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## A Structural Perspective on the Regulation of the EGF Receptor

Erika Kovacs<sup>1,2,3</sup>, Julie Anne Zorn<sup>1,2,3</sup>, Yongjian Huang<sup>1,2,3</sup>, Tiago Barros<sup>1,2,3</sup>, and John Kuriyan<sup>1,2,3,4,5</sup>

Erika Kovacs: kovacs@berkeley.edu; Julie Anne Zorn: jazorn@berkeley.edu; Yongjian Huang: coomean@gmail.com; Tiago Barros: tiago@berkeley.edu

<sup>1</sup>Department of Molecular and Cell Biology, University of California, Berkeley, Berkeley, United States

<sup>2</sup>California Institute for Quantitative Biosciences, University of California, Berkeley, Berkeley, United States

<sup>3</sup>Howard Hughes Medical Institute, University of California, Berkeley, Berkeley, United States

<sup>4</sup>Department of Chemistry, University of California, Berkeley, Berkeley, United States

<sup>5</sup>Physical Biosciences Division, Lawrence Berkeley National Laboratory, Berkeley, United States

### Abstract

The epidermal growth factor receptor (EGFR) is a receptor tyrosine kinase that plays a critical role in the pathogenesis of many cancers. EGFR is unique in that its ligand-induced dimerization is established solely by contacts between regions of the receptor that are occluded within the monomeric, unliganded state. Activation of EGFR depends on the formation of an asymmetric dimer of the intracellular module of two receptor molecules, a configuration observed in crystal structures of the EGFR kinase domain in the active state. Coupling between the extracellular and intracellular modules is achieved by a switch between alternative geometries of the transmembrane and juxtamembrane segments within the receptor dimer. As the structure of the full-length receptor is yet to be determined, here we review recent structural studies on isolated modules of EGFR and molecular dynamics simulations that have provided much of our current understanding of its signaling mechanism, including how its regulation is compromised by oncogenic mutations.

### Keywords

receptor tyrosine kinase; ligand-induced dimerization; asymmetric dimer; oncogenic mutations; transmembrane coupling

### Introduction

The transition from unicellular life forms to multicellular ones occurred independently several times in evolution (1). A very early and defining step in the evolutionary branch that

led to animal life was the emergence of signaling systems that are built on top of core signaling components that arose earlier in life. These signaling systems include pathways that control intercellular signaling, cell-cell adhesion and the development of the organism. Among the most important components of these systems are the tyrosine kinases, which signal through the generation of phosphotyrosine residues, molecular beacons that recruit proteins containing Src homology (SH2) domains and phosphotyrosine binding (PTB) domains (2).

Receptor tyrosine kinases are the outermost sentinels of the SH2-based signaling pathways. The extracellular modules of these receptors receive signals in the form of peptide hormones or cell surface proteins, and respond by activating intracellular tyrosine kinase domains (Figure 1A). Two other broad classes of receptors also trigger tyrosine kinase activity, but do not contain kinase domains themselves. These are the cytokine receptors and various receptors involved in immune responses, such as the T-cell and B-cell receptors and the Fc receptors (3).

The receptor tyrosine kinases, the cytokine receptors and the immunological receptors have one architectural feature in common, which is that the protein subunits comprising the receptor complexes have only one transmembrane helix. This fact has caused the intact forms of these receptors to evade high-resolution structural analysis, perhaps because the plasma membrane plays a much more critical role in maintaining their structure than is the case for G-protein coupled receptors (GPCRs) or ion channels, which are now understood at an impressive level of molecular detail. Despite this limitation, structural, biochemical and biophysical probing of the mechanisms of receptor tyrosine kinases has yielded an increasingly deep understanding of how these proteins work. In this review, we discuss what is known about the structure and function of one family of receptor tyrosine kinases, of which the epidermal growth factor receptor (EGFR) is the prototypical member.

Human EGFR (also known as Her1/ErbB1, after the viral erythroblastoma gene) and its three close relatives, human epidermal growth factor receptors 2, 3 and 4 (Her2/ErbB2, also known as the neu oncogene, Her3/ErbB3 and Her4/ErbB3) control cell growth and differentiation (4-6) (Figure 1B). These receptors elicit potent mitogenic responses, and genetic abnormalities in these receptors represent one of the most prevalent defects in cancer cells (7). In fact, EGFR was the first cell surface receptor to be recognized as an oncogene (8, 9). Over 30% of breast cancers, 60% of non-small-cell lung cancers, and 40% of glioblastomas either overexpress or contain activating mutations in EGFR family members (10-12). The link between this family of receptors and cancer progression led to the first antibody-based therapy for cancer, Herceptin, which targets the extracellular module of Her2 (13).

The four EGFR family members are among the ~60 receptor tyrosine kinases in the human genome (14). All receptor tyrosine kinases have an N-terminal extracellular ligand-binding module and a cytoplasmic tyrosine kinase catalytic domain linked by the single transmembrane helix. Despite this general similarity in their architecture, the extracellular modules of the principal subfamilies of these receptors are quite different (14). The transmembrane helices are also very divergent in sequence. Although the kinase domains of

the receptor tyrosine kinases are grouped together in one major branch of the kinome (15), they differ in their mechanisms of activation.

The analysis of the genomes of organisms that have diverged very early along the evolutionary branch leading to the metazoan lineage shows that the choanoflagellates, unicellular organisms that are not true metazoans, contain receptor tyrosine kinases, but not EGFR (16, 17). EGFR appears in organisms that are on the true metazoan lineage, such as the sponges (16, 17), and its appearance may be the result of a fusion of an extracellular module with a cytoplasmic tyrosine kinase that occurred independently of the fusion events that generated other receptor tyrosine kinases. That EGFR may have arisen independently of other receptor tyrosine kinases is also suggested by the fact that the sequence of the EGFR kinase domain is closer to that of Ack1, a nonreceptor tyrosine kinase (18), than to other receptor tyrosine kinases. The creation of receptors by independent fusion events has also led to the family of receptors for transforming growth factor beta (TGF $\beta$ ) and bone morphogen protein (BMP) (19, 20). Like receptor tyrosine kinases, these receptors have extracellular ligand binding domains that are connected to a cytoplasmic kinase domain by a single transmembrane helix, but their kinase domains have specificity for serine and threonine residues rather than for tyrosine (21).

Two gene duplication events led to the four EGFR family members present in vertebrates (22) (Figure 1C). Gene duplication often results in one of the two resulting proteins becoming degenerate, leading to functional differentiation among members of the same family (23), and this is seen in the EGFR family. Her2 has lost the capacity to bind ligands, and functions primarily by forming heterodimers with other family members (24). Her3 has lost robust kinase activity (25), and so also signals through heterodimerization (26).

The extracellular module that is characteristic of the EGFR family is a tandem duplication of two kinds of domains. The first and third domains (domains I and III) are compact, and have a  $\beta$ -helical fold. The second and fourth domains (domains II and IV) are elongated and contain several cysteine-rich elements. The extracellular module is followed by a transmembrane helix and an intracellular module with a juxtamembrane segment, a kinase domain, and a C-terminal tail (4-6) (Figure 1A). Ligand binding to the extracellular module promotes dimerization, resulting in auto-phosphorylation of tyrosine residues in the intracellular C-terminal tail. This leads to the recruitment of SH2 and PTB domain containing effector proteins to the phosphotyrosine residues and the triggering of downstream signaling cascades. Besides being a recruitment site for these effectors, the C-terminal tail has also been implicated in kinase regulation (27).

The formation of dimers or higher-order oligomers is an essential step for the activation of EGFR family members (28-30). Although ligand binding promotes dimerization, enhanced expression of the receptors can also drive dimerization through mass action, which is important in certain cancers. Heterodimerization between EGFR family members is also an important aspect of their function and, as mentioned earlier, is especially important for Her3 activation since the kinase domain of that receptor is impaired (25). Although Her2 has an active kinase domain, it does not readily form homodimers under normal conditions, and it is activated by heterodimerization with ligand-bound partners, particularly Her3. Her4 forms

homodimers, and can signal from the plasma membrane, but it is also cleaved by membrane-associated proteases upon activation, which causes translocation of the kinase domain from the plasma membrane to intracellular compartments (31, 32).

