

# Protection in antibody- and T cell-mediated autoimmune diseases by antiinflammatory IgG Fcs requires type II FcRs

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**The antiinflammatory activity of intravenous immunoglobulin (IVIG) is dependent on the presence of sialic acid in the core IgG fragment crystallizable domain (Fc) glycan, resulting in increased conformational flexibility of the C<sub>H2</sub> domain with corresponding modulation of Fc receptor (FcR) binding specificity from type I to type II receptors. Sialylated IgG Fc (sFc) increases the activation threshold of innate effector cells to immune complexes by stimulating the up-regulation of the inhibitory receptor FcγRIIB. We have found that the structural alterations induced by sialylation can be mimicked by specific amino acid modifications to the C<sub>H2</sub> domain. An IgG Fc variant with a point mutation at position 241 (F→A) exhibits antiinflammatory activity even in the absence of sialylation. F241A and sFc protect mice from arthritis in the K/BxN-induced model and, in the T cell-mediated experimental autoimmune encephalomyelitis (EAE) mouse model, suppress disease by specifically activating regulatory T cells (T<sub>reg</sub> cells). Protection by these antiinflammatory Fcs in both antibody- and T cell-mediated autoimmune diseases required type II FcRs and the induction of IL-33. These results further clarify the mechanism of action of IVIG in both antibody- and T cell-mediated inflammatory diseases and demonstrate that Fc variants that mimic the structural alterations induced by sialylation, such as F241A, can be promising therapeutic candidates for the treatment of various autoimmune disorders.**

 IgG Fc sialylation | conformational change | antiinflammatory | T<sub>reg</sub> cells

Intravenous immunoglobulin (IVIG), although initially developed as an Ig replacement therapy in patients with hypogammaglobulinemia (1), has gained widespread use for its immunomodulatory activities. It is an approved therapeutic for the treatment of autoimmune disorders such as immunothrombocytopenia (ITP), chronic inflammatory demyelinating polyneuropathy, Kawasaki's disease, and Guillain-Barre syndrome (2, 3), and is used in a growing number of autoimmune and inflammatory disorders. Its antiinflammatory activity has been shown to result from the presence of a specific glycan, the α<sub>2</sub>,6-sialylated, complex biantennary structure present on the C<sub>H2</sub> domain of the fragment crystallizable domain (Fc) and found in a small proportion of heterogeneous antibody preparations in IVIG (4). Sialylation of the Fc glycan on the C<sub>H2</sub> domain results in IgGs that can engage type II Fc receptors (FcRs) such as specific ICAM-3 grabbing non-integrin-related 1 (SIGN-R1), dendritic cell-specific ICAM-3 grabbing non-integrin (DC-SIGN), and CD23 (5–8), while reducing their binding affinity to type I FcRs (9–11). Studies in mouse models of serum-induced arthritis, antibody-dependent ITP, nephrotoxic nephritis, and autoimmune blistering diseases confirmed the antiinflammatory activity of the sialylated Fc, whether from IVIG or generated from recombinantly expressed IgG1 (5, 9, 12, 13). Moreover, increasing the percentage of sialylated Fc fragments either in IVIG or recombinant expressed IgG1 resulted in an enhanced therapeutic potency of these preparations (6, 9, 12, 14). Elucidation of the mechanism by which sialylated IgG Fc (sFc) induces an antiinflammatory response was first reported in murine models of arthritis, demonstrating that selective binding of sialylated Fc to type II FcRs resulted in the production of

interleukin (IL) 33 by regulatory macrophages, which in turn stimulated IL-4 secretion from basophils. IL-4 induced the up-regulation of the inhibitory receptor FcγRIIB on effector macrophages, thereby increasing the activation threshold of these cells and suppressing inflammation (15, 16). Subsequent studies have confirmed that IVIG treatment of human populations resulted in both increased serum IL-33 levels and FcγRIIB expression on lymphoid and myeloid cells, consistent with murine data (17–19).

Crystallographic and biophysical studies on sialylated and asialylated IgG Fc fragments have provided insights into the structural basis for the antiinflammatory activity of sialylated Fc. Sialylation of the complex, biantennary glycan of the IgG Fc results in increased conformational flexibility of the C<sub>H2</sub> domain (20), thereby sampling the closed conformations of the C<sub>H2</sub> domain required for type II FcR binding (11). In contrast, asialylated Fc structures uniformly result in open Fc conformations, consistent with their binding specificity for type I FcRs (21). Glycan interactions with amino acid residues of the C<sub>H2</sub> domain are disrupted upon sialylation, providing a basis for the observed conformational changes seen in the protein structure and consistent with a model proposed for the binding specificity of sialylated Fc for type II FcRs (11). Based on these observations, we generated a series of Fc variants targeting the amino acids of the C<sub>H2</sub> domain that interact with the glycan, with the goal of determining their impact on type II FcR binding and the resulting antiinflammatory activity. Both gain- and loss-of-function mutants were examined in this study. The identification of a gain-of-function variant, which could mimic the conformational state induced by sialylation, without requiring this specific carbohydrate

## Significance

**IgG molecules are capable of inducing pro- and antiinflammatory responses dependent on their fragment crystallizable domain (Fc) glycan composition. Antiinflammatory responses are specifically triggered upon Fc sialylation, which decreases the binding affinity for type I Fc receptors but enhances binding to type II Fc receptors such as SIGN-R1, CD23, or human DC-SIGN. Structural analyses revealed that sialylation induces conformational changes in the Fc portion, which is a prerequisite for the selective binding to type II Fc receptors. Here we generated an Fc variant, F241A, that mimics the conformational state of sialylated Fc. F241A, even when nonsialylated, mediated protection from autoantibody- and T cell-mediated inflammation in a type II Fc receptor-dependent manner.**

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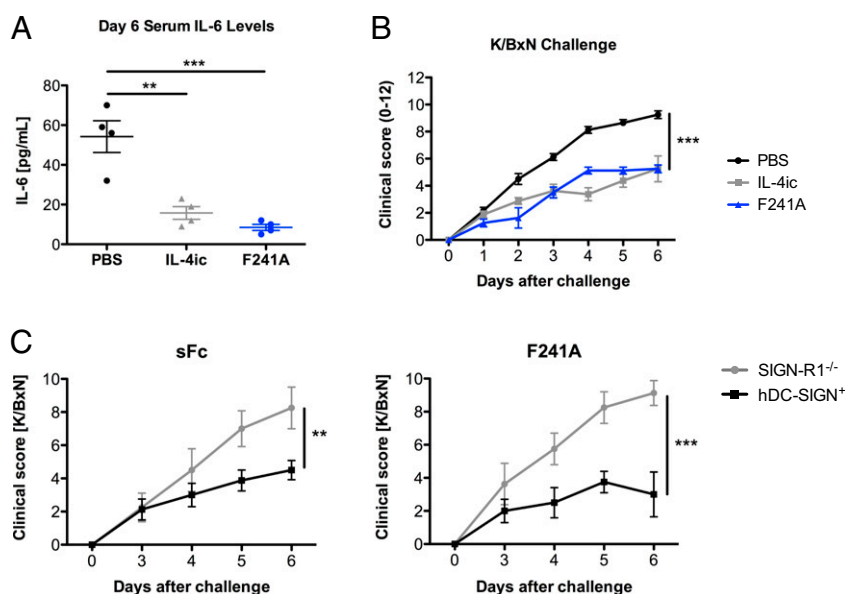
arthritogenic K/BxN serum. Protection was dependent on DC-SIGN expression (Fig. 1C). Furthermore, protection was only achieved with sialylated wild-type Fc, whereas the Fc mutant F241A was capable of protecting mice, even when nonsialylated (Fig. 1D). Thus, the F241A mutation recapitulates several Fc functions in assays developed to measure sial Fc activity.

