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Subclinical kidney injury before and one year after bariatric surgery among adolescents with severe obesity

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Abstract

Objective—To assess subclinical kidney injury in severely obese adolescents by measuring biomarkers of early kidney disease and to assess changes in the levels of these biomarkers following bariatric procedure.

Methods—22 severely obese adolescents undergoing bariatric surgery with no microalbuminuria and normal kidney function were selected. Urinary NGAL, IL-18, and KIM-1 were measured at baseline, 6, and 12 months post-operatively. Biomarker levels were compared to 44 age-gender-matched lean controls.

Results—Obese subjects had a mean baseline BMI of 48 kg/m² that decreased by 34% at 1 year follow-up. Urine NGAL, IL-18 and KIM-1 were significantly elevated in obese compared to lean controls at baseline. The obese cohort had a further significant increase in NGAL and KIM-1 at 6 months, followed by decline at 1 year. The overall change in levels of all three biomarkers through 1 year after surgery, however, was not significant compared to baseline.

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Author contributions:

Nianzhou Xiao made substantial contributions to study conception and design, to analysis and interpretation of data, drafting the manuscript, and approved the final version

Prasad Devarajan made substantial contributions to study conception and design, critical revision of the article for important intellectual content, and approved the final version

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Conclusions—Adolescent severe obesity is associated with increased urinary excretion of novel biomarkers of kidney injury, despite no microalbuminuria or decreased kidney function. This subclinical kidney injury persists 1 year after significant weight loss induced by bariatric surgery, suggesting that close long-term follow up of kidney status is warranted in these adolescents.

Keywords

Childhood obesity; adolescents; chronic kidney disease; urinary biomarkers; bariatric surgery

Introduction

Childhood obesity is becoming a worldwide epidemic.^{1,3} The prevalence of severe obesity (SO), defined as an absolute BMI ≥ 35 kg/m² or $> 120^{\text{th}}$ percent of the 95th percentile⁴, is increasing and now affects 4-6% of U.S. children and adolescents.^{5, 6} It is also well-documented that obesity during adolescence is associated with a higher prevalence of chronic kidney disease (CKD) in adulthood.^{7,9} Proposed mechanisms of obesity-induced chronic kidney injury include kidney hyperfiltration, inflammation, oxidative stress, metabolic disorder (reduced insulin sensitivity) and other comorbidities, especially cardiovascular disease.^{10,14} Recent analysis of kidney status from the Teen Longitudinal Assessment of Bariatric Surgery (Teen-LABS) study, a large multicenter cohort of adolescents undergoing bariatric surgery¹⁵, showed that prior to surgery, 17% had micro/macroalbuminuria and 3% had decreased kidney function with estimated glomerular filtration rate (eGFR) less than 60 ml/min/1.73m². While the results of this study indicate that a significant number of SO adolescents had kidney abnormalities, the vast majority of these patients still had normal kidney status defined as normal eGFR and no proteinuria.¹⁵

Over the last decade, novel sensitive and specific biomarkers of early structural and inflammatory kidney injury (e.g. neutrophil gelatinase-associated lipocalin (NGAL), kidney injury molecule 1 (KIM-1) and interleukin-18 (IL-18) have been identified and characterized, especially as markers of acute kidney injury (AKI).^{16, 17} Focus of current research has been on understanding the role of these and other biomarkers in high-risk populations for CKD development and progression. For example, recent systematic review identified 13 biomarkers independently predicting either onset or progression of diabetic nephropathy in adults.¹⁸ A report from the Chronic Renal Insufficiency Cohort (CRIC) study showed that urine NGAL was significant risk factor for progression of established CKD but it only modestly improved prediction of outcome events.¹⁹ While above studies focused on evaluation of biomarkers in older adults, their role as markers of early CKD in SO adolescents has not been extensively studied. Thus we conducted a pilot study to measure urinary NGAL, KIM-1, and IL-18 prior to bariatric surgery and at 6 months and 1 year post-operatively. We selected adolescents with normal eGFR and no microalbuminuria to test the hypothesis that in SO adolescents, urinary excretion of biomarkers of sub-clinical kidney injury would be increased despite otherwise normal kidney status.