Here, we review the current state of knowledge of the structure of EGFR family members, and the insights that structural information provides about the molecular details of the regulation and activation of these receptors. We cover a rather narrow subset of the extensive literature on EGFR, focusing only on a subset of topics that have a direct bearing on the three-dimensional structure of the receptor.

## Structures of the extracellular module: the tethered monomer and the back-to-back dimer

The extracellular modules of all four EGFR family members have been crystallized and their structures determined with either ligand bound (for EGFR and Her4) or without ligand (for all four receptors), as well as in complex with antibodies or antibody mimics. Daniel Leahy has written a particularly insightful review on the EGFR family (33), which can hardly be bettered in terms of the clarity with which it describes the structural principles underlying the construction and function of the extracellular modules.

There are three key points that emerge from these structural studies on the extracellular modules (33). First, dimerization of EGFR has an unexpected feature, which is that the dimer interface is formed entirely by the receptor itself, with the ligand bound on the outside. Second, there are just two principal classes of conformations seen in all the crystal structures. One corresponds to an “extended” form that, whether in a monomeric or a dimeric state, resembles the conformation of one protomer in the active dimer. The other conformation is a folded over or “tethered” form, in which the dimerization element is buried within a monomer. Third, the restriction to two principal conformations of the extracellular modules arises because domains I and III form a relatively rigid unit, as do domains II and IV. As a consequence, the extracellular module appears to “click” into either the compact, tethered form or the extended form that is primed for dimerization (Figure 2).

Knowledge of the ligand-bound structure is based on the crystal structure of a construct of the extracellular module of human EGFR lacking almost the entire domain IV, in complex with TGF $\alpha$  (34), the structure of the entire extracellular module of human EGFR in complex with EGF (35, 36), and also on a crystal structure of the human HER4 extracellular region complexed with its ligand Neuregulin-1 $\beta$  (37). Dimerization of the extracellular module is mediated principally by domain II. A rigid loop is inserted into the cysteine-rich repeats of this domain. This “dimerization arm” interacts with the corresponding element in the dimer partner. The domain II dimerization arm is completely occluded by intramolecular interactions with domain IV in the monomeric tethered conformation. This conformation has been seen in the crystal structure of the isolated extracellular module of human EGFR, which includes an EGF molecule bound with very low affinity as well (38), in a crystal structure containing the entire extracellular region of human HER3 (39), and also in a crystal structure containing the entire extracellular region of human HER4 (40). Ligand binding results in a huge conformational change, a  $\sim 130^\circ$  rotation of domains I and II with respect

to domains III and IV, converting the extracellular module from a bent-over conformation to an extended one, generating a heart-shaped “back-to-back” dimer configuration, in which the ligand is nestled between domains I and III of each subunit of the dimer (Figure 2A).

The structure of the extracellular module of Her2 has also been determined, and it is unique compared to other family members because it adopts the extended conformation without ligand binding. This is seen in the crystal structure of the entire extracellular region of rat Her2 (13), and a truncated construct of human Her2 (41) (Figure 2B). Two key residues in the autoinhibitory domain IV contact region are not conserved (Gly 563 and His 565 of EGFR are replaced with Pro and Phe, respectively, in Her2), which could explain the absence of the autoinhibitory domain II–IV contact in Her2. The extracellular module of Her2 does not homodimerize in solution, perhaps because of subtle conformational differences between the extended extracellular module of Her2 and the extended conformation seen in dimeric EGFR.

The structure of the extracellular module of Her2 resembles that of *Drosophila melanogaster* EGFR (dEGFR). dEGFR is regulated by growth factor ligands, but a crystal structure shows that it, too, lacks the intramolecular tether seen in human EGFR (42). Instead, a distinct set of autoinhibitory interactions between domains I and III hold unliganded dEGFR in an inactive state, which could provide an alternative means of autoinhibition in the human Her2 extracellular module as well. A structure of a heterodimer including Her2 is not available at present.

The extracellular modules of EGFR family members are heavily glycosylated, containing nearly 40 kDa of sugar moieties (9). Twelve N-glycosylation sites have been identified in EGFR (43-45), and early studies using inhibitors of N-glycosylation have shown that the glycosylation of EGFR is important for its translocation to the cell surface and maturation (46). Several recent studies have investigated specific glycosylation sites in EGFR (47-49). For example, the N420D mutant of EGFR was found to be constitutively phosphorylated, and this ligand-independent activation was due to spontaneous oligomer formation (47). (We use a numbering system that does not count the 24 residue long signal peptide. An alternative numbering scheme has residue numbers increased by 24.) Removal of N-glycosylation at Asn 579 was shown to weaken the tethering interaction between domains II and IV, leading to a more relaxed extracellular module conformation and increased ligand binding affinity (48).

## Structures of the extracellular module in complex with therapeutic antibodies

EGFR and HER2 were among the very first receptors to be identified and associated with human tumors, and ever since then, these receptors have been targets for therapeutic intervention (7). Inhibitors of the EGFR family fall into two major classes: monoclonal antibodies that target the extracellular module of the receptor, and small molecules that target the intracellular tyrosine kinase domain. Among the FDA-approved antibodies, Cetuximab and Panitumumab target EGFR, while Pertuzumab and Trastuzumab target Her2.

Several crystal structures of therapeutic antibodies binding to EGFR and HER2 are now available (13, 50, 51) (Figure 3). Cetuximab binds to and blocks the ligand binding site on EGFR domain III, and also sterically prevents EGFR from extending into its active conformation (51). Pertuzumab binds directly to the HER2 dimerization arm and thereby blocks receptor dimerization and activation (50). Trastuzumab binds to domain IV of HER2, proximal to the membrane, but this binding does not block either dimerization or activation of HER2 (13). Instead, Trastuzumab inhibits the proteolytic cleavage of the HER2 extracellular module (52). In the plasma membrane, metalloproteases are known to cleave the extracellular domains of many proteins, including EGFR family members (53, 54). This cleavage leaves behind the transmembrane helix and the tyrosine kinase domain, and such a construct is constitutively active (as discussed later).

An important consideration in antibody therapeutics is whether an immune response will be elicited through recognition of the Fc region of the IgG molecule by the Fc receptor of effector cells to induce cytotoxicity, termed antibody-dependent cell-mediated cytotoxicity (55). Variation in the Fc region of antibodies influences the extent of cytotoxic effector responses, and has been shown to be an important component of trastuzumab action (55).

Nanobodies are small antigen-binding elements from the variable regions of camelid antibodies, which contain only a heavy chain. Nanobodies have been successfully developed to target a smaller concave EGFR epitope, which is inaccessible to the flatter and bigger monoclonal antibodies (56). In addition to a large amount of antibody engineering work on EGFR, alternative methods to block the extracellular modules have also been explored. These include the development of synthetic binding proteins (57) and RNA aptamers (58) that target the extracellular modules. These are valuable tools for investigations into the activation mechanism of these receptors (59).

## **Kinase activation through the formation of an asymmetric dimer of kinase domains**

The first view of the structure of the EGFR kinase domain came from crystallization of the unphosphorylated form with the cancer drug erlotinib, by scientists at Genentech (60). This structure revealed the canonical kinase fold in an active conformation (Figure 4A). Protein kinases contain a conserved Asp-Phe-Gly (DFG) motif at the base of the so-called activation loop or segment, a key regulatory element. The aspartate sidechain is flipped out of the catalytic center in the inactive conformations of many protein kinases (the “DFG out” conformation), preventing it from coordinating ATP, as it must for catalytic activity. In the erlotinib-EGFR complex, the DFG motif is in the “in” conformation, and the activation loop is open and properly configured to bind peptide substrate. Another important structural element of the kinase active site is helix  $\alpha C$ , in the N-lobe of the kinase, which packs closely against the rest of the kinase in the active conformation. In the erlotinib complex, helix  $\alpha C$  is in the canonical active conformation, rotated inwards towards the ATP binding site. This conformation of the helix presents a conserved glutamate sidechain (Glu 738) for an ion pairing interaction with a conserved lysine sidechain (Lys 721).

The active conformation of the unphosphorylated EGFR kinase domain was unexpected (60). Studies on the insulin receptor kinase domain had demonstrated a crucial connection between activation loop phosphorylation and the adoption of the active conformation in that kinase (61, 62). In addition, a large body of work on other kinases, particularly Ser/Thr kinases, had led to the assumption that activation loop phosphorylation is required for adoption of the active conformation.

