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Adv Exp Med Biol. Author manuscript; available in PMC 2015 June 01.

Published in final edited form as:

Author manuscript

Adv Exp Med Biol. 2014; 801: 695-701. doi:10.1007/978-1-4614-3209-8\_87.

# Cone Specific Promoter for Use in Gene Therapy of Retinal Degenerative Diseases

Frank M. Dyka, Sanford L. Boye, Renee C. Ryals, Vince A. Chiodo, Shannon E. Boye, and William W. Hauswirth

Department of Ophthalmology, College of Medicine, University of Florida, 1600 SW Archer Rd., Gainesville, FL 32610, USA

# Abstract

Achromatopsia (ACHM) is caused by a progressive loss of cone photoreceptors leading to color blindness and poor visual acuity. Animal studies and human clinical trials have shown that gene replacement therapy with adeno-associate virus (AAV) is a viable treatment option for this disease. Although there have been successful attempts to optimize capsid proteins for increased specificity, it is simpler to restrict expression via the use of cell type-specific promoters. To target cone photoreceptors, a chimeric promoter consisting of an enhancer element of interphotoreceptor retinoid-binding protein promoter and a minimal sequence of the human transducin alpha-subunit promoter (IRBPe/GNAT2) was created. Additionally, a synthetic transducin alpha-subunit promoter (synGNAT2/GNAT2) containing conserved sequence blocks located downstream of the transcriptional start was created. The strength and specificity of these promoters were evaluated in murine retina by immunohistochemistry. The results showed that the chimeric, (IRBPe/GNAT2) promoter is more efficient and specific than the synthetic, synGNAT2/GNAT2/GNAT2 promoter. Additionally, IRBPe/GNAT2-mediated expression was found in all cone subtypes and it was improved over existing promoters currently used for gene therapy of achromatopsia.

# Keywords

Cone photoreceptors; Chimeric promoter; Adeno-associated virus; Targeted expression; Gene replacement therapy; Achromatopsia

# 87.1 Introduction

Achromatopsia (ACHM) is an autosomal recessive disease affecting about 1:30,000 humans. It is characterized by poor visual acuity, loss of central vision, photophobia, color blindness, and reduced photopic electroretinographic amplitudes due to loss of cone

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fmdyka@ufl.edu.

S. L. Boye sboye@ufl.edu

R. C. Ryals renee14@ufl.edu V. A. Chiodo vchiodo@ufl.edu

S. E. Boye shaire@ufl.edu

W. W. Hauswirth hauswrth@ufl.edu

photoreceptors. In humans there are three distinct subclasses of cone photoreceptors, each named for the specific wavelength of light to which they respond. L-cones respond to long wavelength light, whereas M-cones and S-cones respond to medium and short wavelength light, respectively. Spectral sensitivity is mediated by the specific form of cone opsin that each cone subclass expresses. Gene therapy-based treatments for a number of diseases affecting cone photoreceptors are currently under development [1–6].

Although ACHM is a relatively rare disorder, it presents itself as a good target for gene therapy as the causative genes are known. Additionally, proof-of-concept gene replacement studies in animal models have shown clear success [1, 3–5, 7, 8]. ACHM affects all classes of photoreceptors, including S cones. Recent evidence from case studies of patients with ACHM suggests that ACHM is progressive, with cones degenerating over time [9]. Therefore, early intervention with a therapy that targets all subclasses of cone photoreceptors would be ideal.

In recent years, adeno-associated virus (AAV) has emerged as the most efficient viral vector for gene therapy due to its ability to transduce dividing and non-dividing cells with minimal immune response[10]. Although there have been successful attempts to optimize AAV capsid proteins for increased cell specificity, a simpler way to restrict expression is through the use of cell type-specific promoters. To deliver genes safely and efficiently to cone photoreceptors of ACHM-affected individuals, therapeutic vectors should ideally utilize promoters which; (1) are capable of expressing transgene both efficiently and selectively in cones with little or no off-target expression in other retinal cell types, such as rod or retinal pigment epithelial (RPE) cells, (2) are small enough in size to accommodate the transgene within the  $\sim 4.8$  kb (kilobases) packaging limit of AAV (3) are able to drive gene expression in all subclasses of cone photoreceptors.

