# Systematic Review of Clinical Studies Examining Biomarkers of Brain Injury in Athletes after Sports-Related Concussion

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# Abstract

The aim of this study was to systematically review clinical studies examining biofluid biomarkers of brain injury for concussion in athletes. Data sources included PubMed<sup>®</sup>, MEDLINE<sup>®</sup>, and the Cochrane Database from 1966 to October 2013. Studies were included if they recruited athletes participating in organized sports who experienced concussion or head injury during a sports-related activity and had brain injury biomarkers measured. Acceptable research designs included experimental, observational, and case-control studies. Review articles, opinion papers, and editorials were excluded. After title and abstract screening of potential articles, full texts were independently reviewed to identify articles that met inclusion criteria. A composite evidentiary table was then constructed and documented the study title, design, population, methods, sample size, outcome measures, and results. The search identified 52 publications, of which 13 were selected and critically reviewed. All of the included studies were prospective and were published either in or after the year 2000. Sports included boxing (six studies), soccer (five studies), running/jogging (two studies), hockey (one study), basketball (one study), cycling (one study), and swimming (one study). The majority of studies (92%) had fewer than 100 patients. Three studies (23%) evaluated biomarkers in cerebrospinal fluid (CSF), one in both serum and CSF, and 10 (77%) in serum exclusively. There were 11 different biomarkers assessed, including S100 $\beta$ , glial fibrillary acidic protein, neuron-specific enolase, tau, neurofilament light protein, amyloid beta, brain-derived neurotrophic factor, creatine kinase and heart-type fatty acid binding protein, prolactin, cortisol, and albumin. A handful of biomarkers showed a correlation with number of hits to the head (soccer), acceleration/deceleration forces (jumps, collisions, and falls), postconcussive symptoms, trauma to the body versus the head, and dynamics of different sports. Although there are no validated biomarkers for concussion as yet, there is potential for biomarkers to provide diagnostic, prognostic, and monitoring information postinjury. They could also be combined with neuroimaging to assess injury evolution and recovery.

Key words: biomarkers; concussion; sports; systematic review; traumatic brain injury

# Introduction

**C**ONCUSSION is also known as mild traumatic brain injury (TBI) and is an unfortunately common occurrence in athletes. Diagnosis of concussion acutely depends on a variety of measures, including neurological examination, neuropsychological evaluation, and neuroimaging. Neuroimaging techniques, such as computed tomographic scanning (CT scan) and magnetic resonance imaging (MRI) are used to provide objective information. However, CT scanning has low sensitivity to diffuse brain damage and confers exposure to radiation. MRI can provide information on the extent of diffuse injuries, but its widespread application is restricted by cost, availability, and its yet undefined role in management of mild TBI (mTBI).<sup>1,2</sup> Moreover, conventional neuroimaging techniques and neuropsychological tests often fail to adequately detect injury, in particular, the recognition of diffuse axonal injury, also known as traumatic axonal injury.<sup>3</sup> There are promising new neuroimaging techniques being examined that include functional MRI, diffusion tensor imaging, magnetic resonance spectroscopy, and positron emission tomography.<sup>4–15</sup> However, the role of these techniques in the clinical management of concussion has not yet been established.<sup>16</sup>

Research in the field of TBI biomarkers has increased exponentially over the last 20 years,<sup>17,18</sup> with most of the publications on the topic of TBI biomarkers occurring in the last 10 years.<sup>18–20</sup> Accordingly, studies assessing biomarkers in TBI have looked at a number of potential markers that could lend diagnostic, prognostic, as well as monitoring information. Early and tailored management of athletes after a concussion would provide them with the best

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opportunity to avoid further injury. Early detection of concussion would be invaluable given that individuals with concussion are acutely at risk for bleeding and axonal injury<sup>21,22</sup> and long term can suffer impairment of physical, cognitive, and psychosocial functioning.<sup>23–27</sup> Repeated episodes of mTBI can lead to chronic traumatic encephalopathy (CTE), a term used to describe clinical changes in cognition, mood, personality, behavior, and/or movement occurring years after concussion.<sup>28,29</sup> With the growing incidence of CTE among athletes, strategies that reduce the risk of becoming injured need to be developed and diagnostic tools that could identify injuries earlier need to be explored.

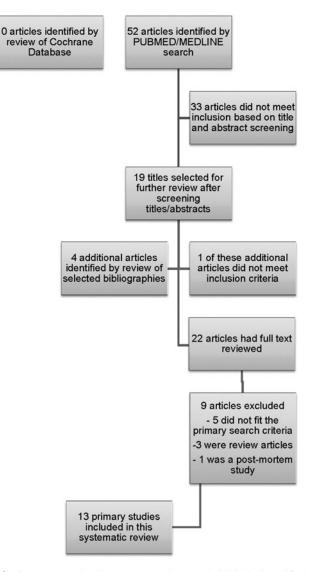
This systematic review will review the current literature on biofluid biomarkers of brain injury in athletes after sports-related concussion and discuss their potential role.