The puzzle regarding the conformation of the EGFR kinase domain deepened when scientists at GlaxoSmithKline determined the structure of the unphosphorylated EGFR kinase domain bound to the cancer drug lapatinib, which revealed a conformation very similar to that of inactive Src-family kinases and Cdk (63). In this conformation helix  $\alpha C$  is swung outward from the N-lobe and the activation loop forms a short helix (Figure 4A). Although it is possible that the particular conformation of the kinase domain seen in crystal structures is induced by the drugs, this seems unlikely because of the high affinity of binding. Indeed, erlotinib has been shown to also bind to the inactive conformation of EGFR (64, 65). Mutational studies demonstrated that activation loop phosphorylation is not an absolute requirement for EGFR activation (66). What, then, triggers the switch from the inactive to the active conformation of the EGFR kinase domain?

A breakthrough in our understanding of how EGFR activates came with the discovery that two EGFR kinase domains can interact in an asymmetric fashion so that one, termed the 'activator', switches on the other, termed the 'receiver', in an allosteric mechanism that does not rely on transphosphorylation (67) (Figure 4B). The clue to the activation mechanism was actually hidden in the original structure of EGFR bound to erlotinib (60), which showed an extensive interface between the N-lobe of one kinase domain and the C-lobe of the other in the crystal lattice. The mechanism by which the kinase domain of EGFR is activated resembles the activation of cyclin-dependent kinase by cyclin. The C-lobe of the activator kinase domain plays the role of the cyclin, even though it is not related structurally to cyclins (68).

A key experiment showed that although the EGFR kinase domain has low activity in solution, its specific activity increases substantially when concentrated on lipid vesicles (67). This activation does not require the tyrosine in the activation loop. Instead, it requires the intact asymmetric dimerization interface, as revealed by mutagenesis of both the full-length EGFR in cells and the kinase domain *in vitro*. EGFR variants containing single mutations at the asymmetric dimer interface - such as the activator-impaired V924R mutant and the receiver-impaired I682Q mutant - do not respond to EGF binding. However, a combination of the activator-impaired and the receiver-impaired allows the formation of an intact activating interface between kinase domains.

The discovery of the asymmetric dimer provided a conceptual framework for understanding how the degenerate kinase domain of Her3 activates other members of the EGFR family, particularly Her2. Sequence alignments show that residues in Her3 that correspond to the C-lobe (activator) interface are very similar to the residues found at the corresponding location in other members in the family, while residues that correspond to the N-lobe (receiver) interface are divergent in Her3 (Figure 4C). These, and other, features of Her3 suggest that

its kinase domain functions as an activator for other EGFR family members. Experimental evidence for an allosteric activation mechanism that is driven by protein-protein interaction rather than by phosphorylation came from a study on Her2/Her3 heterodimers that showed, using mass spectrometry, that conformational changes correlated with activation do not require tyrosine phosphorylation in the activation loop (69).

The structure of the Her4 kinase domain in an active conformation confirmed the importance of the asymmetric dimer (70). The structures of the kinase domains in the activator and receiver positions in the Her4 asymmetric dimer are nearly superimposable on the structure of the asymmetric dimer formed by the kinase domains of EGFR. The structure of the Her2 kinase domain in a homodimeric configuration also revealed the formation of a very similar asymmetric dimer (71).

The potential significance of the asymmetric dimer was anticipated by Groenen *et al.*, who modeled the structure of the EGFR kinase domain based on that of the insulin receptor, and noticed that there are exposed hydrophobic patches in the distal surfaces of both the N- and the C-lobes of the modeled EGFR kinase domain (72). These authors speculated that the two lobes might interact to stabilize the active conformation, which is a remarkable insight considering that the structure of the EGFR kinase domain was determined only later on.

The hydrophobic surface on the N-lobe of the EGFR kinase domain is a feature that is specific to the active conformation, as the residues that form this surface are sequestered by conformational change to the inactive Src/Cdk conformation. The hydrophobic surface in the C-lobe of the activator, in contrast, is not in a region where conformational changes are observed in kinase domains, and it is likely to remain available as an interaction surface even in the inactive state. This is exploited by the feedback inhibitor Mig6. The crystal structure of a complex between the EGFR kinase domain and a fragment of Mig6 shows that Mig6 binds to the distal surface of the C-lobe of the kinase domain, and inhibition is achieved by blocking the activating dimer interface (73).

The formation of asymmetric dimers by the kinase domains are important for substrate presentation in case of FGF receptors (74), and heterodimerization of kinase domains is a critical step in the activation of RAF kinases (75). These dimers involve completely different interfaces than that seen in EGFR, and sequence comparisons suggest that the activation mechanism described here for the EGFR family is likely to be unique for this family.

Long-timescale molecular dynamics simulations have shown in atomic detail how the kinase domain of EGFR transitions between the active and inactive states (76). The simulations suggest that local unfolding, or “cracking,” at the hinge region between the N- and C-lobe of the kinase, is a necessary step in the transition, leading to a set of conformations in which there is room for a rearrangement of the activation loop. The intermediate conformations revealed by the simulations differ significantly from both the inactive and active compact structures determined crystallographically.

Among the EGFR family members, the kinase domain of Her3 is unique in that it lacks several conserved residues that are critical for kinase activity. The structure of the Her3



kinase domain, reported by Jura *et al.* (25) and also by Shi *et al.* (77), shows how sequence changes have resulted in the disruption of the canonical active configuration of kinases (Figure 4D). Key catalytic residues are missing, helix  $\alpha$ C is shortened and distorted, and the activation loop is unable to take on the canonical active configuration. Nevertheless, the activator surface is intact, and Jura *et al.* showed that Her3 can serve as an activator for EGFR (25). They also showed that the Her3 kinase domain has essentially no detectable kinase activity towards peptide substrates.

The ability of the Her3 kinase domain to activate that of Her2 allosterically has been demonstrated by using carboxyl group footprinting mass spectrometry to analyze HER2/HER3 kinase domain heterodimers (69). These experiments demonstrate that HER2 and HER3 kinase domains preferentially form asymmetric heterodimers, with HER3 and HER2 monomers occupying the activator and receiver kinase positions, respectively.

Shi *et al.* report the remarkable finding that Her3 has a very low, but detectable autophosphorylation rate when concentrated on vesicles (77). The authors used quantum mechanical calculations to delineate a reaction pathway for Her3-catalyzed phosphoryl transfer that does not require the conserved catalytic base and that can be catalyzed by the “inactive-like” conformation observed crystallographically. A modified model for Her3 signaling was proposed based on this low level of Her3 autophosphorylation activity. In this model, Her2 “preactivates” Her3 through transphosphorylation, and as phosphorylated Her3 demonstrates increased kinase activity, the authors argue that activated Her3 monomers can then form functional homodimers (78). Nevertheless, the specific activity of Her3 autophosphorylation is extremely low, and has been estimated to be  $\sim$ 1000-fold lower than that of EGFR (25). The functional role for Her3 autophosphorylation remains to be established.

## Oncogenic mutations in the kinase domain

The clinical importance of EGFR and Her2 in cancer development has resulted in substantial interest in oncogenic mutations in the kinase domains (7). One of the most common of these mutations, the L834R substitution, is located in the activation loop of the kinase domain. The crystal structure of the L834R mutant (79) shows that it is in the active conformation, and its oncogenic property has been attributed to its ability to lock the enzyme in the active conformation. This explains the increased sensitivity of this mutant to tyrosine kinase inhibitors (80) that may prefer the active conformation (60, 79), such as gefitinib and erlotinib.

A more recent study suggests that the oncogenicity of L834R is the result of enhancing dimerization, not necessarily just stabilizing an active conformation (81). Using long-timescale molecular dynamics simulations, Shan *et al.* show that the N-lobe dimerization interface of the kinase domain is intrinsically disordered and only becomes ordered upon dimerization. They demonstrate that the L834R mutation facilitates EGFR dimerization by suppressing this local disorder. Static light scattering, native gel analysis and enzyme assays indicated that this mutation causes abnormally high activity by promoting EGFR dimerization, rather than by just allowing activation without dimerization. Another

molecular dynamics study suggests a reconciliation of both views, and proposes that both the change in the relative stability of the active versus the inactive state and the suppression of disorder seem to play a role in the activation of EGFR variants with the L834R mutation (82).

