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White matter integrity in dementia with Lewy bodies: A Voxel-Based Analysis of Diffusion Tensor Imaging

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Abstract

Many patients with dementia with Lewy bodies have overlapping Alzheimer's disease (AD)– related pathology, which may contribute to white matter (WM) diffusivity alterations on diffusion tensor imaging (DTI). Consecutive patients with DLB (n=30), age and sex matched AD patients $(n=30)$, and cognitively normal controls $(CN; n=60)$ were recruited. All subjects underwent DTI, 18F 2-fluoro-deoxy-d-glucose (FDG) and 11C Pittsburgh compound B (PiB) PET scans. DLB patients had reduced fractional anisotropy (FA) in the parieto-occipital WM but not elsewhere compared to CN, and elevated FA in parahippocampal WM compared to AD patients, which persisted after controlling for Aβ load in DLB. The pattern of WM FA alterations on DTI was consistent with the more diffuse posterior parietal and occipital glucose hypometabolism of FDG PET in the cortex. DLB is characterized by a loss of parieto-occipital WM integrity, independent of concomitant AD-related Aβ load. Cortical glucose hypometabolism accompanies WM FA alterations with a concordant pattern of gray and white matter involvement in the parieto-occipital lobes in DLB.

Keywords

dementia with Lewy bodies; diffusion tensor imaging; white matter integrity; amyloid-beta load; voxel-based analysis; cortical hypometabolism

1. Introduction

Diffusion tensor imaging (DTI) provides information on white matter (WM) microstructure, utilizing the anisotropic nature of water diffusion, which is impeded perpendicularly to WM fibers. Fractional anisotropy (FA) is a robust DTI-derived measure (Pierpaoli and Basser, 1996) of the directionality of water diffusion, which decreases with the degeneration of WM. Hence, FA is often used as a proxy of WM integrity (Carmichael and Lockhart, 2012; Douaud et al., 2011).

DTI studies in dementia with Lewy bodies (DLB) have reported varying extents of white matter (WM) involvement, ranging from wide-spread reduced FA in the corpus callosum, frontal, parietal, occipital and temporal WM (Bozzali et al., 2005; Lee et al., 2010), to involvement confined to temporo-parietal limbic and occipital pathways (Firbank et al., 2007; Firbank et al., 2011; Kiuchi et al., 2011; Watson et al., 2012). Reduced FA in the occipital WM, specifically in the inferior longitudinal fasciculus, a pathway important for visuo-spatial processing, was a common finding in DLB patients (Bozzali et al., 2005; Kantarci et al., 2010; Kiuchi et al., 2011; Lee et al., 2010; Ota et al., 2008; Watson et al., 2012). Many DLB patients who fulfill the clinical criteria for probable DLB have overlapping Alzheimer's disease (AD)–related pathology, which may have contributed to the variation in WM diffusivity alterations in DLB.

¹¹C Pittsburgh compound B (PiB) on PET imaging traces β-amyloid (Aβ) plaques which are present in both AD and LB dementias (Rowe et al., 2007 ;Foster et al., 2010). Although PiB binds to Aβ in both the neuritic and diffuse plaques (Klunk et al., 2001; Mathis et al., 2002), it has a higher affinity to neuritic plaques. Further, PiB does not bind to α-synuclein in Lewy bodies. (Burack et al., 2010; Fodero-Tavoletti et al., 2007; Kantarci et al., 2012c). Therefore, PiB uptake on PET can serve as a marker of AD-related Aβ pathology in DLB.

18F 2-fluoro-deoxy-glucose (FDG) PET findings in DLB are characterized by hypometabolism in the occipital and posterior temporo-parietal cortex (Imamura et al., 1997; Minoshima et al., 2001). However, cortical atrophy has not been observed in these posterior brain regions, neither in cross-sectional MRI studies in clinically-diagnosed DLB patients, (Middelkoop et al., 2001; Whitwell et al., 2007), nor in a longitudinal MRI study that investigated the pattern of cortical atrophy rates on antemortem MRI in an autopsyconfirmed cohort (Nedelska et al., 2014). Because DLB patients show a specific pattern of reduced cortical metabolism, FDG PET is particularly useful to examine the neurodegenerative changes in the cortical gray matter, and to assess whether hypometabolism in the cortex relates to a loss of WM integrity in DLB.