To further define the activity of F241A as an antiinflammatory molecule, we treated C57BL/6 mice either with PBS, IL-4ic, or asialylated F241A (0.033 g/kg) and then challenged them with K/BxN sera. Serum IL-6 levels were significantly reduced in mice that received IL-4ic or F241A (Fig. 2A). Consistent with this observation, IL-4ic- and F241A-treated mice showed reduced clinical signs of arthritis (Fig. 2B), showing that F241A is sufficient to suppress inflammation comparable to IVIG and sFc (9, 12). To confirm that this suppression by F241A is type II FcR-dependent, we used SIGN-R1<sup>-/-</sup> or SIGN-R1<sup>-/-</sup> hDC-SIGN<sup>+</sup> recipients. Mice received either sialylated wild-type Fc or neuraminidase-treated nonsialylated F241A (Fig. S1A) (both 0.033 g/kg) and were challenged with K/BxN sera. Suppression of arthritic inflammation was achieved by both preparations; however, only mice that expressed human DC-SIGN (hDC-SIGN<sup>+</sup>) were protected (Fig. 2C), demonstrating that the presence of the type II Fc receptor SIGN-R1 or its human ortholog DC-SIGN, respectively, is required for the immunomodulatory effect induced by sialylated Fc and F241A.

Sialic acid can be linked to the penultimate galactose of the complex, biantennary Fc glycan in either  $\alpha$ 2,3-,  $\alpha$ 2,6-, or  $\alpha$ 2,8-conformations. We have previously reported that only the  $\alpha$ 2,6-linked glycoform of sialic acid is biologically active (12). Our previous modeling data on the structural analysis of different Fc sialoforms (11) predicted that the Glu318/Lys340 pocket at the C $\gamma$ 2-C $\gamma$ 3 interface was required for the biological activity of  $\alpha$ 2,6-sial Fc and could uniquely accommodate this glycoform, whereas the  $\alpha$ 2,3-linked sialic acid would be sterically inhibited from fitting into this pocket. To test this prediction, we introduced an E318N point mutation into IgG1 Fc and compared its properties, when  $\alpha$ 2,6-sialylated, to wild-type  $\alpha$ 2,6-sial Fc. Although comparable

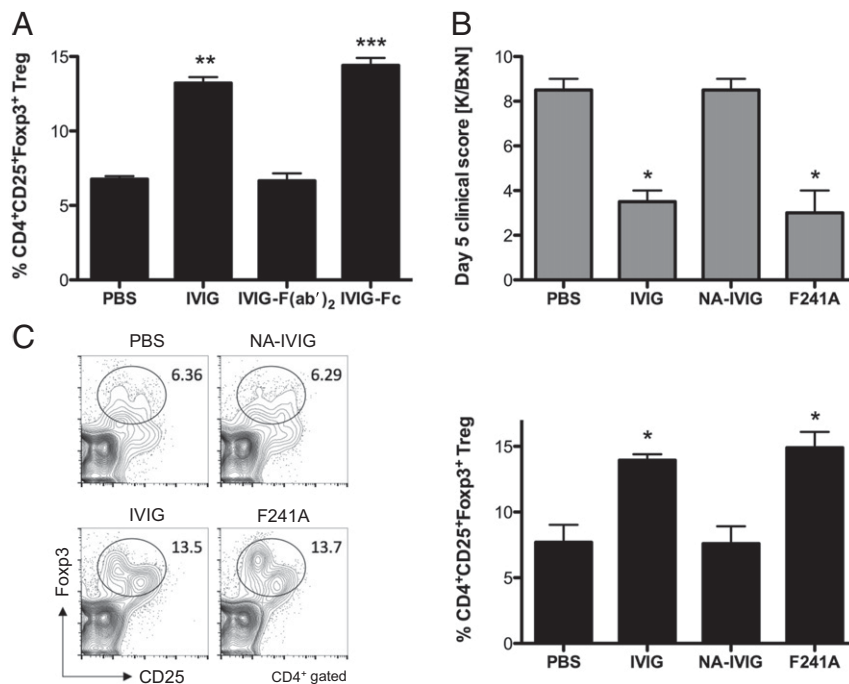
degrees of sialylation were achieved with the mutant compared with the wild type, only the wild-type sialylated Fc was capable of stimulating IL-33 expression in hDC-SIGN<sup>+</sup> BMM $\Phi$ s (Fig. S3A). Mice receiving BMM $\Phi$ s stimulated with  $\alpha$ 2,6-sial wild-type Fc, but not  $\alpha$ 2,6-sial E318N Fc, exhibited reduced clinical signs of disease in the K/BxN serum transfer arthritis model (Fig. S3B), as well as lower levels of IL-6 (Fig. S3C). Together, these results define both F241 and E318 as residues that contribute to the antiinflammatory activity of  $\alpha$ 2,6-sial Fc.

**Sialylated Fc/F241A Activates T<sub>reg</sub> Cells.** Recent studies in patients and in animal models suggest that administration of IVIG can result in an expansion of T<sub>reg</sub> cells (22, 27), effectively dampening T cell-dependent autoimmune reactions by increasing the number and the suppressive capacity of T<sub>reg</sub> cells (28). To determine which component of an IVIG preparation may be responsible for this effect, we used IVIG (1 g/kg), F(ab')<sub>2</sub> (0.66 g/kg), or Fc (0.33 g/kg) preparations of IVIG and administered them i.v. at equimolar concentrations into C57BL/6 wild-type mice. Four days post-injection, we analyzed the percentage of splenic CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T<sub>reg</sub> cells by flow cytometry. In comparison with PBS-treated mice, administration of intact IVIG or its Fc fragments led to a twofold expansion of T<sub>reg</sub> cells and was abrogated by using IVIG F(ab')<sub>2</sub> (Fig. 3A). We next determined the role of Fc sialylation in T<sub>reg</sub>-cell expansion in an ongoing inflammatory response. C57BL/6 mice were treated either with PBS, IVIG (1 g/kg), neuraminidase-treated nonsialylated IVIG (NA-IVIG) (1 g/kg), or F241A (0.033 g/kg) (Fig. S1B), challenged with K/BxN serum, and evaluated for disease progression and T<sub>reg</sub>-cell expansion. As observed previously (13, 29), clinical scores of arthritis showed that IVIG and F241A, but not nonsialylated IVIG, protected mice from arthritis (Fig. 3B). T<sub>reg</sub>-cell expansion was observed in IVIG- and F241A-treated mice that were subsequently challenged with K/BxN serum, but not in PBS- or asial IVIG-treated mice (Fig. 3C), suggesting that the T<sub>reg</sub>-cell subset becomes selectively expanded by sialylated Fc and F241A, respectively, in this model of arthritis.