Unfortunately, resistance to EGFR inhibitors often arises due to a second mutation, T766M. Thr 766 is the “gatekeeper” residue in the kinase domain, so called because the nature of the residue at this position is a key determinant of inhibitor specificity. Structural analysis of the T766M variant of EGFR suggests that it can accommodate inhibitors that target the active state (83). This is in agreement with molecular dynamics simulations, which suggest that the methionine interacts with hydrophobic residues surrounding the active site to stabilize a compact, active conformation (82). An unexpected finding is that the T766M mutation in EGFR increases the affinity of the oncogenic L834R variant for ATP by more than an order of magnitude (83). The increased affinity for ATP relative to EGFR inhibitors is likely the primary mechanism by which the T766M mutant confers drug resistance.

Red Brewer *et al.* demonstrated a so-called “super-acceptor” activity of these mutant kinases, especially the L834R/T766M double mutant (84). EGFR kinase domains are thought to assume the activator or receiver roles in a random manner, but the EGFR mutants found in lung cancer preferentially assume the receiver position in the presence of wild-type EGFR or Her2, leading to hyperphosphorylation of the wild-type activator. The crystal structure of the T766M/L834R double mutant shows that the kinase domain adopts an active conformation, with an intact asymmetric dimer interface (84) (Figure 4E). Red Brewer *et al.* argue that the double mutant adopts the receiver position because the energetic cost of inducing the active conformation in the L834R/T766M mutant is lower relative to wild-type receptors. Consequently, in a mixed population of wild-type and oncogenic EGFR, the mutants preferentially assume the active, receiver position. Thus, if wild-type receptors are expressed together with mutant EGFR, this will increase the net activity of the mutant, implying a critical role for wild-type EGFRs in tumorigenesis alongside their mutated counterparts.

## The juxtamembrane region as an activating segment

The intracellular juxtamembrane segment is known to play crucial regulatory roles in several receptor kinases. Structures of the type I TGF $\beta$  receptor kinase domain, a Ser/Thr kinase, showed that the unphosphorylated juxtamembrane segment interacts with helix  $\alpha$ C, holding it in an inactive conformation that is further buttressed by FKBP12 (85). This autoinhibition is released by phosphorylation of serine residues within the juxtamembrane segment. This mechanism bears superficial resemblance to that seen in Ephrin receptors, where the juxtamembrane segment also holds the kinase in an inactive conformation by interacting with helix  $\alpha$ C (86). This theme is repeated in kinases such as FLT3 (87) and c-Kit (88). Given these findings, it came as a surprise that deletion of the juxtamembrane region results in loss of phosphorylation in the EGFR tail (89), suggesting a crucial role for this segment in activation of the kinase.

The EGFR juxtamembrane segment consists of two major portions: JM-A (residues 645–663) and JM-B (residues 664–682). The role of the JM-B segment was worked out independently and simultaneously by two groups. Red Brewer *et al.* (90) determined the structure of a construct of EGFR that included the kinase domain and the juxtamembrane segment. Jura *et al.* (91) reinterpreted crystal lattice contacts in a structure of the Her4 kinase domain that included the JM-B segment (92). In both structures, the JM-B segment forms a clamp or a latch that reaches across from the N-lobe of the receiver kinase domain in an asymmetric dimer to engage the C-lobe of the activator kinase domain (Figure 5A).

Red Brewer *et al.* (90) performed alanine-scanning mutagenesis and showed that mutations in the C-terminal 19 residues of the juxtamembrane segment abolish EGFR activation. Phosphorylation of T654 and T669 destabilizes, while the V665M lung cancer mutation stabilizes, the active dimer conformation by reducing and increasing the strength of the juxtamembrane latch interaction, respectively. By using *in vitro* kinase assays, Jura *et al.* (91) demonstrated that the juxtamembrane region dimerizes and activates the EGFR kinase, and that it is required on both the activator and the receiver. By designing several mutations in the juxtamembrane segment, based on the Her4 structure, they provide evidence that the JM-B segment latches the activated kinase domain to the activator. They also present the structure of an alternative, symmetric and inactive EGFR kinase dimer, in which the formation of the activating juxtamembrane latch is prevented by the C-terminal tail, suggesting a means to prevent ligand-independent activation.

In the structure of the EGFR kinase domain determined by Red Brewer *et al.* (90) the JM-A segment packs against another kinase domain, but this crystal contact is unlikely to be maintained in the intact receptor at the plasma membrane. Based on results obtained by NMR, Jura *et al.* (91) speculated that the JM-A segments of the two kinases instead form an antiparallel helical dimer in the active configuration of the receptor.

Replacement of the juxtamembrane segment in the context of the full-length receptor with an unstructured linker abolishes phosphorylation of EGFR, without measurable effects on receptor dimerization or ligand binding, which supports the critical role of the juxtamembrane region in the formation of the asymmetric dimer (93). Scheck *et al.* demonstrate the formation of an antiparallel coiled-coil within JM-A by fluorescence spectroscopy (94). They propose that this conformational transition is functionally coupled to receptor activation by EGF, while TGF $\alpha$  binding is communicated to the intracellular domains through formation of an alternative helical interface, suggesting that the juxtamembrane segment may differentially relay the signal initiated by binding of different ligands.

Apart from serving as a latch between asymmetric kinase dimers, it has been suggested that the juxtamembrane interacts with negatively charged lipids in the membrane and also with calmodulin (95), that it mediates feedback signals through threonine phosphorylation (96) and also that it plays a role in sorting and recycling (97). The juxtamembrane segment has also been implicated in negative cooperativity in ligand binding by EGFR, which we discuss later.

## Transmembrane coupling

The transmembrane segment of EGFR is a 24 residue long single  $\alpha$ -helix. The structure of the transmembrane segment of the Her2 homodimer (98), and later that of the EGFR-Her2 heterodimer (99) in lipid bicelles has been studied by NMR. In both cases, the transmembrane segments associate through N-terminal GxxxG-like motifs. These motifs have been identified as general dimerization motifs for transmembrane helices based on the glycophorin A transmembrane segment (100, 101), and they have been implicated in the self-association of transmembrane helices in EGFR family members (102). The observed dimeric structures of the transmembrane helices in lipid bicelles provide an explanation for the effect of some oncogenic mutations, such as the I655V and the V659E substitutions in the transmembrane helix of Her2. Both residues participate in stabilizing dimerization through the N-terminal GxxxG-like motifs (98, 99). The I655V mutation may stabilize homodimerization through the replacement of a large side chain by a smaller one, and the V659E substitution may do so because of intermolecular hydrogen bonding of the glutamate sidechain.

The structure of the transmembrane and the cytoplasmic juxtamembrane segment of EGFR in lipid bicelles has been determined by NMR, aided by molecular dynamics simulations (103, 104) (Figure 5B). This structure explained how the configuration of the transmembrane helices in the EGFR dimer can couple to the conformation of the juxtamembrane segments, and how these conformations are compatible with the asymmetric kinase dimer. The structure of the transmembrane segment reveals a helical dimer consistent with the Her2 structures of Bocharov *et al.* (98) and Mineev *et al.* (99). The C-terminal ends of the transmembrane helices are separated by  $\sim 20$  Å, which provides the appropriate spacing for an antiparallel interaction between the JM-A helices. This interface is formed by LRLL motifs in the juxtamembrane segment. Mutating all four of the small residues in the N-terminal dimerization interface in the transmembrane helix to isoleucine (4I, T624I/G625I/G628I/A629I) results in significant inhibition of EGFR in cellular assays, providing experimental evidence for the importance of the N-terminal association between transmembrane helices in receptor activation (103).

The transmembrane helices of EGFR and Her2 contain a second dimerization motif towards their C-terminal ends. Fleishman *et al.* have speculated that the presence of both N-terminal and C-terminal dimerization motifs generates a transmembrane switch, in which the dimer of transmembrane helices toggles between two configurations, with the N-terminal (extracellular) ends close together in one, and the C-terminal (intracellular) ends close together in the other (105). The authors propose that these configurations correspond to the active and inactive states of the receptor, respectively. Using NMR and molecular dynamics simulations, Endres *et al.* and Arkhipov *et al.* show that stabilizing interactions through the C-terminal dimerization motif, by an I640E mutation, leads to the disruption of the N-terminal interface between the transmembrane helices and also disruption of the antiparallel JM-A interaction (103, 104). Using cell-based assays, they demonstrate that the I640E mutation in the intact receptor results in impairment of EGF-dependent activation at low surface densities. The two conserved GxxxG-like motifs have also been suggested, by others, to play a role in mediating and/or stabilizing homo- and heterodimers (106, 107).

