

## ORIGINAL ARTICLE

The effects of capillary transit time heterogeneity (*CTH*) on brain oxygenationHugo Angley<sup>1</sup>, Leif Østergaard<sup>1,2</sup> and Sune N Jespersen<sup>1,3</sup>

We recently extended the classic flow–diffusion equation, which relates blood flow to tissue oxygenation, to take capillary transit time heterogeneity (*CTH*) into account. Realizing that cerebral oxygen availability depends on both cerebral blood flow (*CBF*) and capillary flow patterns, we have speculated that *CTH* may be actively regulated and that changes in the capillary morphology and function, as well as in blood rheology, may be involved in the pathogenesis of conditions such as dementia and ischemia-reperfusion injury. The first extended flow–diffusion equation involved simplifying assumptions which may not hold in tissue. Here, we explicitly incorporate the effects of oxygen metabolism on tissue oxygen tension and extraction efficacy, and assess the extent to which the type of capillary transit time distribution affects the overall effects of *CTH* on flow–metabolism coupling reported earlier. After incorporating tissue oxygen metabolism, our model predicts changes in oxygen consumption and tissue oxygen tension during functional activation in accordance with literature reports. We find that, for large *CTH* values, a blood flow increase fails to cause significant improvements in oxygen delivery, and can even decrease it; a condition of malignant *CTH*. These results are found to be largely insensitive to the choice of the transit time distribution.

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**Keywords:** capillary transit time heterogeneity; *CBF*; Michaelis–Menten; microcirculation; neurovascular coupling; oxygen transport

## INTRODUCTION

The brain's high resting metabolism is fuelled almost entirely by oxidative phosphorylation of glucose, and normal brain function is therefore contingent on a steady supply of oxygenated blood supply to meet the associated metabolic demands. Oxygen consumption ( $CMRO_2$ ) has traditionally been inferred from the cerebral blood flow. Accordingly, the equation  $CMRO_2 = C_A \times CBF \times OEF$ , where  $C_A$  is the arterial concentration of oxygen and *OEF* is the oxygen extraction fraction in tissue, states that if *OEF* = 1, then increases in metabolic demands can be met by a proportionate increase in *CBF*. In normal brain, *OEF* is only 30%, and several studies show that in many cases,  $CMRO_2$  increases can be supported by increases in *OEF*, independent of *CBF* changes.<sup>1–3</sup> The biophysical mechanisms that permit flow-independent changes in *OEF* have not yet been established. For example, cellular oxygen utilization could reduce oxygen levels in the tissue and create more efficient oxygen concentration gradients. To this day, it remains unclear whether neurovascular coupling mechanisms closely match the metabolic needs of neural activation by increases in the cerebral blood flow.<sup>2,4</sup>

The kinetics of oxygen extraction for a given *CBF* is traditionally based on the work by Christian Bohr, Seymour S Kety, Christian Crone, and Eugene Renkin.<sup>5</sup> This formalism, now referred to as the 'flow–diffusion equation', 'Bohr–Kety–Crone–Renkin equation' (BKCR equation), or simply 'Crone–Renkin equation', uses well-established extraction properties of freely diffusible substances, as they pass through single capillaries, to describe the extraction to

entire tissue volumes.<sup>5</sup> The 'tissue-version' of the formalism thereby inherits the extraction properties of single capillaries, for example, the intuitive notion that an increase in blood flow leads to better tissue oxygenation. The generalization of the single capillary formalism to tissue assumes, however, that all capillaries within a given tissue volume are identically perfused. In brain tissue, this is rarely so; for example, during rest, the flux of erythrocytes in rat brain capillaries is known to be highly heterogeneous.<sup>6,7</sup> Kuschinsky and Paulson proposed the hypothesis that this redistribution of the blood flow could affect *OEF* to support the changes in  $CMRO_2$ , even without a noticeable change in *CBF*.<sup>8</sup> This hypothesis is corroborated by rat studies which show redistribution of capillary flows as *CBF* increases during neural activation<sup>6,9,10</sup> and hypoxia,<sup>11,12</sup> and further suggest that both *CBF* and blood flow patterns may influence the resulting oxygen availability and hence  $CMRO_2$ .

Jespersen and Østergaard recently extended the BKCR equations in a three-parameter model<sup>13</sup> to investigate the relation between oxygen extraction and blood flow, taking into account the transit time heterogeneity and the blood–tissue oxygen concentration gradient. The model allows estimation of *OEF* by assuming that the distribution  $h(\tau)$  of transit times in the capillary bed is described by a gamma variate distribution. Using this distribution, capillary transit time heterogeneity (*CTH*) can be quantified by its standard deviation, and oxygen consumption can be characterized by its dependence on *CBF* and *CTH*. Under these model assumptions, the oxygen consumption depends to a large

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extent on CTH: a CTH reduction improves tissue oxygenation by counteracting the inherent reduction in OEF as CBF increases. This reduction in oxygen extraction efficacy toward high CBF is inherent to the extraction of solutes from individual capillaries: As capillary transit times become short, an increasing proportion of blood is effectively shunted through the capillary bed, and its oxygen unavailable to the tissue. The introduction of transit time heterogeneity leads to a striking prediction, namely that this loss may exceed the benefits of increasing CBF if capillary flows become sufficiently heterogeneous; a condition of malignant CTH. Under such conditions, any increase in the CBF under fixed CTH was predicted to decrease oxygenation. If this biophysical phenomenon exists, it would imply that neurovascular coupling must involve mechanisms that limit vasodilation in disease conditions where the regulation of capillary flow patterns is disturbed. The role of capillary flow patterns in neurovascular coupling has prompted the formulation of new hypotheses for understanding Alzheimer's disease<sup>14</sup> and stroke.<sup>15</sup> Although there is no direct evidence yet that malignant CTH states occur in the brain, we believe that disease processes, such as tissue injuries during ischemia, reperfusion injury, and the luxury perfusion syndrome, might be more fully understood by considering the effects of capillary flow patterns.<sup>15</sup>

The extended BKCR equation<sup>13</sup> was based on several simplifying assumptions which may not be met in biological systems. The aim of this paper is to extend the original model by using more realistic physiologic descriptions wherever possible. Specifically, we realize that tissue oxygen tension is determined by the balance between net oxygen transfer from plasma to tissue and oxygen metabolism in the tissue. This is in contrast to the original model, where tissue oxygen tension was treated as an independent parameter, which was considered to be uniform and constant. In addition, we implement a number of different transit time distributions to ascertain that crucial model predictions are not related to peculiarities of the gamma distribution used in Jespersen and Østergaard.<sup>13</sup> We then discuss the validity of the conclusions and predictions of the original model in the context of the new and more realistic model. We compare the predictions of the new model to *in vivo* rat data, and discuss possible clinical applications of the model.

## MATERIALS AND METHODS

A schematic illustrating the procedure for computing  $CMRO_2$  and the mean tissue oxygen tension ( $P_tO_2$ ), along with the variables needed for this computation is outlined in Figure 1. The individual steps are described in detail below.

### Oxygen Extraction Function for a Single Capillary

The extraction of oxygen from a single capillary is modeled as in Jespersen and Østergaard.<sup>13</sup> Figure 2 gives an overview of this model. Briefly, oxygen is considered in three compartments; hemoglobin, plasma, and extravascular tissue. The oxygen concentration  $C$  in the blood includes oxygen cooperatively bound to hemoglobin (concentration  $C_B$ ) and oxygen dissolved in the blood plasma (concentration  $C_p$ ). The cooperativity of oxygen binding to hemoglobin is approximated by the phenomenological Hill equation:

$$C_B = B \times \frac{p^h}{p_{50}^h + p^h} \quad (1)$$

where  $C_B$  is the concentration of bound oxygen,  $B$  is the maximum amount of oxygen that can be bound to hemoglobin,  $P$  is the oxygen partial pressure in plasma,  $P_{50}$  is the oxygen partial pressure at half hemoglobin saturation, and  $h$  is the Hill coefficient. The net flux of oxygen across the capillary membrane is assumed to be proportional to the difference between plasma oxygen concentration ( $C_p$ ) and tissue oxygen concentration ( $C_t$ ),<sup>16,17</sup> with an equal forward and reverse rate constant  $k$ . We assume that in the capillary, axial diffusion can be neglected compared with advective transport. Considering only steady-state situations and choosing

the capillary to be oriented along the  $z$ -axis, the notation is simplified by letting  $C(z)$ ,  $C_p(z)$ , and  $C_t$  denote blood, plasma, and tissue oxygen concentration, respectively. Following Jespersen and Østergaard,<sup>13</sup> the system is then described by the equation

$$\frac{dC(x)}{dx} = -k\tau \left( a_H \cdot P_{50} \left( \frac{C(x)}{B - C(x)} \right)^{1/h} - C_t \right) \quad (2)$$

where  $a_H$  is Henry's constant and  $x \in [0;1]$  a normalized axial coordinate. The model constants were assigned generally accepted literature values:  $h = 2.8$ ,  $B = 0.1943$  mL/mL blood,  $C_A = 0.95 \times B$ ,  $a_H = 3.1 \times 10^{-3}$  per mm Hg,  $P_{50} = 26$  mm Hg.<sup>17</sup> The oxygen extraction fraction for a single capillary is defined by the ratio

$$Q = \frac{C(0) - C(1)}{C(0)} \quad (3)$$

and depends on the transit time  $\tau$ .

### Integration over the Capillary Network

To compute the mean value of any function over the capillary network, we will sum the contribution of the function for every capillary weighted by the assumed capillary transit time distribution  $h$ . In particular, OEF corresponds simply to the mean of the single capillary oxygen extraction fraction:

$$OEF(MTT, CTH) = \bar{Q}(MTT, CTH) = \int_0^{+\infty} dt \cdot Q(\tau) \cdot h(\tau; MTT, CTH) \quad (4)$$

For the two-parameter probability density functions (*pdfs*) we consider, the dependence on transit time distribution  $h(\tau)$  can be summarized by the dependence on its mean (*MTT*) and standard deviation (*CTH*).

