominant inheritance modes in translation only accounted for 4.5% (210 genes), 2.8% (133 genes), and 1.9% (90 genes), respectively, of the studied genes. The inheritance patterns showed big differences between transcription and translation, suggesting the

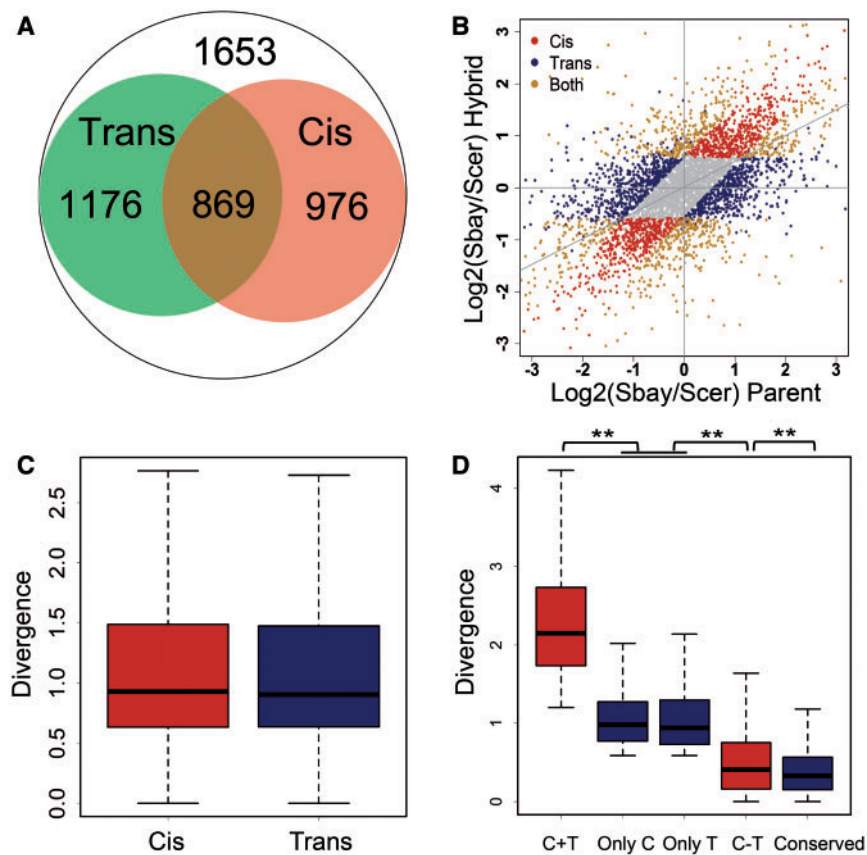
involvement of posttranscriptional regulation in modifying gene expression inheritance. (fig. 3B and C).

### *Cis* and *Trans* Effects Involved in the Evolution of Transcription Regulation

Changes in gene transcription can result from either *cis* or *trans* effect (Rockman and Kruglyak 2006). We measured the *cis* effect on gene transcription by directly comparing the gene transcription difference between Sbay and Scer in the hybrid cell, and the *trans* effect was measured by comparing the changes of transcription ratio (Sbay/Scer) in the parental strains to that in the hybrid, as defined in previous studies (Tirosh et al. 2009; Emerson et al. 2010; Shi et al. 2012; Schaefer et al. 2013; Artieri and Fraser 2014; McManus et al. 2014). Results showed that the *trans* effect impacted slightly more genes (10.8%) than the *cis* effect in contributing to the divergence in transcription between Scer and Sbay (2,045 genes with the *trans* effect vs. 1,845 with the *cis* effect, fig. 4A and B), whereas the two had similar contribution to the magnitude of gene transcription divergence (median divergence<sub>*cis*</sub>=0.93, divergence<sub>*trans*</sub>=0.91, WRT:  $P=0.34$ , fig. 4C). Among genes with an identifiable *cis* or *trans* effect, 869 genes showed both *cis* and *trans* regulation (defined as CT genes, fig. 4A). The *cis* and *trans* effects might act at the same direction (an enhancing CT effect) or opposite direction (a compensating CT effect) during transcription regulation. We found 288 (~33%) genes had the enhancing CT effect, and the other 581 (~67%) genes had the compensating CT effect. Expression of genes with the enhancing CT effect was significantly higher than those with only *cis* or *trans* effect (WRT:  $P=2.25E-111$ ), whereas the expression of genes with the compensating CT effect was significantly lower (WRT:  $P=2.64E-109$ , fig. 4D). This result is consistent with the hypotheses that diversifying selection that promotes gene transcription divergence could lead to the enhancing *cis* and *trans* interaction, whereas purifying selection that would reduce gene expression divergence results in compensating *cis* and *trans* interplaying (Shi et al. 2012). A previous study performed an analysis of allele-specific expression using RNA-Seq on the hybrid between Scer and Sbay (but different strain from this study). Comparison between our results and the previous one revealed 1.5-fold differential expressed genes identified in this study using the same criteria (FDR &nbsp;< 5% and fold change  $\geq 1.5$ ). Moreover, the *trans* factors cannot be inferred as the transcriptome was only profiled in the hybrid (Bullard et al. 2010).

