



Effect of Extended Release Niacin on Cardiovascular Events and Kidney Function in Chronic Kidney Disease: A Post-Hoc Analysis of the AIM-HIGH Trial

Journal:	<i>Kidney International</i>
Manuscript ID:	KI-06-14-0856.R1
Manuscript Type:	Clinical Trial
Date Submitted by the Author:	05-Sep-2014
Complete List of Authors:	Kalil, Roberto; University of Iowa, Medicine/Nephrology Wang, Jeffrey; Alabny Medical College, Medicine/Nephrology de-Boer, Ian; University of Washington, Medicine Matthew, Roy; Albany Medical College, Medicine/Cardiology Ix, Joachim; University of California at San Diego, Medicine/Nephrology Asif, Arif; Alabny Medical College, Medicine/Nephrology Shi, Xue Feng; Axio Research, Statistics Boden, William; Albany Medical College, Medicine/Cardiology
Keywords:	chronic kidney disease, cardiovascular disease, lipids
Subject Area:	Chronic Kidney Injury, Epidemiology and Statistics

SCHOLARONE™
Manuscripts

1 Niacin, CKD and outcomes
2

3 **[QUERY TO AUTHOR: title and abstract rewritten by Editorial Office – not subject to change]**
4

5 **Effect of Extended Release Niacin on Cardiovascular Events and Kidney Function in Chronic Kidney**
6 **Disease: A Post-Hoc Analysis of the AIM-HIGH Trial**
7

8
9
10 Roberto S. Kalil^{1*}, Jeffrey H. Wang², Ian H. de Boer³, Roy O. Mathew², Joachim H. Ix⁴, Arif
11 Asif², Xuefeng Shi⁵, and William E. Boden²
12

13
14 ¹Dept of Medicine, Division of Nephrology, Carver College of Medicine, University of
15 Iowa Hospitals and Clinics, Iowa City, IA.

16 ²Dept of Medicine, Divisions of Nephrology and Cardiology, Albany Medical College and
17 Samuel Stratton VA Medical Center, Albany, NY.

18 ³Dept of Medicine, Division of Nephrology, University of Washington, Seattle, WA.

19 ⁴Dept of Medicine, Division of Nephrology, University of California San Diego, La Jolla,
20 CA.
21

22 ⁵Axio Research, LLC. Seattle, WA.
23
24
25
26
27
28

29 *Corresponding author

30 Roberto S. Kalil, MD

31 University of Iowa of Iowa Hospitals and Clinics

32 200 Hawkins Drive

33 Department of Medicine, T311-GH

34 Iowa City, Iowa 52242.

35 Roberto-kalil@uiowa.edu

36 Phone (319) 384-7998

37 Fax (319) 384-8220
38
39
40
41
42

43 Word count:

44 Abstract: 245

45 Total (including abstract): 3444
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Niacin, CKD and outcomes

Abstract

Chronic kidney disease (CKD) in patients is strongly associated with cardiovascular morbidity and mortality, and prevalent abnormal lipid metabolism. The AIM-HIGH trial examined the benefits of adding extended-release niacin (ERN) to simvastatin in patients with established coronary heart disease. Here we conducted a post-hoc analysis of the AIM-HIGH trial examining whether participants derived cardiovascular or renal benefits when stratified by renal function. Of 3414 participants, 505 had stage 3 CKD at baseline. Among the CKD subset, demographics and cardiovascular disease (CVD) risk factors were well balanced in the ERN and placebo arms. Compared to placebo, CKD participants receiving ERN had a significant decrease in triglycerides by a median of 59.0 mg/dL, and high density lipoprotein-cholesterol significantly increased by a mean of 11.3 mg/dL over a mean follow-up of 3 years. CVD events were similar between CKD participants in both arms. However, all-cause mortality was significantly higher in the ERN group (hazard ratio of 1.73). Mean change in eGFR among ERN-treated CKD participants was not significantly different between study arms. Thus, among AIM-HIGH participants with CKD, the addition of ERN to simvastatin for secondary prevention of CVD improved triglyceride and high density lipoprotein-cholesterol concentrations but did not improve cardiovascular outcomes or kidney function, and was associated with higher all-cause mortality.

Key Words:

chronic kidney disease, cardiovascular disease, lipids

Niacin, CKD and outcomes

Background

Coronary heart disease (CHD) is a leading cause of morbidity and mortality in chronic kidney disease (CKD). Individuals with CKD are at greater risk for major adverse cardiac events (MACE) than the general population (1). Despite this, traditional risk factor reduction strategies that reduce MACE in the general population such as low-density lipoprotein cholesterol (LDL-C) lowering confer less benefit in CKD patients (2). In the Study of Heart and Renal Protection (SHARP), although treatment with simvastatin plus ezetimibe in subjects with CKD led to a 17% reduction in a composite cardiovascular outcome compared to placebo, it did not reduce all-cause or CHD mortality (3).

Low levels of high-density lipoprotein cholesterol (HDL-C) and high triglyceride (TG) levels are both associated with CKD (4). Niacin raises HDL-C and lowers TG levels. However, it is not known whether raising HDL-C and lowering TG translates into improved cardiac outcomes in CKD patients.