**Fig. 2.** F241A induces antiinflammatory responses through engagement of type II Fc receptors. (A) C57BL/6 wild-type mice were treated with PBS, IL-4ic, or F241A (0.033 g/kg) and challenged 1 h later with K/BxN sera. Blood was collected from these mice 6 d posttreatment, and IL-6 levels in the sera were analyzed by ELISA. (B) Clinical scores of mice were monitored reflecting the severity of serum-induced arthritis. (C) SIGN-R1<sup>-/-</sup> and hDC-SIGN<sup>+</sup> mice were treated with sialylated wild-type Fc (sFc) or F241A (both 0.033 g/kg) and challenged 1 h later with K/BxN serum. Clinical examination of the mice was conducted daily until day 6 post K/BxN challenge, demonstrating the development and severity of arthritic inflammation. Means  $\pm$  SEM are plotted; \*\* $P$  < 0.01; \*\*\* $P$  < 0.001 determined by Tukey's post hoc test.





**Fig. 3.** sFc/F241A activates and expands T<sub>reg</sub> cells. (A) C57BL/6 wild-type mice received i.v. injections of IVIG (1 g/kg), IVIG F(ab')<sub>2</sub> (0.66 g/kg), IVIG Fc (0.33 g/kg), or PBS as control. On day 4 postinjection, T<sub>reg</sub>-cell numbers in spleens were analyzed by flow cytometry. (B) C57BL/6 wild-type mice were given IVIG (1 g/kg), NA-IVIG (1 g/kg), nonsialylated F241A (0.033 g/kg), or PBS as control and then challenged with K/BxN serum. Clinical signs of arthritis were monitored. (C) On day 5 the mice in B were euthanized and T<sub>reg</sub> cells in spleens were analyzed by flow cytometry. Means ± SEM are plotted; \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001 determined by Tukey's post hoc test.

### IVIG- or F241A-Activated T<sub>reg</sub> Cells Suppress Pathogenic CD4<sup>+</sup> T-Cell Responses in Vivo.

To evaluate whether T<sub>reg</sub>-cell expansion in response to IVIG, sFc, or F241A is able to suppress pathogenic CD4<sup>+</sup> T-cell responses, we induced EAE in C57BL/6 wild-type mice by immunization with MOG<sub>35–55</sub> peptide emulsified in complete Freund's adjuvant (CFA). Starting 5 d postinduction, the mice were treated either with PBS, IVIG, or NA-IVIG every 5 d (at 1 g/kg). Clinical scores of EAE showed that mice that received IVIG had significantly reduced clinical scores compared with PBS-treated mice (Fig. 4A). However, when asial IVIG (NA-IVIG) (Fig. S1B) was administered, the protective effect was abolished. To determine the potential mechanistic basis for this effect, we characterized cells from draining lymph nodes at day 25 postinduction and analyzed the percentages of various T-cell subsets. All subpopulations of CD4<sup>+</sup> effector T cells, T<sub>H1</sub> (IFN-γ<sup>+</sup>), T<sub>H17</sub> (IL-17A<sup>+</sup>), and IFN-γ<sup>+</sup> IL-17A<sup>+</sup>CD4<sup>+</sup> T cells, were present at similar percentages (Fig. 4B); however, the percentages of CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T<sub>reg</sub> cells were significantly elevated in mice that were given IVIG compared with PBS- or NA-IVIG-treated groups (Fig. 4C).

To assess whether the protection from EAE we observed in IVIG-treated animals was specifically mediated through activation and expansion of T<sub>reg</sub> cells, we tested the protective potential of F241A as a surrogate for IVIG in untreated and T<sub>reg</sub>-cell-depleted mice. T<sub>reg</sub>-cell depletion was achieved by administration of an anti-CD25 antibody (PC61) 3 d before EAE induction and every 5 d thereafter. Mice treated with F241A (0.033 g/kg) displayed reduced disease severity compared with PBS-treated mice (Fig. 4D), demonstrating that F241A was effective at protecting animals from EAE at a 35-fold reduced dose compared with IVIG. However, in T<sub>reg</sub>-cell-depleted mice, this protective effect of F241A treatment was lost. FACS analysis of draining lymph nodes harvested at the conclusion of the study indicated that neither F241A nor PC61 treatment significantly affected CD4<sup>+</sup> effector T cells (Fig. 4E), whereas T<sub>reg</sub>-cell levels were reduced by PC61 treatment (Fig. 4F). Depletion of T<sub>reg</sub> cells thus correlated with the loss of protection

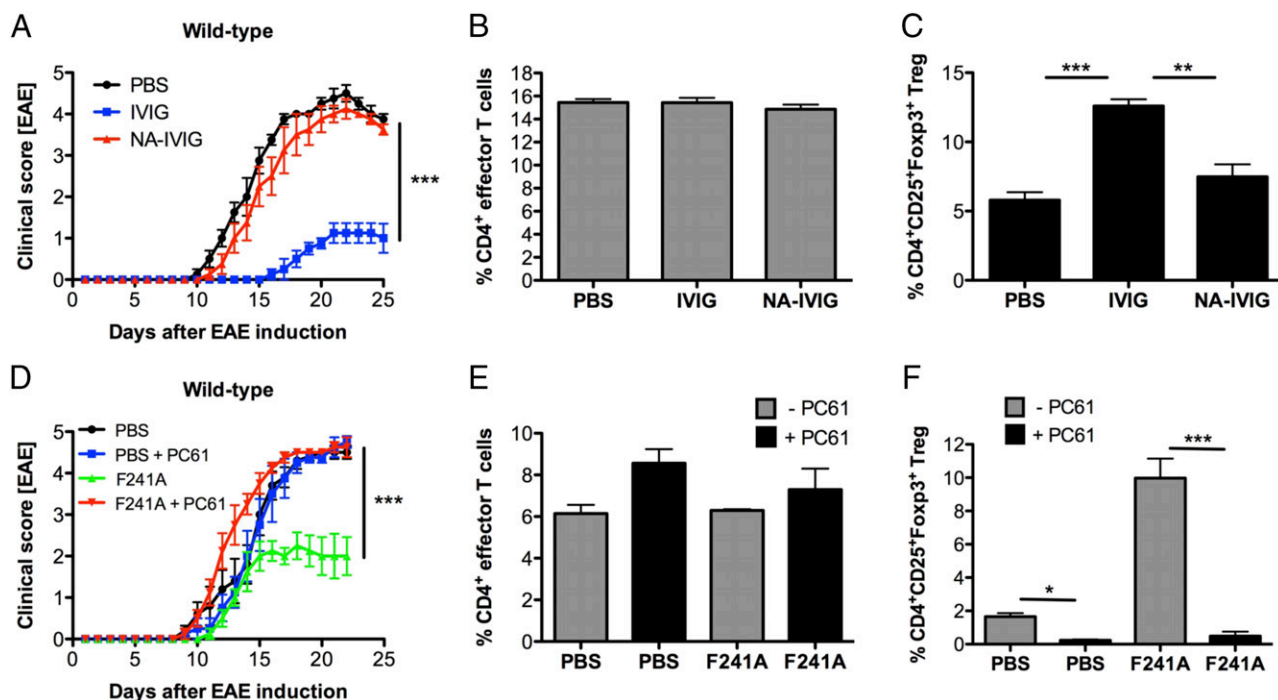
from EAE observed in F241A-treated animals. To distinguish between natural (nT<sub>reg</sub>) and inducible (iT<sub>reg</sub>) T<sub>reg</sub> cells, we analyzed the T<sub>reg</sub> cells in IVIG- and PBS-treated EAE mice for their expression of the nT<sub>reg</sub>-specific transcription factor Helios (30). The expansion of T<sub>reg</sub> cells did not correlate with an increase in Helios expression (Fig. S4C), which indicates that IVIG (1 g/kg), which protected mice from EAE (Fig. S4A and B), specifically induces CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup>Helios<sup>-</sup> iT<sub>reg</sub> cells.