We further investigated several parameters that might be associated with the evolution of gene transcription. The 1 kb sequences upstream of genes from the only *cis*, only *trans*, CT (enhancing CT and compensating CT), and conserved categories were analyzed. Our results indicated that both *cis* and *trans* regulated genes had higher average GC content in the upstream sequences than the conserved genes (paired



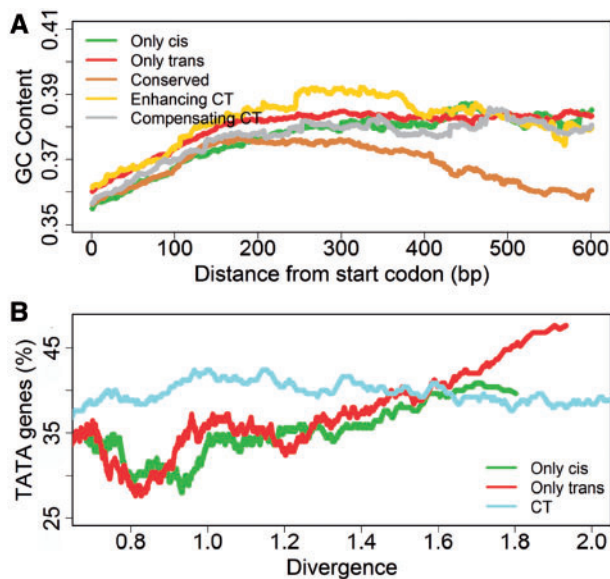


**FIG. 4.**—The *cis* and *trans* effects on the evolution of gene transcription. (A) The *cis* effect was defined by comparing the transcription difference between alleles in the hybrid strain ( $FDR \leq 0.05$  and fold change  $\geq 1.5$ , 1,845 genes in total). The *trans* effect was defined by measuring the ratio change of allelic transcription between the parental strains and the hybrid (2,045 genes). The overlapping genes from the two categories are those that have both the *cis* and *trans* effects (869 genes). (B) Scatter plot for the *cis*, *trans* and CT genes in transcription regulation. The gray points show genes without allelic transcription divergence ( $FDR > 0.05$  or fold change  $< 1.5$ ), and the gray diagonal line represents the linear fitting using all the data. (C) Boxplot for the transcription divergence between the parental strains for the *cis* and *trans* genes. The *cis* and *trans* effects have similar contributions to the magnitude of transcription divergence (median divergence<sub>*cis*</sub> = 0.93, divergence<sub>*trans*</sub> = 0.91,  $P = 0.34$ ). (D) Boxplot for the transcription divergence between the parental strains for the only *cis*, the only *trans*, the enhancing CT (C + T), the compensatory CT (C – T), and the conserved genes. The \*\* means  $P < 1.50E-6$ .