Prior studies suggest that lipid lowering therapy may also prevent progression of CKD (5). While animal studies suggest that niacin may protect against GFR loss, (6) to our knowledge, the effect of ERN on longitudinal change in kidney function in humans has not been studied previously. The Atherothrombosis Intervention in Metabolic Syndrome with Low HDL/High Triglycerides: Impact on Global Health Outcomes (AIM-HIGH) tested the hypothesis that ERN, when added to intensive statin therapy reduced MACE in stable CHD patients pre-selected for low baseline HDL-C and elevated triglycerides (TG) as compared with placebo. Ezetimibe could be added to either arm, as needed, to achieve and maintain an on-treatment LDL-C between 40-80 mg/dL. The primary

1 Niacin, CKD and outcomes

2
3 outcome study findings from AIM-HIGH did not show incremental clinical benefit of ERN
4
5 versus placebo on MACE when added to optimal LDL-C reduction therapy despite a
6
7 significant improvement in the lipid profile (7).
8
9

10 The present investigation was a post hoc analysis of participants with CKD enrolled in
11
12 the AIM-HIGH trial. Because the CKD population represents a subset with high cardiac
13
14 risk, we hypothesized that raising HDL-C and lowering TG with ERN would improve
15
16 cardiovascular outcomes in these subjects. Secondary objectives were to evaluate the
17
18 effect of ERN on longitudinal change in kidney function as well as safety and tolerability
19
20 in participants with CKD.
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Niacin, CKD and outcomes

Results

Baseline characteristics: Of the 3,413 participants with non-missing eGFR in the AIM-HIGH trial, 85.2% were male, 3.4% African Americans, and 4.1% were of Hispanic ethnicity. Five hundred and five study participants (14.8%) had CKD at baseline. Among these, the mean eGFR was 50.3 ± 7.7 ml/min per 1.73m^2 . 496 participants (98.2%) had an eGFR within the range of 30-59 mL/min per 1.73m^2 , and 9 (1.8%) had an eGFR < 30 mL/min per 1.73m^2 .

Approximately 80% of the CKD participants were male, 4 % African Americans, and 3.4% were Hispanics. Compared to participants without CKD, those with CKD were older and more likely to be female, had higher prevalence of diabetes and hypertension, but were less likely to use tobacco. Systolic blood pressures and pulse pressures were slightly higher in CKD participants (Table 1).

Among participants with CKD randomized to ERN (n=254) or placebo (n=251), demographic variables, medical conditions, and CAD risk factors were balanced between treatment arms. Lipid profiles in CKD subjects randomized to placebo or ERN were also similar at baseline (Table 2).

Effect of ERN on lipids in CKD: Participants from both CKD and non-CKD groups achieved significant increases in HDL-C and decreases in TG concentrations in the ERN arm relative to placebo. In the CKD group, baseline HDL-C concentration was 34.5 mg/dL and 34.9 mg/dL for the placebo and ERN groups respectively. At 3 years, mean HDL-C concentrations were 39.2 (8.2) mg/ dL and 45.9 (12.6) mg/dL, respectively (placebo vs ERN, $P < 0.0001$). In the CKD group, median (IQR) baseline TG

1 Niacin, CKD and outcomes

2
3 concentration was 160.0 mg/dL (133.0, 231.0 mg/dL) for the placebo group, and 175.0
4
5 mg/dL (132.0, 222.0 mg/dL) for the ERN group. At 3 years, median TG concentrations
6
7 were 153.0 mg/dL (111.0, 192.0 mg/dL), and 113.0 mg/dL (80.0, 156.0 mg/dL), for
8
9 placebo vs ERN respectively ($P < 0.0001$). ERN had a greater effect on TG in the CKD
10
11 group with a median decrease of 59.0 mg/dL, compared to a median decrease of 47.0
12
13 mg/dL in participants without CKD, ($p = 0.031$) after 3 years of therapy (Table 3).
14
15
16

17
18 **Effect of ERN on Cardiovascular Outcomes:** There was no clinical benefit of
19
20 randomization to ERN for the composite CVD primary endpoints within the CKD group.
21
22 Among CKD participants, 60 subjects (23.6%) in the ERN arm and 60 (23.93%) in the
23
24 placebo arm reached the primary endpoint (ERN vs. Placebo HR 1.02, 95% CI 0.71,
25
26 1.45). All-cause mortality was higher for the ERN group with 39 deaths (15.4%)
27
28 compared to 23 (8.9%) in the placebo group (ERN vs Placebo HR=1.73, 95% CI 1.03,
29
30 2.89, $P = 0.038$). However, there was no significant difference in cardiovascular mortality
31
32 in the CKD group assigned to ERN with 19 CV deaths (7.5%) compared to 12 CV
33
34 deaths (4.8%) in the placebo group, (ERN vs placebo HR 1.62, 95% CI 0.78, 3.33). We
35
36 did not observe interaction between ERN, kidney function, and cardiovascular
37
38 endpoints, in models with/without eGFR stratification (data not shown). Most of the non-
39
40 cardiovascular deaths were due to cancer (Table 4).
41
42
43
44
45
46
47

48 **Longitudinal Change in Kidney Function:** When the entire study sample was
49
50 evaluated together regardless of CKD status, there was a mean decrease in eGFR of
51
52 2.8% (14.0%) in those randomized to placebo ($n = 872$) and a 1.2% (14.8%) decrease in
53
54 those treated with ERN ($n = 866$) over 3 years ($p = 0.03$). There was statistically
55
56
57
58
59
60

1 Niacin, CKD and outcomes

2
3 significant interaction between treatment and CKD status (p -interaction = 0.004) for
4
5 percent change in eGFR at Year 3. Data examining the subset with and without CKD
6
7
8 separately are shown in Table 5. In participants with CKD, there was a 3.3% (24.2%)
9
10 improvement in eGFR from baseline to year 3 in those randomized to placebo, whereas
11
12 there was a 1.8% (22.3%) decrease in those randomized to ERN, a result that did not
13
14 reach statistical significance ($p=0.10$). Conversely, in the subset without CKD, there was
15
16 a 3.8% (13.6%) decrease in eGFR in those randomized to placebo, whereas those
17
18 randomized to ERN experienced only a 1.1% (13.5%) decrease in eGFR over 3 years
19
20 (Figure 1). This finding was statistically significant ($p=0.0001$). No new incident cases of
21
22 CKD were observed during the study.
23
24
25
26
27

28 **Adverse Events and Treatment Discontinuation:** Within the CKD group, there was a
29
30 significantly higher rate of discontinuation of ERN compared to placebo (32.7% vs.
31
32 22.7%, $p = 0.01$). In the ERN arm, higher rates of flushing, increased glucose, and
33
34 gastrointestinal symptoms were observed compared to the placebo arm. Specifically for
35
36 symptomatic flushing/itching, these symptoms accounted for near 30% of the primary
37
38 reason for drug discontinuation in the ERN arm compared to 14% in the placebo arm in
39
40 the CKD group (Table 6).
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes
2
3