### Computation of Oxygen Consumption

$CMRO_2$  is finally derived directly from the OEF using the simple formula  $CMRO_2 = C_A \times CBF \times OEF$  (Fick's principle) and the central volume theorem which relates CBF to the mean transit time and the capillary volume through the relation  $CBF = V_{cap} / MTT$ ; assuming that the relative volume of capillaries in the brain  $V_{cap}$  is constant. Throughout all this paper, the capillary volume will be fixed to 1.6 mL/100 mL tissue.

### Oxygen Metabolism Kinetics

We assume that the rate of oxygen metabolism  $M$  in the tissue is governed by Michaelis-Menten kinetics, i.e.,  $M = v_{max} \times C_t / (K_M + C_t)$ , where  $v_{max}$  is the maximum rate at which oxygen can be metabolized and  $K_M$  is the concentration at which the metabolism equals  $v_{max}/2$ . Hence, balancing delivery and consumption yields:

$$\frac{dC_t}{dt} = 0, \text{ i.e., } -v_{max} \times \frac{C_t}{K_M + C_t} + \frac{C_A \times Q(\tau)}{\tau} \times V_{cap} = 0 \quad (5)$$

Here,  $C_A \times Q(\tau) \times V_{cap} / \tau$  represents the flux of oxygen crossing the membrane of a capillary, with  $C_A$  being the arterial oxygen concentration,  $Q$  the oxygen extraction fraction for a single capillary, and  $\tau$  the transit time for the capillary.

Summarizing, we must solve the following system of coupled equations:

$$\begin{cases} -v_{max} \times \frac{C_t(\tau)}{K_M + C_t(\tau)} + \frac{C_A \times Q(\tau)}{\tau} \times V_{cap} = 0 \\ \frac{dC(x)}{dx} = -k\tau \cdot \left( a_H \cdot P_{50} \cdot \left( \frac{C(x;\tau)}{B - C(x;\tau)} \right)^{1/h} - C_t(\tau) \right) \end{cases} \text{ with } Q(\tau) = 1 - \frac{C(1;\tau)}{C(0;\tau)} \quad (6)$$

for  $C$  and  $C_t$  for any value of the transit time  $\tau$ . The computation is done numerically in two different steps, as no analytical solution exists for this system.

In the first step, we solve equation (6) independently over a grid of values  $(\tau, C_t)$ . Denoting the solution of this by  $C^f$ , where the superscript  $f$  stands for 'fixed tissue oxygen tension', we compute the corresponding  $Q^f$  function on the same grid  $(\tau, C_t)$ :

$$Q^f(\tau, C_t) = 1 - \frac{C^f(1; \tau, C_t)}{C^f(0; \tau, C_t)} \quad (7)$$

This function is then appropriately interpolated to get sufficiently high resolution of  $Q^f(\tau, C_t)$  while minimizing the amount of numerical computation.

In the second step, we numerically solve the equation:

$$-v_{\max} \times \frac{C_t}{K_M + C_t} + \frac{C_A \cdot Q^f(\tau, C_t)}{\tau} \times V_{\text{cap}} = 0 \quad (8)$$

for relevant values of  $\tau$  to obtain  $C_t(\tau)$  in steady-state. Having determined the specific value of  $C_t(\tau)$ , we use a previously interpolated  $Q^f$  to determine  $Q(\tau)$  for any transit time  $\tau$ .

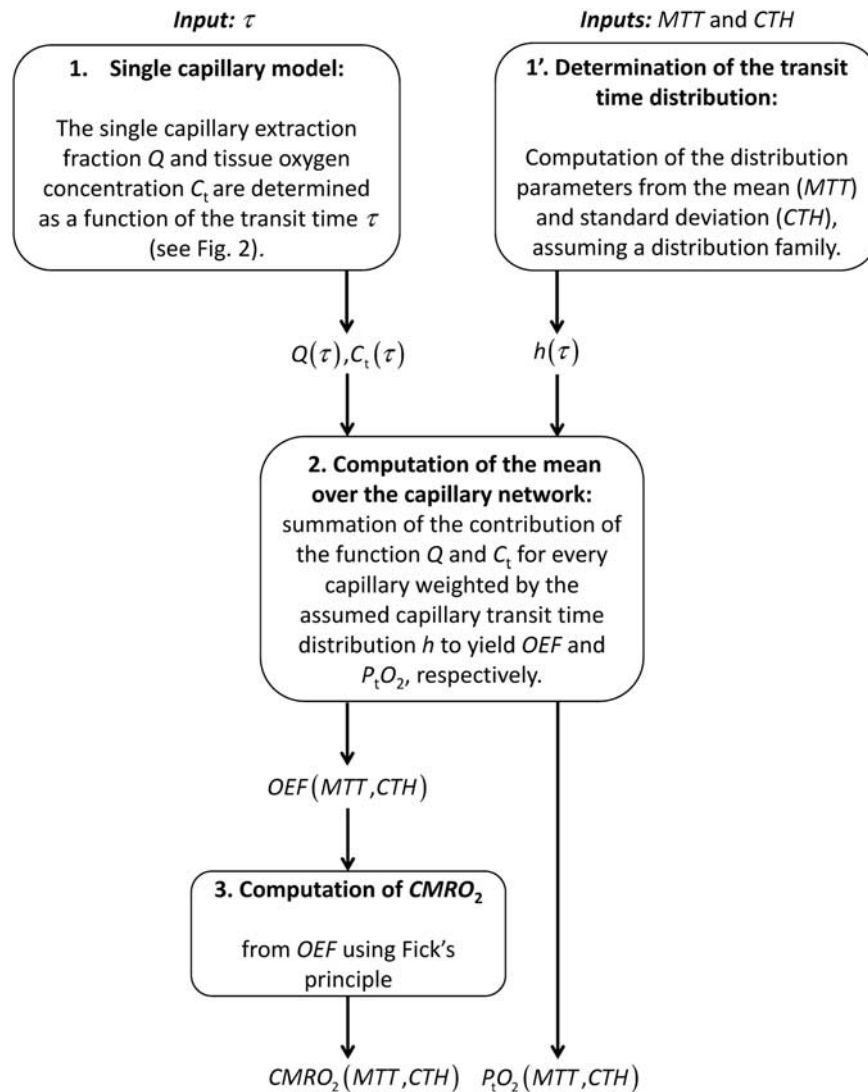
Note that in this model, we assume the diffusion distance of oxygen in the tissue to be of the same order of magnitude as the intercapillary distance. Accordingly, we assume that oxygen transfer among capillaries is negligible. As a result, oxygen tensions are not necessarily identical around the capillaries in our tissue compartment and tissue oxygen tension may be heterogeneous on the intercapillary scale. This is in accordance with observations reviewed by Ndubuizu and LaManna,<sup>18</sup> where it is pointed out that tissue oxygen tension depends in particular on the oxygen tension at the nearest capillary wall.

#### Adjustment of the Model Parameters

The model parameters  $v_{\max}$  and  $K_M$  from the Michaelis–Menten kinetics as well as the bidirectional rate constant  $k$  must be calibrated. As in the original model,<sup>13</sup>  $k$  is calibrated against measurements of  $MTT$ ,  $CTH$ ,

and  $OEF$  from  $OEF(MTT = 1.4 \text{ seconds}, CTH = 1.33 \text{ seconds}, k) = 0.3$ , which corresponds to  $CMRO_2 = 3.8 \text{ mL}/100 \text{ mL}$  per minute. The state ( $MTT = 1.4 \text{ seconds}, CTH = 1.33 \text{ seconds}$ ) is taken as a reference for resting state, in accordance with an experiment involving functional activation in rat.<sup>10</sup> Throughout this paper, unless differently stated, it will be referred to as resting state or rest and will be labeled in Figures 4 to 6 with the symbol (+0). As noted earlier, the absence of an analytical solution for the single capillary oxygen extraction fraction  $Q$  as a function of the transit time  $\tau$  compels us to perform the calibration of  $k$  iteratively.  $OEF$  for  $MTT = 1.4 \text{ seconds}$  and  $CTH = 1.33 \text{ seconds}$  is computed for a given  $k$ , which is then adjusted in a direction to bring  $OEF$  closer to the desired value of 0.3. This procedure is repeated until convergence, defined by a precision of  $10^{-4}$ .

In the literature on mitochondrial oxygen kinetics, reported values of  $K_M$  vary considerably, and this calibration step is therefore somewhat uncertain. Several reports suggest that at rest, the rate of oxygen metabolism is approximately 80%–85% of its maximum value.<sup>19</sup> Accordingly, we set  $v_{\max}$  to 4.75 mL/100 mL per minute in order for the metabolic rate during rest (3.8 mL/100 mL per minute) to equal 80% of  $v_{\max}$ .  $K_M$  primarily influences the value of tissue oxygen tension: We chose  $K_M$  equal to 2.71 mm Hg (3.5  $\mu\text{M}$ ), yielding a realistic mean tissue oxygen tension of 15 mm Hg at rest—see review by Ndubuizu and LaManna.<sup>18</sup>



**Figure 1.** Schematic illustrating the procedure for computing  $CMRO_2$  and  $P_{t_i}O_2$ , given  $MTT$  and  $CTH$ , assuming a distribution family (e.g. gamma distribution) and a single capillary model (see Figure 2).  $CMRO_2$ , oxygen consumption;  $CTH$ , capillary transit time heterogeneity;  $MTT$ , mean transit time;  $OEF$ , oxygen extraction fraction.