Together, these results indicate that sialylated IVIG as well as F241A lead to the activation and expansion of T<sub>reg</sub> cells, resulting in the suppression of pathogenic CD4<sup>+</sup> effector T-cell responses and clinical disease in EAE.

### Type II Fc Receptors Are Required for sFc-Mediated Protection from EAE.

The requirement for the type II Fc receptor SIGN-R1 or hDC-SIGN for sFc-induced suppression of inflammation has been extensively studied in the context of autoantibody-mediated diseases and for the stimulation of IL-33 production (29). We examined the requirement for the type II Fc receptor SIGN-R1 for sFc-mediated protection in EAE. EAE was induced in C57BL/6 wild-type or SIGN-R1<sup>-/-</sup> mice and then treated with IVIG (1 g/kg) or PBS as control. Whereas wild-type mice were protected from EAE by IVIG, this protective effect was significantly reduced in SIGN-R1 knockout mice (Fig. 5A). This is consistent with our observations that in the SIGN-R1<sup>-/-</sup> background, IVIG (1 g/kg) neither protects from K/BxN-induced arthritis (Fig. S5A) nor induces T<sub>reg</sub>-cell activation (Fig. S5B). By contrast, administration of exogenous IL-33 partially restored protection in SIGN-R1<sup>-/-</sup> mice from EAE (Fig. 5B). Similarly, transgene expression of hDC-SIGN partially complements the loss of SIGN-R1 (29) and results in reduced EAE clinical scores in both IVIG- (1 g/kg) and F241A- (0.033 g/kg) treated mice (Fig. 5C and D).

### IL-33 Is a Critical Mediator of sFc-Triggered T<sub>reg</sub>-Cell Activation. We have previously reported that the up-regulation of FcγRIIB on



**Fig. 4.** IVIG/F241A-activated  $T_{reg}$  cells efficiently suppress T cell-mediated autoimmunity in EAE mice. (A) C57BL/6 wild-type mice were immunized with MOG<sub>35–55</sub> peptide to induce EAE. Starting on day 5 post EAE induction, mice received i.v. injections of PBS, IVIG (1 g/kg), or NA-IVIG (1 g/kg) every 5 d. Clinical scores of EAE are shown. (B and C) Mice were euthanized and CD4<sup>+</sup> effector T cells (B) and  $T_{reg}$  cells (C) from draining lymph nodes were analyzed by flow cytometry. (D) EAE was induced in C57BL/6 wild-type mice by immunization with MOG<sub>35–55</sub> peptide. Starting on day 5 and every 5 d thereafter, mice received nonsialylated F241A (0.033 g/kg) or PBS intravenously. For  $T_{reg}$ -cell depletion, mice were given the  $T_{reg}$ -cell depletion antibody PC61 (400  $\mu$ g) 3 d before EAE induction as well as every fifth day postinduction. Clinical scores of disease are depicted. (E and F) Cells from draining lymph nodes of EAE mice were isolated and analyzed for the percentages of CD4<sup>+</sup> effector T cells (E) and  $T_{reg}$  cells (F) by flow cytometry. Means  $\pm$  SEM are plotted; \* $P$  < 0.05; \*\* $P$  < 0.01; \*\*\* $P$  < 0.001 determined by Tukey's post hoc test.

effector macrophages by sialylated Fc critically depends on production and secretion of IL-33 (29). Recent findings have indicated that IL-33 has a positive effect on  $T_{reg}$ -cell stimulation and activation (31, 32) and thereby contributes to the suppression of inflammation in a mouse model of experimental colitis (33). To test the possibility that sFc-induced production of IL-33 may also contribute to  $T_{reg}$ -cell stimulation, we confirmed that naïve CD4<sup>+</sup> T cells isolated from C57BL/6 wild-type mice, cultured for 3 d in the presence of anti-CD3 and anti-CD28 antibodies and TGF- $\beta$  to specifically drive  $T_{reg}$ -cell differentiation and then treated with IL-33, had a synergistic effect on  $T_{reg}$ -cell differentiation as well as on Foxp3 expression (Fig. S6), as was previously reported by Schiering and coworkers (33). Moreover, IL-33 induced up-regulation of the IL-33 receptor ST2 on  $T_{reg}$  cells. Addition of IL-23 to the  $T_{reg}$ -cell culture counteracted the effect of IL-33 (Fig. S6), consistent with IL-23 being a negative regulator of ST2 (33, 34).

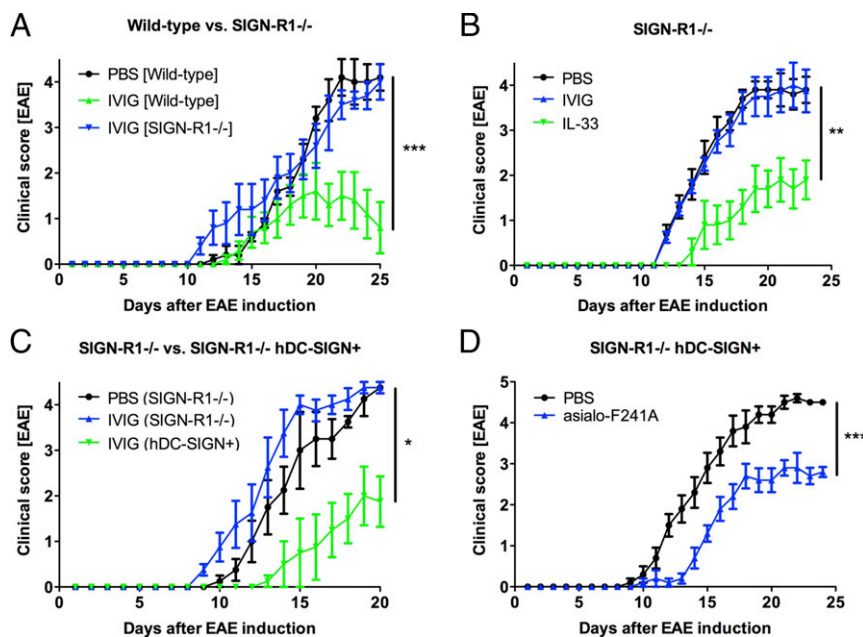
We next determined whether administration of IL-33 also affects  $T_{reg}$  cells in vivo. IL-33 (0.5  $\mu$ g) was given to C57BL/6 wild-type mice daily for 4 consecutive days. On day 5, spleens were analyzed for  $T_{reg}$ -cell numbers. IL-33 administration resulted in a significant increase of  $T_{reg}$  cells compared with PBS-treated control mice (Fig. 6 A and B). hDC-SIGN<sup>+</sup> BMM $\Phi$ s were pulsed either with PBS, IVIG, or nonsialylated F241A, and IL-33 expression was measured by quantitative real-time PCR, showing that IVIG and F241A clearly induced IL-33 expression in a DC-SIGN-dependent manner (Fig. 6C). After treatment, BMM $\Phi$ s were subsequently transferred into C57BL/6 wild-type mice. Five days post cell transfer, only mice that received IVIG- or F241A-pulsed hDC-SIGN<sup>+</sup> BMM $\Phi$ s had significantly higher levels of  $T_{reg}$  cells (Fig. 6 D and E). This phenotype correlated with enhanced expression of the IL-33 receptor ST2 on these cells (Fig. 6D). In addition, IL-33 treatment of EAE resulted in a significant amelioration of EAE

symptoms, which correlated with an increase of  $T_{reg}$  cells in draining lymph nodes (Fig. 6 F and G).