#### Methods

A literature search of PubMed<sup>®</sup>, MEDLINE<sup>®</sup>, and the Cochrane Database from 1966 to October 2013 was conducted using the MESH search terms athletes, concussion, sports, sports-related, traumatic brain injury, head injury, and biomarkers. Other terms also searched included biochemical markers, neuronal/glial/axonal injury, traumatic intracranial lesions, and expansions of these terms to match synonyms, subterms, or derivatives. These terms were searched in all fields of publication (e.g., title, abstract, and keyword). The search was limited to the English-language articles, clinical "human" studies, and studies that included athletes participating in organized sports. Studies were included if they recruited athletes participating in organized sports who experienced concussion or head injury during a sports-related activity and had brain injury biofluid biomarkers measured. Articles that did not include athletes or did not measure brain-related biofluid biomarkers as a primary focus were excluded. In addition, the bibliographies and reference lists of all articles and all review articles were evaluated for other potentially relevant articles. Acceptable study designs included experimental studies, observational studies, and case-control studies. Review articles, opinion papers, and editorials were excluded. The abstracts of the publications were screened for relevance, and in case of uncertainty regarding the inclusion, the entire text of the article was read. Studies were defined as prospective or retrospective according to whether the method of data collection and the endpoints were defined before patient enrollment began. The full texts of the articles were then pooled and reviewed by two different authors to identify articles that met inclusion criteria. Once the relevant articles were selected, they were reviewed using a standard review form. The review forms allowed the reviewers to objectively assess the content of each article in a consistent fashion. A composite evidentiary table was then constructed. The evidentiary table included the internal identification number, design type, study methods, focus of the article, sample size, TBI severity, biofluid source, collection schedule, clinical variables assessed, outcome measures, results, and conclusions.

# Results

The search initially identified 52 articles. Nineteen publications were then selected on the basis of the title and abstract screening. Inclusion criteria were applied to the full text of 19 articles. A review of the bibliographies and reference lists identified an additional four potential articles that had a full text review. In total, 23 (19+4) articles underwent a full text review and 13 of these met all selection criteria and were included in the systematic review. Details of the study selection process are outlined in Figure 1. Studies that were screened, but not included in the review, can be found in Appendix 1. Each of the 13 studies was critically reviewed by at least two investigators using a standard review form. There were no



**FIG. 1.** Study selection process. The search initially identified 52 articles. Nineteen publications were then selected on the basis of the title and abstract screening. A review of the bibliographies and reference lists identified an additional four potential articles that had a full text review. In total, 23 (19+4) articles underwent a full text review and 13 of these met all selection criteria and were included in the systematic review.

randomized, clinical control studies identified. All of the included studies were prospective and were published either in or after the year 2000. Eight (62%) of the studies utilized control populations. The range in sample size was from 16 to 200. Over 92% of the included studies had fewer than 100 patients. There were three studies (23%) that evaluated biomarkers in cerebrospinal fluid (CSF), one that evaluated both serum and CSF, and 10 (77%) that evaluated serum exclusively.

The age range of subjects in the selected articles was 11–52 years. Seven studies included studies that included both adults and children (defined as younger than 18 years); however, only two studies included subjects younger than 17 years. The performance of the biomarkers in the majority of these studies spanned across ages and did not specifically reflect performance of the biomarker in specific age groups. The evidentiary table (Table 1) summarizes the methods from the included studies and describes the biomarkers

Studies primarily	Studies primarily measuring biomarkers in serum	serum				
Year/ author	Sample size	Sport	Ages (years)	Type of fluid and biomarker	Sample time points and collection times	Outcome measure
2000 Otto	Total, 84 25 amateur boxers 11 runners (25-km race) 12 joggers 12 sprinters 12 sprinters 12 cyclists 12 soccer players (headers only)	Boxing, running, sprinting, cycling, soccer	Boxers 17–40 (median, 20) Runners-25km 20–44 (median, 32) Joggers 23–52 (median, 30) Sprinters 25–52 (median, 30) Cyclists 23–52 (median, 29) Soccer players 20–52 (median, 26)	Serum S100B	2 sample time points Pre- and postsport (within 15 min)	Effect of different sports on levels of S100B Pre- and postsport biomarker levels
2003 Dietrich	Total, 16 swimmers	Swimming	17–41 (mean, 25)	Serum S100B, prolactin	2 sample time points Presport (24h before) and postsport (within 15 min)	Pre- and postsport biomarker levels
2003 Mussack	Total, 200 61 heading 58 no-heading soccer players 81 trauma patients with TBI	Soccer	12–17 (median, 15) TBI/trauma, 27–61	Serum S100B	<ul> <li>3 sample time points For soccer: First sample: presoccer training Second sample: postsoccer (60 min) Third sample: postsoccer (360 min) For TBI First sample: 64 and 355 min Second/third samples: 65 and 366 min</li> </ul>	Pre- and postsport biomarker levels Changes in biomarker over time Biomarker levels in sports versus accidental trauma
2003 Stalnacke	Total, 44 26 hockey players 18 basketball players	Hockey, basketball	Hockey (mean, $28 \pm 4$ ) Basketball (mean, $25 \pm 4$ )	Serum S100B, NSE	2 sample time points Presport (1–2 h before) and postsport (within 1 h)	Pre- and postsport biomarker levels Number of acceleration/ deceleration events RPSQ
2004 Hasselblatt	2004 Hasselblatt Total, 18 runners	Marathon running	31–47 (mean, 39±8)	Serum S100B, GFAP, CK	5 sample time points Pre- and postrace at 0, 1, 3, and 20h after	Pre- and postsport biomarker levels Comparison to other markers
2004 Stalnacke	Total, 28 soccer players	Soccer	21–31 (mean, 26±5)	Serum S100B, NSE	2 sample time points Presoccer (1–5 h before) and postsoccer (immediately)	Pre- and postsport biomarker levels Correlation to headers and other trauma events RPSQ (24-48 h post)