To date, cone targeting promoters used in proof-of-concept gene therapy studies for ACHM have been deficient in one or more of these criteria. In studies by Alexander et al. [7] and Komaromy et al. [8]. A 2100 bp (base pair) version of the human red/green opsin promoter (PR2.1) was used to drive therapeutic transgene expression. In mouse, expression was limited primarily to cones with sparse expression in rods [7]. In canine, expression was highly selective for M and L cones whereas expression in S cones was not detected [8]. Furthermore the PR2.1 promoter is relatively large in size. In the case of *CNGB3* gene replacement, the AAV capsid is barely able to accommodate the PR2.1 promoter and *CNGB3* cDNA (~ 2.4 kb). Such vectors may experience reduced packaging efficiency because of the oversized nature of the genome.

In addition to the PR2.1 promoter, respective, homologous regulatory regions of human and mouse S cone opsin have been tested as promoters in animal models of ACHM. In rodent, a 569 bp human S opsin promoter (HB569) led to reporter gene expression in all cone subclasses but the expression was weaker in comparison to the PR2.1 promoter [11]. In dog, the HB569 promoter performed poorly in terms of both specificity and efficiency, with relatively few L/M cones expressing the trans-gene and with leaky expression in rods and RPE [12].

A 500 bp version of the mouse S opsin (mBP) promoter has been tested in the context of gene replacement for *CNGA3* and performed well [3]. However it is likely that it, like the closely related human S opsin promoter, will perform poorly in higher order mammals, such as dog and human.

Finally, like the S opsin promoters described above, regulatory regions of cone arrestin identified by Craft et al. has been utilized as a promoter in AAV transduction experiments and later in gene replacement studies in ACHM animal models [1, 13]. In experiments performed in mice aimed at characterizing gene expression, respective 500 bp mouse and human cone arrestin promoters (mCAR and hCAR) drove strong expression in retina (see Results section). However specificity was poor, with rods and RPE clearly being transduced. In experiments utilizing mCAR that were performed in dog, the same general expression pattern was seen, with strong expression observed in all classes of cones and off-target expression in rods and RPE (Komáromy and Boye, unpublished).

In this work, we present data on novel cone-targeted promoters showing improved efficiency and specificity compared to existing promoters.

#### 87.2 Materials and Methods

#### 87.2.1 Promoter Construction

A chimeric promoter (IRBPe/GNAT2) was constructed by fusing an enhancer element (IRBPe) from position – 1619 to – 1411 of the interphotoreceptor retinoid-binding protein (IRBP) to a core promoter of human transducin alpha-subunit (*GNAT2*) ranging from position – 151 to + 126. Additionally a synthetic promoter (synGNAT2/GNAT2) was created by aligning the *GNAT2* regulatory regions of multiple taxa (human, canine, mouse, and rat) using ClustalW and selecting conserved areas to add to the core promoter region. Both promoters were cloned in a vector plasmid to drive the expression of green fluorescent protein (GFP). Additionally a 0.5 kb version of the human and mouse cone arrestin promoter was created [1, 13]. The arrangement of the promoter constructs used in this study are depicted in Fig. 87.1.

#### 87.2.2 Virus Packaging and Injection

AAV vectors were packaged, purified, and titered according to previously published methods [14, 15]. C57BL/6 mice were injected subretinally with 1  $\mu$ l of at least 5 × 10<sup>12</sup> virus genomes/ml of AAV5 vectors. Mice were injected at 4 to 5 weeks of age and promoter expression and tropism were analyzed by confocal microscopy 4 weeks post-injection.

All experiments were approved by the University of Florida's IACUC and conducted in accordance with the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research and NIH regulations.

#### 87.2.3 Histology

Injected mice and uninjected controls were sacrificed 4 weeks post-injection and the eyes were surgically removed and fixed in 4 % paraformaldehyde. Eyes were then treated with sucrose buffer of increasing concentrations of sucrose (from 5 to 20 %) in phosphate

buffered saline (PBS). After removing cornea and lens, the remaining eye cups were embedded in OCT (Sakura Finetek, Torrance, CA) and frozen tissue was then sectioned for analysis with a spinning disk confocal microscope (Olympus).