According to the model proposed by Endres *et al.* and Arkhipov *et al.* (103, 104), the extracellular modules in ligand-bound dimers assume a configuration favoring dimerization of the transmembrane helices near their N terminal ends, dimerization of the juxtamembrane segments, and formation of asymmetric kinase dimers. In ligand-free dimers, by holding apart the N terminal ends of the transmembrane helices, the extracellular modules instead favor C-terminal dimerization of the transmembrane helices, juxtamembrane segment dissociation and membrane burial, and formation of symmetric, inactive kinase dimers. Our current understanding of what the active state of the full-length receptor might look like is depicted in Figure 7A.

Analysis of the monomer and dimer forms of EGFR by chemical and disulfide cross-linking suggested that EGFR has a preformed dimeric structure without bound ligand, and that ligand binding induces rotation of the extracellular juxtamembrane region, hence the transmembrane segment, which reorients the cytoplasmic module and results in activation of the kinase domains (108). Using an elegant protein engineering approach Bell *et al.* demonstrate similar rotational coupling in Her2 (109). They designed a series of transmembrane helix mutants that sequentially move two Glu residues, within a simplified transmembrane segment, across the entire transmembrane region. The movement of this dimerization motif is expected to rotate the kinase domains  $\sim 103^\circ$  per residue. Rotation of this interface does not affect dimerization, but leads to a periodic oscillation in kinase activation.

Lu *et al.* have argued for a more passive role played by the transmembrane helix than is indicated by the preceding discussion (36). Analysis of disulfide cross-linking experiments indicate that EGF-induced dimerization of the transmembrane helices involves an interface less extensive than that found in glycophorin A and integrin, two receptors that dimerize in the absence of activation. Systematic mutagenesis of residues in the transmembrane helix of EGFR to leucine and phenylalanine shows that no single mutation disrupts transmembrane signaling. However, as shown by Das *et al.* (103), multiple mutations are required to disrupt this interface, which supports the model of active transmembrane coupling.

## Negative cooperativity in ligand binding to EGFR

A puzzling aspect of the binding of EGF to EGFR in cellular assays is that the Scatchard plot is not linear, as would be expected for independent binding sites on the receptor with no cooperativity. Instead, the Scatchard plot is curved in a concave-up manner. This feature of the binding isotherm was interpreted in terms of two populations of EGFR in cells (110). This interpretation suggests that a small population of the receptors ( $\sim 10\%$ ) have very high affinity for the ligand ( $K_d \sim 50$  pM), while the majority of receptors have much lower affinity ( $K_d \sim 3$  nM). It was proposed that EGF binds with high affinity to the dimeric form of the receptor, but with low affinity to the monomeric form (28). Another interpretation is that spatial segregation of populations of the receptor underlies the heterogeneity of binding (111, 112).

The thinking in the field has now shifted to considering the concave-up Scatchard plot as being due instead to negative cooperativity in dimeric receptors. Pike and colleagues have

made extensive measurements of EGF binding to EGFR-bearing cells. They generated stable cell lines expressing EGFR under an inducible promoter, which allowed control of the level of EGFR expression. Using this system, they measured the binding of radiolabeled EGF to these cells as a function of EGFR expression level. For the wild-type receptor, the binding isotherms shift from left to right with increasing EGFR density, that is, the apparent binding becomes weaker at higher EGFR densities on the cell surface (113). Modeling of the data suggests that the affinity of EGF for the second site on the EGFR dimer (2.9 nM) is substantially less than the affinity for the first site (190 pM), i.e. the dimer exhibits negative cooperativity.

Pike and colleagues also obtained information on the structural requirements of negative cooperativity by performing binding analyses on cells expressing increasing levels of various mutant forms of EGFR. These findings link the extracellular juxtamembrane region, and particularly the region responsible for the tethering interaction in domain IV (residues 561-585), to negative cooperativity (114). The intracellular juxtamembrane portion of the receptor was also shown to be involved in the generation of negative cooperativity, since deletion of the kinase domain as well as the C-terminal tail yielded a receptor with negative cooperativity, whereas deletion of the entire intracellular module led to a receptor that showed no cooperativity (115).

By performing a more detailed analysis of various intracellular juxtamembrane mutants, Pike and colleagues have linked the stepwise binding of two ligands to kinase activation (116). In this model, the binding of EGF to the first site on the dimer induces the formation of one asymmetric kinase dimer, then the binding of EGF to the second site is required to disrupt the initial asymmetric dimer and allow the formation of the reciprocal asymmetric dimer. Thus, some of the energy of binding to the second site is used to reorient the first asymmetric dimer, leading to a lower binding affinity and the observed negative cooperativity. Implications of their model for negative cooperativity have been studied using various assays (117-120).

One of the difficulties of explaining negative cooperativity in human EGFR is that the isolated extracellular module does not show negative cooperativity *in vitro*. In contrast, the isolated extracellular module of the *Drosophila* receptor (dEGFR) exhibits negative cooperativity in binding to its ligand, Spitz, when the binding is analyzed *in vitro* using purified proteins. A breakthrough in understanding occurred with the structural and biophysical analysis of dEGFR by Lemmon and co-workers. The crystal structure of a singly-liganded asymmetric extracellular dimer of dEGFR reveals the structural basis for negative cooperativity in that receptor (121) (Figure 6).

Before interacting with Spitz, the ligand-binding sites are identical in pre-formed dimers of dEGFR. The first (highest-affinity) binding event yields the singly ligated, asymmetric dimer, because Spitz “wedges” itself between domains I and III in one subunit of the dimer, and pushes them apart. This distorts domain II and forces a substantial reorientation of the dimerization arm, and allows formation of a more extensive, asymmetric dimer interface. In this asymmetric dEGFR dimer, domain II in the unoccupied receptor is structurally restrained, and can no longer bend to allow Spitz to wedge itself fully into the unoccupied

ligand-binding site without disrupting the extensive asymmetric interface. This, binding of Spitz to the second site will be weaker, leading to negative cooperativity.

Although structures of human EGFR with only one ligand bound have not been determined, Tynan *et al.* suggest that in humans, such asymmetry is brought forth by interactions with the plasma membrane (122). FRET imaging reveals that a high-affinity ligand-binding human EGFR conformation is consistent with the extracellular region aligned flat on the plasma membrane, in such a way that the second binding site is occluded. Molecular dynamics simulations also suggest that asymmetrical interactions with the membrane may be responsible for this negative cooperativity (123). Such simulations further indicate that heterodimers formed by extracellular modules of Her2 and other EGFR family members assume an asymmetric conformation similar to that of *Drosophila* EGFR dimers (124).

### Studies on the full-length receptor

Two groups have succeeded in purifying nearly full-length recombinant EGFR (lacking most of the C-terminal tail) in detergent micelles (125, 126). This approach allows the biochemical characterization of ligand-induced activation of the intact receptor, previously only feasible by indirect methods in cells. Wang *et al.* studied the two most common non-small cell lung cancer mutations in EGFR, L834R and a deletion mutant, 722–726, and they found both to be at least as active as the EGF-bound receptor, and that activity was still strongly coupled to asymmetric kinase dimer formation (127). Previous studies of the isolated kinase domains had indicated that the L834R mutation was sufficient to promote full constitutive activity, and the authors claim that such discrepancies underscore the limitations of attempting to understand the regulation of this complex receptor by studying isolated fragments.

Negative-stain electron microscopy has been used to visualize the coupling between the extracellular and the intracellular modules of EGFR at low resolution (128, 129). The unliganded receptor adopts a monomeric and tethered extracellular module conformation. Intriguingly, the EGF-bound receptor adopts two distinct dimer conformations: a rod-like kinase domain structure consistent with the asymmetric dimer and a more globular intracellular region consistent with a more symmetric association. These two types of dimers coexist with a monomeric form. Inhibitors that stabilize the active or inactive conformation of the kinase active site, as well as various mutations, have been shown to shift the equilibrium among the three observed forms. The coupling of one conformation of an activated receptor extracellular module to multiple kinase-domain arrangements suggests that the linkage between the extra- and intracellular regions of EGFR is unexpectedly flexible. It is important to note that these experiments have been carried out on detergent solubilized EGFR, which could substantially alter the behavior of the receptor when compared to that in lipid bilayers.