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TABLE 1. EVIDENCE TABLE SUMMARIZING STUDIES ASSESSING BIOMARKERS IN ATHLETES

(continued)

TABLE 1. (CONTINUED)

Studies primarily measuring biomarkers in serum

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Year/ author	Sample size	Sport	Ages (years)	Type of fluid and biomarker	Sample time points and collection times	Outcome measure
2006 Stalnacke	Total, 44 soccer players	Soccer	Mean, 23±3	Serum S100B, NSE	2 sample time points Pre- and postsoccer (immediately)	Pre- and postsport biomarker levels Effect of other traumatic events (jumps, collisions, falls)
2009 Zetterberg	Total, 67 44 boxers 23 controls	Boxing	Boxers, 17–28 (median, 19) Controls, 19–50 (median, 28)	Serum S-100B, BDNF, h-FABP, GFAP, and NSE	2 sample time points Pre- and postboxing (after 2 months of no boxing activity)	Athletes vs. controls Chronic biomarker levels
2011 Graham	Total, 16 boxers divided Boxing into two groups Punches to head (PTH) Punches to body (PTB)	Boxing	PTH, 11–29 (mean 18±5) PTB, 16–24 (mean, 19±3)	Serum S100B, NSE, CK, cortisol	2 sample time points Preboxing (1 h before) and postboxing (after 5 min)	Pre- and postsport biomarker levels Effects of PTH versus PTB on biomarkers
2013 Neselius	Total, 55 30 boxers 25 controls	Boxing	Boxers, 17–34 (mean, 22) Controls, 17–30 (mean, 22)	Serum S100B, GFAP, BDNF, A $\beta$ 1-42, Tau	2 sample time points Postboxing (after 1–6 days and again after 14 days without any boxing) Controls once	Athletes vs. controls Subacute biomarker levels
Studies primaril	Studies primarily measuring biomarkers in CSF	CSF				
2006 Zetterberg	Total, 24 14 boxers 10 controls	Boxing	Boxers, 22±3.8 Controls, 30±6.3	CSF NFLP, T-tau, GFAP, P-tau, $\beta$ amyloid protein	2 sample time points Postboxing (after 7–10 days and again after 3 months without any boxing) Controls once	Athletes vs. controls Chronic biomarker levels
2007 Zetterberg	Total, 33 23 soccer players 10 controls	Soccer	Soccer players with 10 approved headings, 19–32 (median, 26) Soccer players with 20 approved headings, 20–28 (median, 23)	Serum S100B, albumin CSF NFLP, T-tau, GFAP, S100B, albumin	1 sample time point Postsoccer headings (after 7–10 days)	Athletes vs. controls Correlation to number of headings
2012 Neselius	Total, 5 30 olympic boxers 25 controls	Boxing	Boxers, 17–34 (mean, 22) Controls, 17–30 (mean, 22)	CSF NFL, GFAP, T-tau, P-tau181, $A\beta$ 1-42, S100B, h-FABP	2 sample time points Postboxing (after 1–6 days and again after 14 days without any boxing) Controls once	Athletes vs. controls Subacute biomarker levels
$A\beta 1.42$ , amyloid $\beta 1.42$ ; $A\beta 1.40$ , FABP, heart-type fatty acid binding injury; TNF, tumor necrosis factor.	d $\beta$ 1-42; A $\beta$ 1-40, amyloid $\beta$ 1- fatty acid binding protein; NS r necrosis factor.	40; BDNF, brain-de E, neuron-specific (	Aβ1 42, amyloid β1-42; Aβ1-40, amyloid β1-40; BDNF, brain-derived neurotrophic factor; CK, creatine kinase; CSF, cerebrospinal fluid; GFAP, glial fibrillary acidic protein; NFL(P), neurofilament light protein; h- FABP, heart-type fatty acid binding protein; NSE, neuron-specific enolase; P-tau, phosphorylated tau at threonine; RPSQ, Rivermead Post-Concussion Symptom Questionnaire; T-tau, total tau; TBI, traumatic brain injury; TNF, tumor necrosis factor.	nase; CSF, cerebrospinal fluid; GFA conine; RPSQ, Rivermead Post-Con-	.P., glial fibrillary acidic protein; NFI cussion Symptom Questionnaire; T-	(P), neurofilament light protein; h- tau, total tau; TBI, traumatic brain

that were evaluated in the athletes.<sup>30–42</sup> Sports examined in these studies included boxing (six studies), soccer (five studies), running/ jogging (two studies), hockey (one study), basketball (one study), cycling (one study), and swimming (one study).