# 87.3 Results

To determine the efficiency and specificity of the promoters, C57BL/6 wild-type mice were injected with AAV5 containing the respective promoters driving GFP expression. Figure 87.2a, b show strong expression of GFP in the outermost nuclear region of the outer nuclear layer of the mouse retina which is known to contain the cell bodies of cone photoreceptor cells. The synthetic human transducin alpha-subunit (synGNAT2/GNAT2) promoter, however, shows transduction in a relatively fewer number of cells suggesting that parts of the synGNAT2 sequence act as a negative regulator in some cells (Fig. 87.2c, d). Expression in RPE or the inner retinal cell layers was not observed with either promoter. To evaluate whether IRBPe/GNAT2 would promote expression in S-cones, Nrl-/- mice were injected with AAV-IRBPe/GNAT-GFP vector. The retinas of Nrl-/- mice are composed exclusively of S cone-like photoreceptors [16, 17]. The strong, photoreceptor exclusive expression observed in Nrl -/- mice clearly support that IRBPe/GNAT2 is capable of transducing mouse S-cones (Fig. 87.2e). In contrast to the GNAT2 promoters, the mouse and human cone arrestin promoters (Fig. 87.3) resulted in strong off-target expression in the retinal pigment epithelium.

# 87.4 Discussion

In this study, an enhancer element of the interphotoreceptor retinoid-binding protein was combined with a minimal human transducin alpha-subunit promoter (IRBPe/GNAT2) and used to achieve selective expression in cone photoreceptors. Ying et al. [18] created a transgenic mouse line in which the same elements in a different configuration were used to drive chloramphenicol acetyltransferase to ablate cone photoreceptors [19]. The resulting transgenic mouse lacked cone photoreceptors. However, in ventral retina, rod photoreceptors were also absent [20]. The region-specific absence of rod photoreceptors was reported as a consequence of developmental defect due to lack of cones. However, given that only  $\sim 2.5$ % of photoreceptors are cones, loss of rods was more likely due to mis-expression of the diphtheria toxin in rods. In contrast, when the IRBP enhancer element is fused upstream of the minimal GNAT2 promoter to create a chimeric promoter, expression in rods is reduced. Like IRBPe/GNAT2, a synthetic transducin alpha-subunit promoter fused to the minimal promoter (synGNAT2/GNAT2) also transduced cones, but not as efficiently. Both promoters exhibit some off-target expression in a small number of rods but not in retinal pigment epithelium or inner retinal layers. The relatively small size the IRBPe/GNAT2 chimeric promoter (less than 500 bp) and its ability to promote strong, specific expression in all cone subclasses improve on existing promoters like PR2.1. Other promoters like the cone arrestin promoters are an improvement in size and strength, however they mediate strong off-target transgene expression.

Even though both versions of the GNAT2 promoter show activity in a small number of rod photoreceptors, higher selectivity of cone expression may be likely in higher mammals. In

summary, both promoters are useful tools for gene replacement therapy targeting cone photoreceptor cells in human patients.

### Acknowledgment

The authors thank Seok-Hong Min, James Peterson, and Jingfen Sun for their excellent technical assistance. They also acknowledge grants from the Foundation Fighting Blindness, Research to Prevent Blindness, Inc. for partial support of this work.

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#### Fig. 87.1.

Schematic representation of the *IRBPe/GNAT2* chimeric promoter containing the *IRBPe* enhancer element and the minimal *GNAT2* promoter (*top*), the synthetic (*synGNAT2*) addition of conserved areas to the core *GNAT2* promoter (*middle*) and the cone arrestin promoter regions of human (*hCAR*) and mouse (*mCAR*) species (*bottom*)



# Fig. 87.2.

**a–d** show sections of C57BL/6 mouse retina treated subretinally with AAV5-IRBPe/ GNAT2-GFPat  $20 \times (\mathbf{a})$  and  $40 \times (\mathbf{b})$  magnification and treated with AAV5-synGNAT2/ GNAT2-GFP at  $20 \times (\mathbf{c})$  and  $40 \times (\mathbf{d})$  magnification. (**e**) Sections of Nrl–/– mouse treated subretinally with AAV5-IRBPe/GNAT2-GFP



# Fig. 87.3.

Sections of mouse retina were treated with AAV5-mCAR-GFP (**a**) and hCAR-GFP (**b**) at 20  $\times$  magnification