## The oligomeric state of the receptor: ligand-independent dimers and higher order oligomers

A large number of studies suggest that the classical model of unliganded monomer to EGF-bound dimer transition might not be so simple (Figure 7B). Several groups have been addressing the question of what the resting state of the receptor is, whether it is predominantly a monomer or rather an unliganded dimer (108, 130-136). Very briefly, the main conclusion arising from these reports is that in the basal state EGFR exists predominantly as monomer, while it is in an equilibrium with ligand-independent dimers. Clustering before ligand binding is rare for EGFR, but might be a characteristic of Her2. The fraction of EGFR that dimerizes before ligand binding depends primarily on receptor surface densities and the cell types used.

In a related question regarding the oligomeric state of EGFR, Burgess and colleagues argue that a dimer-tetramer transition is required for receptor activation (137-139). Their data are consistent with a significant fraction of liganded EGFR tetramers, and they propose that such tetramers comprise two dimers juxtaposed in a side-by-side (or slightly staggered) arrangement (137), and suggest that tetrameric EGFR is the main signaling unit (138, 139).

### Concluding remarks

Structural analysis of the extracellular and the intracellular modules of EGFR have provided invaluable insight into how this receptor, central in cancer development, functions. Crystallography combined with biochemical, biophysical and cell biology experiments have revealed the detailed mechanism of how ligand binding leads to conformational change, and how the extracellular module dimerizes through an interface completely mediated by the receptor itself. These approaches demonstrated how the EGFR kinase domains activate through an allosteric mechanism involving asymmetric kinase dimers. NMR provided insight into transmembrane-juxtamembrane coupling, and we now understand the structural basis for negative cooperativity in ligand binding in *Drosophila* EGFR.

Despite all these advances, we are still lacking a complete understanding of how the full-length receptor functions. This is mainly due to the technical difficulty of crystallizing single-pass transmembrane proteins, of which few, if any, structures are currently available. New avenues in membrane protein crystallography and electron microscopy, as well as long-timescale molecular dynamics simulations are promising directions that might provide a breakthrough in the field. There are also several mechanistic questions that cannot be tackled from a purely structural perspective, and for which the integration of sophisticated cell biology approaches will be necessary. These include understanding the oligomerization state of the receptor, both in the resting state and as the active signaling unit, understanding the precise mechanism of tail phosphorylation, and whether the asymmetry present at the kinase level is translated into differential phosphorylation of the activator and the receiver tail. We believe that these efforts will ultimately enable us to fully understand the complex regulation of this receptor family, knowledge that is essential for improving cancer therapeutics directed against this class of receptors.



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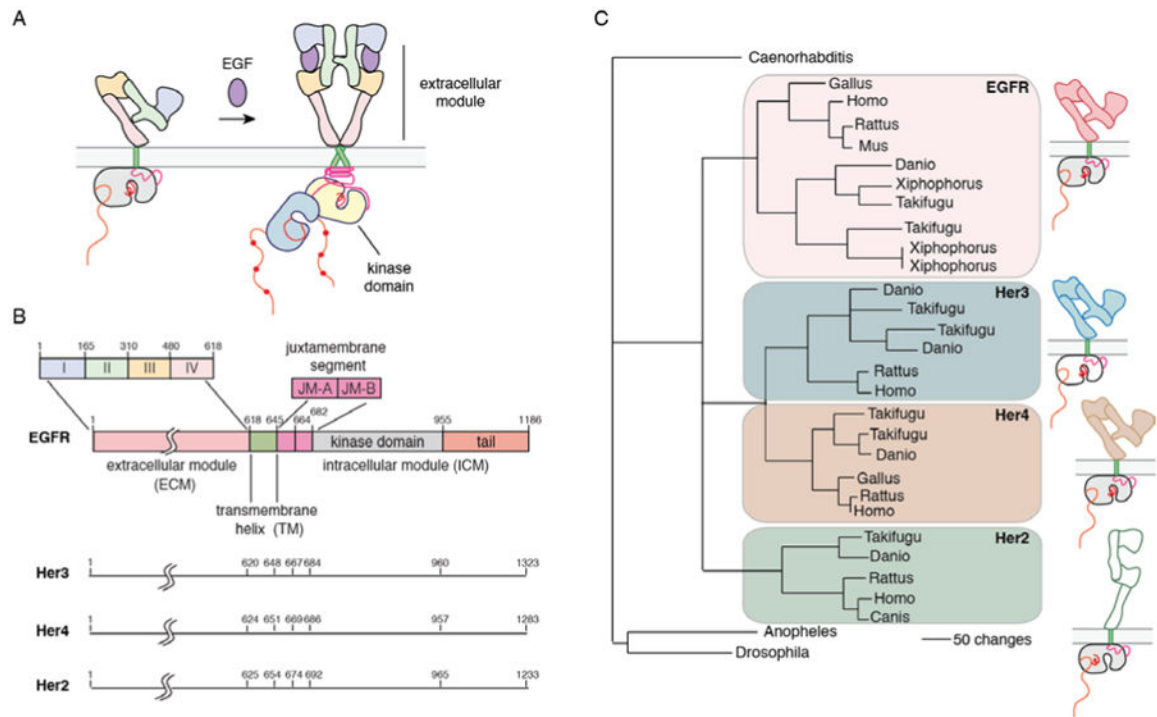
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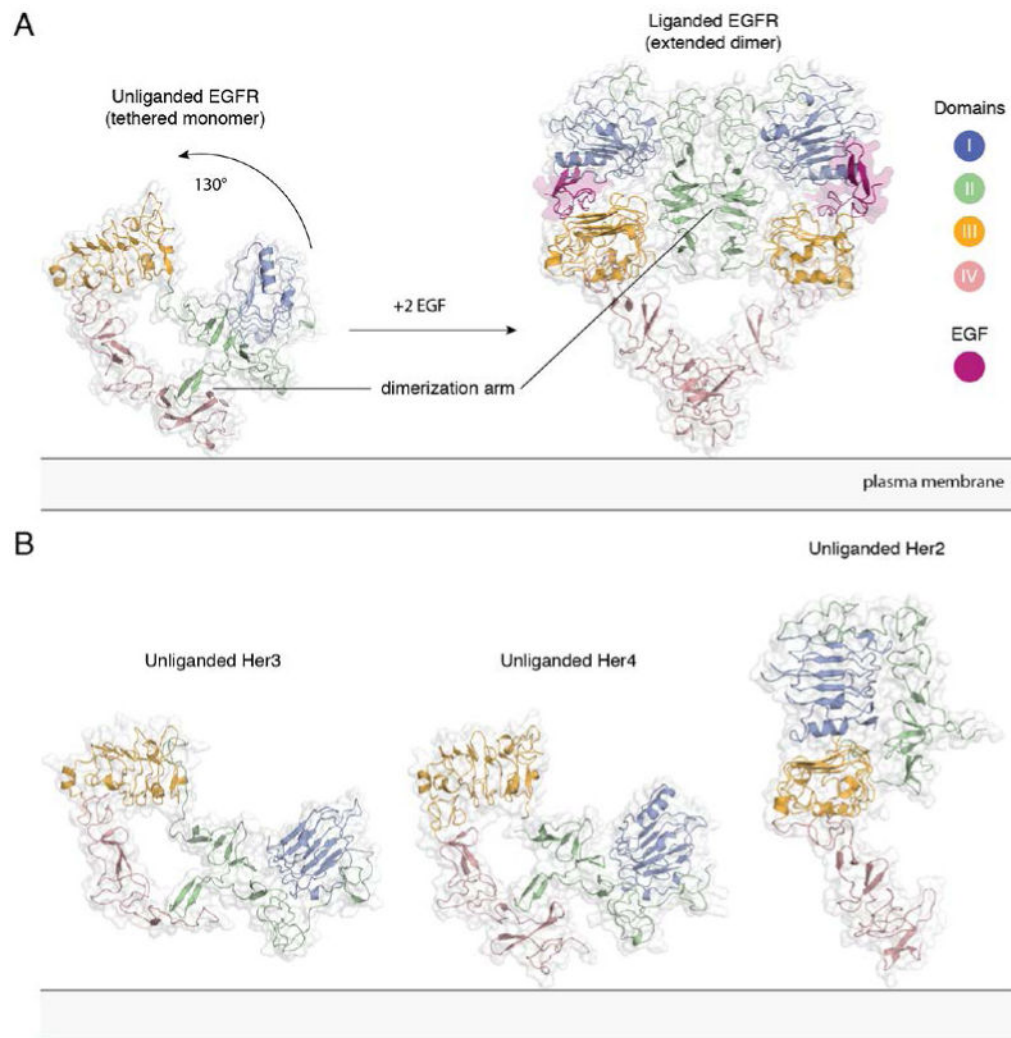
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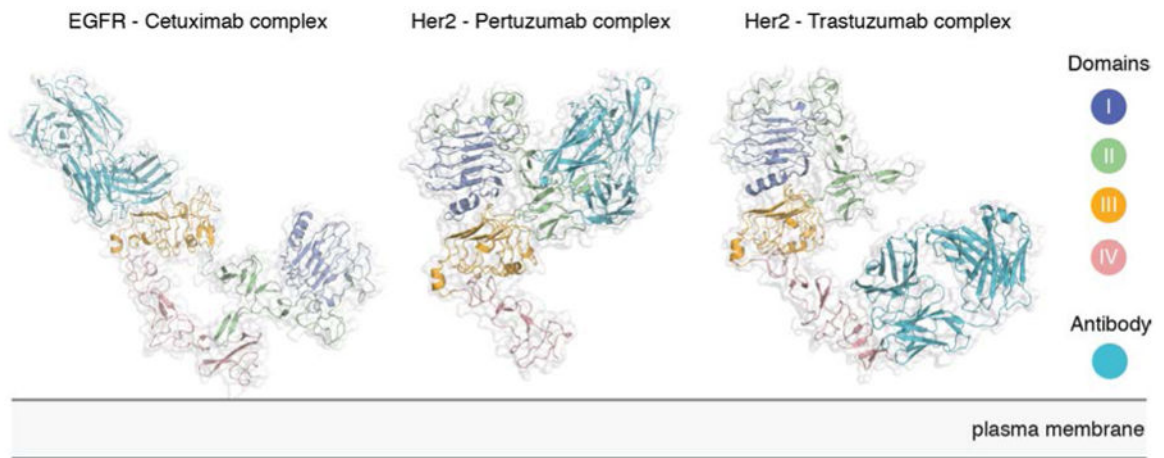
**Figure 1. Model for activation, domain architecture and evolutionary lineage of EGFR family members**