There were 11 distinct biomarkers measured in 13 studies, and S100 $\beta$  was the most frequently assessed in 12 studies (92%). Glial fibrillary acidic protein (GFAP) was evaluated in six studies, neuron-specific enolase (NSE) in five studies, tau in four studies, neurofilament light protein (NFL) in three studies, and amyloid beta in three studies. Brain-derived neurotrophic factor (BDNF), creatinine kinase (CK), and heart-type fatty acid binding protein (h-FABP) were each measured in two studies, and prolactin, cortisol, and albumin were each evaluated in one study. Nine studies assessed biomarkers both before and after play or exercise (69%), whereas four studies only evaluated biomarkers afterward (31%). Blood samples were taken at different times postinjury, but all were taken within 3 months postplay or exercise. Time points included baseline levels (11 studies), within 15 min (five studies), 15-60 min (three studies), between 1–24 h (five studies), 24 h to 2 weeks (six studies), and 2-3 months (three studies). Multiple postplay/exercise time points were taken in four different studies. Besides comparing biomarker concentrations pre- and postplay or exercise, other outcome measures included comparison of levels between different sports, comparison of body trauma to head trauma in boxing, results of the Rivermead Post-Concussion Symptom Questionnaire (RPSQ), effect of headers in soccer, comparison to trauma patients, effect of acceleration/deceleration events, and effect of other traumatic events, such as jumps, collisions, and falls. A summary of the results from each of the studies is included in Table 2.

The association between headers in soccer players and biomarkers were assessed in five studies.<sup>30,32,35,36,41</sup> In the article by Otto and colleagues, 12 soccer players performed 20 controlled headers and showed no rise in S100 $\beta$  protein levels. Similarly, controlled headers in studies by Mussack and Zetterberg produced insignificant elevations in S100 $\beta$ .<sup>32,41</sup> However, these headers were performed in a controlled setting where the ball was dropped from a specified height and always impacted the forehead. This is in contrast to a competitive match in which the ball may be traveling faster and with greater force, and may not impact the head on the forehead. Accordingly, Stalnacke and colleagues measured S100 $\beta$ during actual soccer matches and found that S100 $\beta$  levels increased significantly after a game and also correlated with the number of headers.<sup>35,36</sup> There were no significant changes in levels of NSE.

There was only one study that compared biomarker levels in athletes participating in sports versus trauma patients with head injury presenting to the emergency department.<sup>32</sup> A single biomarker (S100 $\beta$ ) was examined in TBI patients versus soccer players. Levels were significantly higher in trauma patients with lesions on CT than trauma patients without lesions. Further, trauma patients with lesions on CT had significantly higher levels than the athletes regardless of whether or not there was heading.

## Discussion

This systematic review of the literature provides a comprehensive summary of the status of brain injury biomarker research in sports and concussion. The study of biomarkers in sports concussion is in its infancy, with the earliest published study in this review in the year 2000. Although there are a number of interesting candidate biomarkers for determining severity of concussion, validation of these markers is lacking. There are a handful of biomarkers showing correlation with number of hits to the head (e.g., headers in soccer), acceleration/deceleration forces (e.g., jumps, collisions, and falls with and without head injury), postconcussive symptoms (PCS), trauma to the body versus the head (e.g., boxing), and the effects/ dynamics of play. Unfortunately, the studies are difficult to combine and compare because the included sports are so different (some have contact, others do not), sample collection times are variable, and the assays used to measure the biomarkers are not uniform.

The most frequently examined biomarker among the studies in this review, and in the TBI literature as a whole, is  $S100\beta$ .  $S100\beta$  is the major low-affinity calcium-binding protein in astrocytes,<sup>43</sup> which helps to regulate intracellular levels of calcium; however, its brain specificity has been questioned. Findings of elevated levels of  $S100\beta$ in athletes participating in noncontact sports without head trauma support the concern about its potential release from other cells, such as chondrocytes and adipocytes.<sup>44,45</sup> In the study by Otto and colleagues, S100 $\beta$  protein rose after running, with no significant difference in levels of S100 $\beta$  between jogging, running, a 25-km race, and boxing, suggesting that  $S100\beta$  was derived from extracranial sources.<sup>30</sup> Similarly, in Dietrich and colleagues' study, swimming increased S100 $\beta$  levels independent of any head trauma.<sup>31</sup>In the study by Hasselblatt and colleagues, both serum S100 $\beta$  and CK concentrations increased significantly after a marathon race and were correlated. As such, S100 $\beta$  levels exceeded those studied in other sports, such as joggers, short-distance runners, basketball players, and ice hockey players observed in other studies.<sup>30,33</sup> This issue has also been observed in the trauma literature in patients with mTBI.<sup>19,46,47</sup>

Tau is an intracellular, microtubule-associated protein that is highly enriched in axons and is involved with assembling axonal microtubule bundles.<sup>48</sup> In 2013, when Neselius and colleagues measured tau in plasma, levels were significantly increased after a bout of Olympic boxing, compared to control levels, and decreased significantly after a rest period. These elevations were in boxers who had no symptoms of concussion. Moreover, in 2012, when CSF levels of tau were examined in this same group of boxers, there was also a significant increase in tau, but there was no correlation between plasma and CSF-tau.<sup>42</sup> There are inconsistencies in the performance of tau (in the form of cleaved-tau, total-tau, and phosphorylated-tau) that are echoed in the trauma literature. Studies assessing tau in CSF in severe TBI have correlated with clinical outcome.<sup>49-54</sup> However, these findings have not held true when measured in peripheral blood<sup>50,55</sup> or in mTBI, where tau is a poor predictor of CT lesions and postconcussion syndrome.<sup>56-59</sup> These inconsistencies could be a result of many factors, including the type, variability, sensitivity, and specificity of the tau assays used, variability in the measurement of outcomes, and the timing of the sample collection.