It remains unknown whether or not AD-related $\mathbf{A}\beta$ pathology is responsible for the alterations in WM microstructure in patients with DLB. Further, it is unclear whether the WM alterations on DTI topographically coincide with cortical hypometabolism observed on FDG PET in DLB. First, we determined the pattern of WM diffusivity alterations in DLB compared to cognitively normal controls and AD patients using voxel-based analysis across the WM of the entire brain. Second, we examined the contribution of Aβ load to the disruption of WM integrity in patients with DLB, and finally we compared the pattern of WM alterations on DTI and cortical glucose hypometabolism on FDG PET in DLB.

2. Methods

2.1. Subjects and Clinical Evaluations

We identified thirty consecutive patients with probable DLB (McKeith et al., 2005) from a prospective, longitudinally followed cohort at the Mayo Clinic Alzheimer's Disease Research Center (ADRC; a dementia clinic-based cohort) in Rochester, MN during a threeyear period 2010 – 2013. For comparison, we included thirty patients with probable AD (McKhann et al., 1984) and sixty cognitively normal controls (CN) either from ADRC or from the Mayo Clinic Study on Aging (a community-based cohort) who were matched (1:1 or 2:1, respectively) on age and sex to DLB patients. Eligibility was defined as the absence of any major abnormality on structural MRI that could confound the results such as tumors or large hemispheric infarcts, the absence of primary neurological illness affecting cognition other than DLB or AD, and sufficient scan quality to conduct analysis. The study was approved by the Mayo Clinic Institutional Review Board. All subjects or their proxies provided the informed consent on study participation.

Global measures of Clinical Dementia Rating Sum of Boxes (CDR-SOB; Hughes et al., 1982), Mini Mental State Examination (MMSE; Folstein et al., 1975) and Dementia Rating Scale (DRS; Morris, 1993) were used to assess clinical disease severity at the time of the

study. The presence, duration and severity of DLB clinical features, and the duration of dementia were ascertained. Visual hallucinations (VH) had to be fully formed, recurring, and unlikely to be the consequence of causes other than DLB. VH severity was coded as mild, moderate or severe. Fluctuations were considered present if patients scored 3 or 4 points on the Mayo Clinic Fluctuations questionnaire (Ferman et al., 2004). Motor impairment was scored using motor subscale of the Unified Parkinson's Disease Rating Scale (UPDRS; Fahn, 1987). Probable REM sleep behavior disorder RBD (pRBD) was diagnosed using the International Classification of Sleep Disorders–II diagnostic criteria B for pRBD (AASM, 2005).

2.2. MRI acquisition

MRIs were performed at 3T using an eight channel phased array coil (GE, Milwaukee, WI) and parallel imaging with an acceleration factor of two. A 3D T1-weighted high-resolution magnetization prepared rapid gradient echo acquisition with $TR/TE/TI = 7/3/900$ ms, flip angle 8 degrees, a slice thickness of 1.2 mm, and in plane resolution of 1.0 mm was obtained for anatomic segmentation and labeling. DTI was acquired using a single-shot echo-planar T2-weighted sequence in the axial plane with the following acquisition parameters: $TR =$ 10,200 ms; in-plane matrix 128/128; FOV 35 cm; phase FOV 0.66. DTI volumes included 41 diffusion-encoding directions, and four non-diffusion weighted T2 images with 2.7 mm slice thickness and 2.7 mm isotropic resolution.