WRT:  $P = 3.40E-64$ ). The enhancing CT genes had the highest GC content ( $P = 2.82E-40$ ), which might be related with their complicated multiplex regulation (fig. 5A). Previous study using other closely related yeast species revealed that gene transcription plasticity was correlated with the presence of TATA box (Tirosh and Barkai 2008), and especially in *trans*-regulated genes (Tirosh et al. 2009). In this work, we discovered that the TATA box was enriched in both *trans* (FET:  $P = 4.15E-14$ ) and *cis* genes ( $P = 2.88E-12$ ). Interestingly, the percentage of CT genes with TATA box remained relatively constant, regardless of the level of gene transcription divergence between species, whereas that in the *cis* or *trans* only genes tend to be increasingly associated with the level of gene transcription divergence (fig. 5B). We further investigated the nucleosome structure near different categories of genes and found an enrichment of *trans*-related genes (only *trans* [ $P = 9.31E-3$ ] and CT [ $P = 7.52E-4$ ]) with the occupied

proximal nucleosome (high occupancy close to the transcription start site [TSS] and low occupancy at the more distal region), and no enrichment for either *cis* and *trans* genes were found in the depleted proximal nucleosome (low occupancy close to the TSS and high occupancy at the more distal region), which are consistent with previous reports (Tirosh et al. 2010) (supplementary fig. S2, Supplementary Material online).

GO analysis revealed different functional enrichment between genes with the *cis* and *trans* effects: the *cis* regulatory genes are enriched in the basic biological processes, such as protein transport (GO:0015031,  $P = 5.28E-4$ ), ncRNA metabolic process (GO:0034660,  $P = 7.71E-3$ ), and ribosome biogenesis (GO:0042254,  $P = 7.76E-3$ ), whereas the *trans* genes are enriched in the stress response related processes, like dephosphorylation (GO:0016311,  $P = 1.40E-3$ ), cell redox homeostasis (GO:0045454,  $P = 5.53E-3$ ), cellular



**Fig. 5.**—The impact of GC content and TATA box on gene transcription divergence. (A) Comparison of the GC content in 1 kb sequences upstream of genes with the *cis* or *trans* effects. The GC content was calculated by sliding a 400 bp window with the step size of 1 bp on the upstream sequences. Both the *cis* and *trans* genes have higher GC contents in the upstream sequences than the conserved genes ( $P=3.40E-64$ ). The enhancing CT genes had the highest GC content ( $P=2.82E-40$ ). (B) The association between the TATA box and the transcription divergence between two species. Genes were sorted based on their transcription divergence between two parental strains and a sliding window of 250 genes with the step size of one gene was used to calculate the transcription divergence and the percentage of genes with the TATA boxes. The TATA box is enriched in both the *trans* ( $P=4.15E-14$ ) and *cis* genes ( $P=2.88E-12$ ). The percentage of CT genes with the TATA box remained constant, regardless of the level of gene transcription divergence between species, whereas that in the *cis* or *trans* only genes tend to be increasingly associated with the level of gene transcription divergence. Different window sizes and steps sizes in the analysis lead to similar conclusions in both A and B (data not shown).

response to stress (GO:0033554,  $P=2.24E-3$ ), and tetrapyrrole biosynthetic process (GO:0033014,  $P=5.51E-4$ ). Additionally, the CT genes are more related with cell death (GO:0008219,  $P=7.41E-7$ ) and mitochondrial functions: oxidative phosphorylation (GO:0006119,  $P=6.49E-4$ ), ion transport (GO:0006811,  $P=3.40E-4$ ), and cellular respiration (GO:0045333,  $P=2.53E-3$ ).

### *Cis* and *Trans* Effects Involved in the Evolution of TE

We conducted similar analysis to explore the *cis* and *trans* effects in the divergence of TE. There were 1,359 and 1,913 genes changed translational efficiency between two species due to the *cis* and *trans* effects, respectively. Among these genes, 797, 1,351, and 562 genes were caused by the *cis* only, *trans* only, and CT effects, respectively (fig. 6A and B).