Permanent neurological impairment is a serious concern for athletes who experience repetitive head traumas given that both concussive and subconcussive blows can be significantly damaging.<sup>16,60,61</sup> Accordingly, we have observed, through this review, that biomarkers can remain elevated even after resting from their sport. Zetterberg and colleagues measured S100 $\beta$  and NSE (found in neuronal cell bodies) after 2 months of nonparticipation in boxing and found that NSE showed a prolonged decay in boxers who were exposed to very frequent, repetitive head trauma during most of the year.<sup>37,40</sup> Similarly, Neselius and colleagues showed that the repetitive head trauma occurring in olympic boxing induced increases in CSF levels of NFL (from neuron cytoskeleton), GFAP (glial origin), T-tau, and S-100B acutely and subacutely (after 14 days without boxing), even without anamnestic or clinical symptoms of a concussion or TBI.<sup>42</sup> A recent study by Shahim and colleagues assessed T-tau, S100B, and NSE in professional ice

TABLE 2. SUMMARY OF THE RESULTS AND FINDINGS FROM EACH OF THE INCLUDED STUDIES

Year/author	Results
2000 Otto	- There was no significant difference between the baseline S-100B levels in the groups <i>boxing</i> , <i>jogging</i> , <i>running</i> , <i>or cycling</i> ( $p = 0.12$ ). Baseline levels of S-100B protein in serum ranged between 10 and 169 ng/L (mean, 35 ng/L; median, 22 ng/L).
	<ul> <li>The increase in S-100B resulting from <i>boxing</i> was significantly higher than that after <i>headers</i> (p &lt; 0.0001), cycling (p=0.0002), and <i>sprinting</i> (p &lt; 0.02).</li> <li>There was no significant difference in the rise between the <i>boxing</i>, <i>jogging</i>, and the 25-<i>km</i> race groups (p=0.27).</li> <li><i>Competitive boxing</i> resulted in significantly higher levels of S-100B than <i>jogging</i> (p &lt; 0.01).</li> <li><i>Competitive boxing</i> and 25-<i>km</i> race resulted in S100B elevations that were NOT significantly different (p=0.9).</li> <li><i>Sparring boxing</i> was not significantly different from <i>jogging</i>, <i>running</i>, or the 25-<i>km</i> race (p=0.21).</li> <li><i>Sprinting</i> caused significantly higher elevations in S-100B than cycling (p=0.021) or <i>headers</i> (p=0.009). There were no difference between <i>cycling</i> and <i>headers</i> (p=0.69).</li> <li>In boxing, S100B correlated with the number and weight of the punches and boxers fighting without head protectors had higher levels of S-100B than those without protectors.</li> </ul>
2003 Dietrich	<ul> <li>S100B was statistically different from baseline 70.7±17.7 pg/mL to after the swimming race 108.13±19.49 pg/mL (p&lt;0.001). Following the race, 4 of 16 (25%) did not have an increase in S100B from their baseline levels.</li> <li>Prolactin increased from 10.2±0.9 to 16±2.2 ng/dL (p&lt;0.001) pre- and postrace. There was no correlation between S100B and prolactin.</li> </ul>
2003 Mussack	<ul> <li>Median S100B serum levels of the <i>heading</i> group increased from 0.15 to 0.18 ng/mL (p&lt;0.05) after training. Levels returned to baseline after 6 h. S100B levels of the <i>no-heading</i> exercise group barely changed from 0.10 to 0.11 ng/mL after training (p&gt;0.05). At 6 h, levels were 0.09 ng/mL. Levels of S100B were significantly lower in the <i>no-heading</i> group, compared to the <i>heading</i> group.</li> <li>Baseline levels were higher in younger players ages 12–13 (0.20 ng/mL) and 14–15 (0.17 ng/mL), compared to those ages 16–17 (0.06 ng/mL; p=0.006 and p&lt;0.001, respectively).</li> <li>CT<sup>+</sup> levels were higher (0.62 ng/mL) than CT<sup>-</sup> levels (0.10 ng/mL). Levels in the CT<sup>+</sup> group were significantly higher than both the <i>heading</i> and <i>no-heading</i> groups.</li> </ul>
2003 Stalnacke	- For ice hockey, S100B levels increased from Pregame = $0.22 \pm 0.04 \mu g/L$ (range, 0.14–0.32) to Postgame = $0.30 \pm 0.11 \mu g/L$ (range, 0.17–0.44; $p < 0.001$ ). - For basketball, S100B levels increased from Pregame = $0.22 \pm 0.04 \mu g/L$ (range, 0.17–0.28) to Postgame = $0.30 \pm 0.10 \mu g/L$ (range, 0.17–0.51; $p = 0.001$ ). - For ice hockey, NSE levels increased from Pregame = $10.19 \pm 3.35 \mu g/L$ (range, 7.46–19.75) to Postgame = $11.7 \pm 3.36 \mu g/L$ (range, 7.99–22.39; $p = 0.13$ ) - For basketball, NSE levels increased from Pregame = $9.71 \pm 2.93 \mu g/L$ (range, 6.4–17.33] to Postgame = $10.26 \pm 3.06 \mu g/L$ (range, 7.22–19.88; $p = 0.13$ )
2004 Hasselblatt	<ul> <li>Serum S100B concentrations and serum CK activities increased after the race (<i>p</i> &lt; 0.001). After 20 h, serum S100B concentrations decreased and 83% were within the reference levels.</li> <li>Serum GFAP concentrations remained below detection at all time points.</li> <li>Three hours after the race, an increase in serum CK activity by 500 U/L was associated with an increase of serum S100B by 0.05 ug/L, compared to baseline. Elevated S100B levels were associated with elevated CK levels immediately postrace, at 1, 3, and 20 h.</li> <li>Serum S100B and CK levels were not associated with gender, age, or training status.</li> </ul>
2004 Stalnacke	<ul> <li>S100B levels increased from Pregame = 0.066±0.025 μg/L to Postgame = 0.118±0.040 μg/L (p&lt;0.001)</li> <li>NSE levels increased from Pregame = 8.57±2.31 μg/L to Postgame = 10.29±2.16 μg/L (p&lt;0.001)</li> <li>Elevations in S-100B pre- to postgame were significantly correlated with the number of headers (r=0.428; p=0.02) and with the number of other traumatic events (r=0.453; p=0.02).</li> <li>Changes in NSE were not significantly correlated with headers or other traumatic events. No concussions were observed during the game.</li> <li>There were no significant correlations between the total RPQ score and changes in S-100B (p=0.130) or changes in NSE (p=0.603).</li> </ul>
2006 Stalnacke	<ul> <li>S-100B levels increased from 0.11±0.05 μg/L pregame to 0.18±0.11 postgame (p=0.001).</li> <li>NSE levels increased from 9.05±1.59 μg/L pregame to 10.14±1.74 μg/L postgame (p=0.001).</li> <li>The changes in S-100B correlated significantly with the number of headers (without jumps/collisions/falls; r=0.307; p=0.042), number of headers (with jumps/collisions/falls; r=0.474; p=0.001), and with the number of other traumatic events (r=0.517; p=0.001).</li> <li>The changes in NSE were not significantly correlated with the number of headers or other traumatic events</li> </ul>