### Computation of the Mean Tissue Oxygen Tension

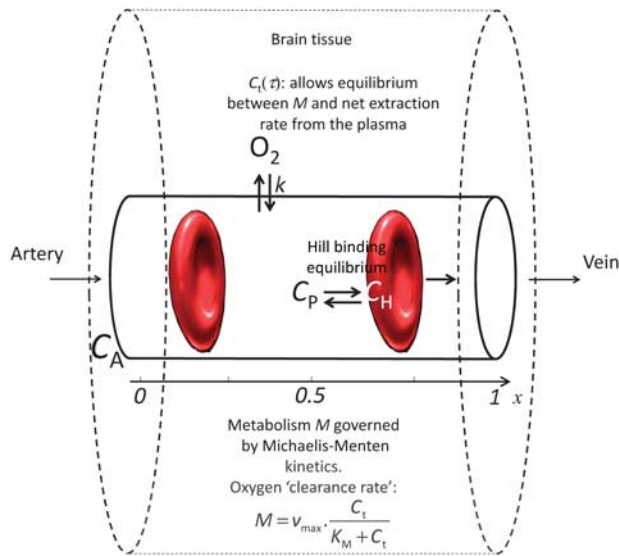
To compute the mean tissue oxygen tension  $P_tO_2$ , we consider the distribution  $\tilde{h}$ , derived from  $h$  according to the relation  $\tilde{h}(\tau) = h(\tau) \times \frac{\tau}{MTT}$ , where  $\tilde{h}(\tau)d\tau$  is a fraction of capillaries, as opposed to a fraction of the flow (implicit for  $h$ ). Note that  $h$  can be determined under the assumption that all the capillaries have identical volumes.  $P_tO_2$  is computed as:

$$P_tO_2(MTT, CTH) = \bar{C}_t(MTT, CTH) = \int_0^{+\infty} d\tau \tilde{h}(\tau; MTT, CTH) \cdot C_t(\tau)$$

In this model, both  $CMRO_2$  and  $P_tO_2$  are thus computed, and the results are presented as two separate maps for a range of  $MTT$  and  $CTH$  values.

### Distributions used in the Model

In the literature, the capillary transit time is often assumed to follow a gamma variate distribution.<sup>13,20–22</sup> This is corroborated with experimental



**Figure 2.** Single capillary model overview. It consists of three compartments, oxygen bound to hemoglobin, oxygen in plasma, and oxygen in tissue. Oxygen metabolism  $M$  depends on  $v_{max}$  (fixed) and on tissue oxygen tension  $C_t$  around the capillary. In this model,  $C_t$  is the equilibrium oxygen tension which allows  $M$  to equal the net oxygen extraction rate across the capillary membrane, which is modeled as a first order exchange process with the rate constant  $k$ . This single capillary model allows computing, for a given transit time  $\tau$ , the oxygen extraction fraction for a single capillary  $Q(\tau)$  along with the equilibrium oxygen tension.  $C_A$ , arterial oxygen concentration;  $C_H$ , oxygen concentration bound to hemoglobin;  $C_P$ , oxygen concentration in the plasma.

studies for small relative dispersion (ratio  $CTH:MTT$ ) values.<sup>10</sup> The transit time distribution for larger relative dispersion values ( $CTH:MTT$  ratio typically larger than 1) however, remains unknown, and therefore we tested four different distributions. We found four different two-parameter distributions with appropriate properties, namely the gamma distribution (as in the original model), the inverse gamma distribution, the inverse Gaussian distribution, and the log-normal distribution.

Although all these *pdfs* approach a normal distribution as the relative dispersion tends to zero, they behave in different ways in the regime of large relative dispersion. Table 1 lists the distributions along with selected properties, and Figure 3A plots the *pdfs* for relative dispersions equal to 0.4 and 1.2. Figure 3B presents the cumulative distribution function (*cdf*) for relative dispersion values equal to 0.4, 1.2, and 3, offering a qualitative view of the weight given to high speed flows from one distribution to the other. If we consider the case where the relative dispersion is equal to 1.2, the proportion of small transit times, e.g., smaller than  $0.2 \times MTT$ , differs considerably among the distributions. For example, more than 10% of the blood has a transit time shorter than  $0.2 \times MTT$  for all distributions except the inverse gamma, for which less than one percent of the blood has transit times below this value.

### Increase in Maximum Metabolic Rate during Activation and Stimulation

We also tested our model assuming that the maximum metabolic rate of oxygen  $v_{max}$  increased slightly during functional activation and electrical stimulation. The bidirectional rate constant  $k$  as well as the Michaelis–Menten parameters  $K_M$  and  $v_{max,0}$  (where ‘0’ stands for the value before the increase) were calibrated as previously and therefore had the same values. The single capillary oxygen extraction fraction  $Q(\tau)$ , the equilibrium tissue oxygen tension  $C_t(\tau)$ ,  $CMRO_2$ , and  $P_tO_2$  were then computed for physiologic states during the activation and stimulation (indicated with Schulte *et al*<sup>9</sup> and Stefanovic *et al*<sup>10</sup> in Table 2, respectively) assuming  $v_{max} = v_{max,0} \cdot (1+F)$ , with  $F$  being a factor proportional to the intensity of the stimulation, ranging from 0 (control state) to 0.1/0.2 for the most stimulated state (referred to as state I in Stefanovic *et al*<sup>10</sup> and state V in Schulte *et al*<sup>9</sup>) to reflect a maximum increase in  $v_{max}$  equal to 10%/20%. The expected  $CMRO_2$  and  $P_tO_2$  values assuming an increase in  $v_{max}$  during the activation and stimulation are shown in Figure 8.

In the following, we will refer to functional activation or electrical stimulation simply as ‘activation’.

### Original Model Assumptions

Note that the original model in Jespersen and Østergaard<sup>13</sup> is recovered by assuming a gamma distribution and a fixed tissue oxygen tension of  $C_t(\tau) = a_H \times 25$  mm Hg.

## RESULTS

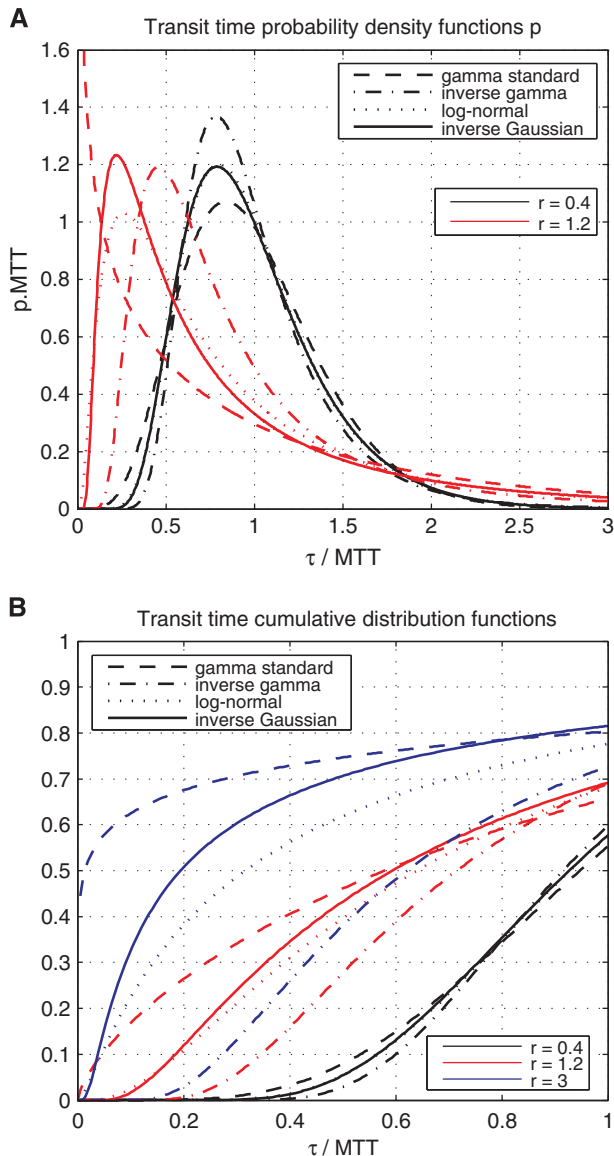
### Explicit Incorporation of Oxygen Metabolism

We first present the results of including explicit oxygen metabolism by means of Michaelis–Menten kinetics. Figure 4

**Table 1.** Characteristics of the different transit time distributions considered

Name of the distribution	Pdf expression	Equivalence up to a constant in the vicinity of zero	NA with $MTT = 1$ and $CTH = 3$	Type of decay
Gamma distribution	$pdf(\tau; \alpha, \beta) = \frac{\tau^{\alpha-1} e^{-\tau/\beta}}{\Gamma(\alpha)\beta^\alpha}$	$\tau^{\alpha-1}$	$\tau^{-8/9}$	Powerlaw
Inverse Gaussian distribution	$pdf(\tau; \lambda, \mu) = \left(\frac{\lambda}{2\pi\tau^3}\right)^{1/2} e^{-\frac{\lambda(\tau-\mu)^2}{2\mu^2\tau}}$	$\frac{3}{\tau^2} \cdot e^{-\frac{\lambda}{2\tau}}$	$\frac{3}{\tau^2} \cdot e^{-\frac{1}{18\tau}}$	Exponential
Log-normal distribution	$pdf(\tau; m, s) = \frac{1}{\tau s \sqrt{2\pi}} e^{-\frac{(\ln\tau - m)^2}{2s^2}}$	$\frac{2m - 2s^2 - \ln\tau}{\tau \cdot 2s^2}$	$\approx \tau^{-\left(\frac{1}{5} \ln(\tau) - \frac{3}{2}\right)}$	
Inverse gamma distribution	$pdf(\tau; \alpha, \beta) = \frac{\tau^{-\alpha-1} \beta^\alpha e^{-\beta/\tau}}{\Gamma(\alpha)}$	$\tau^{-(\alpha+1)} e^{-\beta/\tau}$	$\tau^{-28/9} \cdot e^{-\frac{10}{9\tau}}$	Exponential

To give a better idea of the behaviour of each distribution at the vicinity of zero, a numerical application (NA) gives an approximation of each *pdf* equivalent function, up to a constant.