4 **Discussion**

5
6 In this secondary analysis from AIM-HIGH, there was no incremental benefit of adding
7 ERN to simvastatin-versus placebo in the important, high-risk subset of CKD patients
8 with respect to secondary prevention of cardiovascular events. As expected, the CKD
9 patients had a much higher primary end-point than the non-CKD group indicating a very
10 high residual CVD risk in this subgroup. It is also important to note that most of the non-
11 cardiovascular deaths were due to cancer, but no association between niacin treatment
12 and cancer was observed.
13
14
15
16
17
18
19
20
21
22

23
24 It is not clear why AIM-HIGH results do not demonstrate the cardiovascular benefit of
25 improvement in HDL-C and TG levels in CKD patients. There are several possibilities:
26
27 1) There is no different impact of ERN on cardiovascular outcomes in different levels of
28 renal function in secondary prevention. 2) The impact of the low HDL-C and elevated
29 TG levels is reduced in the context of aggressively treated LDL-C in this subset of
30 patients. 3) Perhaps HDL-C is not the best marker to study clinical outcomes during
31 ERN therapy. In addition, there is still some degree of uncertainty on whether HDL-C is
32 a modifiable cardiac risk factor. 4) It is conceivable that other factors related to
33 decreased kidney function such as elevated FGF-23 levels or other unidentified uremic
34 factors play a more important role on cardiovascular outcomes (8).
35
36
37
38
39
40
41
42
43
44
45
46
47

48 From the early stages of CKD, abnormal apolipoprotein metabolism can be
49 demonstrated (9). Oxidative stress is part of the complex interplay between CKD and
50 heart disease (10), and is linked to endothelial dysfunction (11), that is highly prevalent
51 in patients with moderate to severe CKD (12, 13). Patients with CKD are at high risk for
52
53
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes

2
3 CV events (1). It is conceivable that drugs such as niacin could have a cardio protective
4
5 effect in CKD considering their anti-oxidant properties and effects on endothelial
6
7 function (9, 14).
8
9

10
11 Recent studies targeting LDL-C for primary prevention in CKD patients who are not on
12
13 renal replacement therapy such as the SHARP trial, demonstrated that LDL-C reduction
14
15 is cardio protective (3). A sub study of the TNT (Treating to New Targets) trial
16
17 demonstrated a survival benefit with lowering of LDL cholesterol with atorvastatin in
18
19 CKD (15). Triglycerides-lowering agents such as gemfibrozil and fenofibrate have been
20
21 shown to be cardio protective in non-dialytic CKD patients (16, 17). These results
22
23 suggest that LDL plays a more important role than HDL-C in cardiovascular disease in
24
25 CKD, and that there may be a role for TG although we could not demonstrate in our
26
27 study. Studies testing inhibition of cholesteryl ester transfer protein (CETP) such as
28
29 torcetrapib and dalcetrapib failed to demonstrate improvement in cardiovascular
30
31 outcomes despite successfully increasing HDL-C levels (18, 19). The recently
32
33 completed HPS-2 THRIVE (Second Heart Protection Study Treatment of HDL to
34
35 Reduce Incidence of Vascular Events) study is the largest prospective randomized trial
36
37 testing niacin in patients at high-risk for CV events to date, and failed to demonstrate
38
39 cardioprotective effects (20).
40
41
42
43
44
45
46
47

48 Another important endpoint of this study was to examine the effects of ERN on
49
50 longitudinal change in kidney function and to assess the tolerability in CKD patients. We
51
52 observed a slower rate of decline in eGFR in ERN treated patients when all AIM-HIGH
53
54 participants were examined together. In non- CKD patients, ERN therapy was also
55
56 associated with a slower rate of eGFR decline than placebo. These data indicate that
57
58
59
60

1 Niacin, CKD and outcomes

2
3 ERN slows decline in eGFR in a human trial extending observations in animals that
4
5 niacin beneficially affects renal function. However, there was no difference in rates of
6
7 eGFR decline in this small subgroup of CKD patients comparing ERN to placebo-
8
9 treated patients. These results cannot exclude a possible benefit of ERN in kidney
10
11 function in CKD because the study was not powered to detect definitive changes in
12
13 kidney function, and certainly raise interest for further prospective studies examining
14
15 ERN as possible nephroprotective agent since this is the largest prospective human
16
17 data published so far demonstrating such effect. Furthermore, in a recent substudy of
18
19 the AIM-HIGH trial, Guyton *et al* observed a trend toward cardiovascular benefit in the
20
21 sub-group with the lowest HDL-C and highest TG levels treated with ERN (21). Whether
22
23 CKD subjects with these characteristics would receive cardiovascular benefit from ERN
24
25 is not known.
26
27
28
29
30

31 Our findings of high rates of ERN discontinuation in the CKD arm (32%) should be
32
33 carefully considered in future clinical trials examining the role of ERN in dyslipidemias in
34
35 patients who are in other stages of CKD. It is possible that worse tolerance of niacin
36
37 among CKD participants (i.e. niacin-related altered food intake) contributed to our
38
39 findings.
40
41
42

43 Strengths of this study include the prospective, randomized, placebo controlled design,
44
45 and a large cohort of participants with stable CKD, and longitudinal assessment of renal
46
47 function.
48
49

50 This study has several limitations: 1) AIM-HIGH excluded patients with serum creatinine
51
52 > 2.5 mg/dL, thus whether or not the results would generalize to patients with more
53
54 advanced CKD remains unknown. 2) All study participants had prevalent cardiovascular
55
56
57
58
59

60 10

1 Niacin, CKD and outcomes

2
3 disease at baseline and were aggressively treated with statins to target LDL levels.

