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## Aberrant methylation of imprinted genes is associated with negative hormone receptor status in invasive breast cancer

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### Abstract

Epigenetic regulation of imprinted genes enables monoallelic expression according to parental origin, and its disruption is implicated in many cancers and developmental disorders. The expression of hormone receptors is significant in breast cancer as they are indicators of cancer cell growth rate and determine response to endocrine therapies. We investigated the frequency of aberrant events and variation in DNA methylation at nine imprinted sites in invasive breast cancer and examined the association with estrogen and progesterone receptor status. Breast tissue and blood from patients with invasive breast cancer (n=38) and benign breast disease (n=30) were compared to those from healthy individuals (n=36), matched to the cancer patients by age at diagnosis, ethnicity, BMI, menopausal status, and familial history of cancer. DNA methylation and allele-specific expression were analyzed by pyrosequencing. Tumor-specific methylation changes at *IGF2 DMR2* were observed in 59% of cancer patients, *IGF2 DMR0* in 38%, *DIRAS3 DMR* in 36%, *GRB10 ICR* in 23%, *PEG3 DMR* in 21%, *MEST ICR* in 19%, *H19 ICR* in 18%, *KvDMR* in 8%, and *SNRPN/SNURF ICR* in 4%. Variation of methylation was significantly greater in breast tissue from cancer patients than healthy individuals and benign breast disease. Aberrant methylation of three or more sites was significantly associated with negative estrogen-alpha (Fisher's Exact Test, p=0.02) and progesterone-A (p=0.02) receptor status. Aberrant events and increased variation of imprinted gene DNA methylation therefore appear to be frequent in invasive breast cancer and are associated with negative estrogen and progesterone receptor status, without loss of monoallelic expression.

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## Keywords

DNA methylation; genomic imprinting; pyrosequencing; breast cancer; hormone receptor

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## Introduction

Genomic imprinting is the epigenetic regulation of genes to enable monoallelic expression according to parental origin, through differential methylation of regions labeled imprinting control regions (ICRs) when established in the germline, or differentially methylated regions (DMRs) when established post-fertilization. Loss of imprinting (LOI) is the loss of this monoallelic expression, and it is commonly the result of disruption of DNA methylation at ICRs and DMRs. LOI is associated with a range of disorders, such as the Beckwith-Wiedemann, Angelman and Prader-Willi syndromes<sup>1,2</sup>. Imprinted genes have also been implicated in a range of cancers, including those of the breast<sup>3</sup> and ovaries<sup>4</sup>. Their expression has been associated with disease progression, including reduced survival in pancreatic cancer<sup>5</sup> and aggressive prostate cancers<sup>6</sup>. Aberrant methylation of imprinted genes may be an early event involved in neoplastic transformation<sup>7</sup>, and it has been reported that 10% of apparently healthy individuals display LOI of *IGF2*<sup>8</sup>.

DNA methylation can display stochastic variation, which may facilitate developmental plasticity and adaptation to environments, including that of malignant cells in the tumor microenvironment<sup>9</sup>. This variation can be gene-specific, such as that as observed in the placenta to enable adaptation to environmental challenges throughout pregnancy<sup>10</sup>. A significantly greater level of variation is observed in tumors, which often constitutes shifting in methylation 'boundaries' between CpG islands and shores<sup>11</sup>. Such events may occur early in tumorigenesis, demonstrated by the significantly increased variation observed in cervical cells of normal morphology<sup>12</sup>.

Breast cancers are classified according to the expression of the estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2). ER-alpha and PR-A expression are determined by immunohistochemistry, with tumors in which more than 5–10% of cells stain positively classified as positive for expression. HER2 expression is determined by immunohistochemistry, with scores of 0–3 according to intensity of staining, and by fluorescence *in situ* hybridization (FISH) to detect amplification of the gene. Activation of these receptor signaling pathways results in cellular proliferation, and they are implicated in the progression of breast and gynecological cancers. Approximately two-thirds of tumors display expression of at least one of the hormone receptors, and these patients display reduced mortality compared to those who express neither<sup>13</sup>, in part due to the efficacy of endocrine therapies. Triple-negative breast cancers, which account for approximately 12–17% of all cases<sup>14</sup>, are associated with poor prognosis.

The relation between imprinted genes and hormone receptor status in breast cancer has not been well elucidated. Correlations between the methylation of four tumor-suppressor genes and the expression of the hormone receptors has been observed<sup>3</sup>, but similar studies have not been performed for imprinted genes. Elucidating such a relationship may provide insight into tumorigenesis and the determination of prognostic factors.

In this study, we investigated the aberrant methylation of nine imprinted regions in samples of breast tissue taken from healthy individuals and patients with benign breast disease and invasive breast cancer. The interrogated imprinted regions were: *DIRAS3 DMR*; *GRB10 ICR*; *H19 ICR*; *IGF2 DMR0* and *DMR2*; *KvDMR*; *MEST ICR*; *PEG3 DMR*; and *SNPRN/SNURF ICR*. The intra-individual tissue-specificity of the aberrant methylation events was determined by comparison of methylation in DNA from breast tissue and peripheral blood within individuals. Variation of DNA methylation in imprinted genes and LINE-1 global methylation were measured, and possible associations between aberrant methylation and the status of the estrogen, progesterone and HER2 receptors in breast cancer patients were identified. Finally, we analyzed the allele-specific expression of the genes in order to establish the relative impact of the aberrant methylation events.

## Materials and methods

### Study populations

The Clinical Breast Care Project (CBCP) is a clinical and research program that began enrolling patients in 2001. The primary clinical arm of the CBCP was the Clinical Breast Care Center at Walter Reed Army Medical Center (Washington DC, USA). Additional recruitment centers include the Joyce Murtha Breast Care Center (Windber, PA, USA), and the Anne Arundel Medical Center (Annapolis, MD, USA). Enrolled patients were required to be 18-years-old or older, mentally competent and willing to provide informed consent, and presenting with evidence of possible breast disease, attending for routine screening mammograms, or undergoing elective reductive mammoplasty. Patients were provided with layered consent forms that included permission to obtain samples of blood, breast and metastatic tissues, and a description of the primary research uses. Once informed consent was granted, the core questionnaire, with over 500 fields of information, was completed with the help of a nurse case manager, and an extensive pathology checklist was completed by the dedicated breast pathologist. Ethical approval for the collection of blood and tissue samples and their use in this study was provided by the Walter Reed Army Medical Center Human Use Committee and Institutional Review Board.

The Susan G. Komen for the Cure Tissue Bank (Indianapolis, IN, USA) is a charitable organization that enrolls healthy volunteers to donate blood and up to four breast biopsies. Eligible individuals must be at least 18 years of age and able to provide informed consent. Volunteers are asked to complete a questionnaire regarding health and lifestyle factors. Approval for the collection of blood and tissue, and for their use in this study, was provided by the Indiana University Institutional Review Board.