- The changes in NSE were not significantly correlated with the number of headers or other traumatic events.

#### SPORTS CONCUSSION BIOMARKERS

TABLE 2. (CONTINUED) Year/author Results - For S100B, the median level for boxers was 70 ng/L (range, 32–240), compared to controls (65 ng/L; range, 19–13; 2009 Zetterberg p > 0.05). - For BDNF, the median level for boxers was 1.6 ng/mL (range, 0.303–10), compared to controls (1.2 ng/mL; range, 0.29-10; p > 0.05).- For h-FABP, the median level for boxers was 1.5 ng/mL (range, 0.39–2.9), compared to controls (1.8 ng/mL; range, 0.94-13; p > 0.05).

- Serum levels of NSE did not correlate with age, body mass index, age at boxing debut, boxing duration, or total number of bouts.
<ul> <li>There were significant increases in NSE, S100B, and cortisol in those with the punches to the head (PTH) group, but not the punches to the body (PTB) group. CK significantly increased in both PTH and PTB groups.</li> <li>S100B:</li> </ul>
PTH group: pre, $0.35 \pm 0.61$ ; post, $0.54 \pm 0.73$
PTB group: pre, $0.42 \pm 0.19$ , post, $0.43 \pm 0.2$
- CK:
PTH group: pre, $207 \pm 107$ ; post, $244 \pm 118$
PTB group: pre. $50+43$ : post. $195+63$

- For GFAP, levels were below the detection limit of the assay in all samples (<0.78 ng/mL).

- For NSE, the median level for boxers was 11 ng/mL (range, 2.3–41), compared to controls (4.8 ng/mL; range, 0.78–

- roup: pre,  $50 \pm 43$ ; post,  $195 \pm 63$
- NSE:
  - PTH group: pre, 19.7±14; post, 31.1±26.6
- PTB group: pre,  $16.4 \pm 13$ ; post,  $17.5 \pm 14$
- Cortisol:

27; p = 0.014).

- PTH group: pre,  $373 \pm 202$ ; post,  $756 \pm 93$
- PTB group: pre,  $416 \pm 140$ ; post,  $417 \pm 135$
- 2013 Neselius

2011 Graham

- Plasma tau concentrations significantly increased after a bout, compared to control levels (2.46±5.10 vs.  $0.79 \pm 0.961 \text{ ng/L}; p = 0.038$ ).

- The other biomarkers were not significantly elevated.
- Tau decreased significantly after a rest period to  $1.43 \pm 2.5$  ng/L (p = 0.030).

- There were no differences in concentrations of BDNF, A $\beta$ 1-41, and S100B between boxers and controls. Additionally, there were no differences for these biomarkers between the two time points (1-6 vs. 14 days).