4
5 Whether or not ERN would improve primary prevention of CV events in CKD patients is
6
7 unknown. 3) We lacked data on albuminuria to test effects of ERN vs. placebo in early
8
9 stages of CKD. The majority of the participants were on Renin- Angiotensin-Aldosterone
10
11 System blockade, besides adequately controlled LDL levels. These factors may have
12
13 contributed to a slower than expected rate of decline in eGFR irrespective of the lipid
14
15 lowering effect. 4) The number of patients with both baseline and three year
16
17 assessment of creatinine, n=241, is not large, limiting the inference that can be made on
18
19 eGFR based on these numbers. 5) CKD is more prevalent in African Americans who
20
21 represented less than 4% of the participants limiting the generalizability of these results
22
23 beyond this subset. 6) We have limited data on apolipoprotein levels. Previous
24
25 publications suggest that targeting apolipoprotein levels including ApoB and
26
27 apoB/apoA1 ratio could provide better correlation with cardiovascular outcomes
28
29 compared to HDL-C (22, 23).
30
31
32
33
34
35
36

37 There is a major need in conducting prospective randomized trials in patients with early
38
39 stages of CKD in order to improve cardiovascular outcomes. In fact, the new KDIGO
40
41 (Kidney Disease improving Global Outcomes) report persuasively argues for more
42
43 research on the treatment of dyslipidemias in patients with CKD who have either
44
45 elevated levels of TG or low levels of HDL-C (24). Although AIM-HIGH is one of the
46
47 largest prospective studies evaluating the use of combined statin-ERN treatment for
48
49 secondary cardiovascular prevention that included a sizeable proportion of patients with
50
51 CKD, the sample size and number of deaths were relatively small. These factors limit
52
53 inferences on differences and causes of mortality we can draw from this study.
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes

2
3 Moreover it is not known if a larger sample size or a longer follow-up would lead to
4
5 different results, therefore a possible benefit cannot be definitively excluded with the
6
7 present data.
8
9

10
11 In summary, in CKD patients with atherogenic dyslipidemia from AIM-HIGH treated with
12
13 ERN conferred no significant benefit on cardiovascular events despite significant
14
15 increases in HDL-C and reduction of TG. Renal function decline was significantly
16
17 slowed in AIM-HIGH patients as a whole, but no significant cardiovascular benefit was
18
19 seen in the CKD sub-group. These findings coupled with a similar lack of
20
21 cardioprotective benefits in the overall AIM-HIGH trial and in the much larger HPS2-
22
23 THRIVE trial, raise doubts regarding the utility of this treatment strategy in the statin era.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes
2

3 **Methods**
4

5
6
7 Study Design
8

9
10 The AIM-HIGH study design and baseline characteristics of the study population have
11 been described in detail previously (7, 25). Briefly, AIM-HIGH was a multi-center,
12 prospective, randomized, double-blind, placebo-controlled trial in men or women age 45
13 years or older with established, stable cardiovascular disease and atherogenic
14 dyslipidemia defined as low baseline HDL-C (< 40 mg/dL for men and < 50 mg/dL for
15 women), high TG (100 – 400 mg/dL) and LDL-C < 180 mg/dL (adjusted for statin
16 treatment at entry). Participants were recruited from 92 centers in the United States and
17 Canada. The hypothesis was that raising HDL-C (as well as lowering TG, LDL-c, and
18 lipoprotein a with extended- release niacin (ERN, Niaspan™, AbbVie Inc.) would
19 decrease the rate of a composite primary endpoint (coronary artery disease mortality,
20 non-fatal myocardial infarction, ischemic stroke, hospitalization for acute coronary
21 syndrome or symptom-driven coronary or cerebral revascularization), during a projected
22 mean 55 month follow-up. Participants with serum creatinine \geq 2.5 mg/dL at screening
23 were excluded. During a 4 – 8 week open label run-in period, all patients were treated
24 with 40 mg of simvastatin and doses of ERN increasing weekly from 500 mg/day to
25 2,000 mg/day. Patients tolerating at least 1,500 mg/day of ERN were randomly
26 assigned to ERN or placebo. The placebo tablets contained a small dose (50 mg) of
27 immediate-release niacin in each 500-mg or 1000-mg tablet to mask the identity of the
28 blinded treatment to patients and study personnel. All participants were treated with
29 simvastatin (20-80 mg daily) with dose adjusted as needed to achieve a target LDL-C of
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes

2
3 40-80 mg/dl. Ezetimibe 10 mg daily could be added as needed to subjects in either
4
5 blinded treatment arm to achieve the LDL-C target. The trial was stopped on the
6
7 recommendation of the Data and Safety Monitoring Board after a mean of 36 months of
8
9 follow-up, based on the observation of lack of efficacy of ERN in reducing the composite
10
11 primary endpoint (26). All living participants were followed to a final close-out visit,
12
13 which was conducted between June and September 2011.
14
15

16
17
18 Fasting specimens of blood total cholesterol (TC), TG, HDL-C and LDL-C were
19
20 measured at baseline, at months 1, 3 and 6, and at annual intervals; while lipoprotein
21
22 (a) [Lp(a)] was measured only at baseline and year 1. Per protocol, serum creatinine
23
24 concentrations were obtained at screening, year 1 and 3. Blood lipids and creatinine
25
26 concentrations were measured in a central laboratory (Northwest Lipid Metabolism and
27
28 Diabetes Research Laboratory, University of Washington). All endpoint events were
29
30 reviewed by an independent clinical events adjudication committee, masked to the
31
32 identity of treatment. Primary endpoints for this post-hoc analysis were identical to the
33
34 study proper, as noted above. In addition, we compared annual changes in estimated
35
36 GFR (eGFR) and incident CKD rates between treatment arms and by kidney function
37
38 group. CKD was defined as estimated GFR of $< 60 \text{ mL/min/1.73 m}^2$. Study participants
39
40 that were not classified as CKD at baseline but had an eGFR $< 60 \text{ mL/min/1.73 m}^2$ at
41
42 the end of the study were counted as incident CKD cases. Study participants were
43
44 evaluated for adverse effects in clinic or by phone on a quarterly basis. We compared
45
46 rates of serious adverse events and drop-out rates by treatment arm and by kidney
47
48 function group.
49
50
51
52
53
54
55
56
57
58
59
60