The enhancing CT genes accounted for ~29% of all the CT genes and the other ~71% CT genes had the compensating CT effect. The *trans* effect was dominant (~41% more than the *cis* effect) in the number of regulated genes in TE divergence, but the *cis* effect contributed slightly larger than the *trans* effect to the magnitude of divergence in TE between two species (WRT:  $P=6.13E-3$ , fig. 6C).

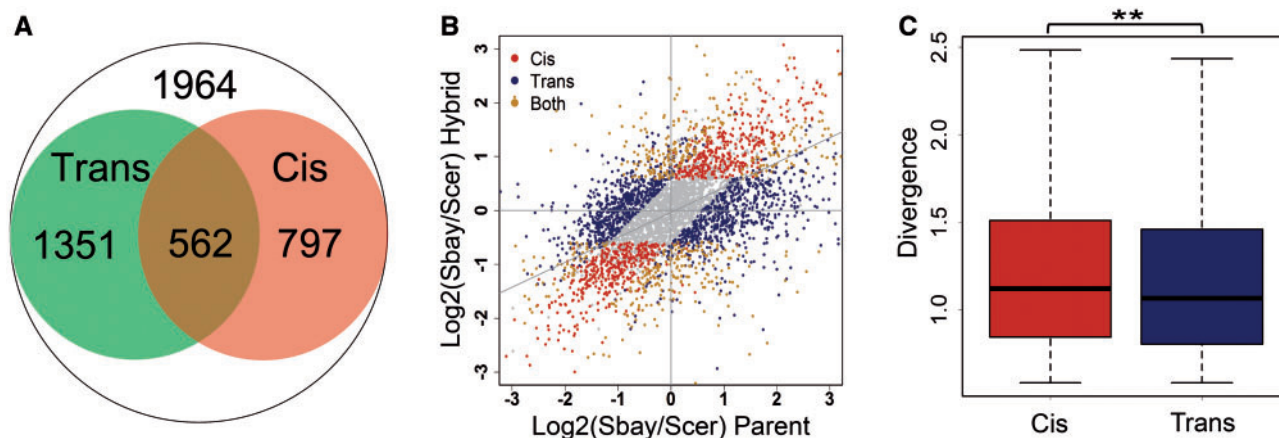
Translation is a tightly regulated step for protein biosynthesis and is known to be influenced by many features of mRNA transcripts, such as existence or the length of 5'-UTR, frequency of upstream stop codons, uORF activity, and codon usage bias (Ingolia et al. 2009; Brar et al. 2012; Arribere and Gilbert 2013; McManus et al. 2014). We investigated whether RNA binding motif (RBM) and mRNA secondary structure can also affect TE divergence by using the genome level RBM and mRNA structure data from Freeberg et al. (2013) and Kertesz et al. (2010), respectively. We discovered that genes with detected RBM ( $FDR \leq 0.05$ , as defined in the Freeberg's study) in 3'-UTR were significantly enriched in genes with divergence in TE ( $P=3.49E-5$ ), but not the conserved genes ( $P=0.01$ ). Furthermore, the *trans* effect was more associated with genes having RBM at both 5'-UTR ( $P=1.34E-04$ ) and 3'-UTR ( $P=8.74E-05$ ) than the *cis* effect ( $P=0.01$  for 5'-UTR,  $P=7.59E-4$  for 3'-UTR).

Our results also showed that the genes with detected mRNA secondary structure ( $FDR < 0.05$ , as defined in Kertesz et al. [2010]) were significantly enriched in genes with divergence in TE (FET:  $P=2.45E-6$ ), whereas no enrichment was observed in the conserved genes (FET:  $P=0.11$ ). The paired mRNA structure (represented by the magnitude of parallel analysis of RNA structure [PARS] score, which was based on the deep sequencing fragments of RNAs that were treated with structure-specific enzymes [Kertesz et al. 2010]) was slightly but significantly anticorrelated with the TE for genes with low TE values (defined as  $TE < 1$ ,  $r = -0.15$ ,  $P=1.95E-8$ ) but not for those genes with high TE values ( $TE > 1$ ,  $r = 0.04$ ,  $P=0.18$ , supplementary fig. S3, Supplementary Material online). In addition, although the genes with detected mRNA secondary structure are enriched in both *cis* and *trans* genes (FET:  $P$  values are  $1.59E-4$  and  $3.88E-4$ , respectively), the *trans* genes had higher PARS scores than the *cis* genes (WRT:  $P=6.42E-11$ ). These results indicate that the RBM and mRNA secondary structure are important determinants for the evolution of gene translational efficiency.