Methods and procedures

Study Design and Patients

This analysis used specimens and data that had been collected and stored by the Pediatric Obesity Tissue Repository (POTR) at Cincinnati Children's Hospital Medical Center (CCHMC) under an IRB approved protocol. This analysis included twenty-eight patients younger than 20 years who underwent either Roux-en-Y gastric bypass (RYGB, n=6) or a vertical sleeve gastrectomy (VSG, n=22) procedures at CCHMC between 2010 and 2012. These subjects had voluntarily provided spot urine and serum specimens at baseline, 6 months, and 12 months after surgery for research use. Specimens were collected in the operating room (baseline) or in the Clinical & Translational Research Center (post-operatively). Blood was processed for serum storage only; both serum and urine samples were split into 1 mL aliquots and stored at -80°C . Baseline specimens from 6 subjects were found to have occult albuminuria and these subjects were thus excluded from the analysis by design, leaving 22 subjects (4 with RYGB and 18 with VSG) for final analysis. All demographic information and clinical data were abstracted from the CCHMC electronic medical record.

Lean control subjects for this investigation were identified from the Cincinnati Genomic Control Cohort (CGCC) and were matched to the SO bariatric subjects for age (± 1 year) and gender. Two controls were selected for each SO subject. The control cohort excluded subjects with any of the following criteria: presence of known genetic diseases or severe chronic medical conditions, such as chromosomal abnormality, unwillingness to complete family and personal health history or allow storage or genetic testing of samples, and adopted, without full contact with biological parent(s) to be able to obtain family history information. Importantly for this analysis, we also excluded any subjects with known kidney injury or disease, including, but not limited to IgA nephropathy, kidney stones, abnormal bladder, urinary reflux and ureteral reimplantation. Control urine samples were collected from 2007-2010 and stored at -80°C until measurement in 2013.

Biomarker measurements

Levels of serum cystatin C, urine albumin, urine creatinine, NGAL, IL-18, and KIM-1 were tested in CCHMC nephrology biomarker laboratory. The urine NGAL ELISA was performed using a commercially available assay (NGAL ELISA Kit 036; Bioporto, Grusbakken, Denmark) that specifically detects human NGAL.²⁰ The intra-assay coefficient of variation (CV) value was 2.1% and inter-assay variation was 9.1%. Urine IL-18 was measured using commercially available ELISA kits (Medical & Biological Laboratories Co., Nagoya, Japan) per manufacturer's instructions. The inter-assay CV for IL-18 was 7.3% and intra-assay was 7.5%.²¹ The urine KIM-1 ELISA was constructed using commercially available reagents (Duoset DY1750, R & D Systems, Inc., Minneapolis, MN) as described previously.²² Intra and inter-assay CVs for KIM-1 were 2% and 7.8%, respectively. Cystatin C was measured by a particle-enhanced nephelometric immunoassay on a BNII clinical nephelometer (Siemens, Munich, Germany). Microalbumin and urine creatinine were measured on a Dimension Xpand plus HM clinical analyzer (Siemens, Munich, Germany). We used a reference range for NGAL, IL-18, and KIM-1 from a CCHMC population of

healthy children and adolescents to define abnormal biomarker levels (above 95th percentile according to gender and age 15-18 years).²³ All biomarker measurements were performed in one batch in a period of one week.