### Blood and tissue samples

DNA and RNA from benign and tumor tissue and blood were obtained from the CBCP for 38 patients with invasive breast cancer and 30 with benign breast disease (13 fibrocystic changes, 8 fibroadenoma, 3 post-surgical changes, 2 stromal fibrosis, and 4 other) (Table 1, Supplementary Table 1). Genomic DNA was extracted from frozen tumor samples following laser microdissection (Leica Microsystems, Wetzlar, Germany) and homogenized benign tissue by incubation with proteinase K at 37°C overnight and passage through

purification columns (Millipore, Billerica, MA, USA). DNA extractions from blood were performed using Clotspin and Puregene DNA purification kits (Qiagen, Valencia, CA, USA) according to the manufacturer's instructions.

Samples from 36 healthy individuals were obtained from the Susan G. Komen for the Cure Foundation Tissue Bank (Table 1). DNA was extracted from 25mg tissue using the QIAamp DNA Mini Kit (Qiagen) according to the manufacturer's protocol, following proteinase K digestion at 56°C for 3–6 hours. RNA extractions were performed using the RNeasy Mini Kit (Qiagen) according to the manufacturer's protocol, following mechanical disruption of 25mg tissue in a bead mill. Between 2 and 41µg of DNA isolated from blood was made available for this study.

### **Bisulfite conversion of DNA**

250–500ng of DNA was converted using the EZ DNA Methylation Gold kit (Zymo Research, Irvine, CA, USA), according to the manufacturer's Alternative 2 protocol, with elution in 40µl of elution buffer.

### **DNA methylation analysis by pyrosequencing**

Pyrosequencing was performed on the PyroMark Q24 Pyrosequencer (Qiagen). Assays were designed using the PyroMark Assay Design and PyroMark Q24 software programs (Qiagen), with the exceptions of those for *H19 ICR*, *IGF2 DMR0* and *IGF2 DMR2*, which have previously been reported<sup>15–17</sup>. Nine DMRs/ICRs were analyzed: *DIRAS3 DMR* (5 CpG dinucleotides); *GRB10 ICR* (6 CpGs); *H19 ICR* (8 CpGs); *IGF2 DMR0* (6 CpGs); *IGF2 DMR2* (7 CpGs); *KvDMR* (9 CpGs); *MEST ICR* (9 CpGs) *PEG3 DMR* (6 CpGs); and *SNRPN/SNURF ICR* (8 CpGs) (Supplementary Table 2).

Regions of interest were amplified by polymerase chain reaction (PCR), using 3µl of bisulfite-treated DNA and 0.2µM of each primer with HotStar Taq Plus Master Mix (Qiagen) in a final volume of 20µl. Pyrosequencing was performed according to the manufacturer's instructions. Duplicate bisulfite-conversions were run for each sample and mean methylation levels were calculated across all CpG sites per replicate. Studies in healthy human tissues have reported methylation levels of between 30 and 70% at DMRs and ICRs<sup>16,17</sup>, and we therefore defined hypomethylation as values below 30% and hypermethylation as values above 70%. It was not possible to ascertain methylation values for all samples.

### **Identification of allelic origins of mRNA**

Allele-specific expression was performed by pyrosequencing, using single nucleotide polymorphisms (SNPs) to determine the allelic origins of mRNA transcripts in heterozygous patients, identified by pyrosequencing using 10ng of DNA from blood. For heterozygous individuals, 20ng of RNA was reverse-transcribed using the iScript cDNA synthesis kit (Bio-Rad Laboratories, Hercules, USA) according to the manufacturer's instructions, and 2µl of cDNA used for PCR-based amplification prior to allele quantification by pyrosequencing. Primer sequences and SNPs are provided in Supplementary Table 2.

## Gene expression microarray data

Expression data for ten genes (*DIRAS3*, *DNMT1*, *DNMT3A*, *DNMT3B*, *GRB10*, *IGF2*, *KCNQ1*, *MEST*, *PEG3*, and *SNRPN*) from 302 breast tumors were made available by the Walter Reed Army Medical Center (Washington DC, USA). Of the tumors, 199 were ER-positive and 103 were ER-negative, and 153 were PR-positive and 149 PR-negative.

## Statistical analysis

Correlations between DNA methylation and age at diagnosis were calculated by Pearson correlation for all genes except *GRB10*, for which Spearman's rank correlation was used as the data was not normally distributed. Associations with tumor stage, receptor status and familial history of cancer were calculated by ANOVA. For the FAM group, associations were calculated by Fisher's exact test, and associations with age at diagnosis calculated by t-test or Wilcoxon rank sum test, according to the distribution of the data. P values below 0.05 were deemed statistically significant.

For the modeling of variation, deviation was calculated as the absolute difference between the median methylation level in blood or breast tissue from healthy individuals and the methylation level for the individual. This was conducted for each gene, for comparisons of samples from patients with benign breast disease and cancer to the healthy controls, in both blood and breast tissue. A general linearized model was used to model deviation with a gamma distribution, and a log link. Model coefficients and 95% confidence intervals were exponentiated to give the relative change in deviation between tissues. The adjusted model controlled for family history (binary), menopausal status (binary), BMI category (normal, <25; overweight, 25 – 30; obese, >30), and age (continuous). Results were corrected for multiple hypothesis testing using the Bonferroni correction, and p values below 0.05 deemed statistically significant.

## Results

### Aberrant DNA methylation of imprinted genes is a frequent event in breast tumors

We investigated the DNA methylation at nine imprinted regions in breast tissue and peripheral blood from 36 healthy individuals, 30 patients with benign breast disease and 38 with invasive cancer. We have previously reported methylation levels at six of the imprinted regions (*GRB10 ICR*, *H19 ICR*, *IGF2 DMR0*, *IGF2 DMR2*, *KvDMR*, and *SNRPN/SNURF ICR*) in patients with benign breast disease and breast cancer<sup>18</sup>, and we integrated these results with methylation levels measured in healthy individuals to identify the relative frequency of aberrant methylation (hypo- and hypermethylation) in the disease states.

In breast tissue, median methylation levels were close to the expected 50% with the exception of the *IGF2* sites, which also displayed greater disparities in the median levels between normal, benign and tumor tissue (Figure 1). Aberrant methylation was frequently observed in invasive breast cancer, observed at *IGF2 DMR2* in 59% of patients, *IGF2 DMR0* in 38%, *DIRAS3 DMR* in 36%, *GRB10 ICR* in 23%, *PEG3 DMR* in 21%, *MEST ICR* in 19%, *H19 ICR* in 18%, *KvDMR* in 8%, and *SNRPN/SNURF ICR* in 4% (Supplementary Table 3). Hypomethylation was more common than hypermethylation at all sites except

*MEST ICR* and *PEG3 DMR*, and was not associated with whether the genes are maternally or paternally expressed. Among patients with benign breast disease, aberrant methylation was only observed at *IGF2 DMR2*, which was hypomethylated in 12 of the 26 successfully-analyzed samples. No aberrant methylation was observed in healthy individuals at seven of the imprinted regions, with *IGF2 DMR0* hypermethylated in one sample and *IGF2 DMR2* hypomethylated in three.