2.3. 11C-PiB and 18F-FDG PET studies

11C-PiB and 18F-FDG PET scans were performed within a median time of seven days before or after DTI. Images were acquired using a PET/CT scanner (DRX, Milwaukee, GE) in 3D on the same day with 1 hour interval between each other. Each subject was injected with 11C PiB and later with 18F-FDG. Following a 40-minutes of PiB uptake period, a 20min PiB scan consisting of four 5-min dynamic frames was obtained. Following a 30-minute 18F-FDG uptake interval, an 8-minute FDG scan was acquired. PiB and FDG individual scans were affine-coregistered to corresponding T1-weighted MRI scan. PiB and FDG images were parcellated into regions of interest (ROIs) (Tzourio-Mazoyer et al., 2002) using an in-house modified automatic anatomical labelling atlas (Vemuri et al., 2008), and partial volume correction was applied using a brain vs CSF model (Meltzer et al., 1999). The global cortical PiB standardized uptake value ratio (SUVR) was calculated as the median uptake in the bilateral parietal, temporal, prefrontal, orbitofrontal, cingulate precuneus and anterior cingulate cortical uptake divided by uptake in the cerebellar gray matter (Jack et al., 2008). The cortical FDG uptake in each voxel was divided by the median pons FDG uptake. Differences in glucose metabolism between CN and DLB patients were displayed using Statistical Parametric Mapping 5 (SPM5; [http://www.fil.ion.ucl.ac.uk/spm\)](http://www.fil.ion.ucl.ac.uk/spm), p<0.001 and corrected for multiple comparisons using family wise error. W e used pons normalized FDG uptake values from those VBA-derived cortical regions, where FDG uptake was significantly reduced in DLB compared to CN in order to determine the correlations among the focal abnormalities in FDG uptake and DTI findings in DLB.

2.3.3. DTI Analysis—Each of the 41 diffusion-weighted images was affine co-registered to the non-diffusion weighted b0 images to minimize head motion and eddy current-related

distortions. Images were brain-extracted using FSL Brain Extraction Tool [\(http://](http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/BET) fsl.fmrib.ox.ac.uk/fsl/fslwiki/BET). Tensors were fit using a least squares model, and FA maps were generated (Behrens et al., 2003). A voxel-based analysis (VBA) across the whole-brain was conducted using the FA images according to previously-published technique (Schwarz et al., 2014) . Briefly, FA images of all subjects were nonlinearly coregistered via an iterative, group-wise registration algorithm Advanced Normalization Tools (ANTs; Avants et al., 2010) and normalized to a 1 mm isotropic MNI 152 standard space via the FMRIB58_FA template (Jenkinson et al., 2012). The images were smoothed using an 8 mm full-width-half-maximum Gaussian kernel. Non-WM regions were removed by masking out voxels where the mean FA across co-registered subjects' images was < 0.2.

We first examined the topographic pattern of FA differences between each of the two clinical groups on VBA using SPM5 at p<0.001 corrected and uncorrected for multiple comparisons to capture the whole range of differences across the DLB spectrum with varying degrees of PiB retention compared to AD and CN groups. Second, we included the individual PiB SUVR as a continuous covariate in regression model to examine the contribution of Aβ load to WM diffusivity alterations in DLB compared to CN. Third, we used FA values from those VBA-derived WM regions, where FA was significantly reduced in DLB compared to CN in order to determine the associations of focal abnormalities in FA with clinical features and focal abnormalities in FDG PET in patients with DLB.

2.4. Statistics

Analyses on subjects' characteristics and correlations were performed using SAS version 9.3 and R statistical software package, version 2.14.0. [\(http://www.R-project.org](http://www.R-project.org)) with 2-sided significance set at type I error rate α <0.05. For continuous variables, the medians with interquartile ranges (IQR) were reported along with the p-values from either the Kruskal-Wallis or Wilcoxon two-sample rank sum test. For binary or categorical variables, the proportions (%) were reported along with p-values from X^2 test. The associations between FA values and the duration or severity of clinical features in DLB patients were examined using the Spearman rank correlations.Correlations between duration of visual hallucinations, severity of visual hallucinations, and FA values were determined by likelihood ratio test.

3. Results

3.1. Subjects' characteristics

Subjects' characteristics are listed in **Table 1**. Groups were well matched on sex and age. We treated *APOE* ε4/2 carriers as *APOE* ε4/ε4 or *APOE* ε3/ε4 carriers. The proportion of *APOE* ε4 carriers was highest in AD group (p<0.001). Patients with DLB did not differ from AD in the estimated dementia duration ($p=0.88$), or in measures of CDR SOB ($p=0.15$) or MMSE (p=0.99). AD patients had a greater A β burden than DLB patients (p<0.001) on 11C-PiB PET. As expected, the frequencies of VH, pRBD, fluctuations and parkinsonism were higher in DLB compared to AD group ($p<0.001$). Sixteen of the 30 DLB patients (53%) were PiB negative (SUVR<1.5) and 14 (47%) were PiB positive (SUVR 1.5).