**Figure 3.** (A) Plot of the probability density function—gamma, inverse gamma, log-normal, inverse Gaussian—used throughout this paper to compute the oxygen extraction fraction (*OEF*) and other quantities derived from *OEF*. Relative dispersions *r* equal to 0.4 and 1.2 are considered. (B) Plot of the corresponding cumulative distribution functions, for relative dispersions *r* equal to 0.4, 1.2, and 3. For A and B, abscissae unit is expressed in terms of transit time normalized with respect to the mean transit time (*MTT*); and A's ordinate is expressed in terms of the product between the density of probability *p* and *MTT*.

compares contour plots of *CMRO*<sub>2</sub> maps obtained with the new model to those obtained with the original model (Figure 4A and 4C). Figure 4B shows the resulting *P*<sub>t</sub>*O*<sub>2</sub> for the new model and Table 2 gives corresponding quantitative information, including a comparison between *CMRO*<sub>2</sub> for different physiologic states for the two models. Note that *P*<sub>t</sub>*O*<sub>2</sub> map is not presented under the original assumptions, as *P*<sub>t</sub>*O*<sub>2</sub> was assumed to be fixed and equal to 25 mm Hg. In Figure 4 and Table 2, the numeral '0' stands for control or resting state, whereas other numerals refer to states of altered basal physiology. One of the most striking observations in the new model is the much smaller variations in *CMRO*<sub>2</sub> as function of *MTT* and *CTH* compared with the original model. In the

new model, considering data from Stefanovic *et al*<sup>10</sup> (symbol (+) in Figure 4), a 73% increase in *CBF* is associated with a 10.2% *CMRO*<sub>2</sub> increase, corresponding to a coupling index (defined in Buxton<sup>23</sup> as the ratio between the relative increase in flow and *CMRO*<sub>2</sub> during an activation) of approximately 7. In comparison, the same increase in *CBF* with the original model generated a *CMRO*<sub>2</sub> increase equal to 50% which corresponds to a coupling index of 1.5. For stimulations leading to a larger flow increase<sup>9</sup> (symbol (x) in Figure 4), a plateau phenomenon occurs in which *CMRO*<sub>2</sub> increases little despite a large flow increase. We see from Figure 7 and Table 2 that almost two-thirds of the net *CMRO*<sub>2</sub> increase during hyperemia results from the first two-fifths of the *CBF* increase. This comes from the assumption that the oxygen metabolism is governed by Michaelis–Menten kinetics, and that metabolism at rest is at 80% of the maximum; this *de facto* limits any oxygen utilization increase to 25%. With the new model, *P*<sub>t</sub>*O*<sub>2</sub> (Figure 4B) is expected to increase to a larger extent than *CMRO*<sub>2</sub> during an increase in *CBF*. Table 2 depicts the change in *P*<sub>t</sub>*O*<sub>2</sub> for different physiologic states and permits the comparison of this value with the increase in *CBF* (number in parenthesis in the corresponding column). The ratio  $\frac{\Delta CBF/CBF_0}{\Delta P_tO_2/P_tO_{2,0}}$  is generally found to be less than 2.

The malignant *CTH* effect predicted in the original model (Figure 4C) is observed in the new model. Accordingly, for states on the left hand side of the yellow line in Figure 4A, *CMRO*<sub>2</sub> decreases if flow increases under conditions of constant *CTH*. Figure 4B shows a bell-shaped iso-contour for *P*<sub>t</sub>*O*<sub>2</sub> as well, which shows a similar phenomenon, only for *P*<sub>t</sub>*O*<sub>2</sub>. Note however, that these phenomena do not occur exactly at the same location in the *MTT*, *CTH* plane. Accordingly, the observation of increasing blood flow being accompanied by decreasing tissue oxygen tension is not completely faithful as a proxy of malignant *CTH*, nor as a biologic feedback signal to attenuate upstream vasodilation.

#### Effects of the Choice of Transit Time Distribution (without Explicit Incorporation of Metabolism)

With the assumptions of the original model, i.e., a constant tissue oxygen tension equal to 25 mm Hg, we investigated the influence of different transit time distributions. Figure 5 presents results obtained with the four distributions. The malignant *CTH* effect is observed when assuming inverse Gaussian distributions. However, log-normal and inverse gamma distributions do not result in a malignant *CTH* state. Considering the log-normal distribution, *CMRO*<sub>2</sub> iso-contour slopes decrease as the transit time increases; they are, in this sense, 'close' to showing a malignant *CTH* pattern in that even large flow increases fail to cause significant improvements in oxygen tension or oxygen consumption. Of note, compared with the gamma and inverse Gaussian distributions, the log-normal and inverse gamma distributions have a lower proportion of short transit times (see Materials and Methods) as the relative dispersion increases. This may in part explain the absence of malignant *CTH* state for these two distributions.

#### Influence of the Choice of Transit Time Distribution (with Tissue Metabolism Explicitly Incorporated)

Figure 6 shows *CMRO*<sub>2</sub> and *P*<sub>t</sub>*O*<sub>2</sub> maps for the inverse Gaussian, log-normal, and inverse gamma distributions when considering tissue oxygen consumption which affects the oxygen concentration gradient between plasma and tissue. The sub-linear Michaelis–Menten kinetics, with near-saturation of oxygen utilization (80%) during rest (see the Materials and Methods section, 'adjustment of the model parameters'), predicts that moderately larger oxygen utilization rates correspond to much larger tissue oxygen tensions. The higher tissue oxygen tension in turn decreases the blood–tissue concentration gradient, rendering flow increases even less efficient in terms of supporting increased

**Table 2.** Transit time characteristics during activation, estimated from literature red blood cell velocity data

	MTT (seconds)	CTH (seconds)	CMRO <sub>2</sub> original model	CMRO <sub>2</sub> new model	P <sub>t</sub> O <sub>2</sub> new model	CBF
<i>Functional activation</i> <sup>10</sup>						
Control (0)	1.4	1.33	1.00	1.00	1.00	1.00
Activation (I)	0.81	0.52	1.50 (1.46)	1.10 (7.3)	1.55 (1.32)	1.73
<i>Cortical electrical stimulation</i> <sup>9</sup>						
Control (0)	1.49	0.92	1.00	1.00	1.00	1.00
1.0 mA (I)	1.71	1.20	0.89 (1.2)	0.97 (3.9)	0.88 (1.1)	0.87
2.0 mA (II)	1.14	0.74	1.17 (1.8)	1.03 (11)	1.17 (1.8)	1.31
3.0 mA (III)	0.96	0.55	1.31 (1.8)	1.05 (11)	1.33 (1.7)	1.55
4.0 mA (IV)	0.62	0.32	1.64 (2.2)	1.08 (17)	1.66 (2.1)	2.40
5.0 mA (V)	0.63	0.23	1.64 (2.1)	1.09 (16)	1.70 (2.0)	2.36
<i>Hypotension</i> <sup>40</sup>						
115 mm Hg (0)	0.30	0.10	1.00	1.00	1.00	1.00
90 mm Hg (I)	0.31	0.11	0.99 (2.3)	0.99 (34)	0.99 (2.7)	0.97
75 mm Hg (II)	0.34	0.15	0.94 (2.0)	0.99 (27)	0.95 (2.3)	0.88
50 mm Hg (III)	0.40	0.16	0.89 (2.3)	0.99 (32)	0.91 (2.7)	0.75
30 mm Hg (IV)	0.69	0.30	0.70 (1.9)	0.97 (20)	0.73 (2.1)	0.43
<i>Mild hypoxemia</i> <sup>11</sup>						
Control (0)	0.95	0.36	1.00	1.00	1.00	1.00
40 mm Hg (I)	0.72	0.31	0.89 (-2.9)	0.90 (-3.2)	0.46 (-0.59)	1.32
<i>Severe hypoxemia</i> <sup>12</sup>						
Control (0)	1.41	1.20	1.00	1.00	1.00	1.00
26 mm Hg (I)	0.87	0.63	0.69 (-2)	0.77 (-1.8)	0.29 (-0.87)	1.62
<i>Mild hypercapnia</i> <sup>7</sup>						
33 mm Hg (0)	1.29	1.02	0.31	1.00	1.00	1.00
50 mm Hg (I)	0.82	0.76	0.24 (2.5)	1.04 (15)	1.24 (2.4)	1.57
<i>Severe hypercapnia</i> <sup>11</sup>						
35 mm Hg (0)	0.59	0.25	1.00	1.00	1.00	1.00
67 mm Hg (I)	0.43	0.29	1.09 (4.2)	1.00 (88)	1.07 (5.2)	1.37
97 mm Hg (II)	0.37	0.29	1.13 (4.6)	1.01 (93)	1.10 (5.7)	1.59

Oxygen consumption (CMRO<sub>2</sub>) and tissue oxygen tension (P<sub>t</sub>O<sub>2</sub>) predicted by the original and the new model (relative to control) are indicated. Cerebral blood flow (CBF) (relative to baseline) is given assuming a fixed capillary volume and derived from the central volume theorem. Transit time distribution is assumed to be described by a gamma variate function. As it is discussed in the main text, neurovascular coupling indices are reported in parenthesis, and correspond to the ratio of the increase in CBF over the increase in CMRO<sub>2</sub>. For P<sub>t</sub>O<sub>2</sub>, the number in parenthesis corresponds to the increase in CBF over the increase in P<sub>t</sub>O<sub>2</sub>, and can be seen as a coupling index analogy for P<sub>t</sub>O<sub>2</sub>. Physiologic conditions were assigned symbols and roman numerals to allow identification in Figures 4 to 6.