To determine the intra-individual tissue specificity of the methylation changes observed in breast tumors, we analyzed peripheral blood samples taken from the same individuals. Median values for all nine regions were between 39.1 and 53.6% across the three groups (Supplementary Table 3). No samples met the criteria of being hypo- or hypermethylated, indicating that the aberrant methylation events were unique to breast tissue.

### **Variation of DNA methylation is significantly greater in breast tissue and blood from patients with invasive breast cancer and benign breast disease**

Variation of DNA methylation in the healthy and disease states was estimated by the absolute difference between the methylation level in an individual and the median level measured in breast tissue or peripheral blood from healthy individuals, with the latter representing the normal value. The mean deviation from the median methylation levels observed in normal breast tissue was greatest in invasive tissue, ranging from 6.6% for *SNRPN/SNURF ICR* to 23.0% for *IGF2 DMR0* (Figure 2A, Supplementary Table 4A). The mean deviations were lowest in normal breast tissue, where they were under 2.0%, with the exceptions of *IGF2 DMR0* (4.2%) and *IGF2 DMR2* (5.5%). Benign breast tissue displayed intermediate values, with median deviation ranging from 2.5% for *DIRAS3 DMR* to 11.2% for *IGF2 DMR2*.

The mean deviations in healthy individuals were lower in blood than in breast tissue, with the exceptions of *PEG3 DMR* in healthy individuals and *DIRAS3 DMR* in patients with benign breast disease (Figure 2A, Supplementary Table 4A). The deviations were greatest in blood from invasive breast cancer patients, where median deviations ranged between 1.7% and 6.6%. The values ranged between 1.5 and 6.7% among benign breast disease patients, and between 0.7 and 3.2% in healthy individuals.

The relative deviations from the median methylation levels were significantly greater at all interrogated regions in tumor tissue than in normal breast tissue, ranging from 2.6-fold (*IGF2 DMR2*) to 9.7-fold (*DIRAS3 DMR*) greater (Figure 2B, Supplementary Table 4B). When the model was adjusted for the age, BMI, menopausal status and familial history of cancer of the individuals, statistical significance was retained at all sites. Variation was also significantly greater in benign breast disease tissue than normal breast tissue for all DMRs except *DIRAS3 DMR*, with the relative deviations between 1.5-fold (*DIRAS3 DMR*) and 7.5-fold (*SNRPN/SNURF ICR*) greater. Significance was retained for all sites except *IGF2 DMR0* and *MEST ICR* with the adjusted model.

Significantly greater variation of methylation was similarly observed in peripheral blood. Mean deviations in blood from patients with invasive breast cancer were between 1.3-fold (*DIRAS3 DMR*) and 7.4-fold (*SNRPN/SNURF ICR*) greater than in healthy individuals, and

were significant for *GRB10 ICR*, *KvDMR*, *PEG3 DMR* and *SNRPN/SNURF ICR*. In the adjusted model, the relative changes were significant for *GRB10 ICR*, *IGF2 DMR2*, *PEG3 DMR* and *SNRPN/SNURF ICR* (Supplementary Table 4B). Mean deviations were also between 1.3-fold (*IGF2 DMR0*) and 7.5-fold (*SNRPN/SNURF ICR*) greater in blood taken from patients with benign breast disease than in healthy individuals (Figure 2B, Supplementary Table 4B). The differences were significant for *GRB10 ICR*, *MEST ICR*, *PEG3 DMR* and *SNRPN/SNURF ICR* in both the adjusted and unadjusted models (Supplementary Table 4B).

### Aberrant DNA methylation is Associated with Hormone Receptor Status

We investigated possible associations between the measured methylation values at each imprinted loci and expression of the estrogen (ER), progesterone (PR) and HER2 receptors (details in Supplementary Table 1). Hypermethylation of *PEG3 DMR* (ANOVA,  $p < 0.01$ ) and *IGF2 DMR0* ( $p = 0.04$ ) were associated with negative ER status, while hypermethylation of *PEG3 DMR* ( $p = 0.02$ ) and *MEST ICR* ( $p = 0.03$ ) were associated with negative PR status (Table 2). No associations were observed between the methylation of imprinted genes and the expression of HER2 or tumor stage.

### Frequently altered methylation (FAM) and hormone receptor status

Twelve of the invasive tumors analyzed displayed aberrant methylation of at least three of the imprinted regions that were interrogated. We categorized these together as “frequently altered methylation” (FAM). FAM was associated with negative ER (Fisher’s exact test,  $p = 0.02$ ) and PR status ( $p = 0.02$ ), but not HER2 ( $p = 0.32$ ) or tumor stage ( $p = 0.15$ ) (Table 2). All five triple-negative tumors displayed aberrant methylation at three or more sites.

Methylation at LINE-1 repetitive elements was measured in breast tissue from patients with benign breast disease and invasive cancer, and analyzed according to the FAM grouping of cancers and the expression of the estrogen receptor (Figure 3A–B). Greater variation was observed in breast tumors, with values ranging between 50 and 79% compared to 69–77% in benign breast disease tissue (Figure 3A). Within the tumor samples, median values were similar in the FAM (71.1%) and non-FAM (71.8%) groups, but slightly higher in ER-negative tumors (73.2%) than in ER-positive ones (71.5%). Tumors expressing the estrogen receptor also displayed a greater range of values (50 to 76%) in comparison to those that do not (66 to 79%) (Figure 3B).

### Monoallelic expression of imprinted genes is maintained in breast tumors

To determine the impact of the observed methylation changes, we examined the allele-specific expression of the genes. Firstly, we genotyped the cancer patients for SNPs in the *DIRAS3*, *GRB10*, *H19*, *IGF2*, *MEST* and *PEG3* genes. *KCNQ1* and *SNRPN* were not analyzed due to the infrequency of aberrant methylation in the tumor samples. We identified 24 patients who were heterozygous for SNPs in the *PEG3* gene, 18 for *IGF2*, 14 for *GRB10*, 10 for *H19*, 7 for *MEST* and 4 for *DIRAS3*.