Homeostasis model assessment of insulin resistance (HOMA-IR) was calculated as (fasting glucose (mg/dL) × insulin (uU/ml)) / 405. Kidney function was assessed by calculating cystatin C-based eGFR, where $eGFR = 77.24 \times (\text{Cystatin-C})^{-1.2623}$ according to the Larsson formula as recommended by the assay manufacturer (Dade Behring, Deerfield, Illinois). Microalbuminuria was defined as having a urine Albumin to Creatinine Ratio (ACR) ≥ 30 mg/gm and <300 mg/gm; macroalbuminuria was defined as $ACR \geq 300$ mg/gm.²⁴

Normal weight was defined as BMI $< 85^{\text{th}}$ percentile for age and gender in subjects < 20 years and $BMI < 25 \text{ kg/m}^2$ in subjects ≥ 20 years. Overweight was defined as BMI $\geq 85^{\text{th}}$ percentile $< 95^{\text{th}}$ percentile for age and gender in subjects < 20 years and $BMI \geq 25 \text{ kg/m}^2 < 30 \text{ kg/m}^2$ in subjects ≥ 20 years. Obesity is defined as BMI $\geq 95^{\text{th}}$ percentile for age and gender in subjects < 20 years and $BMI \geq 30 \text{ kg/m}^2$ in subjects ≥ 20 years.²⁵

Statistical analysis

Descriptive statistics were calculated to summarize subject characteristics. Frequencies and percentages are reported for categorical measures. Data were tested for normal distribution with the Kolmogorov–Smirnov test. Median and interquartile ranges were calculated for skewed continuous variables. The data were logarithmically transformed, when appropriate. Differences between lean controls vs. obese at baseline were tested for significance using t-test or Mann-Whitney Rank Sum Test. Repeated measure ANOVA was performed to test differences in biomarkers levels over time. Statistical analysis was performed using the SAS9.4. All reported p-values are two-sided and considered statistically significant at 0.05.

Results

The comparison of demographic, clinical, and laboratory characteristics of lean controls and the obese subjects at baseline prior to bariatric procedure is shown in Table 1. By design, the SO and lean groups were well-matched for age, gender, and race. The SO subjects had significantly higher prevalence of hypertension than control group; one subject had type I diabetes. The SO subjects had significantly higher levels of all three studied urinary biomarkers than controls at baseline. Twenty-three percent of SO subjects had KIM-1 and IL-18 levels above the 95th percentiles of normal value and 36% had NGAL levels above the 95th percentiles of normal values for these biomarkers prior to surgery (Figure).

Changes in clinical characteristics and urinary biomarkers over time in SO subjects are shown in Table 2. There was a significant decrease in BMI in all bariatric subjects. Four achieved normal weight and 4 subjects improved such that their BMI categorized them as overweight at 1-year follow up. Significant improvement compared to the baseline was found for HOMA-IR. Hypertension resolved in all subjects. Cystatin C based mean eGFR remained normal and stable at 1 year after the procedure in the cohort. One female subject developed microalbuminuria (174 mg/gm) at 1 year follow up. The BMI of this subject

decreased from 41.6 kg/m² at baseline to 23.5 kg/m² at 1 year after surgery; her eGFR was however unchanged (165 ml/min/1.73m² at baseline and 169 ml/min/1.73m² at 1-year follow up).

In the 16 subjects with specimens at all 3 timepoints, levels of all three biomarkers, especially KIM-1 and NGAL, increased from baseline to 6 months postoperatively before declining to levels similar to baseline by 1 year after surgery (Table 2). There was no significant difference in biomarker levels between subjects who underwent RYGB versus VSG at 1-year of follow up ($p>0.05$ for all biomarkers). No statistical difference in biomarker levels was found among subjects with normal weight, overweight or obesity at 1 year follow up ($p>0.05$). A separate analysis comparing baseline pre-procedure biomarker levels with 1-year follow up levels in subjects achieving normal weight, transitioned to overweight or whose BMI improved but remained in the obese range showed no significant decrease in the biomarker levels in either group ($p>0.05$). The percentage of subjects with abnormally high biomarker levels remained elevated at 1 year after surgery for all studied biomarkers (Figure).

Discussion

To develop SO during childhood and to carry this burden into adulthood may result in early kidney damage that is potentially greater than that seen in adult-onset obesity⁹. Indeed, our data supports our hypothesis that in SO adolescents without functional impairment, there is a clinically 'silent' kidney injury pattern detectable with novel biomarkers.