A) Model for activation of EGFR family members. B) Domain boundaries in EGFR family members. C) Dendrogram of the evolution of EGFR family members (modified from (140)). Her2 does not have a known ligand (denoted by an open box), and the kinase domain of Her3 is defunctional (denoted by an open box).



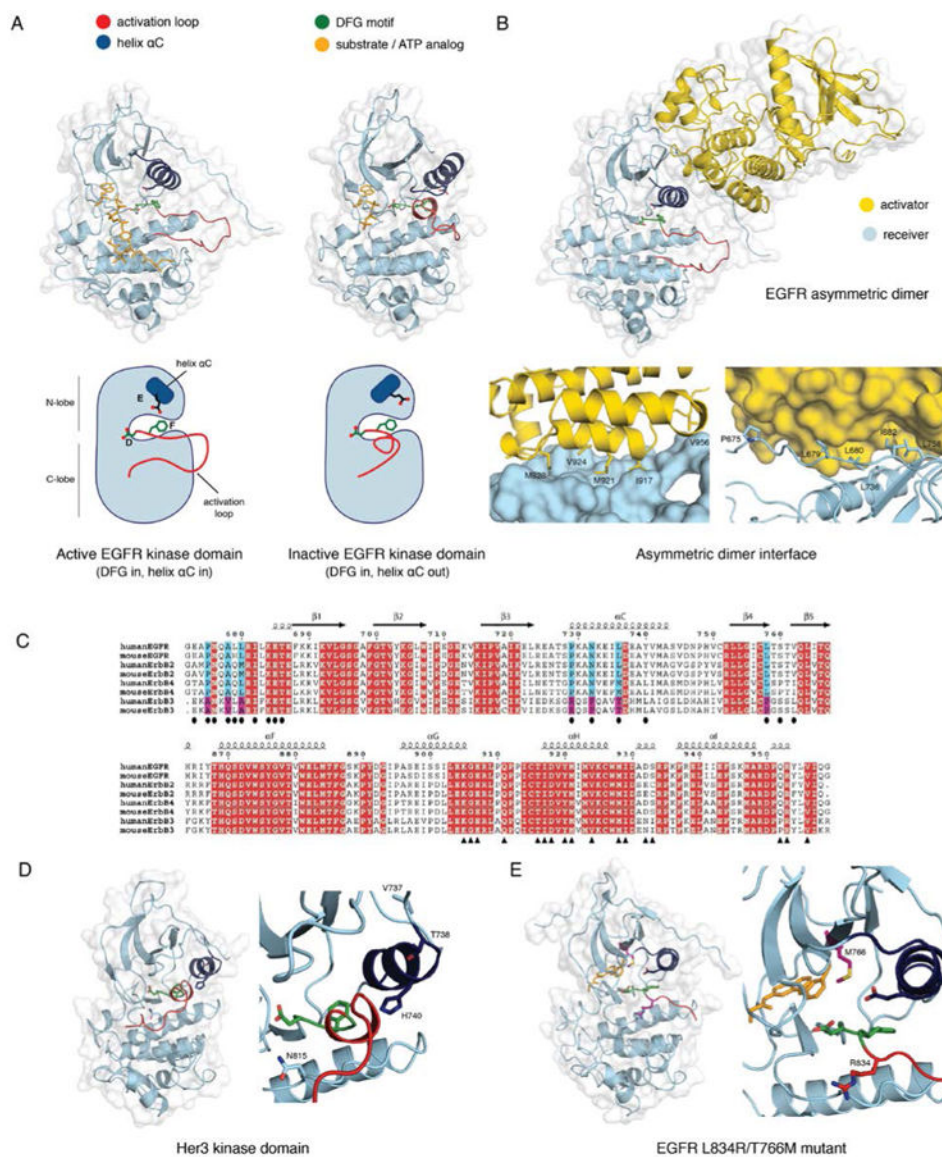
**Figure 2. Extracellular module structures for the EGFR family members**

A) The conformational change induced by ligand binding. The tethered conformation of EGFR (left, PDB ID 1NQL, EGF bound at low pH was removed for clarity) rearranges to the extended conformation of EGFR (right, PDB ID 3NJP) upon ligand binding. B) Unliganded Her3 (PDB ID 1M6B) and Her4 (PDB ID 2AHX) can adopt a tethered conformation similar to EGFR, while Her2 (PDB ID 1N8H) is in an extended conformation, even in the absence of ligand.



**Figure 3. Therapeutic antibodies target EGFR and Her2 in versatile ways**

Structure of the EGFR-Cetuximab (PDB ID 1YY9), Her2-Pertuzumab (PDB ID 1S78), and Her2-Trastuzumab (PDB ID 1N8Z) complexes.