- For GFAP, all samples were below detection.
- For BDNF (mean  $\pm$  SD)
  - $Controls = 29,146 \pm 5419 \text{ ng/L}$
  - Boxers  $(1-6 \text{ days}) = 28,353 \pm 7170$
- Boxers  $(14 \text{ days}) = 27, 836 \pm 7621$
- For A $\beta$ 42:
- Controls =  $11.6 \pm 4.4$  ng/L (range, 0.7–18.9)
- Boxers  $(1-6 \text{ days}) = 12.1 \pm 4.8$  (range, 4.0–26.9)
- Boxers (14 days) =  $11.2 \pm .2$  (range, 0.0–20.1) - For S100B:
- Controls =  $0.041 \pm 0.025$  ng/L (range, 0.011–0.137)
- Boxers  $(1-6 \text{ days}) = 0.037 \pm 0.018$  (range, 0.015-0.088)
- Boxers (14 days) =  $0.043 \pm 0.024$  (range, 0.014–0.118)
- For tau:
- Controls =  $0.79 \pm 0.96$  ng/L (range, 0.02–4.76)
- Boxers  $(1-6 \text{ days}) = 2.46 \pm 5.1$  (range, 0.13-26.73)
- Boxers  $(14 \text{ days}) = 1.43 \pm 2.51$  (range, 0.02–11.60)
- 2006 Zetterberg
- After a bout, there was a marked increase in the CSF levels of NFL, T-tau, and GFAP, compared to after a 3-month rest from boxing (mean  $\pm$  SD).
  - NFL =  $845 \pm 1140$  vs.  $208 \pm 108$  ng/L (p = 0.008)
  - T-tau =  $449 \pm 176$  vs.  $306 \pm 78$  ng/L (p = 0.006)
  - GFAP =  $541 \pm 199$  vs.  $405 \pm 138$  ng/L (p = 0.003).
  - Levels of NFL and GFAP, but not T-tau, were significantly higher in boxers after a bout than in controls.
  - For GFAP and T-tau, there were no significant differences in biomarker levels in boxers after the 3-month rest period and controls. However, NFL remained significantly elevated in boxers after 3 months, compared to controls
  - NFL, T-tau, and GFAP concentrations were higher in boxers who had received many hits (>15) or high-impact hits to the head, compared with boxers who reported few hits.
  - Levels of P-tau,  $A\beta(1-40)$ , and  $A\beta(1-42)$  were not significantly altered in boxers after a bout, compared with after rest or levels detected in controls.

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TABLE 2. (CONTINUED)

Year/author	Results
2007 Zetterberg	<ul> <li>There were no significant differences in biomarker levels (CSF or serum) in soccer players who performed either 10 or 20 approved headings and no significant differences in biomarker levels (CSF or serum) in soccer players (either 10 or 20 headings) versus controls.</li> <li>Surprisingly, S-100B concentrations were higher in the control group compared to players with 10 or 20 approved headings (<i>p</i>=0.049 and <i>p</i>=0.008).</li> <li>There were no correlations between the number of approved headings and any of the biomarker levels.</li> <li>For albumin ratio (median/range):</li> <li>Controls = 4.1 (range, 2.5–6.3)</li> <li>Players (10 headings) = 4.1 (range, 2.4–9.3)</li> <li>Players (10 headings) = 3.9 (range, 2.0–8.7)</li> <li>For NF-L, all levels were less than 125 ng/L.</li> <li>For T-tau:</li> <li>Controls = 320 ng/L (range, 120–540)</li> <li>Players (20 headings) = 250 ng/L (range, 170–400)</li> <li>Players (20 headings) = 250 ng/L (range, 190–420)</li> <li>For GFAP:</li> <li>Controls = 280 ng/L (range, 190–460)</li> <li>Players (10 headings) = 265 ng/L (range, 180–510)</li> <li>Players (10 headings) = 260 ng/L (range, 190–330)</li> <li>For S100B in CSF:</li> <li>Controls = 1.1 µg/L (range, 0.77–1.2)</li> <li>Players (10 headings) = 0.87µg/L (range, 0.71–1.2)</li> <li>Players (20 headings) = 0.82 µg/L (range, 0.48–1.3)</li> <li>For S100B in serum:</li> <li>Controls = 0.404 µg/L (range, 0.030–0.060)</li> <li>Players (10 headings) = 0.06 µg/L (range, 0.03–0.12)</li> </ul>
2012 Neselius	Players (20 headings) = 0.04 µgL (range, 0.01–0.07) - Levels of NFL ( $p$ =0.001), GFAP ( $p$ =0.001), T-tau ( $p$ =0.025), and S-100B ( $p$ =0.03) were significantly increased after boxing, compared to controls. - Levels of NFL ( $p$ =0.004) and GFAP ( $p$ =0.001) remained elevated after the 14-day rest period. - For NFL (mean ±SD) Controls = 135 ±51 ng/L (range, 125–380) Boxers (1-6 days)=532±553 (range, 125–2480) Boxing (14 days)=402±220 (range, 125–1780) - For GFAP: Controls = 244 ±145 ng/L (range, 90–820)] Boxing (1-6 days)=402±220 (range, 70–1020) Boxing (1-6 days)=407±208 (range, 70–1020) Boxing (1-6 days)=367±113 (range, 170–600) - For FABP: Controls = 458±271 ng/L (range, 67–1383) Boxing (1-6 days)=304±195 (range, 100–609) - For A $\beta$ 1-42: Controls = 297±39 ng/L (range, 231–362) Boxing (1-6 days)=294±54 (range, 178–423) - For A $\beta$ 1-42: Controls = 0.60±0.23 ng/L (range, 0.30–1.16) Boxing (1-6 days)=0.76±0.29 (range, 0.34–1.68) Boxing (1-6 days)=0.76±0.29 (range, 0.33–0.99) - For T-tau: Controls =45±17 ng/L (range, 24–95) Boxing (1-6 days)=49±21 (range, 19–121) - For P-tau: Controls=23 + 56 ng/L (range, 14–40) Boxing (14 days)=22±8 (range, 14–40) Boxing (14 days)=22±8 (range, 9–38) Boxing (14 days)=22±8 (range, 9–38) Boxing (14 days)=22±8 (range, 9–38) Boxing (14 days)=22±8 (range, 9–38) Boxing (14 days)=22±8 (range, 9–38)