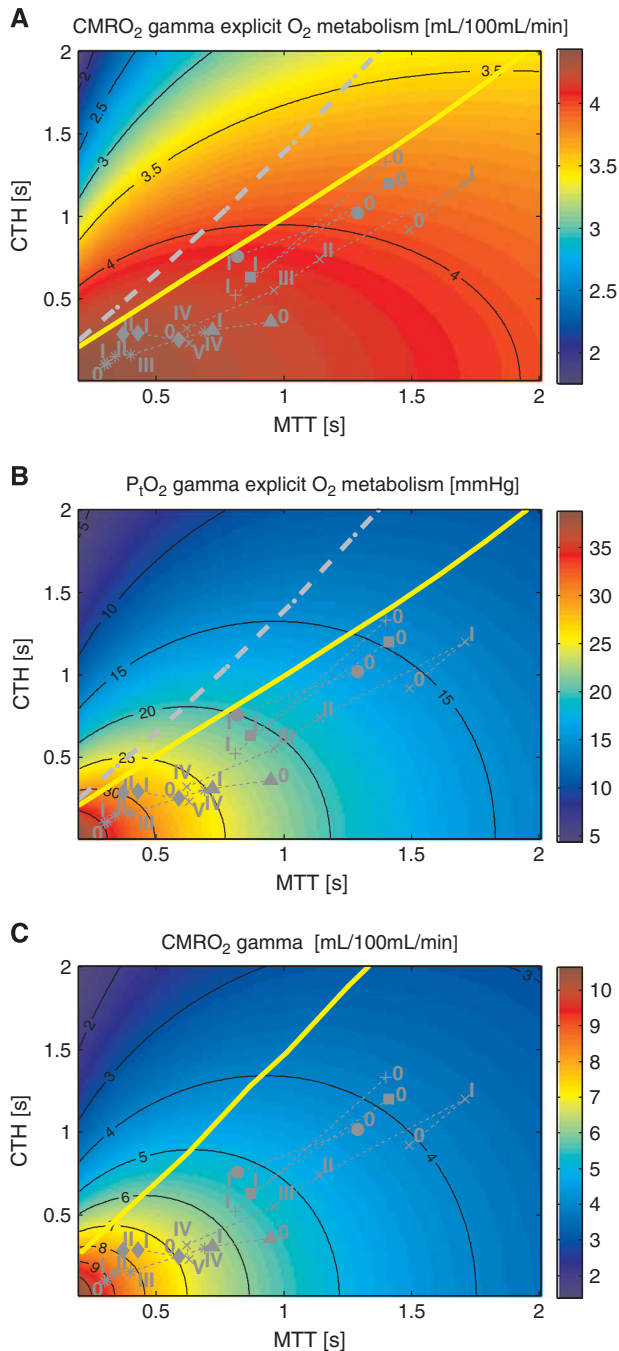
oxygen metabolism. As a result, OEF decreases more quickly as the relative dispersion increases, enhancing the malignant CTH phenomenon. This is evident from the CMRO<sub>2</sub> maps of Figure 4, where the malignant CTH is even observed for the log-normal distribution. The effect on the oxygen extraction efficiency induced by the incorporation of the metabolism is not strong enough to cause the malignant CTH effect for the inverse gamma distribution, but the CMRO<sub>2</sub> iso-contour slopes become lower. Accordingly, also for this distribution, blood flow increases are expected to be less efficient as a means of increasing oxygen consumption for a given CTH value.

#### Predicted Oxygenation Changes based on Experimental Data from the Literature

We used data from Schulte et al<sup>9</sup> and Stefanovic et al<sup>10</sup> and compared the expected CMRO<sub>2</sub> and P<sub>t</sub>O<sub>2</sub> values and their dependence on the transit time distribution. The different (MTT, CTH) states considered appear as symbols on the CMRO<sub>2</sub> and P<sub>t</sub>O<sub>2</sub> maps of Figures 6 and 7 and present CMRO<sub>2</sub> values, non-normalized (Figure 7A), and normalized with respect to the baseline value (Figure 7B), along with P<sub>t</sub>O<sub>2</sub> values (Figure 7C) in these states. The expected CMRO<sub>2</sub> and oxygen tension values are very similar across the different distributions, with the inverse

gamma distribution differing somewhat from the others in terms of its predictions. Considering the data from Stefanovic et al<sup>10</sup> (symbol (+) on the figure), the CMRO<sub>2</sub> increases from rest to activation are between 10.26% to 10.45% when assuming the gamma, log-normal, and inverse Gaussian distributions, but only 9.36% for the inverse gamma distribution. Concerning the oxygen tension in tissue, similar observations apply. If we consider (MTT, CTH) states throughout the two studies, the difference between the expected oxygen tension across the different distributions is never larger than 1 mm Hg, except for the inverse gamma distribution, where it is 2 mm Hg.

We then computed the expected CMRO<sub>2</sub> and P<sub>t</sub>O<sub>2</sub> for these same physiologic states, assuming that the maximum metabolic rate of oxygen v<sub>max</sub> increased during functional activation or electrical stimulation (collectively referred to as activation). Figure 8 shows CMRO<sub>2</sub> values, non-normalized (Figure 8A), and normalized with respect to the baseline value (Figure 8B), along with changes in P<sub>t</sub>O<sub>2</sub> (Figure 8C), considering v<sub>max</sub> to be constant, to increase by 10%, and by 20% throughout activation. Compared with constant v<sub>max</sub>, an increase in v<sub>max</sub> during activation leads to a higher metabolism of oxygen in the tissue compartment and a decreased tissue oxygen tension. The oxygen gradient thus becomes higher and CMRO<sub>2</sub> increases. Assuming a v<sub>max</sub> increase of 10% throughout activation leads to an increase in CMRO<sub>2</sub> 83%



and 110% larger, and an increase in  $P_tO_2$  29% and 35% smaller than when  $v_{max}$  is kept constant, considering data from Schulte *et al*<sup>9</sup> and Stefanovic *et al*,<sup>10</sup> respectively.

In summary, the predicted  $CMRO_2$  and  $P_tO_2$  changes on the basis of realistic ( $MTT$ ,  $CTH$ ) values do not depend very much on the particular distribution used, but a slight increase in  $v_{max}$  during activation leads to a substantially higher  $CMRO_2$  and lower  $P_tO_2$  increase.

## DISCUSSION

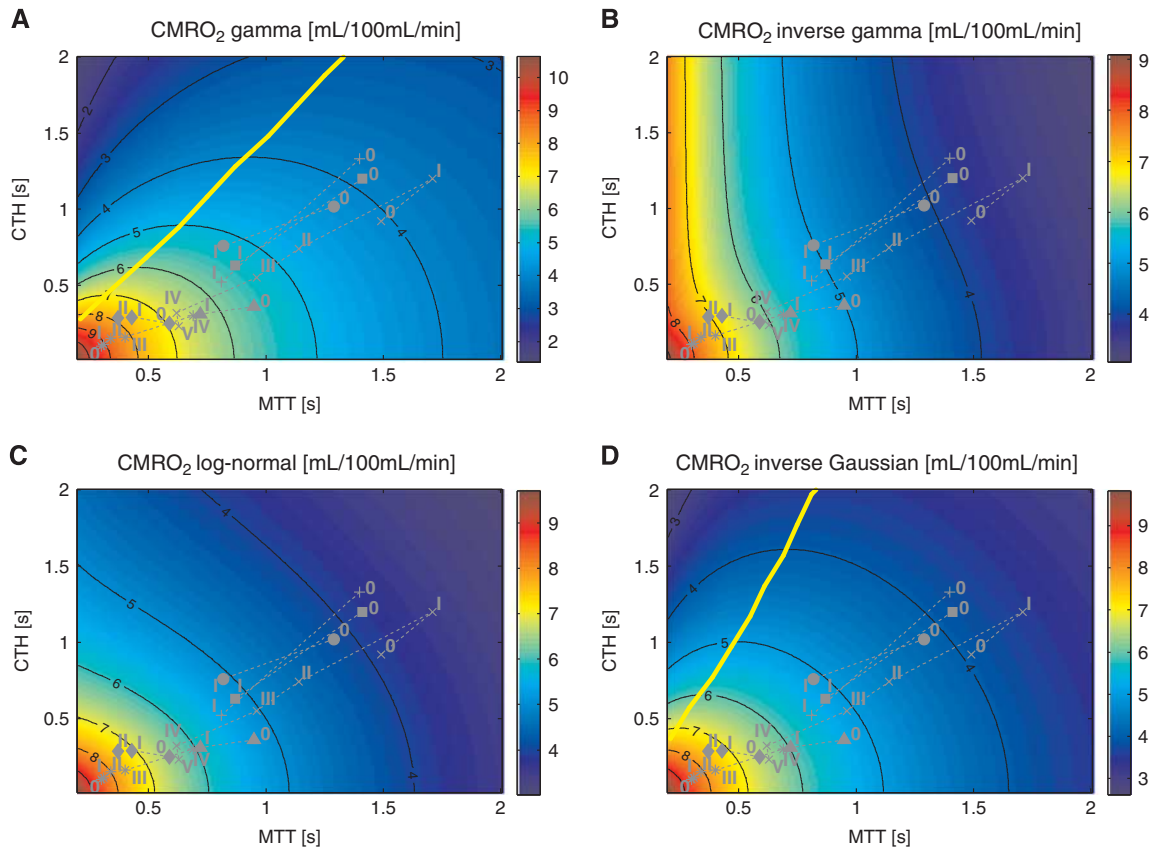
In this study, we refined the extended BKCR model<sup>13</sup> to include oxygen metabolism using Michaelis–Menten kinetics, and tested its robustness to the underlying capillary transit time distribution.