Because Fc $\gamma$ RIIB up-regulation on effector macrophages has been demonstrated to require the presence of basophils (29), we investigated whether basophils also play a role in the sFc-mediated  $T_{reg}$ -cell activation pathway. Mice were treated with PBS or IVIG (1 g/kg) and challenged with K/BxN serum. Mice were also treated with an anti-Fc $\epsilon$ RI antibody for depletion of basophils or with an isotype control (35). Whereas basophil depletion (Fig. S7A), as we have previously shown (29), disrupted the protective effect of IVIG in the K/BxN arthritis model (Fig. S7B), the ability of IVIG to expand  $T_{reg}$  cells was not affected (Fig. S7C). This indicates that whereas IL-33 is required for  $T_{reg}$ -cell activation, basophils, however, are not required.

**sFc/F241A Activates Inducible  $T_{reg}$  Cells via the IL-33/ST2 Axis.** To further explore the mechanism of  $T_{reg}$ -cell expansion and activation in response to sFc and type II FcR engagement, we focused on the role of the IL-33 receptor ST2. As reported, IVIG- (1 g/kg) treated mice challenged with K/BxN serum in combination with an ST2 blocking antibody reduced the protective effect of IVIG in this serum transfer arthritis model (29) (Fig. 7A). FACS analysis of the  $T_{reg}$ -cell numbers in these mice revealed that inhibition of IL-33 engagement by ST2 reduced the IVIG-induced expansion of  $T_{reg}$  cells (Fig. 7B). Similarly, the therapeutic effect of F241A (0.033 g/kg) was significantly reduced by blocking the IL-33 receptor (Fig. 7C), with a concomitant reduction in  $T_{reg}$ -cell expansion (Fig. 7D).

Finally, to determine the generality of our observations on the effect of sFc on T cell-mediated diseases, we used the experimental colitis mouse model and treated these mice weekly, starting 4 wk post T-cell transfer, with IVIG (1 g/kg) or PBS



**Fig. 5.** Requirement for type II Fc receptors for IVIG/F241A-mediated protection in EAE. EAE was induced by immunization with MOG<sub>35–55</sub> peptide. Mice received i.v. injections on days 5, 10, 15, and 20 postinduction. Clinical scores of disease are displayed. (A) C57BL/6 wild-type and SIGN-R1<sup>-/-</sup> mice were treated with IVIG (1 g/kg) or PBS. (B) SIGN-R1<sup>-/-</sup> mice were given IVIG (1 g/kg) as mentioned above or IL-33 (0.5 µg) i.p. every 2 d starting on day 5 post EAE induction. (C) SIGN-R1<sup>-/-</sup> and SIGN-R1<sup>-/-</sup> hDC-SIGN<sup>+</sup> mice were treated with PBS or IVIG (1 g/kg). (D) SIGN-R1<sup>-/-</sup> hDC-SIGN<sup>+</sup> mice received nonsialylated F241A (asialo-F241A) (0.033 g/kg) or PBS control. Means ± SEM are plotted; \**P* < 0.05; \*\**P* < 0.01; \*\*\**P* < 0.001 determined by Tukey's post hoc test.

control until the end of the experiment. Body weight loss was used as a measure of disease severity, which showed that IVIG treatment mediated protection (Fig. S8A, C, and D), and was again accompanied by a significant enrichment of T<sub>reg</sub> cells (Fig. S8B), whereas CD4<sup>+</sup> effector T-cell levels were comparable in PBS- and IVIG-treated groups. Because only CD4<sup>+</sup>CD25<sup>-</sup> T cells had been adoptively transferred to induce acute colitis, the IVIG-expanded T<sub>reg</sub> cells observed in these mice originated from peripheral CD4<sup>+</sup> T cells and are thus consistent with iT<sub>reg</sub> cells.

## Discussion

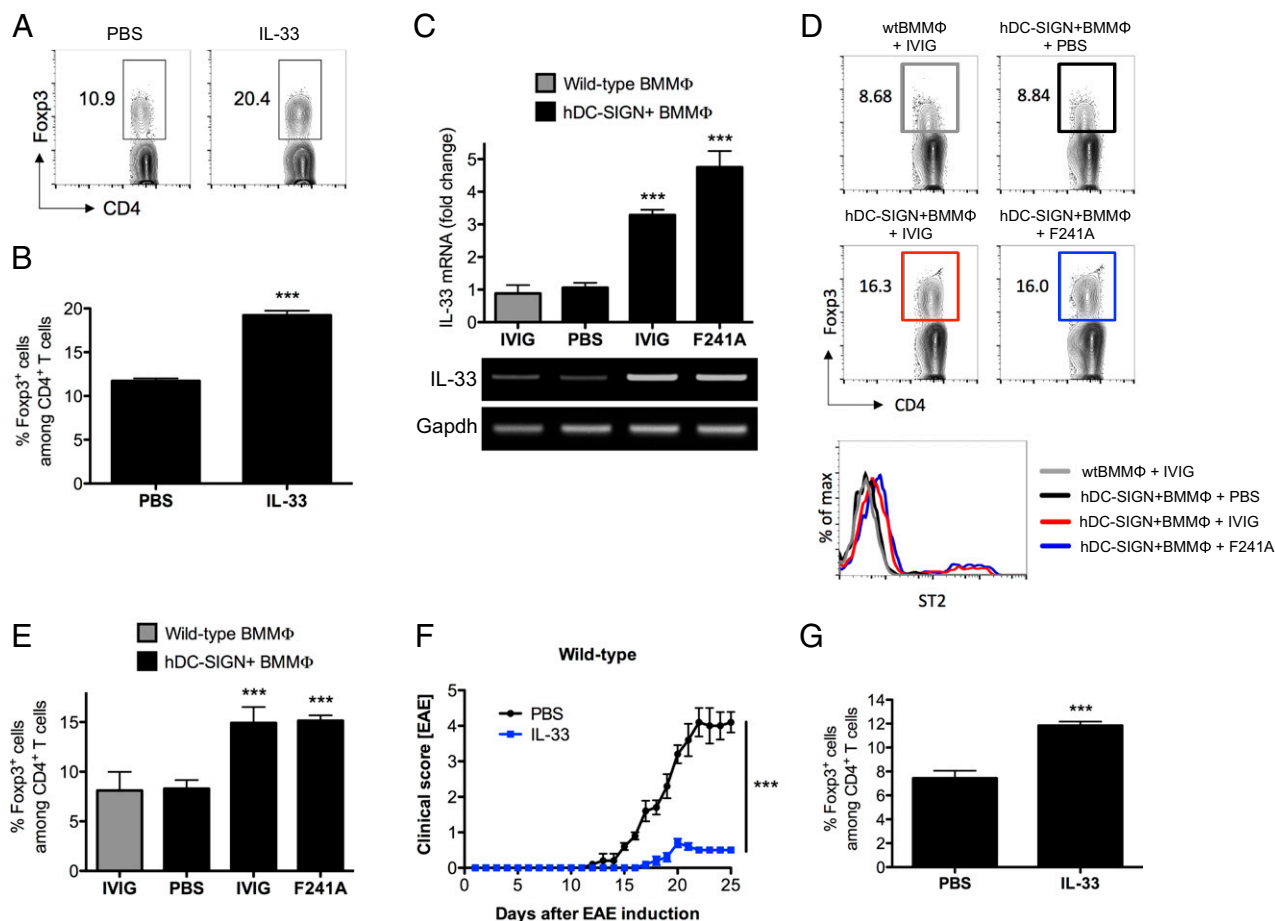
Antibodies mediate either proinflammatory or antiinflammatory effector functions through the differential engagement of type I or II Fc receptors, respectively. A complex, N-linked glycan attached to the C<sub>H2</sub> domain of IgG Fc regulates the interaction with these classes of FcRs by modulating the structure of the Fc to alternate between type I and type II binding conformations (8, 11, 20). The presence of an α<sub>2,6</sub>-linked sialic acid in this Fc-associated glycan results in reduced affinity for type I FcRs and enhanced binding to type II FcRs, such as DC-SIGN and CD23 (8, 11, 15). This switch in receptor specificity coincides with a switch in effector function in vivo, as sialylated IgG suppresses inflammation in mouse models of autoimmunity. Recent structural data support an earlier computational model that sialylation increases the conformational flexibility of the C<sub>H2</sub> domain, sampling conformations compatible with type II FcR binding (8, 20, 36).