3.2. Patterns of white matter FA alteration on voxel-based analysis

Topographical patterns of between-group differences in FA are displayed in **Figure 1.** We found no differences across the clinical groups after correction for multiple comparisons, therefore we present uncorrected data $(p<0.001)$. Patients with AD had markedly reduced FA in temporal and parietal WM and less so in occipital and frontal WM compared to CN. Patients with DLB had FA decreases confined solely to posterior parietal and occipital WM compared to CN. Patients with DLB had a higher FA in the parahippocampal WM compared to AD patients. Median FA values derived from the voxels that have lower FA compared to CN are plotted in **Figure 2** demonstrating that PiB positivity may have influenced the findings, justifying the Aβ load-adjusted analysis.

3.3. Effect of Aβ **load on white matter integrity in DLB**

Adjustment for Aβ load did not have a substantial effect on the FA alterations in DLB in the parieto-occipital WM. The topographical pattern and magnitude of reduced FA in DLB remained similar between unadjusted and Aβ load-adjusted VBA; the only difference was that the extent of WM involvement shrunk from diffuse parieto-occipital to a well circumscribed area lying on parietal and occipital WM border (**Figure 3**).

3.4. Association of white matter FA alterations with clinical measures in DLB

From DLB-related features, we observed a marginally significant negative association with severity of visual hallucinations (Spearman r =−0.36; p=0.07) and the parieto-occipital WM FA abnormality on VBA. None of global clinical measures of CDR-SOB, MMSE or DRS correlated with reduced FA in parieto-occipital WM.

3.5. Cortical glucose hypometabolism and white matter FA alterations in DLB

Figure 4 overlays the voxel-based analysis of glucose hypometabolism and FA decreases in patients with DLB compared to the CN group. Patterns of WM alteration and cortical glucose hypometabolism involved similar brain regions in DLB. Although changes on 18F-FDG PET were more diffuse, affecting inferior temporal, posterior parietal and occipital cortices, an overlap with WM FA decreases was obvious in the parieto-occipital lobes. However we did not find an association between parieto-occipital WM FA reduction and cortical glucose metabolism derived from regions that differed from CN subjects on VBA $(p=0.14)$.

4. Discussion

This study demonstrates how patients with DLB differ on the pattern of WM integrity disruption from age- and sex-matched CN and AD patients utilizing a voxel-based algorithm examining the white matter of the entire brain. DLB patients are characterized by reduced FA confined to the posterior parietal and occipital WM. Controlling for Aβ load, estimated by a global PiB retention, does not alter this finding and a similar, but more circumscribed pattern of reduced FA is observed in the parietal and occipital WM border in DLB. This suggests WM integrity disruption in this region is only in-part dependent on Aβ load, and is a typical feature of DLB. Comparing the pattern of cortical glucose hypometabolism on 18F-FDG PET and WM FA alterations on DTI, cortical hypometabolic changes were more

wide-spread than WM disruption in DLB. However, these abnormalities overlapped in the parieto-occipital lobes.

In DLB patients, loss of posterior WM integrity has been a consistent finding across a number of DTI studies (Bozzali et al., 2005; Kantarci et al., 2010; Kiuchi et al., 2011; Lee et al., 2010; Ota et al., 2008; Watson et al., 2012) when compared to CN. Whereas we observed reduced FA in the posterior parietal and occipital WM compared to CN, we did not find differences elsewhere, unlike previous studies demonstrating more wide-spread WM involvement of temporal areas (Bozzali et al., 2005; Lee et al., 2010), varying severity of frontal (Bozzali et al., 2005; Lee et al., 2010; Watson et al., 2012) or pontine WM involvement (Watson et al., 2012). Adjustment for $\mathsf{A}\beta$ load led to a shrinkage of the regions where posterior parieto-occipital WM FA was significantly reduced, but these were topographically consistent with unadjusted analysis. Patients with DLB commonly have mixed AD pathology, which may be responsible for hippocampal atrophy (Kantarci et al., 2012a; Murray et al., 2013) and greater medial temporal lobe atrophy rates (Nedelska et al., 2014) in these patients. While we do not have autopsy confirmation on a majority of our subjects, adjustment for Aβ load reduced the impact of AD-related pathology on DTI findings. In fact, the occipital FA values derived from the VBA analysis were slightly lower in PiB positive DLB than the PiB negative DLB subjects, although both had reduced FA in this region compared to CN. It is likely that the wide-spread WM involvement in DLB, particularly in the temporal lobes, is associated with mixed AD pathology, which may not be apparent clinically.