Monoallelic expression, defined here as  $>85\%$  of transcripts from a single allele, was almost exclusively retained (Figure 4). *PEG3* was monoallelically expressed in 17 of 18 patients

with normal methylation levels and 5 of 6 patients displaying hypermethylation of the gene (Figure 4A). In the other two patients, 71 and 81% of transcripts originated from a single allele. Monoallelic expression was observed in five of the seven patients informative for *MEST*, with 79% and 80% of transcripts expressed from a single allele in the other two patients (Figure 4B). All 18 patients informative for *IGF2* monoallelically expressed the gene, including the 4 patients with hypomethylation of *DMR0* and 4 displaying hypomethylation of *DMR2* (Figures 4C–D). Monoallelic expression of *H19* was observed in 8 of the 10 informative patients, including the one displaying hypomethylation of the gene (Figure 4E). Two individuals displayed biallelic expression despite normal methylation profiles, with the relative expression of the two alleles 64/36% and 55/45%. *GRB10* displayed a markedly different pattern of expression, with the proportional expression of the two alleles between 51/49% and 60/40% in 7 of the 14 informative patients, and only three patients expressed >70% of transcripts from a single allele (Figure 4F). *DIRAS3* results are not shown due to the lack of informative patients.

### GRB10 and IGF2 are differentially expressed in ER-positive tumors

To further examine the relation between hormone receptor status and the expression of imprinted genes, we utilized gene expression microarray data from 302 breast tumor samples, made available through the Walter Reed Army Medical Center. Expression of seven of the imprinted genes was analyzed between ER-positive (n=199) and ER-negative (n=103) tumors, and between PR-positive (n=153) and PR-negative (n=149) tumors. *H19* expression was not covered by the array. *GRB10* expression was 1.4-fold lower ( $p=1.7\times 10^{-10}$ ) and *IGF2* expression was 1.9-fold higher ( $p=6.0\times 10^{-7}$ ) in ER-positive tumors (Supplementary Table 5). No significant differences were observed by PR status.

### Expression of DNA methyltransferases are not associated with hormone receptor status

To investigate how DNA methylation at imprinted regions may be related to estrogen and progesterone receptor status, we utilized gene expression microarray data from 302 breast tumor samples (Supplementary Table 5). We observed no significant differences in the expression of the *DNMT1* (–1.1 fold-change), *DNMT3A* (–1.3 fold-change) and *DNMT3B* (–1.6 fold-change) genes in ER-positive tumors in comparison to ER-negative ones. Similarly, no significant difference was observed for any of the three genes (–1.1, –1.1 and –1.3 fold-changes respectively) in PR-positive tumors *versus* PR-negative tumors.

## Discussion

We found the imprinted regions *DIRAS3 DMR*, *GRB10 ICR*, *H19 ICR*, *IGF2 DMR0*, *IGF2 DMR2*, *MEST DMR* and *PEG3 DMR* to be frequently aberrantly methylated in the patients with invasive breast cancer included in this study. These alterations are highly tissue- and tumor-specific, with no such changes observed in blood and only highly infrequently in normal breast tissue and benign breast disease tissue. This result confirmed our previous

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Supplementary Table 5: Gene expression by hormone receptor status Gene expression microarray data from 302 breast tumor samples. Fold-change in expression (positive *versus* negative) and statistical significance are provided for ten genes (n/s = not significant). *H19* was not present on the microarray. Of the 302 tumors, 199 were ER-positive and 103 were ER-negative, while 153 were PR-positive and 149 PR-negative.



work in which we observed no correlation between the methylation at six imprinted regions in breast tumors and matched peripheral blood<sup>18</sup>. Variation of DNA methylation was significantly greater at all nine imprinted regions in breast tumors comparison to normal breast tissue, and at eight (unadjusted model) and six (adjusted) of the regions in benign breast disease tissue. Aberrant methylation of more than three of the imprinted regions was significantly associated with negative status of the estrogen and progesterone receptors. Despite this disruption of DNA methylation, monoallelic expression of the imprinted genes was frequently maintained.

This is the first study to identify a correlation between the methylation of imprinted genes and expression of the estrogen and progesterone receptors in breast cancer. ER-positive and ER-negative tumors have distinct global DNA methylation profiles<sup>19,20</sup>, and work in animal models and cell lines has demonstrated that hormone receptor signaling, including that by agonists such as bisphenol A, can affect the expression of DNA methyltransferases<sup>21–23</sup> and directly lead to changes in the methylation and expression of imprinted genes such as *IGF2* and *PEG3*<sup>21,24,25</sup>. Furthermore, *in vitro* studies have shown that expression of the imprinted gene *CDKN1C* is repressed via epigenetic mechanisms induced by estrogen signaling in breast cancer cell lines<sup>26</sup>. Taken together with our observations in primary human breast tumors, this may suggest that normal hormone receptor signaling is important for the maintenance of methylation for imprinted genes, and absence of such signaling may result in aberrant regulation in malignant cells. Interestingly, *in vitro* work has demonstrated that mutations in the *DNMT1* gene lead to loss of *IGF2* and *PEG3* imprinting, with *KCNQ1* less susceptible to such changes<sup>27</sup>, and we similarly observed that aberrant methylation of the *IGF2* and *PEG3* DMRs was substantially more frequent than at the *KvDMR* (Figure 2A), although we did not observe an impact upon allele-specific expression. However, we did not observe significantly different expression of *DNMT1*, *DNMT3A* or *DNMT3B* between ER-positive and ER-negative tumors (Supplementary Table 5). Our findings may either suggest that the relation between DNA methylation at imprinted loci and ER signaling may be confined to a subset of ER-negative tumors, or that the association is independent of the regulation of DNA methyltransferase expression reported *in vitro*.

Similar associations between DNA methylation and hormone receptor status have been identified for non-imprinted genes<sup>3,28</sup>. However, the differential methylation of alleles and roles in regulating proliferation and differentiation make imprinted genes particularly susceptible to driving tumorigenesis. Indeed, changes in the methylation of imprinted genes have been reported in esophageal dysplasia<sup>29</sup> and localized ovarian tumors<sup>30</sup>. As the ER is frequently silenced by promoter methylation in breast tumors<sup>31</sup>, it is not clear whether hormone receptor status is the cause or product of wider epigenetic dysregulation. Further work is required to establish whether a subset of breast tumors may display a characteristic epigenetic profile. The identification of such a group may open new therapeutic options to the patients, including the combined use of 5-Azacytidine and S-adenosylmethionine, which inhibit the growth of breast cancer cells<sup>32</sup>.

We observed increasing variation in DNA methylation from normal breast tissue to benign breast disease to cancer. This finding is consistent with observations made by Teschendorff and colleagues, who suggested increased variability as a marker for early detection of

cervical cancer, even being present in cytologically-normal cells of individuals who later developed<sup>12</sup>. Thus, this variability may be a key part of the neoplastic transformation process. It may arise through the shifting of methylation boundaries, rather than gene-specific changes<sup>11</sup>. As we have employed a candidate-gene approach, with comparatively short reads obtained by pyrosequencing, it remains uncertain whether this variability is confined to the interrogated DMRs or whether it may be the result of wider boundary-shifts. Furthermore, the degree of variation of methylation within the tumors remains unknown. Mosaicism is a well-known phenomenon in cancer, and may provide some explanation for our observations.”.