Here we present functional data demonstrating that specific interactions between the Fc backbone and the Fc glycan influence the effector properties of sialylated IgG. We previously reported that the antiinflammatory activity of sial Fc depends strictly on the α<sub>2,6</sub>-linkage of sialic acid because only α<sub>2,6</sub>-sial Fc, but not α<sub>2,3</sub>-sial Fc, binds to DC-SIGN and suppresses autoantibody-induced arthritic inflammation (6). How can different sialic acid linkages on the Fc glycan influence Fc structure? We previously hypothesized that the proximity of the sialic acid sugar residue to the protein backbone may determine how sialic acid interacts with specific amino acid side chains on the Fc.

Indeed, molecular modeling suggested that only α<sub>2,6</sub>-linked sialic acid could fit into a groove formed by Glu318 and Lys340 at the C<sub>γ2</sub>–C<sub>γ3</sub> interface. To determine whether this groove plays a role in the antiinflammatory activity of α<sub>2,6</sub>-sial Fc, we characterized the immunosuppressive functions of sial Fc bearing an E318N point mutation. Interestingly, the E318N α<sub>2,6</sub>-sial Fc fails to initiate antiinflammatory pathways associated with WT α<sub>2,6</sub>-sial Fc, such as induction of IL-33 expression or protection from arthritic inflammation by adoptive transfer of stimulated DC-SIGN<sup>+</sup> BMMΦs to K/BxN serum-challenged mice. Thus, as with the α<sub>2,3</sub>-linkage of sialic acid, we propose that the E318N mutation abolished the interaction between α<sub>2,6</sub>-linked sialic acid and the Fc backbone necessary for the Fc to adopt its closed, antiinflammatory state.

Fc structures typically resolve the α<sub>1,3</sub>-arms of the Fc glycan within the internal cavity formed by the C<sub>H2</sub> domains. By occupying this cavity, the α<sub>1,3</sub>-arms stabilize the C<sub>H2</sub> domains at a distance apart in the open conformation to facilitate binding to type I FcRs. In order for our proposed model to be correct, the sialylated α<sub>1,3</sub>-arms have to move out of this cavity toward the C<sub>H2</sub>–C<sub>H3</sub> interface so that the terminal sialic acid may contact the E318/K340 groove. Thus, by repositioning the sialylated α<sub>1,3</sub>-arms to the C<sub>H2</sub>–C<sub>H3</sub> interface, the C<sub>H2</sub> domains may draw closer together to fill the now unoccupied cavity and form the closed conformation. Unlike the α<sub>1,6</sub>-arm of the Fc glycan, which forms multiple noncovalent interactions with the Fc backbone, the α<sub>1,3</sub>-arm forms only one known contact in the absence of sialylation—the aromatic side chain of F241. However, in the crystal structure of sial Fc, the only amino acid side chain that contacts the Fc glycan to show a significant change in orientation is the ring structure of F241. We predict that the observed 90° rotation of F241 abrogates the hydrophobic stacking interaction it normally forms with the carbohydrate. We believe this to be structurally important, because the disruption of this stabilizing interaction should impart greater degrees of freedom, or mobility, to the sialylated α<sub>1,3</sub>-arms of the Fc glycan. We propose that with this greater mobility, the sialylated α<sub>1,3</sub>-arms will sample the space





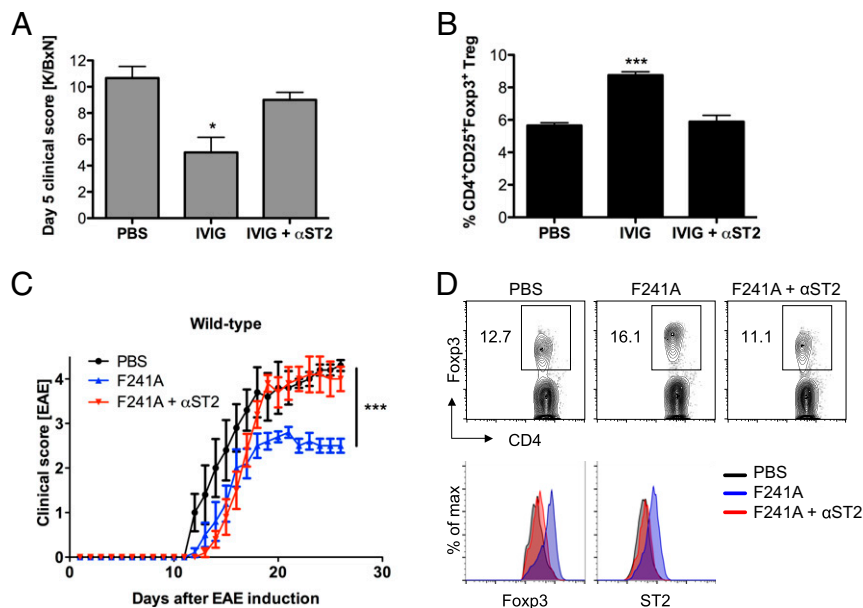
**Fig. 6.** IVIG- or F241A-induced IL-33 production activates  $T_{reg}$  cells. (A and B) C57BL/6 wild-type mice were given IL-33 (0.5  $\mu$ g) i.p. on 4 consecutive days. On day 5, mice were euthanized and the percentages of splenic  $T_{reg}$  cells were analyzed by flow cytometry. (C) Bone marrow-derived macrophages from C57BL/6 wild-type or hDC-SIGN<sup>+</sup> mice were pulsed with PBS, IVIG, or nonsialylated F241A. Total RNA was isolated and used for quantitative real-time PCR to measure IL-33 mRNA levels. The housekeeping gene *Gapdh* was used for normalization. (D and E) After treatment, BMM $\phi$ s were extensively washed and adoptively transferred into C57BL/6 wild-type recipient mice. On day 5 posttransfer, mice were killed and  $T_{reg}$  cells and ST2 expression were analyzed by flow cytometry. (F) EAE was induced in C57BL/6 wild-type mice by immunization with MOG<sub>35–55</sub> peptide. Every 2 d postinduction, mice received IL-33 (0.5  $\mu$ g i.p.). Clinical scores of disease are shown. (G) The percentages of  $T_{reg}$  cells from draining lymph nodes of EAE mice were analyzed by flow cytometry. Means  $\pm$  SEM are plotted; \*\*\* $P$  < 0.001 determined by Tukey's post hoc test.