1 Niacin, CKD and outcomes
2

3 **Statistical Analysis** 4

5
6
7 Assessment of the kidney function was computed with the CKD-EPI formula (27) to
8
9 assess estimated glomerular filtration rate (eGFR). Patients with eGFR < 60 mL/min per
10
11 1.73m² were considered to have CKD (28).
12

13
14 Baseline demographic and clinical characteristics were compared between the ERN
15
16 and placebo groups using independent two-sample *t*-test (pooled variance) for
17
18 continuous variables such as body mass index (BMI), blood pressures, and baseline
19
20 lipids. The Wilcoxon rank sum test was used for continuous variables not normally
21
22 distributed, while chi-square test was used for categorical variables such as smoking
23
24 status, history of CHD, diabetes, hypertension, and use of inhibitors of the renin-
25
26 angiotensin system. Results of the Wilcoxon rank sum test were expressed as median
27
28 and interquartile range (Q1,Q3). Descriptive statistics for lipids, and eGFR were
29
30 summarized over scheduled study time by treatment assignment within eGFR groups.
31
32 Cox proportional hazards models were used to generate hazard ratios for the primary
33
34 outcome and other cardiovascular events of interest. Primary and secondary endpoint
35
36 rates were based on an intention to treat analysis. Participant observation was
37
38 censored at their last follow-up visit or death. The effect of ERN on kidney function
39
40 analyses at 1 and 3 years was limited to participants with serum creatinine values
41
42 available at those time points. ANCOVA model was used to test for interaction between
43
44 eGFR group and the effect of ERN at 3 years of treatment. In addition, we conducted
45
46 test for interaction without eGFR stratification in the statistical model. Adverse event
47
48 rates were monitored routinely as a safety indicator throughout the trial and a chi-square
49
50 test was used to compare the two treatment arms. Values are expressed in mean ± SD
51
52
53
54
55
56
57
58
59
60

15

1 Niacin, CKD and outcomes
2

3 unless otherwise specified. Data management and statistical analysis were performed
4
5 at the data coordinating center (Axio Research, Seattle). Statistical analysis was
6
7 performed using SAS 9.3 statistical software (Cary, NC, USA).
8
9
10

11
12
13
14
15
16
17 Acknowledgment:

18
19
20 Funding:

21
22
23 This study was funded by the National Heart, Lung, and Blood Institute (HL 081649 and
24
25 HL 081616) and by an unrestricted grant from Abbott Laboratories. Abbott Laboratories
26
27 donated the extended-release niacin, the matching placebo, and the ezetimibe; Merck
28
29 donated the simvastatin. Neither of these companies had any role in the oversight or
30
31 design of the study or in the analysis or interpretation of the data.
32
33
34
35

36 Disclosures: JHI is funded by the NIH/NIDDK (1RO1DK101720-01), and has served as
37
38 consultant and received honoraria from Shire Pharmaceuticals and Keryx
39
40 Biopharmaceuticals, and has served as a consultant for Astra Zeneca. RSK has
41
42 received research support from Wyeth and Bristol Myers Squibb.
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Niacin, CKD and outcomes

References

1. Go AS, Chertow GM, Fan D, McCulloch CE and Hsu C. Chronic Kidney Disease and the Risks of Death, Cardiovascular Events and Hospitalization. *N Engl J Med* 351:1296-1305, 2004.
2. Cholesterol Treatment Trialists' (CTT) Collaboration. Efficacy and safety of more intensive lowering of LDL cholesterol: a meta-analysis of data from 170,000 participants in 26 randomized trials. *Lancet* 376:1670-168, 2010.
3. Biagent C, Landray MJ, Reith C, *et al*. The effects of lowering LDL cholesterol with simvastatin plus ezetimibe in patients with chronic kidney disease (Study of Heart and Renal Protection): a randomized placebo-controlled trial. *Lancet* 377:2181-2192, 2011.
4. Vaziri ND. Dyslipidemia of chronic renal failure: the nature, mechanisms, and potential consequences. *Am J Physiol* 290:F262-f272, 2006.
5. Shepherd J, Kastelein J, Bittner V, Deedwania P, Dobson S, *et al*: Effect of intensive lipid lowering with atorvastatin on renal function in patients with coronary artery disease: The Treating to New Targets (TN) Study. *Clin J Am Soc Nephrol* 2: 1131-1139, 2007.
6. Cho K, Kim H, Rodriguez-Iturbe B and Vaziri ND. Niacin ameliorates oxidative stress, inflammation, proteinuria, and hypertension in rats with chronic renal failure. *Am J Physiol Renal Physiol* 297:F106-F113, 2009.
7. AIM-HIGH Investigators. The role of niacin in raising high-density lipoprotein cholesterol to reduce cardiovascular events in patients with atherosclerotic cardiovascular disease and optimally treated low-density lipoprotein cholesterol: baseline characteristics of study participants. The Atherothrombosis Intervention in Metabolic syndrome with low HDL/high triglycerides: impact on Global Health outcomes (AIM-HIGH) trial. *Am Heart J*. 2011 Mar;161(3):538-43. Epub 2011 Feb 2.
8. Isakova T, Xie H, Schwartz S, Lo J, Ojo A, Sondheim J, Hsu C, Lash J *et al*: Fibroblast growth factor 23 and risks of mortality and end-stage renal disease in patients with chronic kidney disease. *JAMA*, 23: 2432-2439, 2011.
9. Vaziri ND, Dicus M, Ho ND, Boroujerdi-Rad L and Sindhu RK. Oxidative stress and dysregulation of superoxide dismutase and NADPH oxidase in renal insufficiency. *Am J Kidney Dis*. Nov;5(6): 357-72. 2011.
10. Himmelfarb J and Hakim R. Oxidative stress in uremia. *Curr Opin Nephrol Hypertens* 12(6):593-8, 2003.
11. Heitzer T, Schlinzig T, Krohn K, Meinertz T and Munzel T. Endothelial dysfunction, Oxidative Stress, and Risk of Cardiovascular Events in Patients with Coronary Artery Disease. *Circulation* 104:2673-2678. 2001.