Increased variability in DNA methylation has been proposed as a blood-based marker for ovarian cancer<sup>33</sup>, and we similarly observed significantly increased variation in both the adjusted and unadjusted models at *GRB10 ICR*, *PEG3 DMR* and *SNRPN/SNURF ICR* in peripheral blood from cancer patients. However, the statistical significance may be the product of the tight clustering of values observed in healthy individuals, with mean deviations from median values below 3.5% at all sites. While group differences may be significant, the value for risk prediction in individuals remains uncertain.

The sites displaying the most frequent aberrant methylation and greatest variation were the two *IGF2* DMRs. *IGF2* is a widely studied oncogene that stimulates cellular proliferation, and hypomethylation of *DMR0* is associated with poor prognosis with colorectal cancer<sup>34</sup>. We observed that hypomethylation of *DMR0* was associated with negative ER status, which is itself associated with poor prognosis in breast cancer<sup>13</sup>. The observed frequency of hypomethylation was similar to that reported elsewhere<sup>16</sup>. While loss of *IGF2* imprinting in peripheral blood has been suggested as a potential diagnostic marker for colorectal cancer<sup>8</sup>, changes in methylation at *DMR0* were specific to the tumors, and we have previously reported a lack of correlation between blood and tumor tissues<sup>18</sup>. This may suggest that peripheral blood cannot serve as a surrogate tissue, although correlations may be gene-specific, as there is evidence that methylation of *ATM*<sup>35</sup> and targets of ER signaling<sup>36</sup> could be used as blood-based markers of breast cancer risk.

Interestingly, the disruption of methylation did not impact upon allele-specific expression in the tumors, with monoallelic expression almost exclusively retained. Conversely, our results suggest that *GRB10*, which is monoallelically expressed in fetal brain tissues and placenta but not in other fetal tissues<sup>37-39</sup>, may not be imprinted in breast tissue in adult humans. Loss of *IGF2* imprinting has been reported in colorectal and ovarian tumors<sup>8,40</sup> and *PEG3* in gynecological cell lines<sup>41</sup>, but we observed >77% of transcripts originating from a single allele in all the tumor samples informative for these genes. Furthermore, our observation of monoallelically-expressed *MEST* in all seven informative patients is in direct contrast to findings elsewhere of biallelic expression in breast cancer patients<sup>42</sup>. While *H19* was biallelically expressed in two patients, methylation of the *H19 ICR* was not disrupted in these tumors, suggesting an alternative cause of LOI. Hypomethylation of *H19 ICR* has been correlated with LOI in lung cancer<sup>43</sup>, but there is evidence that the *IGF2/H19* competition model does not hold true in colorectal<sup>44,45</sup> and ovarian<sup>40</sup> tumors. Similarly, a lack of correlation has been observed between hypomethylation of *IGF2 DMR0* and LOI in colon and breast tumors<sup>16</sup>, while hypomethylation of *IGF2 DMR0* in ovarian serous tumors

increases overall expression but does not result in biallelic expression<sup>40</sup>. While the classical model of imprinting suggests that monoallelic expression is the product of differential methylation of the two alleles, this does not appear to hold as strongly in humans as it does in mice. However, it is not clear why the frequency of LOI is lower here than reported elsewhere, as this phenomenon has been reported in primary breast tumors<sup>42,46</sup>. An alternative explanation for our observations could be that the changes in DNA methylation are confined to a subset of cells in which expression is silenced. As pyrosequencing enables the measurement of the relative abundance of the transcripts from the two alleles, but not their overall quantity, our observations of monoallelic expression being retained may be based upon an inability to detect silencing of the expressed allele in the affected cells.

There have been few studies investigating epigenetic dysregulation in benign breast disease. Although proliferative benign disease is associated with a greater relative risk of developing cancer<sup>47</sup>, we have previously reported no significant difference in methylation at six of the imprinted loci between proliferative and non-proliferative conditions<sup>18</sup>. There is limited evidence of loss of *IGF2* imprinting in benign breast disease<sup>48</sup>, and we observed that hypomethylation of *IGF2 DMR2* was highly frequent. Although aberrant methylation was not observed at the other regions, there was significantly greater variability in methylation at six (adjusted model) and eight (unadjusted) sites, and increased variability in cytologically-normal epithelial cells is associated with increased risk of developing neoplasia<sup>12</sup>. Further work is required to establish the possible role of epigenetic dysregulation in the etiology of benign breast disease.

A limitation of our study is the potential difference in the proportions of cell types between the normal, benign and tumor tissues. Laser-microdissection of the tumor tissue was performed to isolate malignant cells, but was not conducted with normal tissue to enrich the epithelial cells. While median DNA methylation values in normal tissue were consistently close to the expected 50% value, we cannot rule out that the variation modeling may have been affected. We also cannot exclude the possibility of copy number changes influencing measured methylation values in the samples, as insufficient quantities of DNA remained following epigenetic analyses to also perform genetic analyses. Furthermore, the number of samples in this study may also have precluded us from identifying other significant correlations between DNA methylation and tumor subtype, such as with triple-negative tumors. A particular strength of this study is the access to breast tissue from healthy individuals. There is evidence that histologically-normal tissue adjacent to breast tumors can possess genomic alterations seen in the cancer<sup>49</sup>, and therefore it is important to use breast tissue from healthy women as controls in order to accurately identify changes associated with malignant transformation. A further strength was the availability of both DNA and RNA from blood and breast tissue that has enabled us to investigate the specificity of changes in DNA methylation and the effect upon allele-specific expression.

This is the first study, to our knowledge, to identify an association between the aberrant methylation of imprinted genes and hormone receptor status in breast cancer. We have established that 1) aberrant events in the DNA methylation of these imprinted regions are frequent in breast tumors, 2) variation is greater in both breast tissue and in blood from patients with invasive breast cancer than in healthy individuals, and 3) aberrant changes in

DNA methylation are associated with hormone receptor status in the tumors. Further work is required to establish whether such methylation changes are the direct result of loss of hormone receptor signaling or are the product of more widespread changes in global DNA methylation.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

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## Abbreviations

<b>CBCP</b>	Clinical Breast Care Project
<b>CpG</b>	cytosine-phosphate-guanine
<b>DMR</b>	differentially methylated region
<b>ER</b>	estrogen receptor
<b>FAM</b>	frequently altered methylation
<b>FISH</b>	fluorescence <i>in situ</i> hybridization
<b>HER2</b>	human epidermal growth factor receptor 2
<b>ICR</b>	imprinting control region
<b>LOI</b>	loss of imprinting
<b>PR</b>	progesterone receptor