**Figure 4.** Model of the effects of transit time and capillary transit time heterogeneity ( $CTH$ ) on oxygen extraction ( $CMRO_2$ ). Contour plot of  $CMRO_2$  (**A**) for a given mean transit time ( $MTT$ ) and  $CTH$ . The corresponding tissue oxygen tension (**B**) has been computed assuming that all capillaries have the same volume. (**C**) Shows  $CMRO_2$  under the original model assumptions. (**A**)  $CMRO_2$  map, assuming oxygen metabolism to be governed by Michaelis–Menten kinetics, with parameters  $K_M = 2.71$  mm Hg ( $3.5 \mu\text{mol/L}$ ) and  $v_{max} = 4.75$  mL/100 mL per minute. (**C**)  $CMRO_2$  map obtained without explicit oxygen metabolism and with tissue oxygen tension assumed to be fixed and equal to 25 mm Hg. ( $MTT$ ,  $CTH$ ) values obtained in the range of physiologic conditions are also shown, and refers to conditions listed in Table 2. The capillary transit time distribution is assumed to follow a gamma variate function. The yellow line and the dotted gray line in the three different panels separate states where a flow increase given a fixed  $CTH$  will lead to an increased (right side of the line) or decreased (left side of the line) oxygen consumption (**A** and **C**) and tissue oxygen tension (**B**), respectively. The roman numeral accompanying each symbol identifies the corresponding physiologic data in Table 2. Symbols: x: cortical electrical stimulation;<sup>9</sup> +: functional activation;<sup>10</sup> \*: hypotension;<sup>40</sup>  $\Delta$ : mild hypoxemia;<sup>11</sup>  $\diamond$ : severe hypercapnia;<sup>11</sup>  $\bullet$ : Mild hypercapnia;<sup>7</sup>  $\square$ : Severe hypoxemia.<sup>12</sup>

The first main finding of our study is that the explicit incorporation of tissue metabolism by a Michaelis–Menten term tends to increase tissue oxygen tension and reduce the  $CMRO_2$  increase that can be supported for a given  $CBF$  compared with the original model in which  $P_tO_2$  was assumed to be constant. The second main finding is that the relation between  $MTT$ ,  $CTH$ , and  $CMRO_2$  is robust across transit time distributions, and hence the malignant  $CTH$  phenomenon appears to be an inherent property of the heterogeneity of capillary transit times for some combinations of  $MTT$ ,  $CTH$ , for which a higher  $CBF$  leads to a decreased oxygen consumption.

It is generally accepted that relative  $CBF$  responses are larger than the relative increases in oxygen metabolism they support. Reported ratios—known as the neurovascular coupling index—range between 2 and 10,<sup>24</sup> and some have even observed activations without increases in  $CMRO_2$ .<sup>25</sup> The physiologic underpinnings of this wide range are poorly understood, but may imply that  $CBF$  responses are driven by factors other than oxygen demand.<sup>26</sup> Buxton<sup>23</sup> reviewed a range of studies and reported coupling indices in the range from 1.5 to 5 with a clear tendency for fMRI studies to find lower values than PET, suggesting that methodological issues are also involved.<sup>23</sup> Our model predicted neurovascular coupling index ranging from approximately 7 to 15, compared with 1.5 to 2.2 in the original model. We believe the high ratios predicted by the new model relate to the Michaelis–Menten approximation in describing the kinetics of oxygen metabolism. In particular, the high degree of saturation in oxygen consumption already in the resting state ( $CMRO_2 = 0.8 \times v_{max}$  at rest) combined with the fixed value for the parameters  $v_{max}$  and  $K_M$  inherently limits how much the rate of oxygen metabolism can increase. We examined how  $CMRO_2$  and  $P_tO_2$  changes depend on  $v_{max}$ : a 10% increase in  $v_{max}$  during activation would be enough to yield an increase in  $CMRO_2$  twice as large (i.e., coupling index only half as large), compared with the case where  $v_{max}$  is considered to be constant. We discuss the appropriateness of Michaelis–Menten kinetics further below.

Our model predicts  $P_tO_2$  on the basis of tissue metabolism and oxygen supply as determined by local hemodynamics. Similar to the  $CMRO_2$  iso-contours, the  $P_tO_2$  iso-contours are bell shaped, and their slopes become zero at places when using the gamma and inverse Gaussian distribution to model capillary transit times.  $CBF$  increases can therefore, in theory, be accompanied by a decrease in  $P_tO_2$  for some combinations of  $CBF$  and  $CTH$ . Note that



**Figure 5.**  $CMRO_2$  maps under the original model assumptions<sup>13</sup> but with different transit time distribution. (A to D) assume a gamma, inverse gamma, log-normal, and inverse Gaussian distribution, respectively. Tissue oxygen tension is fixed at 25 mm Hg. The upper left map is similar to the one obtained in the original model. The yellow line refers to the malignant  $CTH$  state. See legend of Figure 4 for the details concerning the symbols.  $CMRO_2$ , oxygen consumption;  $CTH$ , capillary transit time heterogeneity.

the shape of  $P_tO_2$  iso-contours differs from those of  $CMRO_2$ ; Therefore, our model predicts that  $CMRO_2$  may increase although  $P_tO_2$  decreases, and vice versa. We note from Figure 7 that for realistic ( $MTT, CTH$ ) states,  $P_tO_2$  changes can be used as a proxy for parallel changes in  $CMRO_2$  as they vary in the same manner. Moreover, Figures 4 and 6 show that while the contrary is not always true, a decrease in tissue oxygen tension when  $CBF$  is increased always corresponds to a malignant  $CTH$  state. Dynamic recordings of  $P_tO_2$ , cerebral perfusion pressure, and carotid flow velocities are routinely monitored after traumatic brain injury, and interventions that reduce  $P_tO_2$  are typically discouraged on grounds that findings of low  $P_tO_2$  in these patients carries a poor prognosis.<sup>27</sup> Our model predicts that reductions in  $P_tO_2$  may, in fact, improve oxygen extraction and thus  $CMRO_2$  by increasing blood–tissue concentration gradients in cases where  $CTH$  is disturbed by edema and elevated intracranial pressure, and we speculate that our model may prove useful in future interpretation of dynamic autoregulation data as part of neurointensive care—see Østergaard *et al*<sup>27</sup> for further discussions.

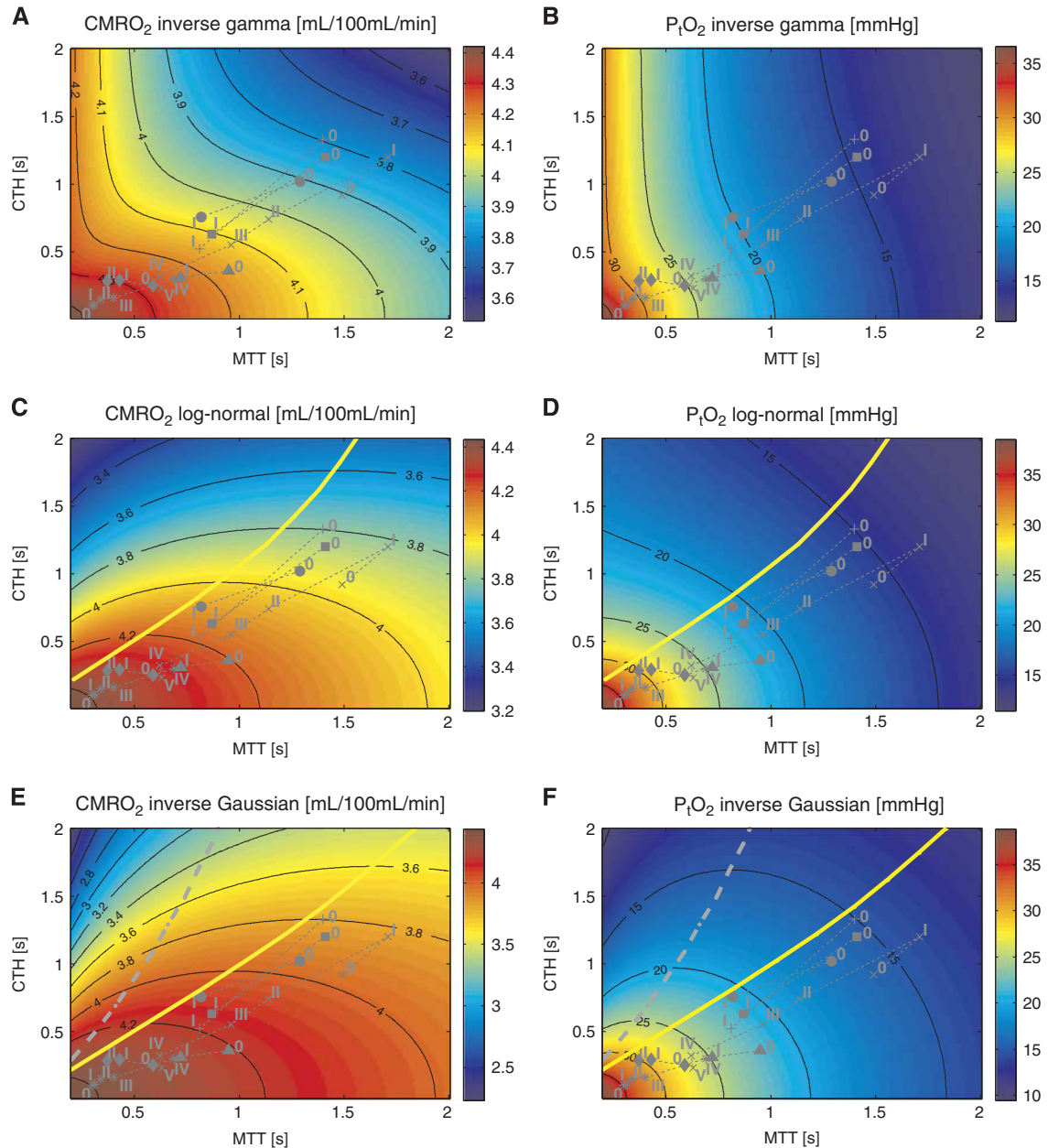
During activation, the ratio  $\frac{\Delta CBF / CBF_0}{\Delta P_tO_2 / P_tO_2}$  which reflects the relation between changes in  $CBF$  and tissue oxygen tension, was predicted by our model to be less than 2 (see Table 2). It is inherently difficult to measure oxygen tension in tissues, and a large range of values have been reported in the literature—see review by Ndubizu and LaManna.<sup>18</sup> Furthermore, increases in  $P_tO_2$  during functional activation seemingly depend on the nature of the stimulation.<sup>28</sup> Nevertheless, our model predictions are consistent with data recorded during stimulation of transcallosal<sup>28</sup> and parallel<sup>29</sup> fibres, and during forepaw stimulation<sup>30</sup> in rat brain,