## Discussion

### Different Conservation Levels for Transcription and Translation

In this study, we showed that gene translation is more conserved than gene transcription. Indeed, ~78% interspecies transcription divergence cannot be observed at the translation



**FIG. 6.**—The *cis* and *trans* effects on the evolution of TE. (A) TE was defined by dividing the RFP reads with the mRNA reads for each gene. The *cis* effect was obtained by comparing the TE differences between alleles in the hybrid strain (FDR  $\leq 0.05$  and fold change  $\geq 1.5$ , 1,359 genes in total). The *trans* effect was defined by measuring the TE ratio changes of alleles between the parental strains and the hybrid (1,913 genes). The overlapping genes from the two categories have both the *cis* and *trans* effects in their TE regulation (562 genes). (B) Scatter plot for the *cis*, *trans*, and CT genes in TE regulation. The gray points show genes without allelic TE divergence (FDR  $> 0.05$  or fold change  $< 1.5$ ), and the gray diagonal line represents the linear fitting using all the data. (C) Boxplot for the TE divergence between the parental strains for the *cis* and *trans* genes. The divergence of the *cis* effect genes was slightly higher than that of the *trans* genes (\*\*, WRT:  $P < 0.01$ ).

level for two remotely related yeast species, *S. cerevisiae* and *S. bayanus*. Recently, two studies using *S. cerevisiae* and another closely related yeast species, *S. paradoxus*, reported similar conclusion (Artieri and Fraser 2014; McManus et al. 2014). The discordance between transcription and translation is most likely due to the buffering effect of the translational process. Buffering effect of translation on gene transcription divergence was also revealed in another study (Khan et al. 2013), which demonstrated more conserved evolution at the protein level than the gene transcript level, indicating that gene transcription divergence could overestimate the speciation difference in gene regulation.

The inheritance modes of gene transcription and translation also support the finding that gene translation is more conserved. Around 63% genes show conserved inheritance mode in translation whereas only ~22% genes in transcription. Regulation at different levels seem to have a great impact on inheritance, for example, in the transcription inheritance, there are similar number of genes with the Scer dominant mode and the Sbay dominant mode, but in the translation inheritance, this difference is enlarged to 3-fold. However, the opposite pattern (more Sbay-dominant genes than Scer-dominant genes) was observed in the inheritance of protein abundance (Khan et al. 2012).

#### Factors Affecting Transcription and Translation Divergence

We measured gene transcription and translation in the haploid parental strains. In previous studies using the yeast hybrid approach to investigate the evolution of gene regulation, both

haploid (Tirosh et al. 2009; Emerson et al. 2010; Schaefer et al. 2013; Artieri and Fraser 2014) and diploid (McManus et al. 2014) parental strains have been used. Certain genes have been demonstrated to be differentially regulated between isogenetic haploid and diploid strains, such as those involved in mating, meiosis, budding pattern, and ploidy. However, the differential regulation of these genes responsible for the cellular ploidy state might not affect the genome-wide conclusion in this study because a previous work by Galitski et al. (1999) compared gene expression between isogenic *S. cerevisiae* strains with different ploidy backgrounds and identified only 17 genes that are related to ploidy, suggesting that the effect of ploidy on gene expression is minimum. In a similar experimental design using the hybrid approach to identify the *cis/trans* effects on gene regulation divergence between *S. cerevisiae* and *S. paradoxus* (Tirosh et al. 2009), the authors conducted expression profiling for both haploid and diploid parental strains, and found that the gene expression is highly similar between the haploid and diploid strains ( $r=0.94$  and  $0.93$  for Scer and Spar, respectively). Therefore, we kept using the haploid as our parental strains in this study.