 $A\beta$ 1-42, amyloid  $\beta$ 1-42;  $A\beta$ 1-40, amyloid  $\beta$ 1-40; BDNF, brain-derived neurotrophic factor; CK, creatine kinase; CSF, cerebrospinal fluid; GFAP, glial fibrillary acidic protein; NFL(P), neurofilament light protein; h-FABP, heart-type fatty acid binding protein; NSE, neuron-specific enolase; P-tau, phosphorylated tau at theonine; RPSQ, Rivermead Post-Concussion Symptom Questionnaire; SD, standard deviation; T-tau, total tau; TBI, traumatic brain injury; TNF, tumor necrosis factor.

hockey players preseason and postconcussion at 1, 12, 36, and 144 h. T-tau levels peaked during the first hour after concussion and were significantly higher in postconcussion samples at all times, compared with preseason samples. S100B also peaked within the first hour, but was only significantly higher at 1 h after concussion, compared with preseason. NSE remained at preseason levels and was not significantly elevated at any time point. Interestingly, T-tau after concussion remained significantly elevated in players with PCS lasting more than 6 days versus players with PCS for less than 6 days.<sup>62</sup>

GFAP is a monomeric intermediate protein found in astroglial skeleton that was first isolated by Eng and colleagues in 1971.<sup>63</sup> GFAP is found in white and gray brain matter and is strongly up-regulated during astrogliosis.<sup>64</sup> Although GFAP has been studied in brain injury since the 1990s, it is not until recently that it has been assessed in serum following trauma<sup>3,46,65,66</sup> and, specifically, in sports.<sup>34,37,39</sup> The performance of GFAP has been much better (more accurate in detecting injury) in trauma patients presenting with mTBI to the emergency department, compared with the studies performed in athletes to date. This discrepancy appears to stem from the timing of the blood draws relative to head injury. In studies with trauma patients, samples have been drawn within 4<sup>46,65</sup> and 24 h after injury.<sup>3,66</sup> However, in athletes, the samples have been drawn after several days<sup>39</sup> or months.<sup>37</sup> This underscores the importance of understanding the temporal profile of the biomarkers being applied in studies.<sup>18,67</sup>

There are a number of new serum biomarkers on the horizon for mTBI, including ubiquitin C-terminal hydrolase (UCH-L1)<sup>66,68</sup> and alpha-II spectrin breakdown products (SBDP150).<sup>69,70</sup> The UCH-L1 protein is involved in the addition and removal of ubiquitin from proteins that are destined for metabolism.<sup>71</sup> Alpha-II-spectrin (280 kDa) is the major structural component of the cortical membrane cytoskeleton and is particularly abundant in axons and presynaptic terminals.<sup>72,73</sup> Cytoskeletal  $\alpha$ II-spectrin is cleaved by caspase-3 and calpain-2 activation into spectrin breakdown products (SBDPs),<sup>74,75</sup> which are detectable after TBI. Both have shown a significant association with acute measures of injury severity in mTBI, such as Glasgow Coma Score score, intracranial injuries on CT, and neurosurgical intervention.<sup>66,68–70</sup>

Over the last decade, research in the field of sports concussion biomarkers has led to a greater understanding of the effects of head injury from sports. Moving forward, there are many challenges to consider and overcome as we continue to pursue the clinical application of brain-related biofluid biomarkers in sports. First, biomarkers are being compared to variable definitions of concussion and to subjective outcome measures. It is difficult to rate the predictive power of serum biomarkers if the clinical measures they are being compared against are inconsistent and lack sensitivity and/or specificity. Second, common clinical and biomarker-related data elements need to be consistently applied to future studies on sports concussion, given that they are currently being employed for all severities of TBI.<sup>76,77</sup> Third, timing of outcome measures relative to the biomarkers need to be carefully considered in the design of future studies. Finally, sample collection for biomarker measurement will need to span longitudinally over multiple time points in order to assess their temporal profiles. This, in turn, will be useful for determining optimal times to measure levels of these markers after concussion and for guiding return-to-play decisions.

## Conclusion

In an effort to prevent CTE and long-term consequences of concussion, early diagnostic and prognostic tools are becoming increasingly important, particularly in sports and in military personnel, where concussions are common occurrences. The study of TBI biomarkers is rapidly evolving, and should these biomarkers be validated and become widely available, they could have many roles. They could help with clinical decision making by clarifying injury severity and help to monitor progression of injury and/or recovery. Biomarkers could have a role in managing patients at high risk of repeated injury and could be incorporated into guide-lines for return to duty, work, or sports activities. They could also be combined with neuroimaging to improve diagnostic and prognostic accuracy as injuries evolve over time. Future studies will require more uniform research methodology, common data elements, and consistent performance measures.

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#### Appendix 1

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