All three biomarkers of early kidney tubular injury (NGAL, KIM-1 and IL18) were significantly elevated in the SO group prior to and during the 1 year following weight loss surgery as compared to lean adolescents. Elevation of these markers is concerning since prior work has established that these biomarkers are clearly associated with the response to a variety of kidney insults.^{26,28} Mechanistic studies following acute kidney injury (AKI) showed that NGAL acts to stimulate proliferation and epithelialization and to inhibit apoptosis in tubule cells.²⁹ Over expression of IL-18 may promote proximal tubule epithelial cell injury and activation in the process of renal tubulointerstitial fibrosis.^{16, 17} KIM-1 is believed to participate in the regeneration process after epithelial injury through phagocytosis.³⁰ Importantly, with the resolution of acute kidney insult, these novel subclinical biomarkers of kidney injury decline to pre-injury concentrations in the urine, demonstrating that these biomarkers are not permanently altered by a kidney insult but instead may be useful for tracking the resolution of the insult.²⁹ Interestingly, one small adult study have reported development of clinical AKI within 2-3 days after bariatric surgery which was associated with an increase in NGAL levels on the first postoperative day.³¹ We did not measure urinary biomarkers in the perioperative period however we did not observe clinically evident AKI in any of our subjects either.

These biomarkers have also been associated with obesity. Catalán et al. reported higher NGAL protein expression in the visceral fat depot of obese patients compared to lean subjects. They also demonstrated a significant positive association between NGAL gene expression levels and inflammatory markers. Those findings suggested NGAL's potential

involvement in the low-grade chronic inflammation accompanying obesity.³² We did not measure NGAL or other biomarkers in serum and can't rule out that serum levels could be elevated secondary to systemic inflammation found in obesity. However, previous mechanistic studies showed that elevated urinary levels of NGAL, IL-18 and KIM-1 are directly caused by production in renal tubules.^{14, 33, 34} Thus, these proteins are biologically plausible urinary biomarkers for subclinical kidney injury associated with obesity. The significantly elevated levels of all three urinary biomarkers suggests that there is on-going inflammation (reflected by NGAL and IL-18) as well as more chronic fibrotic changes (suggested by KIM-1) in kidneys of SO adolescents.

Recently, Goknar et al reported elevated urinary KIM-1 in obese children in comparison to lean children but no difference was found in urinary NGAL levels.³⁵ The difference in the results between this study and ours is likely due to the fact that subjects in their study were younger than those in this current study (11.73 years vs. 16.5 years) and had less severe obesity and presumably a lower "pound-year" obesity burden, which might predict a lesser degree of kidney injury.

As expected, bariatric surgery led to a dramatic decrease in BMI and HOMA-IR post-operatively. Hypertension also resolved after weight loss. However, abnormally elevated NGAL, KIM-1, and IL-18 in the urine did not decrease by 1 year after surgery as compared to baseline. The fact that many subjects have increased biomarker levels at 6 months post-surgery as compared to baseline is worthy of further investigation. This finding might well represent a physiologic response to increased metabolic demands or a response to stored fat soluble toxins associated with massive mobilization of 50kg or more adipose tissue during the period of rapid postoperative weight reduction. Indeed it is relevant that others have observed progression of liver disease associated with rapid weight loss during the initial 6-12 months after bariatric surgery, and have speculated that large scale lipolysis, with consequent mobilization of large quantities of long-chain fatty acids from visceral adipose tissue for metabolism in the liver may precipitate progressive steatohepatitis due to the stress of an acute and large metabolic load.³⁶

There are a few possible explanations why biomarker levels remained elevated at 1 year post bariatric procedure. First, it is possible that recovery from obesity-induced kidney injury will take longer than one year post surgery, and measurements taken at 18 or 24 months may demonstrate lower biomarker values. Second, despite dramatic weight loss over a relatively short period, a majority of these patients remained obese leaving open the possibility that ongoing obesity-related kidney injury could be responsible for persistently elevated biomarker values at one year. Sugerman et al reported that about two third of 30 extremely obese adolescents with mean BMI of 52 kg/m² continued to experience weight loss between 1 and 5 years post bariatric procedure. Thus with continued weight loss, further changes may be occurring in the kidney.³⁷ Finally, and least desirable, it is possible that kidney injury that occurred pre-operatively may not be fully reversible, even with major weight reduction as was seen in our study subjects who have improved from severe obesity to either normal weight or overweight category but have continued to have elevated biomarker levels at 1-year after surgery. Thus, to better understand the effect of severe obesity and changes in kidney status associated with weight loss, longer follow up is needed.