It was shown before that GC content differences between orthologous genes could inflate transcription divergence (Bullard et al. 2010). We confirmed this observation using our dataset (supplementary fig. S4, Supplementary Material online). We also found a significant correlation between the GC difference and the translation divergence (supplementary fig. S4, Supplementary Material online) for orthologous genes. These observations need to be taken with caution because the

llumina sequencing technology can be affected by the GC content in the reads. Furthermore, the correlations between the GC difference and the regulation divergence, even significant, are weak. We also investigated whether the differences of length between orthologous genes could bias our results. No significant correlations between gene length and transcription ( $P > 0.07$ ) or translation ( $P > 0.06$ ) were detected (supplementary fig. S5, Supplementary Material online).

The *cis* and *trans* regulatory factors differ in influencing the evolution of gene regulation and their inheritance patterns. Numerous studies using the hybrid approach with different species indicated that the *cis* effect might be the dominant regulatory factor in contributing to gene transcription divergence between species, whereas the *trans* effect is more responsible for the transcription divergence within species (Tirosch et al. 2009; Emerson et al. 2010; Li et al. 2012; Shi et al. 2012; Suvorov et al. 2013). However, some other studies also observed that the *trans* effect has a larger impact than the *cis* effect in contributing to the evolution of gene transcription or translation between different species (McManus et al. 2010; Artieri and Fraser 2014; McManus et al. 2014). Our results showed that the *trans* effect is more important than the *cis* effect in affecting both transcription and translation divergence between the two species we investigated. The mechanisms underlying these different observations warrant further investigation.

A previous study on the evolution of transcription and mRNA decay using a hybrid of *S. cerevisiae* and *S. paradoxus* revealed that the regulatory factors for gene transcription and mRNA decay were coupled (Dori-Bachash et al. 2011). Notably, the transcriptional and posttranscriptional gene regulation might be coupled due to the fact that some *cis* motifs located at the 5'-UTR/3'-UTR are important for both transcription and translation. Some *trans* factors, such as RBPs can also influence gene transcription and translation simultaneously (Morris et al. 2010). In our study, the *cis* and *trans* effects of transcription and TE are intuitively related because the inference of TE relies on the ratio of ribosome footprint to the mRNA level of each gene. Indeed, ~50% and ~10% of the *cis* genes for TE overlap with the *cis* and *trans* genes for transcription, respectively. On the other hand, ~9% and ~46% of the *trans* genes for TE overlap with the *cis* and *trans* genes for transcription, respectively. This result suggests a possible coupling relationship among the *cis* factors and among the *trans* factors during the gene transcription and translation processes.

## Conclusion

We used two remotely related yeast species (*S. cerevisiae* and *S. bayanus* with a divergence ~20 Ma) as models to investigate the evolution of gene regulation during transcription and translation. The evolutionary distance between these two species is much further than yeast species pairs previously used

with the similar hybrid approach to investigate the evolution of gene translation. Our results agreed with multiple previous reports that translation is more conserved than transcription, leading to a more stable proteome than that appreciated by comparing gene transcription alone. We also discovered some unique results using our experimental design. For example, at the gene transcription level, the TATA box, which was reported to be only enriched in the *trans* genes, was discovered to be overrepresented in both *cis* and *trans* genes between the species pair used in this study. We also found that the *trans* effects are more responsible than the *cis* effects for the divergence at both levels of gene regulation. For genes whose transcription or translation are affected by both the *trans* and *cis* factors (the CT genes), these factors usually function in the opposite directions (the compensating CT effect), indicating the stability of regulation for these genes. Our results demonstrate that surveys of various levels of gene regulation from different genetic backgrounds can enable a more comprehensive understanding of the gene regulation evolutionary modes in nature.

## Supplementary Material

Supplementary tables S1–S4 and figures S1–S5 are available at *Genome Biology and Evolution* online (<http://www.gbe.oxfordjournals.org/>).

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