Niacin, CKD and outcomes

12. Yilmaz MI, Saglam M, Caglar K, Cakir E, Sonmez A, et al. The Determinants of Endothelial Dysfunction in CKD: Oxidative Stress and Asymmetric Dimethylarginine. *Am J Kidney Dis.* 47(1):42-50, 2006.
13. Kalil R, Flanigan, Stanford W, Haynes WG: Dissociation between progression of coronary artery calcification and endothelial function in hemodialysis patients: A prospective pilot study. *Clin Nephrol* 78 (1): 1-9, 2011.
14. Warnholtz A, Wild P, Ostad MA, Elsner V, Stieber F, et al. Effects of oral niacin on endothelial dysfunction in patients with coronary artery disease: Results of the randomized, double-blind, placebo-controlled INEF study. *Atherosclerosis* 209:216—221, 2009.
15. Shepherd J, Lastelein JJ, Bittner V, Deedwania P, Breazna A, Dobson S, et al: Intensive Lipid Lowering with Atorvastatin in Patients with Coronary Heart Disease and Chronic Kidney Disease; The TNT Study. *J Am Coll Cardiol* 51: 1448-51, 2008
16. Ting R, Keech A, Drury P, Donoghoe M, Hedley J, Jenkins A et al: Benefits and safety of long-term fenofibrate therapy in people with type 2 diabetes and renal impairment. *Diabetes Care*, 35: 218-225, 2012.
17. Tonelli M, Collins D, Robins S, Bloomfield H, Curham G, for the Veterans Affairs High-Density Lipoprotein Intervention Trial (VA-HIT) Investigators. *Kidney Int*, 66: 1123-1130, 2004.
18. Barter PJ, Caulfield M, Eriksson M, Grundy SM, Kastelein JP, Komajda M, et al: Effects of Torcetrapib in patients at high risk for coronary events. *N J Engl Med*, 357:2109-2122.
19. Schwartz GG, Olsson AG, Abt M, Ballantyne CM, Barter PJ, Brumm J, et al: Effects of Dalcetrapib in patients with recent acute coronary syndrome. *N Engl J Med*, 367: 2089-2099, 2012.
20. Effects of extended-release niacin with laropiprant in high-risk patients. The HPS2-THRIVE Collaborative Group. *N Engl J Med*, 371: 203-212, 2014.
21. Guyton J, Slee A, Anderson T, Fleg J, Goldberg R, Kashyap M, et al: Relationship of lipoprotein to cardiovascular events. The AIM-HIGH Trial. *JACC* 62:1580-1584, 2013.
22. Walldius G, Jungner I, Aastveit A, Holme I, Furberg C, Sniderman A: The apoB/apoA ratio is better than the cholesterol ratios to estimate the balance between plasma proatherogenic antiatherogenic lipoproteins and to predict coronary risk. *Clin Chem Lab Med* 42 (12):1355-1363, 2004.
23. Bockholdt S, Arsenault B, Mora S, Pedersen T, LaRosa J, Nestel P, Simes R et al: Association of LDL cholesterol, non-HDL cholesterol, and apolipoprotein B levels with risk of cardiovascular events among patients treated with statins. A meta-analysis. *JAMA* 307: 1302-1309.

Niacin, CKD and outcomes

- 1
 - 2
 - 3
 - 4
 - 5
 - 6
 - 7
 - 8
 - 9
 - 10
 - 11
 - 12
 - 13
 - 14
 - 15
 - 16
 - 17
 - 18
 - 19
 - 20
 - 21
 - 22
 - 23
 - 24
 - 25
 - 26
 - 27
 - 28
 - 29
 - 30
 - 31
 - 32
 - 33
 - 34
 - 35
 - 36
 - 37
 - 38
 - 39
 - 40
 - 41
 - 42
 - 43
 - 44
 - 45
 - 46
 - 47
 - 48
 - 49
 - 50
 - 51
 - 52
 - 53
 - 54
 - 55
 - 56
 - 57
 - 58
 - 59
 - 60
24. KDIGO Clinical Practice Guideline for Lipid Management in Chronic Kidney Disease. *Kidney International Supplements* November 2013.
25. AIM-HIGH Investigators. The role of niacin in raising high-density lipoprotein cholesterol to reduce cardiovascular events in patients with atherosclerotic cardiovascular disease and optimally treated low-density lipoprotein cholesterol: baseline characteristics of study participants. The Atherothrombosis Intervention in Metabolic syndrome with low HDL/high triglycerides: impact on Global Health outcomes (AIM-HIGH) trial. *Am Heart J*. 2011 Mar;161(3):538-43. Epub 2011 Feb 2.
26. The AIM-HIGH Investigators. Niacin in Patients with Low HDL Cholesterol Levels Receiving Intensive Statin Therapy. *N Engl J Med* 365(24):2255-67, 2011.
27. Levey AS, Stevens LA, Schmid CH, Zhang Y, Castro III AF, et al. A New Equation to Estimate Glomerular Filtration Rate. *Ann Intern Med*. May 5;150(9):604-12. 2009.
28. Definition and classification of CKD. KDIGO. *Kidney Int Suppl*, 3: 19, 2013.