Recent analysis of kidney status from one of the largest cohorts of adults after bariatric surgery enrolled in the Swedish Obese Subjects (SOS) study³⁸ showed that after median follow up 10 years, albuminuria developed in 246 participants in the control group and in 126 in the bariatric surgery group, corresponding to incidence rates of 20.4 and 9.4 per 1000 person years, respectively. While the study clearly demonstrates a lower incidence of microalbuminuria after surgery and discusses possible mechanisms associated with the positive effect of surgery on kidney injury, it does not address the question of why some of these patients still developed albuminuria. One of the possible reasons for this could be preexisting subclinical kidney injury manifesting by increased levels of urinary biomarkers prior to the development of albuminuria.

In conclusion, despite pilot nature and short follow up of a relatively small cohort, this study indicates that substantial number of SO adolescents have increased urinary excretion of novel biomarkers of structural and inflammatory kidney injury, despite the absence of microalbuminuria or decreased eGFR. This is concerning since progression to overt renal impairment (low eGFR and or proteinuria) is insidious and often not detected until late stage. In addition, persistence of sub-clinical kidney injury in some patients one year after procedure despite significant weight loss suggests that close long-term follow up of kidney status is warranted in SO adolescents irrespective of whether or not bariatric surgery has been used for weight management. The follow up studies should focus on confirming the results of this pilot study in a large cohort of SO adolescents and on assessing the role of urinary biomarkers in predicting development of clinical CKD with proteinuria and decreased kidney function.

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What is already known on this subject

- Untreated severe obesity of adolescents is associated with abnormal kidney function and high prevalence of micro/macroalbuminuria

What this study adds

- This study demonstrated that adolescents with severe obesity have sub-clinical kidney injury in the presence of normal kidney function and absence of microalbuminuria
- The study also determined that sub-clinical kidney injury persists 1 year after significant weight loss induced by bariatric surgery

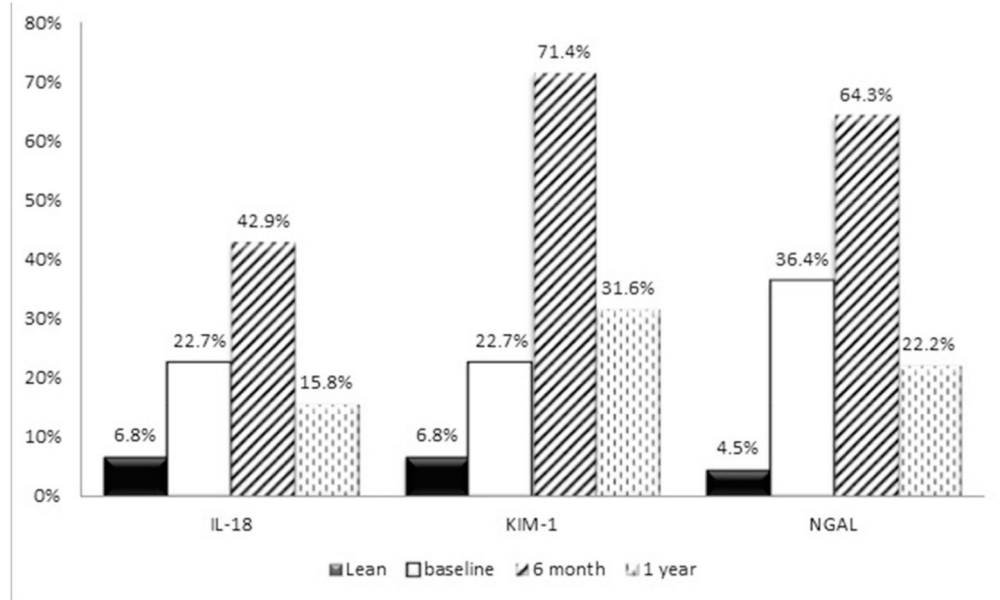


Figure. Percentage of subjects with biomarker levels above 95th percentile of normal values (N=8 missing at 6 months; n=3 missing at 12 months)