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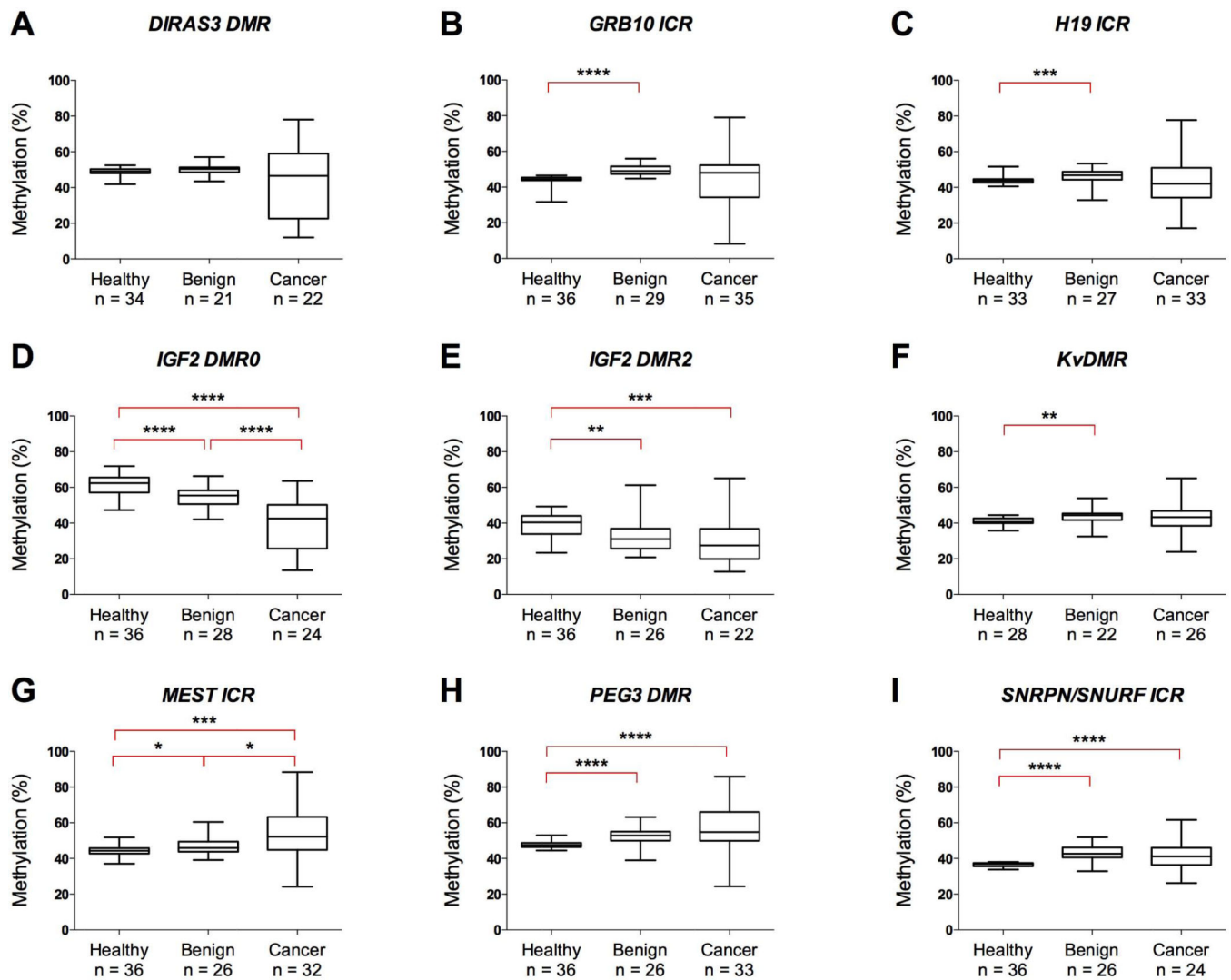
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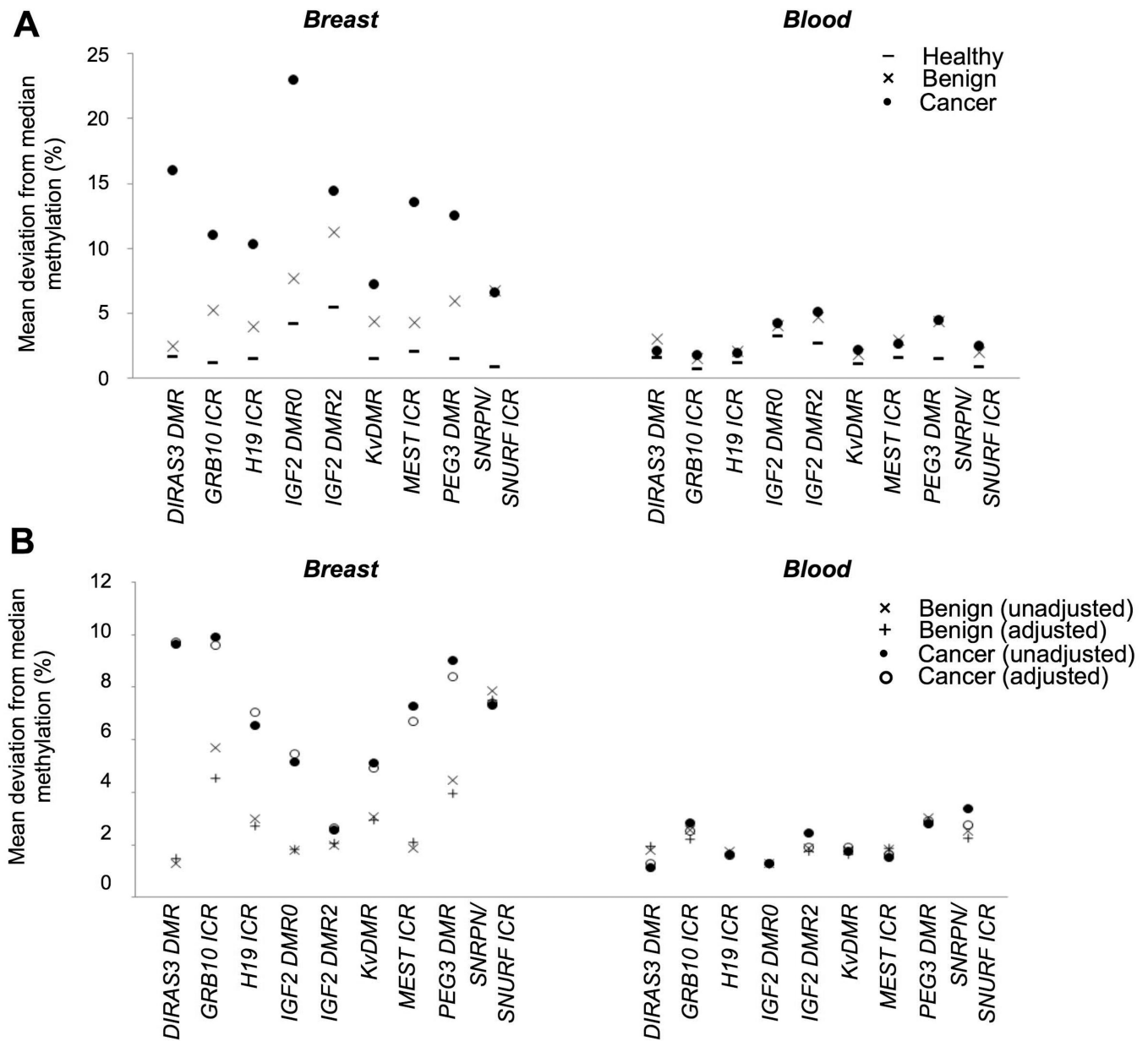
**Impact:** This is the first study, to our knowledge, to identify an association between the aberrant DNA methylation of imprinted genes and negative status of the estrogen and progesterone receptors in breast cancer. Furthermore, we describe how variation in methylation of these genes increases from normal breast tissue to benign breast disease to cancer. Our findings may imply an important role for epigenetic disruption of imprinted genes in the development of different breast cancer subtypes.