Table 1. Baseline Demographics and Clinical Characteristics Among AIM-HIGH Participants Stratified by CKD Status

		CKD (N=505)	No CKD (N=2908)	P-value
Age (years)	Mean (SD)	70.7 (7.3)	62.5 (8.4)	<.001
Gender (male)	N (%)	408 (80.8)	2501 (86.0)	0.002
Race – African American	N (%)	20 (4.0)	97 (3.3)	0.476
Ethnicity-Hispanic	N (%)	19 (3.8)	121 (4.2)	0.676
Current Smoker	N (%)	58 (11.6)	564 (19.5)	<.001
Coronary Artery Disease	N (%)	452 (89.5)	2694 (92.6)	0.015
Diabetes	N (%)	207 (41.0)	951 (32.7)	<.001
Hypertension	N (%)	401 (79.4)	2037 (70.0)	<.001
Diastolic BP (mmHg)	Mean (SD)	71.4 (10.2)	74.9 (9.6)	<.001
Systolic BP (mmHg)	Mean (SD)	130.5 (17.4)	127.9 (16.1)	<.001
Pulse Pressure (mmHg)	Mean (SD)	59.1 (16.4)	53.0 (13.4)	<.001
Use of ACE-Is or ARBs	N (%)	391 (77.4)	2137 (73.5)	0.062

Table 2: Baseline Demographic Features and Clinical Characteristics by Randomization in AIM-HIGH Participants with CKD

		Placebo (N=251)	Niacin (N=254)	P-value
Age (years)	Mean (SD)	70.8 (7.4)	70.6 (7.2)	0.764
Gender (male)	N (%)	200 (79.7)	208 (81.9)	0.529
Race – African American	N (%)	10 (4.0)	10 (3.9)	0.978
Ethnicity-Hispanic	N (%)	6 (2.4)	13 (5.1)	0.107
Current Smoker	N (%)	33 (13.3)	25 (10.0)	0.244
Body Mass Index (kg/m²)	Mean (SD)	30.4 (5.8)	30.9 (5.4)	0.390
Coronary Artery Disease	N (%)	231 (92.0)	221 (87.0)	0.066
Diabetes	N (%)	102 (40.6)	105 (41.3)	0.873
Metabolic Syndrome	N (%)	206 (83.1)	214 (84.3)	0.719
Hypertension	N (%)	194 (77.3)	207 (81.5)	0.243
LDL cholesterol	Mean (SD)	74.3 (21.2)	73.8 (21.9)	0.794
HDL cholesterol	Mean (SD)	34.5 (6.2)	34.9 (6.1)	0.462
Triglycerides	Median (Q1,Q3)	160 (133 ,231)	175 (132, 222)	0.839
Diastolic BP (mmHg)	Mean (SD)	70.9 (10.5)	71.9 (9.8)	0.290
Systolic BP (mmHg)	Mean (SD)	130.1 (16.9)	130.9 (17.9)	0.602
Pulse Pressure (mmHg)	Mean (SD)	59.2 (16.7)	59.0 (16.2)	0.920
Use of ACE-Is or ARBs	N (%)	191 (76.1)	200 (78.7)	0.453

Table 3: Lipid Levels by CKD Status and Treatment: Actual Values and Change from Baseline Values

Lipid Parameter	Time	CKD* (N=505)		No CKD** (N = 2908)	
		Placebo (N = 251)	Niacin (N = 254)	Placebo (N = 1444)	Niacin (N = 1464)
Total Cholesterol (mg/dL)	Baseline	146.6 (26.0)	146.1 (26.3)	144.9 (26.7)	145.3 (28.5)
<i>Mean (SD)</i>	Year 1	144.0 (25.1)	137.0 (25.0)	143.4 (25.6)	138.2 (27.3)
	Change from baseline	-3.1 (27.3)	-8.9 (31.0)	-1.2 (31.1)	-7.0 (32.6)
	Year 3	140.7 (25.9)	137.7 (32.1)	141.6 (23.4)	136.7 (27.6)
	Change from baseline	-8.6 (32.4)	-10.6 (36.8)	-4.7 (28.9)	-10.0 (33.8)
LDL Cholesterol (mg/dL)	Baseline	74.3 (21.2)	73.8 (21.9)	73.9 (22.9)	74.3 (23.7)
<i>Mean (SD)</i>	Year 1	70.4 (18.1)	65.4 (20.0)	70.4 (19.0)	66.6 (19.9)
	Change from baseline	-4.8 (21.8)	-8.4 (25.6)	-3.5 (24.8)	-7.9 (26.2)
	Year 3	67.9 (21.3)	66.2 (24.1)	68.4 (19.0)	65.0 (21.5)
	Change from baseline	-8.8 (26.8)	-9.2 (31.2)	-6.6 (24.0)	-10.6 (27.8)
HDL Cholesterol (mg/dL)	Baseline	34.5 (6.2)	34.9 (6.1)	35.0 (5.5)	34.5 (5.6)
<i>Mean (SD)</i>	Year 1	38.5 (8.4)	45.5 (12.2)	38.4 (7.5)	43.3 (10.6)
	Change from baseline	3.9 (5.9)	10.8 (10.1)	3.4 (5.5)	8.8 (8.2)
	Year 3	39.2 (8.2)	45.9 (12.6)	39.1 (7.6)	43.8 (11.1)
	Change from baseline	4.7 (6.3)	11.3 (11.3)	4.2 (5.7)	9.5 (9.0)
Lipoprotein (a) (nmol/L)	Baseline	33.3 (15.3, 105.7)	34.8 (15.3, 112.8)	32.3 (12.8, 122.4)	36.1 (13.4, 127.6)
<i>Median (Q1,Q3)</i>	Year 1	32.8 (14.8, 112.14)	24.6 (8.2, 90.0)	30.1 (9.7, 124.9)	27.5 (8.4, 110.7)
	Change from baseline	-0.7 (-9.4, 5.8)	-5.6 (-19.4, 0.0)	-1.3 (-9.4, 3.8)	-6.0 (-20.2, 0.1)
Triglyceride (mg/dL)	Baseline	160.0 (133.0, 231.0)	175.0 (132.0, 222.0)	163.0 (131.0, 215.0)	166.0 (130.5, 218.0)
<i>Median (Q1,Q3)</i>	Year 1	153.5 (119.0, 213.5)	112.0 (79.0, 164.0)	155.0 (118.0, 207.0)	122.5 (88.0, 172.0)
	Change from baseline	-10.5 (-37.5, 28.5)	-55.0 (-93.0, -9.0)	-8.0 (-45.0, 30.0)	-43.0 (-80.0, -5.0)
	Year 3	153.0 (111.0, 192.0)	113.0 (80.0, 156.0)	152.0 (115.0, 206.0)	121.0 (85.0, 174.0)
	Change from baseline	-20.0 (-60.0, 22.0)	-59.0 (-111.0, -16.0)	-14.0 (-53.0, 29.0)	-47.0 (-90.0, -6.0)
	*CKD: Year 1-N=454; Year 3-N=233	** No CKD: Year 1-N=2660; Year 3-N=1505			