outside of the internal cavity with greater frequency to encounter the E318/K340 pocket at the  $C_{H2}$ – $C_{H3}$  interface. Consistent with the crucial role of F241 in the structure of  $\alpha$ 2,6-sial Fc, we found that an F241A mutation that specifically disrupts this protein–sugar contact point recapitulated the antiinflammatory activity of sial Fc independent of sialylation. Both  $\alpha$ 2,6-sial and -asial F241A Fc bound to DC-SIGN, induced IL-33 expression, and transferred antiinflammatory activity with stimulated DC-SIGN<sup>+</sup> BMM $\phi$ s to K/BxN serum-challenged mice, recapitulating the antiinflammatory activity of IVIG and sialylated IgG (29). Recently, reports have been published that question the essential role of Fc sialylation for modulating immune responses (37–39). Our data, and that of several other groups, have confirmed the antiinflammatory role of sialylated Fc in multiple models of antibody-mediated inflammation (9, 12–14, 16, 40). These discrepancies are likely the result of nonlinear dosing of IVIG in selective models used in those studies and thus not reflective of the physiologically relevant conditions in which IVIG is used.

We have identified that sialylated Fc, as well as F241A, specifically stimulated  $T_{reg}$ -cell expansion and was sufficient to suppress T cell-mediated immune responses in models of EAE and experimental colitis by selective engagement of the type II Fc receptor SIGN-R1 or its human ortholog DC-SIGN. Further-

more, we could identify IL-33 as a mediator of this pathway. IL-33, induced in response to type II FcR engagement by IVIG, sialylated Fc, or F241A, acts pleiotropically, as summarized in Fig. S9. It can mediate IL-4 secretion by basophils to polarize macrophages to an M2 phenotype and induce inhibitory Fc $\gamma$ RIIB expression, a pathway that dominates in antibody-mediated autoimmune inflammation, or it can act directly on  $T_{reg}$  cells to mediate their activation and expansion. We could demonstrate that  $T_{reg}$  cells can become activated by treatment with sialylated Fc and subsequent signaling through the IL-33/ST2 axis. We cannot exclude the possibility that the IL-33-dependent  $T_{reg}$ -cell activation is mainly mediated indirectly via dendritic cells, as previously described by Matta and coworkers (32). However, our data do not support a direct interaction of IVIG with any T-cell subset, as has been proposed (22), nor could we observe any evidence in support of IVIG providing “Tregitopes” (41–44).

Previous studies have established the connection between IL-33 and an amelioration of T cell-mediated inflammation in different mouse models that were always accompanied by an enrichment of  $T_{reg}$  cells (31, 45–48). Our studies demonstrate that IVIG, through the presence of sialylated Fc interacting with type II FcRs, provides a source of IL-33 that can induce  $T_{reg}$ -cell activation and expansion. Consistent with these observations, serum levels of



**Fig. 7.** IVIG/F241A induces  $T_{reg}$ -cell activation by signaling through the IL-33/ST2 axis. (A and B) C57BL/6 wild-type mice were treated with PBS or IVIG (1 g/kg) and then challenged with K/BxN serum. To block IL-33 signaling, mice received an anti-ST2 blocking antibody (100  $\mu$ g i.v.) or isotype control. Clinical scores of rheumatoid arthritis are shown (A). On day 5 post disease induction, mice were killed and splenic  $T_{reg}$  cells were analyzed by flow cytometry (B). (C) EAE was induced in C57BL/6 wild-type mice by immunization with MOG<sub>35–55</sub> peptide. Mice were treated i.v. with PBS or nonsialylated F241A (0.033 g/kg) four times, on days 5, 10, 15, and 20. Each injection was preceded by an i.v. injection of an anti-ST2 blocking antibody. Shown are clinical scores of EAE. (D) EAE mice were killed and cells were isolated from draining lymph nodes.  $T_{reg}$  cells and their Foxp3 and ST2 expression was analyzed by flow cytometry. Means  $\pm$  SEM are plotted; \* $P$  < 0.05; \*\*\* $P$  < 0.001 determined by Tukey's post hoc test.

IL-33 have been shown to be elevated upon IVIG administration in human autoimmune patients (19), thus making it a potent inducer of various antiinflammatory responses. When antibodies were used to block ST2 that prevented IL-33 signaling, we could observe that this treatment significantly compromised the protective effect of IVIG/F241A in both a serum transfer arthritis model as well as in an EAE model.

It is becoming increasingly clear that far from being a “constant” domain, the Fc region of antibodies exhibits heterogeneous structures and functions. This current study advances the view that the conformational diversity of the Fc fragment serves as a general strategy for antibodies to shift receptor specificity to effect different immunological outcomes. IgG Fc dynamics are fine-tuned by protein–glycan interactions, which are, in turn, regulated by the sugar composition of the Fc glycan. We find that a model of increased Fc glycan mobility accounts for the biophysical and functional properties associated with antiinflammatory activity of sialylated IgG. Based on these structural and mechanistic observations, we have developed a surrogate for sialylated IgG, F241A, which offers the benefit of greater potency and uniformity than IVIG and is a promising candidate for clinical development for both autoantibody- and T cell-mediated inflammatory diseases.

## Materials and Methods

**Mice.** Six- to 10-wk-old sex- and age-matched C57BL/6, SIGN-R1<sup>-/-</sup>, and SIGN-R1<sup>-/-</sup>hDC-SIGN<sup>+</sup> mice were used for all experiments in compliance with federal laws and institutional guidelines approved by The Rockefeller University. SIGN-R1<sup>-/-</sup> mice were bred to CD11c-DC-SIGN<sup>+</sup> transgenic mice to generate SIGN-R1<sup>-/-</sup>hDC-SIGN<sup>+</sup> mice. KRN T-cell receptor transgenic mice were on a C57BL/6 background and bred to nonobese diabetic (NOD) mice to create K/BxN mice (49). K/BxN serum was prepared by collecting blood samples from K/BxN mice. The serum was separated from blood and pooled and frozen into aliquots for further use. To induce serum transfer arthritis, 200  $\mu$ L of pooled K/BxN serum was injected intraperitoneally. Severity of arthritis was scored by clinical examination of the paws. The addition of all four paw indices reflected the severity of disease: 0, unaffected; 1, swelling of one joint; 2, swelling of more

than one joint; 3, severe swelling of the entire paw. In all experiments, groups of four or five mice were used, and means and SEM are plotted in graphs.