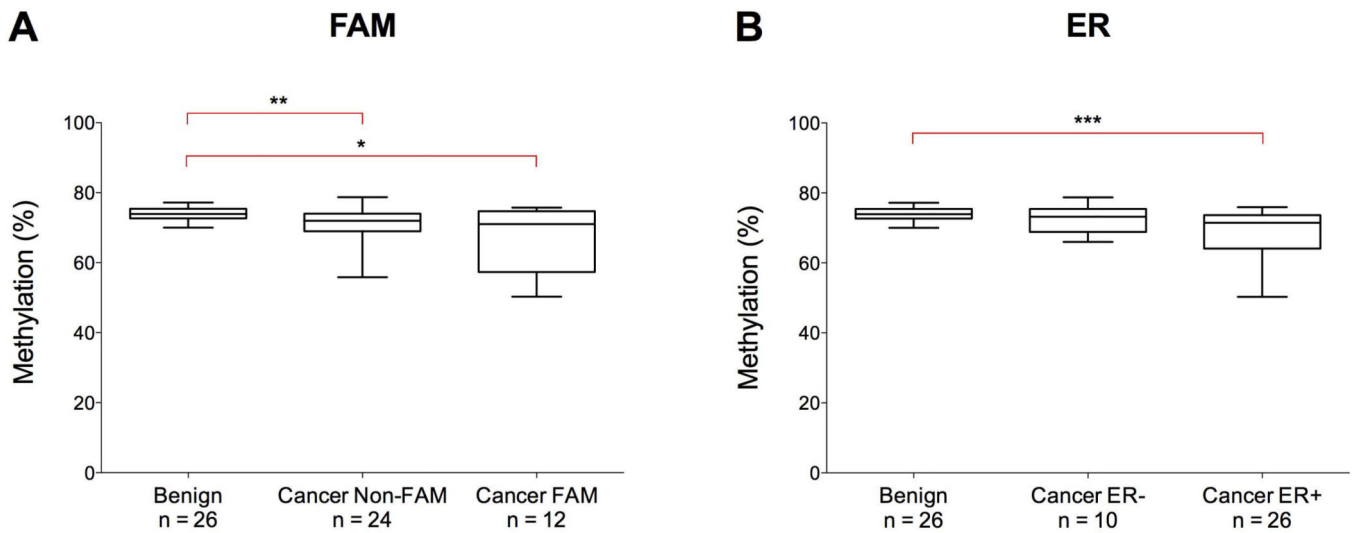
**Figure 1. DNA Methylation at nine imprinted regions in breast tissue from patients and healthy individuals**

Measured methylation values in breast tissue for *DIRAS3 DMR* (A), *GRB10 ICR* (B), *H19 ICR* (C), *IGF2 DMR0* (D), *IGF2 DMR2* (E), *KvDMR* (F), *MEST ICR* (G), *PEG3 DMR* (H) and *SNRPN/SNURF ICR* (I) in healthy individuals and patients with benign breast disease and invasive cancer. Boxes correspond to the median and interquartile range, and the whiskers to the full range of measured values. Statistically significant differences are indicated (Mann-Whitney U Test; \* =  $p < 0.05$ ; \*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.0005$ ; \*\*\*\* =  $p < 0.0001$ ).