Table 1

Demographic and Clinical Characteristics

Characteristics	Lean (n = 44)	Severely Obese Baseline (n=22)	P value
Age (years) - - median (Q1,Q3)	16.5 (14.5, 17.0)	16.5 (15.0, 17.4)	0.109
Female - n (%)	34 (77.2%)	17 (77.2%)	1.000
Race/Ethnicity - n (%)			
Non-Hispanic White	35 (79.5%)	17 (77.3%)	0.831
Non-Hispanic Black	8 (18.2%)	5 (22.7%)	0.662
Hispanic	1 (2.3%)	0	0.486
Diabetes (type I) - n (%)	0	1 (4.5%)	0.154
Hypertension - n (%)	3 (6.8%)	4 (18.2%)	0.158
2 BMI, kg/m ² - median (Q1,Q3)	20.1 (19.1, 22.1)	48.4 (42.0, 51.7)	<0.001
Urine IL-18 (pg/mL) - median (Q1,Q3)	24.5(14.0, 46.4)	78.3 (39.7, 246.5)	<0.001
Urine NGAL (ng/mL) - median (Q1,Q3)	17.8 (6.8, 28.0)	31.0 (22.2, 162.3)	0.006
Urine KIM-1 (pg/mL) - median (Q1,Q3)	370.7 (243.2, 689.5)	849.2 (337.2, 1189.8)	0.045

BMI, body mass index; HOMA-IR, homeostasis model assessment of insulin resistance; eGFR, estimated glomerular filtration rate; IL-18, interleukin-18; NGAL, neutrophil gelatinase-associated lipocalin, KIM-1, kidney injury molecule 1

Table 2
Changes in clinical characteristics and urinary biomarkers in obese subjects after bariatric procedure

Characteristics	Severely Obese (n = 22)			P for trend
	Baseline	^ 6 months	#12 months	
BMI, kg/m ² - median (Q1,Q3)	48.4 (42.0, 51.7) * [†]	34.3 (29.5, 39.2) [‡]	32.2 (28.6, 37.1)	<0.001
HOMA-IR - median (Q1,Q3)	6.6 (5.1, 10.0) * [†]	1.5 (1.2, 2.2)	1.3 (1.1, 1.9)	<0.001
eGFR (mL/min/1.73m ²) - median (Q1,Q3)	113.7 (102.1, 136.0)	109.2 (98.3, 121.0)	111.4 (96.2, 161.5)	0.346
Urine Albumin to Creatinine Ratio, mg/gm - median (Q1,Q3)	6.3 (4.6, 8.4)	8.1 (5.2, 12.8)	7.9 (5.5, 13.5)	0.176
Urine IL-18 (pg/mL) - median (Q1,Q3)	78 (40, 247)	143 (78, 278)	53 (39, 143)	0.344
Urine NGAL (ng/mL) - median (Q1,Q3)	31 (22, 162) *	106 (55, 251) [‡]	43 (23, 95)	0.092
Urine KIM-1 (pg/mL) - median (Q1,Q3)	849 (337, 1190) *	2690 (1346, 3169)	1048 (667, 2497)	0.009

BMI, body mass index; HOMA-IR, homeostasis model assessment of insulin resistance; eGFR, estimated glomerular filtration rate; IL-18, interleukin-18; NGAL, neutrophil gelatinase-associated lipocalin, KIM-1, kidney injury molecule 1

* Significant difference between baseline and 6 month,

[†] Significant difference between baseline and 12 months

[‡] Significant difference between 6 months and 12 months

[^] n=8 missing

[#] n=3 missing