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

For Peer Review Only

Table 4: Cardiovascular Endpoint Events and Hazard Ratios in ERN vs. Placebo Treated Stratified by Baseline CKD Status

Clinical Event	CKD			No CKD		
	Placebo (N = 251)	Niacin (N = 254)	Niacin vs. Placebo	Placebo (N = 1444)	Niacin (N = 1464)	Niacin vs. Placebo
			HR ² (95% CI)			HR (95% CI)
Exposure (pt-yr)	748	737		4444	4477	
Primary Endpoint¹	60 (23.9%)	60 (23.6%)	1.02 (0.71 - 1.45)	214 (14.9%)	222 (15.2%)	1.03 (0.85 - 1.24)
Secondary Endpoints						
First occurrence of CHD death, non-fatal MI, ischemic stroke or “high risk” acute coronary syndrome	40 (15.9%)	41 (16.1%)	1.05 (0.68 - 1.63)	118 (8.2%)	130 (8.9%)	1.10 (0.85 - 1.41)
First occurrence of CHD death, non-fatal MI or ischemic stroke	35 (13.9%)	39 (15.4%)	1.15 (0.73 - 1.82)	103 (7.1%)	117 (8.0%)	1.13 (0.87 - 1.47)
Cardiovascular mortality	12 (4.8%)	19 (7.5%)	1.62 (0.78 - 3.33)	26 (1.8%)	26 (1.8%)	0.99 (0.57 - 1.70)
Overall Mortality	23 (9.2%)	39 (15.4%)	1.73 (1.03 - 2.89) ³	59 (4.1%)	57 (3.9%)	0.96 (0.67 - 1.38)
Cardiac	12 (4.8%)	16 (6.3%)	1.35 (0.64 - 2.86)	22 (1.5%)	22 (1.5%)	0.99 (0.55 - 1.79)
Vascular, Non-cardiac	0 (0.0%)	3 (1.2%)	N/A	4 (0.3%)	4 (0.3%)	0.99 (0.25 - 3.97)
Non-cardiovascular	11 (4.4%)	18 (7.1%)	1.67 (0.79 - 3.53)	32 (2.2%)	29 (2.0%)	0.90 (0.54 - 1.49)
Cardiovascular death or non-fatal MI	30 (12.0%)	34 (13.4%)	1.16 (0.71 - 1.90)	91 (6.3%)	95 (6.5%)	1.03 (0.78 - 1.38)
¹ Primary endpoint is defined as first occurrence of CHD death, non-fatal MI, ischemic stroke, hospitalization for acute coronary syndrome or symptom-driven coronary or cerebral revascularization.						
² Hazard ratios are based on model with baseline eGFR group.						
³ P=0.038						

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

Table 5: Effect of Randomization to ERN vs. Placebo on Change in eGFR

Time		CKD			No CKD		
		Placebo (N = 251)	Niacin (N = 254)	P-value	Placebo (N = 1444)	Niacin (N = 1464)	P-value
Baseline eGFR	Mean (SD)	50.5 (7.6)	50.0 (7.7)		84.9 (12.6)	84.4 (12.9)	
Year 1	N	191	193		1093	1106	
Change from baseline in eGFR	Mean (SD)	2.0 (10.3)	2.6 (10.1)	0.5	-1.4 (9.1)	0.3 (8.7)	<0.0001
Percent change from baseline in eGFR	Mean % (SD)	4.6 (21.8)	5.4 (21.3)		-1.4 (11.3)	0.6 (11.4)	
Year 3	N	123	110		749	756	
Change from baseline in eGFR	Mean (SD)	1.5 (11.6)	-0.9 (11.3)	0.1	-3.3 (10.9)	-1.1 (10.7)	0.0001
Percent change from baseline in eGFR	Mean % (SD)	3.3 (24.2)	-1.8 (22.3)		-3.8 (13.6)	-1.1 (13.5)	

Table 6. Reasons for Drug Discontinuation by Treatment Assignment

	CKD			No CKD		
	Placebo	Niacin	P-value	Placebo	Niacin	P-value
N	251	254		1444	1464	
Discontinued study drug N (%)	57 (22.7%)	83 (32.7%)	0.012	284 (19.7%)	353 (24.2%)	0.004
Primary reason for drug discontinuation						
Flushing, itching	8 (14.0%)	25 (30.1%)		35 (12.3%)	79 (22.4%)	
Liver function test abnormality	0 (0.0%)	1 (1.2%)		5 (1.8%)	4 (1.1%)	
Patient request	22 (36.8%)	24 (28.9%)		115 (40.5%)	102 (29.0%)	
Non-study physician request	8 (14.0%)	9 (10.8%)		27 (9.5%)	40 (11.3%)	
Other clinical reason to discontinue	16 (28.1%)	13 (15.7%)		79 (27.8%)	83 (23.5%)	
Increased glucose	2 (3.5%)	5 (6.0%)		12 (4.2%)	24 (6.8%)	
Gastrointestinal symptoms	1 (1.8%)	5 (6.0%)		11 (3.9%)	21 (5.9%)	

Figure 1. Percent Change from Baseline in eGFR (%) by CKD Status and Treatment