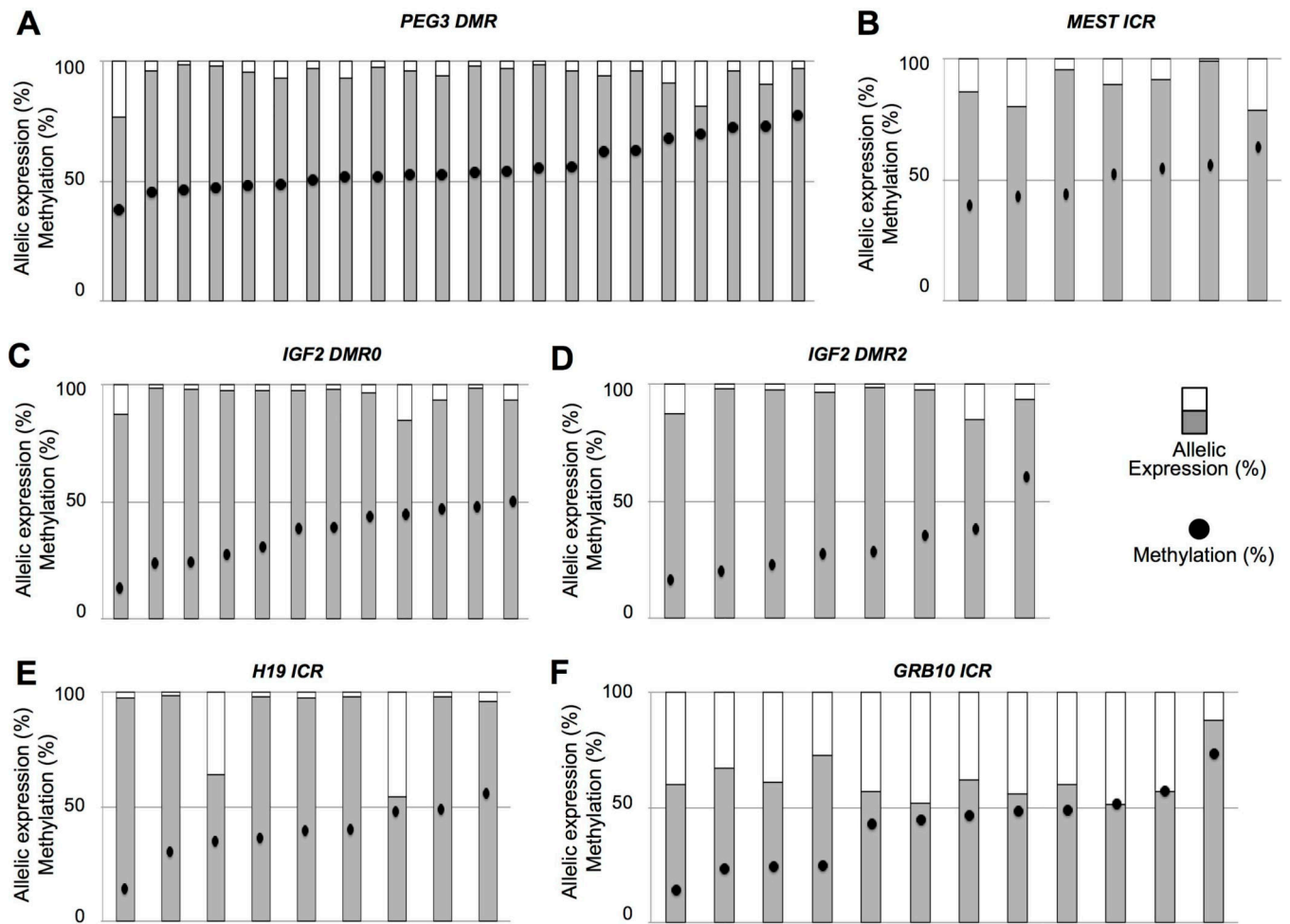


**Figure 2. Variation in DNA methylation in breast tissue and blood**

(A) Mean deviation from the measured median value in normal breast tissue or blood from healthy individuals for each of the nine imprinted regions. Results are from patients with invasive cancer (•), benign breast disease (x) and healthy individuals (-). (B) Change in mean deviation from median methylation values measured in normal tissue and blood, relative to values observed in normal breast tissue and blood from healthy individuals. Results for cancer patients from the unadjusted (•) and adjusted (○) models, and from patients with benign breast disease in the unadjusted (x) and adjusted (+) models.



**Figure 3. DNA methylation at LINE-1 elements in benign breast disease tissue and breast tumors** Results are displayed in relation to the FAM status of the tumors (A) and by expression of the estrogen receptor (ER) (B). Boxes correspond to the median and interquartile range, and the whiskers to the full range of measured values. Statistically significant differences are indicated (Mann-Whitney U Test; \* =  $p < 0.05$ ; \*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.0005$ ; \*\*\*\* =  $p < 0.0001$ ).



**Figure 4. Expression of imprinted genes relative to DNA methylation status**

Allelic-expression of *PEG3* (A), *MEST* (B) *IGF2* relative to *DMR0* (C) and *DMR2* (D), *H19* (E) and *GRB10* (F). For each patient, DNA methylation values (•) and allelic expression (bar graph) are provided. Expression values correspond to the percentage of transcripts originating from the more transcribed allele.

**Table 1**  
**Characteristics of the participants**

The characteristics of the individuals involved in the study from whom samples of breast tissue and blood were taken. Healthy individuals were matched to the cancer patients by age, ethnicity, BMI, menopausal status and familial history of cancer. The ages and BMIs are the means of each category. Post-menopausal status includes patients who were surgically post-menopausal, such as following hysterectomy. Familial history of cancer refers to primary and secondary history. For one healthy individual, the familial history was not known. BMI: body mass index.

	Healthy	Benign breast disease	Invasive cancer	
<b>Number of participants</b>	36	30	38	
<b>Age at diagnosis</b>	50.3	47.5	51.5	
<b>Ethnicity (%)</b>	<b>White</b>	29 (80.6)	21 (70.0)	28 (73.7)
	<b>African-American</b>	7 (19.4)	5 (16.7)	7 (18.4)
	<b>Other</b>	0 (0.0)	4 (13.3)	3 (7.9)
<b>BMI</b>	28.0	25.2	28.5	
<b>Menopausal status (%)</b>	<b>Pre-</b>	21 (58.3)	20 (66.7)	19 (50.0)
	<b>Post-</b>	15 (41.7)	10 (33.3)	19 (50.0)
<b>Familial history of cancer (%)</b>	17 (48.6)	11 (36.7)	18 (47.4)	

**Table 2**  
**Correlations between DNA methylation of imprinted genes and tumor pathology**

Correlations between aberrant methylation of nine imprinted genes and the tumor and patient characteristics were identified using the Pearson correlation (age at diagnosis) and ANOVA (tumor stage, receptor status and familial history of cancer). For the frequently altered methylation group (FAM, tumors with more than three aberrantly methylated imprinted regions), Fisher's exact test was used to identify associations with tumor stage, receptor status and familial history, while a t-test or Wilcoxon rank sum test was used to identify associations with age at diagnosis. P values are provided with rho values below where appropriate. Statistically significant ( $p < 0.05$ ) associations are highlighted in bold.

Imprinting regions	Age at diagnosis (rho values)	Tumor stage	ER	PR	ER/PR	HER2	Familial history
<i>DIRAS3 DMR</i>	0.88 (-0.04)	0.85	0.20	0.36	0.44	0.53	0.55
<i>GRB10 ICR</i>	0.96 (<0.01)	0.06	0.09	0.45	0.21	0.16	0.20
<i>H19 ICR</i>	0.39 (-0.16)	0.29	0.67	0.52	0.45	0.57	0.89
<i>IGF2 DMR0</i>	0.18 (-0.28)	0.15	<b>0.04</b> ↓	0.98	<b>0.02</b> ↓	0.22	0.23
<i>IGF2 DMR2</i>	0.49 (-0.15)	0.08	0.96	0.65	0.82	0.70	0.32
<i>KvDMR</i>	0.45 (-0.15)	0.24	0.70	0.31	0.59	0.33	0.38
<i>MEST ICR</i>	0.94 (-0.01)	0.58	0.23	<b>0.03</b> ↓	0.10	0.40	0.42
<i>PEG3 DMR</i>	0.33 (-0.18)	0.71	< <b>0.01</b> ↓	<b>0.02</b> ↓	<b>0.01</b> ↓	0.99	0.66
<i>SNRPN ICR</i>	0.58 (0.12)	0.89	0.89	0.46	0.56	0.61	0.66
FAM	0.91	0.15	<b>0.02</b> -	<b>0.02</b> -	<b>0.02</b> -	0.32	0.28

↓ Down-arrows indicate reduced levels of methylation with expression of the estrogen or progesterone receptor. For the FAM grouping,

- minus symbols indicate that aberrant methylation is associated with negative status of the receptor.