where the aforementioned ratios were less than 2. Other studies have reported smaller  $P_tO_2$  changes during activation or stimulation, however—see review by Buxton.<sup>23</sup> Again, the choice of Michaelis–Menten kinetics to describe tissue metabolism lead us to predict relatively large increases in  $P_tO_2$  for a given increase in  $CBF$ . Increasing  $v_{max}$  by 10% would thus decrease the ratio above by one-third, and a 20% increase in  $v_{max}$  even more. See discussions below.

#### Robustness of the Model to the Choice of Transit Time Distribution

We implemented and tested several transit time distributions to assess the robustness of our model and to investigate the extent to which its predictions depend on the assumed, analytical distributions. For states related to activation, no  $CMRO_2 / P_tO_2$  differences larger than 2%/4% were observed when using the gamma, log-normal, or inverse Gaussian distributions to distribute capillary flow patterns. The differences between control state and activation were larger when using the inverse gamma distribution. By definition, the inverse gamma distribution corresponds to the distribution of the reciprocal of a variable distributed according to the gamma distribution. In this context, this means that if the transit time is assumed to follow an inverse gamma distribution, then flow velocity (note that, with constant capillary volume, blood flow velocity is inversely proportional to its transit time) is implicitly assumed to follow a gamma distribution. This seems to be in contradiction with literature that assumes,<sup>20–22</sup> reports<sup>10</sup> or explain theoretically<sup>31</sup> that the transit time (but not the speed) follows a gamma variate function. At least in the case of low relative dispersion values (typically smaller than 1), many





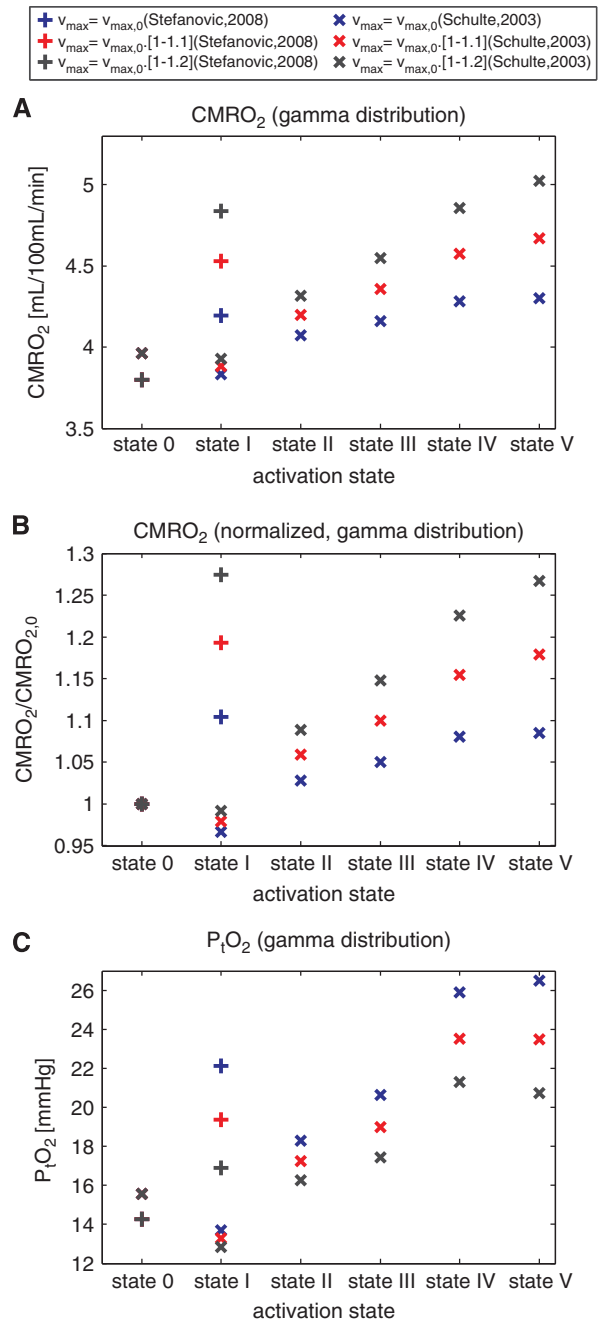
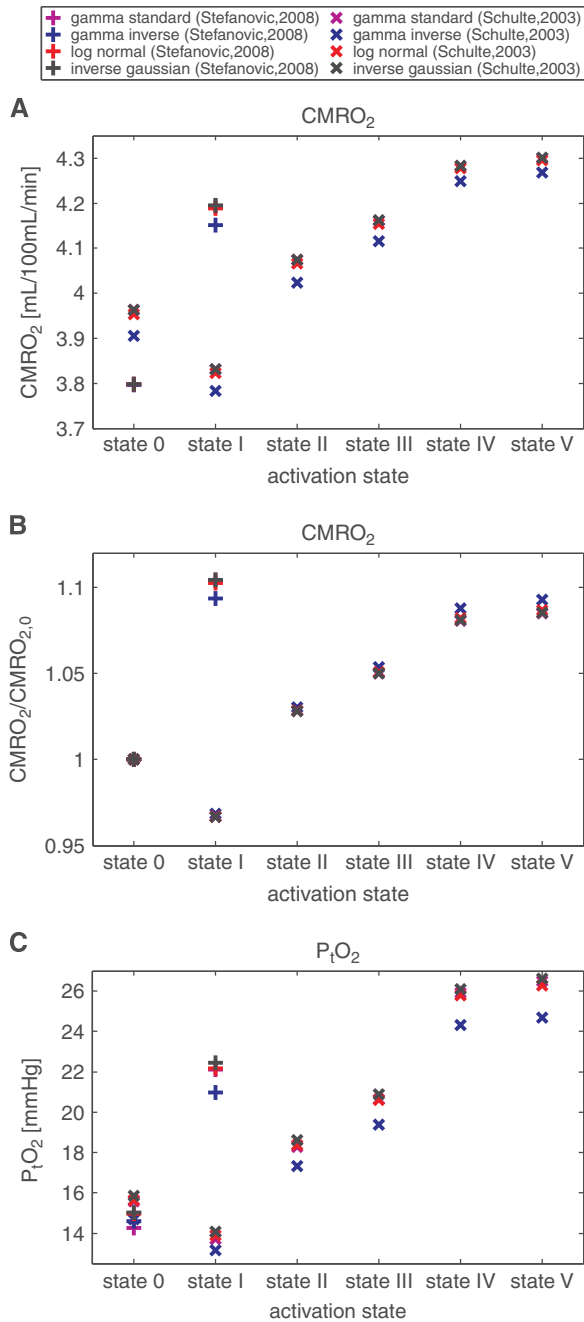
**Figure 6.**  $CMRO_2$  maps assuming oxygen metabolism to be governed by Michaelis–Menten kinetics, with parameters  $K_M=2.71$  mm Hg ( $3.5 \mu\text{mol/L}$ ) and  $v_{\text{max}}=4.75$  mL/100 mL per minute. (A, C, and E) on the left show oxygen consumption assuming an inverse gamma (A), log-normal (C), and inverse Gaussian (E) transit time distribution. (B, D, and F) on the right show the corresponding tissue oxygen tension, assuming that all capillaries have the same volume. The yellow and grey lines refer to the malignant CTH state. See legend of Figure 4 for the details concerning the symbols.  $CMRO_2$ , oxygen consumption; CTH, capillary transit time heterogeneity.

experimental studies report a good fit for the transit time histogram to a gamma distribution. Among the three other different distributions tested, the log-normal and inverse Gaussian distributions are more similar to the gamma distribution than the inverse gamma distribution. Further experimental studies are necessary to determine the appropriate capillary flow distribution(s) in states of large relative dispersion.