**Reagents and Treatments.** IVIG (Octagam; Octapharma) and F241A (Merck) were used at concentrations indicated in the text and figure legends. IVIG-Fc and IVIG-F(ab)<sub>2</sub> preparations were prepared by papain digestion of IVIG for 2 h at 37 °C. Desialylation of IVIG and F241A was performed by neuraminidase treatment. One hundred milligrams of antibody preparation was incubated with 700 U neuraminidase (New England BioLabs) for 20 h at 37 °C. Antibodies were purified by protein-G affinity purification and dialyzed against PBS before injection. Sialic acid contents of all antibody preparations were verified by Sambucus nigra (SNA) lectin blotting using SNA-biotin (Vector Laboratories). All IVIG and F241A preparations were administered intravenously.

Basophiles were depleted by daily i.p. injection with 10  $\mu$ g anti-Fc $\epsilon$ R1 (MAR-1; eBioscience) or hamster IgG isotype control (Sigma) for 4 consecutive days.

$T_{reg}$  cells were depleted by i.p. administration of 400  $\mu$ g anti-CD25 (50) (PC61; Bio X Cell) 3 d before EAE induction as well as 1 d after each IVIG/F241A injection until the end of the experiment.

Blocking of the IL-33 receptor ST2 was achieved by i.v. injections of 100  $\mu$ g anti-T1/ST2 (DJ8; MD Biosciences) on day 0 as well as every fifth day post EAE induction.

For cytokine treatment, mice received a single i.v. injection of 2.5  $\mu$ g IL-4ic (PeproTech) or 0.5  $\mu$ g IL-33 i.p. on 4 consecutive days or every 2 d in EAE experiments.

IL-6 serum levels were measured by an in vivo cytokine capture assay as described (51). IL-33 in cell-culture supernatants was detected and measured by ELISA as suggested by the manufacturer (eBioscience).

**Flow Cytometry.** To analyze lymphocytes and bone marrow cells, single-cell suspensions were prepared from spleens, lymph nodes, and bone marrow. After red blood cell lysis, cells were stained with the respective antibodies and analyzed using a FACSCalibur (BD Biosciences). The antibodies used for murine cell stainings were anti-CD4 (GK1.5), anti-Foxp3 (FJK-16s), and anti-IFN- $\gamma$  (XMG1.2) from eBioscience, anti-CD25 (PC61), anti-IL-17A (TC11-18H10.1), anti-CD209 (9E9A8), anti-Helios (22F6), anti-CD11b (M1/70), and anti-F4/80 (BM8) from BioLegend, and anti-T1/ST2 (DJ8) from MD Biosciences.

**Differentiation of Bone Marrow-Derived Macrophages and Transfers.** Bone marrow cells were isolated from femurs and tibiae, and cultured in 10-cm plates in DMEM supplemented with 20% (vol/vol) FBS, 2% (vol/vol) penicillin/streptomycin



(Invitrogen), 1% L-glutamine 200 mM (Invitrogen), 0.1%  $\beta$ -mercaptoethanol, and 40 ng/mL M-CSF (PeproTech) for 5–7 d at 37 °C. Flow cytometry was used to analyze bone marrow-derived macrophages in the cell cultures (>90% CD11b<sup>+</sup>F4/80<sup>+</sup> cells). Cells were recovered from plates, washed, and seeded in fresh tissue-culture plates, and subsequently pulsed with PBS, IVIG (15 mg/mL), or F241A (80  $\mu$ g/mL) for 3 h at 37 °C. Cells were then extensively washed and  $1 \times 10^6$  macrophages were administered i.v. to recipient mice. One hour posttransfer, mice were challenged with K/BxN sera.

**Quantitative Real-Time PCR.** Total RNA was isolated from bone marrow-derived macrophages using the RNeasy Mini Kit (Qiagen) and reverse-transcribed with SuperScript III Reverse Transcriptase (Invitrogen). Quantitative PCR was performed to measure IL-33 mRNA levels using a C1000 Touch Thermal Cycler (Bio-Rad) with primer sets for IL-33 [5'-TCACTGCAGAAAGTACAGCA-3' (forward) and 5'-AGTAGCACCTGGTCTTGCTC-3' (reverse)] and Gapdh [5'-ACAGTC-CATGCCATCACTGCC-3' (forward) and 5'-GCCTGCTTCCACCCTTCTTG-3' (reverse)]. Gene expression levels were calculated by normalization to Gapdh mRNA levels.

**Experimental Autoimmune Encephalomyelitis.** Six- to 8-wk-old C57BL/6, SIGN-R1<sup>-/-</sup>, or SIGN-R1<sup>-/-</sup> hDC-SIGN<sup>+</sup> mice were immunized s.c. with 200  $\mu$ L of an emulsion consisting of 200  $\mu$ g MOG<sub>35–55</sub> peptide (MEVGWYRSPFSRVVH-LYRNGK; AnaSpec) emulsified in complete Freund's adjuvant (Difco Laboratories). On days 0 and 2, mice received 200  $\mu$ g of pertussis toxin (List Biological) intraperitoneally. Development of disease was monitored daily according to the following criteria: 0, no clinical signs; 0.5, partially limp tail; 1, paralyzed tail; 2, loss of coordinated movement, and hind limb paresis;

2.5, one hind limb paralyzed; 3, both hind limbs paralyzed; 3.5, hind limbs paralyzed and hunched back; 4, severely hunched back and weakness in forelimbs; 4.5, forelimbs paralyzed; 5, moribund (52).

**In Vitro T<sub>reg</sub>-Cell Differentiation.** Single-cell suspensions were prepared from spleens and lymph nodes of naive C57BL/6 wild-type mice. Naive CD4<sup>+</sup> T cells were isolated by magnetic cell separation (Miltenyi Biotec) and cultured for 3 d in RPMI supplemented with 10% (vol/vol) FBS, 2% (vol/vol) penicillin/streptomycin (Invitrogen), 1% L-glutamine 200 mM (Invitrogen), 0.1%  $\beta$ -mercaptoethanol, and anti-CD3 (17A2; eBioscience) and anti-CD28 (37.51; eBioscience) antibodies in 24-well cell-culture plates that were coated with anti-hamster IgG (MP Bio). For T<sub>reg</sub>-cell differentiation, TGF- $\beta$  (2.5 ng/mL; PeproTech) was added. IL-33 (1 ng/mL; BioLegend) or IL-23 (20 ng/mL; PeproTech) was added to the cell cultures as indicated in the figure legends. On day 3, cells were recovered and T<sub>reg</sub> cells (CD4<sup>+</sup>Foxp3<sup>+</sup>) were analyzed by FACS.

**T-Cell Transfer Colitis.** For T-cell transfer colitis,  $5 \times 10^5$  naive CD4<sup>+</sup>CD45RB<sup>hi</sup> CD25<sup>-</sup> T cells from C57BL/6 wild-type animals were sorted and injected i.p. into C57BL/6 Rag1<sup>-/-</sup> recipient mice. Body weight loss was measured twice a week and used as a measure of disease severity.

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