Occipital and posterior parietal WM comprise fibers connecting primary and association visual cortices to other brain regions, as well as 'what' and 'where' distinction pathways (Mesulam, 1998). In keeping with the functional anatomy, and considering that most of our DLB patients had visual hallucinations, an association between visual hallucinations and FA was expected. However, we found only a marginally significant association between FA and the severity of visual hallucinations (coded as mild, moderate or severe). We previously reported an association between presence of visual hallucinations (present vs absent) and reduced FA in the inferior longitudinal fasciculus that connects occipital visual cortex to temporal lobe (Kantarci et al., 2010). It is possible that the relationship between reduced FA and visual hallucinations is confined to the inferior longitudinal fasciculus and not the entire occipital WM FA abnormality we analyzed in this study. Occipital WM has been investigated in autopsy-confirmed cohort DLB patients (Higuchi et al., 2000), where microvacuolation and gliosis were more frequent and severe in the occipital WM in DLB compared to AD patients. Such changes in control brains were minimal. Antemortem occipital hypometabolism on FDG PET characterized those DLB patients with the most severe microvacuolation. Furthermore, microvacuolation was thought to be a substrate for mean diffusivity elevation in the amygdala in DLB (Fujino and Dickson, 2008; Higuchi et al., 2000; Jellinger, 2004; Kantarci et al., 2010). Similarly, WM microvacuolation may be the substrate underlying reduced FA we observed in the parieto-occipital WM of patients with DLB.

We observed that the cortical hypometabolism was largely overlying the disruption in WM integrity in the parieto-occipital lobes. This posterior pattern of glucose hypometabolism,

primarily involving the occipital cortex, is a characteristic feature of DLB (Minoshima et al., 2001) and is independent of AD-related Aβ load (Graff-Radford et al., 2014; Kantarci et al., 2012b). It is thought that the glucose hypometabolism in DLB is related to synaptic dysfunction due to presynaptic α-synuclein deposition with loss of postsynaptic dendritic spines (Kramer and Schulz-Schaeffer, 2007; Zaja-Milatovic et al., 2006), which may contribute to degeneration in the connecting tracts in the WM. Based on this topographic concordance, which was not statistically significant on quantitative analysis, the relationship between reduced WM FA and cortical hypometabolism in the occipital lobe should be further investigated by identifying the cortical connections of WM tracts involved in this region.

When we compared AD patients to CN, we observed reduced FA particularly in the temporo-parietal WM, in agreement with previous DTI studies (Bozzali et al., 2002; Damoiseaux and Greicius, 2009; Huang et al., 2007; Kantarci et al., 2010; Medina et al., 2006; Mielke et al., 2009; Salat et al., 2010). DLB patients had elevated FA in a small but clearly bilateral and symmetric parahippocampal WM compared to AD patients. We found no other differences between these two groups, in agreement with a previous study reporting more asymmetrically reduced FA in the parahippocampal WM in AD patients (Watson et al., 2012). A relative preservation of parahippocampal WM integrity in DLB compared to AD patients is consistent with the preservation of hippocampal volumes on MRI in DLB compared to AD in autopsy-confirmed studies (Burton et al., 2009; Kantarci et al., 2012a; Murray et al., 2013). Dementia duration measured by clinical testing did not differ between our DLB and AD patients, and severity of dementia measured by DRS differed only slightly between these two groups. Our finding suggests that a hippocampal connectivity, which is in part carried by the cingulum tract that runs in the parahippocampal WM, is disrupted in AD but relatively preserved in DLB patients.