#### The Malignant CTH Condition

Perhaps the most surprising property of the original extended flow–diffusion model is its prediction that CTH can become so high that increases in CBF no longer improves tissue oxygenation

—or even reduces it. Although this property is inherent to the nonlinear flow–diffusion relation for individual capillaries (Figure 2 in Jespersen and Østergaard<sup>13</sup>), the phenomenon might not occur for realistic transit time distributions. We tested several distributions here with the purpose of ruling out that the malignant CTH effect presented in the original model was not related to the  $\tau \rightarrow 0$  divergence of the gamma variate function when the relative dispersion is larger than one, but more to the rate at which the OEF decreases as the relative dispersion increases. None of the three distributions we used here displays such divergence, and it should be kept in mind that the distributions describe the fraction of the flow, rather than the fraction of capillaries, with a given



**Figure 7.**  $CMRO_2$  in different physiological conditions listed in Table 2, using several transit time distributions and assuming oxygen metabolism to be governed by Michaelis–Menten kinetics, with parameters  $K_M = 2.71$  mm Hg ( $3.5 \mu\text{mol/L}$ ) and  $v_{max} = 4.75$  mL/100 mL per minute. (A)  $CMRO_2$  depending on the physiological conditions. (B) Shows the same data with normalized values with respect to the  $CMRO_2$  baseline value (state 0). This allows to compare the activation amplitudes assuming one or the other distribution. (C) Shows tissue oxygen tension for the same physiological conditions. Roman numerals on the abscissa refer to the physiologic conditions in Table 2. Symbols: (+) refers to the data from Stefanovic *et al*;<sup>9</sup> (x) refers to the data from Schulte *et al*.<sup>9</sup>

**Figure 8.**  $CMRO_2$  in different physiologic conditions listed in Table 2, assuming a gamma transit time distribution and oxygen metabolism to be governed by Michaelis–Menten kinetics, with parameters at baseline states  $K_M = 2.71$  mm Hg ( $3.5 \mu\text{mol/L}$ ) and  $v_{max} = 4.75$  mL/100 mL per minute.  $v_{max}$  is assumed to be constant (black), to be increased progressively of 10% (red) and 20% (blue) from baseline condition to state I (+) or state V (x). (A)  $CMRO_2$  depending on the physiologic conditions. (B) Shows the same data with normalized values with respect to the  $CMRO_2$  baseline value (state 0). (C) Shows  $P_tO_2$  using the same data as previously. Roman numerals on the abscissa refer to the condition detailed in Table 2. Symbols: (+) refers to the data from Stefanovic *et al*,<sup>10</sup> and (x) refers to the data from Schulte *et al*.<sup>9</sup>

transit time. In other words, the probability density function  $h$  that we use describes the probability for a blood particle in the network—not for the particles in a capillary—to have a given transit time. Therefore, the contribution (to the total flow) of each

capillary with transit time between  $\tau$  and  $\tau + d\tau$  is weighted by its flow and there is hence no physical requirement for the distribution  $h$  to converge toward zero as the transit time tends to zero.

Malignant CTH is predicted to occur if CTH is elevated, and remains constant during an increase in CBF.<sup>13</sup> On the basis of a vascular model inspired from the work of Boas and colleagues,<sup>32</sup> we have observed that a blood flow increase through a passive microvascular network with realistic capillary compliances leads to a CTH reduction, so that the relative dispersion,  $CTH:MTT$ , remain almost constant. In fact, this ratio remains constant for any network topology when capillary compliance is neglected (Results not shown). Because of these properties, network topology and/or resistance must be severely disturbed for CTH to remain constant while flow increases, and hence to cause malignant CTH. In normal brain, the relative dispersion is close to constant during various stimuli, as shown by the *in vivo* data listed in Table 2. In Figure 4, we notice that a straight line passing through the origin corresponds to constant relative dispersion. Given that CTH and MTT appear to co-vary in this manner, we propose that relative dispersion,  $CTH:MTT$ , rather than CTH, should be used when comparing two different capillary networks. This quantity is predicted to be more sensitive to their topological differences than CTH, which depends on the mean tissue flow.

#### Michaelis–Menten Parameter Values

The neurovascular coupling indices predicted by our model agreed better with experimental data if we assumed  $v_{max}$  to increase during enhanced oxidative metabolism. Such an increase may at first appear counterintuitive by implying that the maximum capacity of tissue to metabolize oxygen increases 'on demand' during functional activation.

In humans, mitochondrial respiratory capacity is generally thought to exceed the maximum metabolic rate of tissue.<sup>33</sup> Although this property has mainly been studied in muscle,<sup>33</sup> we speculate that it applies to brain as well. Jones<sup>34</sup> pointed out that mitochondria in cerebral tissues are organized in clusters, giving rise to micro-heterogeneity in the magnitude and location of oxygen concentration gradients at the cellular scale. Accordingly, mitochondria experience different oxygen tensions, contrary to the implicit assumptions of the Michaelis–Menten kinetics applied here. We speculate that both this micro-heterogeneity, and the heterogeneity of oxygen tensions across the capillary bed caused by their heterogeneous flow distribution, would give rise to an apparent increase in  $v_{max}$  during activation: Although some mitochondria might reach saturation during episodes of enhanced oxidative metabolism, others are likely to be exposed to higher oxygen concentrations than during rest and contribute more toward net tissue metabolism, giving rise to an apparent recruitment of additional mitochondria.

Reported values for the Michaelis–Menten constant  $K_M$  range from 0.5  $\mu\text{mol/L}$  to 1  $\mu\text{mol/L}$  (0.4 mm Hg to 0.8 mm Hg) in most studies, but sometimes vary even more from one study to another. The reported values are generally  $K_M$  values in the mitochondrial compartment, often observed *in vitro* in closed systems, and often taken from muscle or liver cells. The value of  $K_M$  is directly related to the substrate's affinity for an enzyme and therefore affects both substrate concentration and the rate of metabolism. Oxygen tension close to mitochondria is likely to be significantly lower than closer to the capillary. On the basis of PET measurement of CBF and  $CMRO_2$ , Gjedde *et al*<sup>35</sup> predicted a fourfold difference between tissue oxygen tension close to the capillary and in the mitochondria, respectively. By a similar approach, Bailey *et al*<sup>36</sup> obtained a smaller gradient, and Leithner and Royl<sup>24</sup> have suggested that great variability between cerebral tissue types could account for the difference in metabolic rate and oxygen capillary density.<sup>34</sup> In our model, we consider a single membrane/interface (from the plasma to the tissue), where oxygen is well stirred. As a result, there is no oxygen gradient from the blood–brain barrier to mitochondria, and the oxygen concentration at the site of conversion is artificially large. The apparent  $K_M$  value

should thus be proportionately increased to compensate for this effect. For these reasons, we chose an apparent  $K_M$  value equal to 2.71 mm Hg, which is approximately fourfold higher than values classically reported: with this  $K_M$  value, tissue oxygen tension at rest does not limit oxidative metabolism (as it has been calibrated to be 80% of its maximum value at rest), but states of lower oxygen tension could limit it.

#### Diffusion Distance

We assumed negligible oxygen transfer among capillaries. The validity of this assumption depends on the oxygen diffusion distance in the tissue, and is valid only if this distance is sufficiently small so that oxygen diffusion is limited to the tissue immediately surrounding it. No direct measurement has been made to determine this diffusion distance, but on the basis of  $CMRO_2$  and  $P_tO_2$ , the diffusion distance for oxygen is estimated to be approximately 50  $\mu\text{m}$ , close to the generally accepted intercapillary distance in brain tissue. It is therefore likely that tissue oxygen tension at a given location is influenced primarily by the rate of incoming oxygen from the nearest capillary, and to a certain extent, from the second nearest capillary; and that the rate of oxygen coming from further capillaries is likely to have a negligible contribution. The incorporation of contributions from capillaries further away into our model would require the introduction of a new parameter, as well as additional assumptions to describe how capillaries with different transit times are distributed in space. For example, oxygenation would be highly dependent on whether capillaries with similar transit times are more likely to be nearby or not. This parameter would be hard to adjust, as we do not have access to the experimental data to inform such models yet.

#### Future Work

In the current extended BKCR model, net oxygen transport across the capillary membrane is assumed to be proportional to the oxygen gradient, but differences in oxygen solubility in the plasma and in tissue was neglected. Moreover, we assumed a linear relation between tissue oxygen tension and the quantity of oxygen in tissue. In future work, we would like to include nonlinear binding to neuroglobin<sup>37</sup> in the parenchyma, as well as additional physiologic effects that are known to affect oxygen binding to hemoglobin, such as pH and  $\text{CO}_2$  level (the Bohr effect).

The investigation of capillary blood flow and its physiologic regulation is an active area of research. Recently, effort has been made to measure *in vivo* red blood cells transit time characteristics, and some techniques allow to get these measurements over a large number of capillaries located at different depths at the same time.<sup>38</sup> We hope to apply our model to such measurements, or to transit time characteristics obtained by perfusion techniques,<sup>39</sup> as we feel it would provide a new insight into the significance of microcirculation in health and disease.

We tested our model assuming that the local maximum metabolic rate of oxygen  $v_{max}$  increased during enhanced oxidative metabolism. For future work, one might test an alternative scenario in which  $v_{max}$  would be kept constant but redistributed at the microscopic level, either according to capillary transit times or independently, rather than being identical for all capillaries as assumed here. This approach could account specifically for the apparent mitochondria recruitment that we discussed above.

#### CONCLUSION

In this model, we chose to follow an approach similar to that used by Jespersen and Østergaard,<sup>13</sup> based on observable properties of red blood cells transit time characteristics. We presented a new model by adding a single extra parameter, allowing to incorporate explicitly oxygen metabolism and hence using a more realistic

description of oxygen extraction. We showed in particular that, when CBF increases, increases in  $CMRO_2$  are found to be smaller in the new model than in the original model. This results in neurovascular coupling in better agreement with experimental data, especially when the maximum metabolic rate of oxygen  $v_{max}$  slightly increases. Although malignant CTH state does not occur with the inverse gamma distribution, we showed that for the other distributions we used, the expected tissue oxygen tension and oxygen consumption are largely insensitive to the particular choice of distribution, especially when considering physiologic values. Furthermore, we emphasized the importance of capillary blood flow heterogeneity when considering oxygen delivery, and showed that, under the new model assumptions, a blood flow increase fails to cause significant improvements in tissue oxygen tension or oxygen consumption for large CTH values, supporting the conclusions of the original model.

## DISCLOSURE/CONFLICT OF INTEREST

The authors declare no conflict of interest.

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