A limitation of our study is a lack of autopsy confirmation that could elucidate the role of WM microvacuolation on FA reduction and cortical glucose hypometabolism in DLB. We did not analyze the data on mean diffusivity, which is significantly affected by partial volume averaging of CSF. Although the influence of partial volume averaging of CSF is less on FA, up to 16% of the difference among subjects with mild cognitive impairment and CN has been attributed to macrostructural changes and associated partial volume averaging of CSF (Berlot et al., 2014). Development of robust methods for correction of partial volume averaging of CSF may improve the specificity of DTI findings in both GM and WM. Finally, we did not correct for multiple comparisons when reporting the VBA findings, which is common in AD DTI literature perhaps because of the effect sizes observed in DTI studies (Keihaninejad et al. 2012). In fact, correcting for multiple comparrissons with family-wise error correction completely diminished the group differences.

In conclusion, current data indicate that loss of parieto-occipital WM integrity is a characteristic feature of DLB, independent of AD-related Aβ deposition. This pattern of WM degeneration is consistent with the overlying reduction in glucose metabolism in the parieto-occipital cortex. Although we cannot draw inferences on any causal or temporal relationship between loss of WM and GM integrity, our findings demonstrate that microstructural changes in the WM coincide with the GM hypometabolism in the parieto-

occipital lobes in DLB patients. Longitudinal imaging studies may reveal the temporal course of GM and WM alterations in DLB.

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Abbreviations

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Highlights

- **•** Dementia with Lewy bodies is characterized by a loss of parieto-occipital white matter integrity independent of β-amyloid load.
- **•** Microstructural changes in the white matter topographically coincide with the gray matter hypometabolism in the parieto-occipital lobes in patients with dementia with Lewy bodies.
- **•** The temporal course of gray matter and white matter alterations in dementia with Lewy bodies require further investigation.

Figure 1. Patterns of differences in FA between clinical groups on voxel-based analysis

Voxel-level maps show topographic pattern of between-group differences in FA. FA maps overlaid on glass brain from SPM (top row) or anatomical sections (bottom row) show magnitude of the differences in FA using side T-statistic bars, p<0.001(uncorrected). AD patients have wide-spread FA decreases in temporo-parietal, occipital and frontal white matter compared to CN (left column). DLB patients have reduced FA confined to parietooccipital white matter compared to CN (middle column). In AD, reduced FA in parahippocampal white matter is observed compared to DLB patients (right column).

Figure 2. Parieto-occipital FA in PiB positive and PiB negative DLB FA values from VBA-derived WM regions, where FA was significantly reduced in DLB

compared to CN are displayed in PiB positive (SUVR<1.5) and PiB negative (SUVR 1.5) DLB subjects compared to AD and CN subjects.

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Figure 3. Pattern of reduced FA in DLB patients compared to CN, adjusted for Aβ **load**

Voxel-level maps show pattern of reduced FA in DLB patients compared to CN. FA maps overlaid on glass brain from SPM (top row) or anatomical sections (middle row) show magnitude of the FA differences between these two groups using side T-bars, p<0.001(uncorrected) on voxel-based analysis. Bottom row shows FA differences overlaid on a 3D glass-brain render from MRIcroGL [\(http://www.mccauslandcenter.sc.edu/](http://www.mccauslandcenter.sc.edu/mricrogl/) [mricrogl/](http://www.mccauslandcenter.sc.edu/mricrogl/)), with FA decreases confined to a well circumscribed region in parieto-occipital white matter.

Figure 4. Overlapping patterns of white matter and cortical grey matter alterations in DLB patients on voxel-based analysis

Cortical glucose hypometabolism on 18F-FDG PET is overlapping with reduced FA in white matter on DTI in DLB patients compared to CN. The overlap is displayed on a surface render using SPM5. The white matter alteration (green color) is confined to parieto-occipital region, p<0.001 (uncorrected). The alteration in cortical glucose metabolism (red color) is more diffuse, in posterior temporal, parietal and in occipital cortices, p<0.001, (FWE corrected).

Table 1

Subjects' characteristics.

Medians (IQR) are listed for the continuous and the proportions (%) are for the categorical variables.

 $*$ p-values are from the Wilcoxon rank sum for the continuous variables, and a χ^2 test for differences in proportions. VH = visual hallucinations (probable and definite combined together). RBD = probable REM-sleep behavior disorder, SUVR=Standardized uptake value ratio.