#### Figure 4. Kinase domain structures of the EGFR family members

A) The active (PDB ID 2GS6) and the inactive (PDB ID 2GS7) conformation of the EGFR kinase domain. Helix  $\alpha$ C is colored blue, the DFG motif green, and the activation loop red. The active structure has an ATP analog - peptide conjugate bound, and the inactive structure has AMP-PNP bound (colored yellow). B) The asymmetric dimer of the EGFR kinase domain (PDB ID 2GS6). The activator kinase is colored yellow, and the receiver (enzymatically active) kinase is colored blue. Residue contacts important on the activator and the receiver are highlighted. C) A sequence alignment of the EGFR family members from human and mouse. Two regions containing the residues involved in the N- and C-lobe faces of the dimer interface are shown in the upper and lower panels, respectively. Identical residues are colored in red. Residues in the N- and C-lobe faces of the dimer interface are denoted by ovals and triangles, respectively. Blue and magenta highlight residues in the dimer interface that are conserved among EGFR, Her2, and Her4 but not in Her3. D)

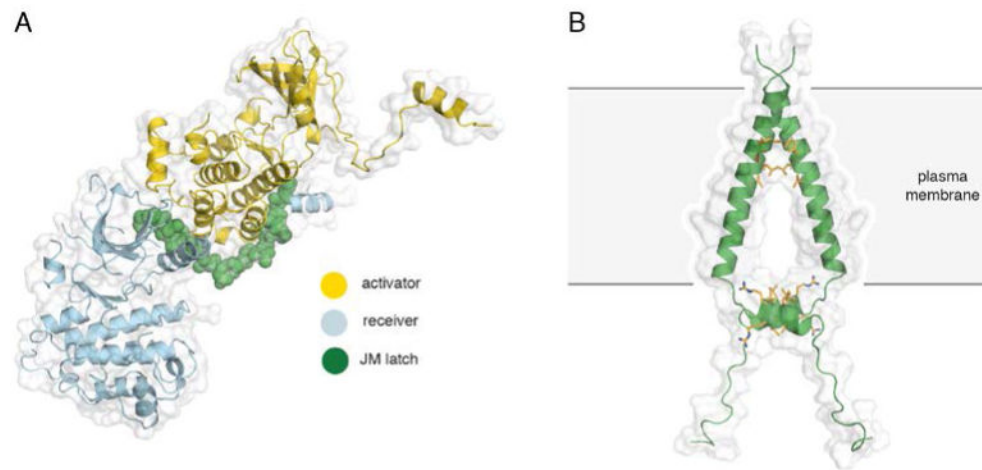
Structure of the Her3 kinase domain (PDB ID 3KEX) with a zoom-in of the active site, and residues resulting in a catalytically impaired kinase are highlighted. The catalytic Asp 813 in EGFR is replaced by Asn 815 in Her3, the critical Glu 738 in helix  $\alpha$ C of EGFR is replaced with His 740 in Her3, and Val 737 and Thr 738 in Her3 stabilize the inactive conformation of helix  $\alpha$ C. E) A structure of the L834R/T766M double mutant EGFR kinase domain (PDB ID 4LL0) with a zoom-in of the active site. The bound inhibitor, PD168393, is colored yellow, and the mutations are highlighted.

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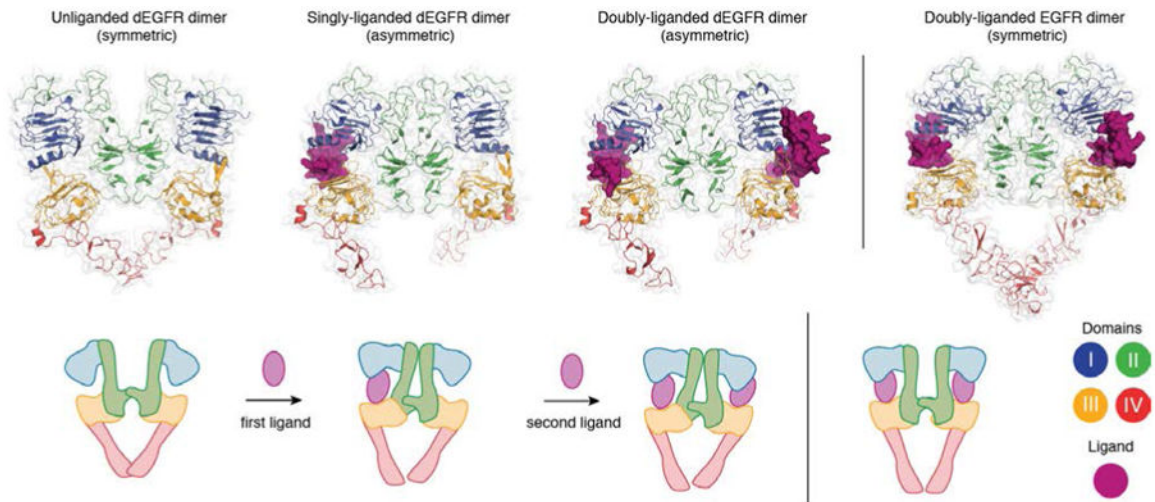
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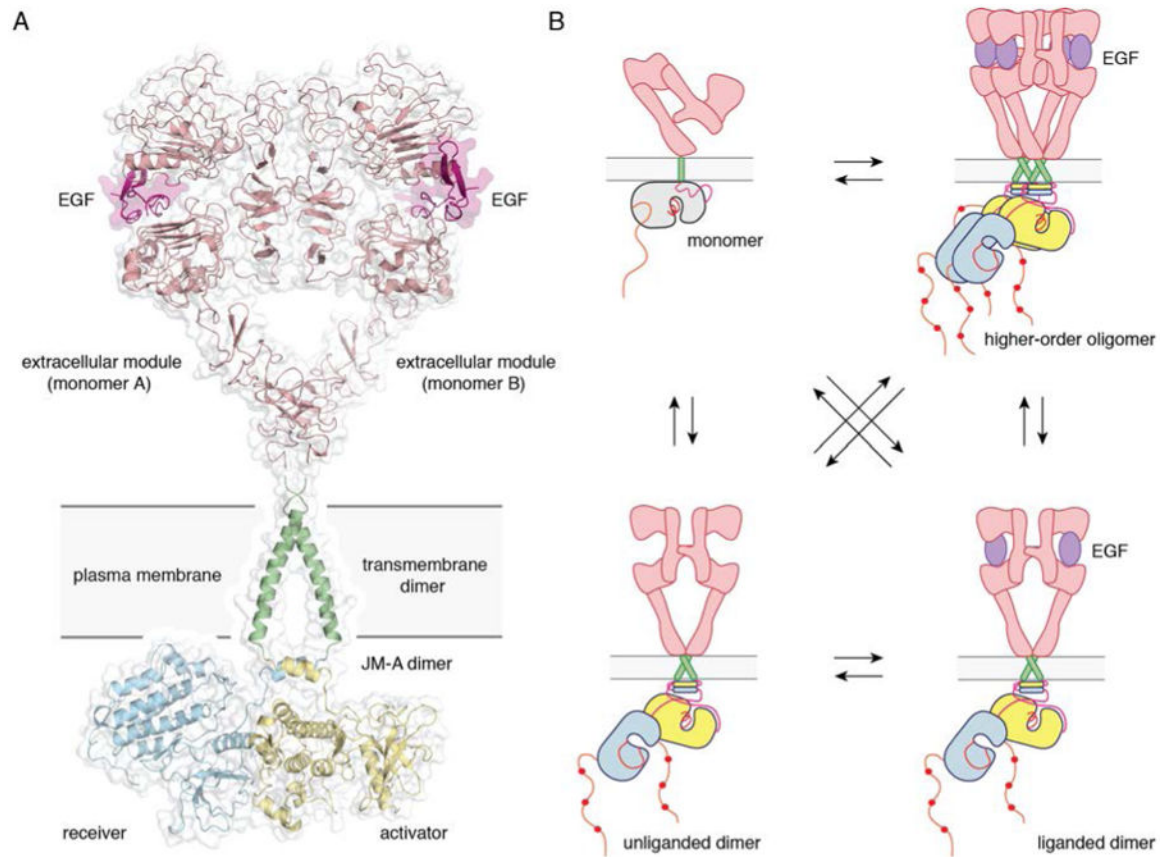
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**Figure 5. Structures of the juxtamembrane latch and the transmembrane helices of EGFR**  
A) The asymmetric dimer of EGFR with the juxtamembrane latch highlighted in green (PDB ID 3G0P). B) An NMR structure of the transmembrane and JM-A helices of EGFR in lipid bicelles (PDB ID 2M20). Interactions between the N-terminal GxxxG-like motifs on the transmembrane segments and the LRLL motifs of the juxtamembrane segments are highlighted in yellow.



**Figure 6. Structural basis for negative cooperativity in ligand binding to *Drosophila*. EGFR**  
 The unliganded (PDB ID 3I2T), singly-liganded (PDB ID 3LTG) and doubly-liganded (PDB ID 3LTF) dEGFR dimer. The structure of the doubly liganded human EGFR dimer (PDB ID 3NJP) is shown on the right.



**Figure 7. Full-length EGFR and its oligomerization states**

A) A proposed composite model of full-length EGFR based on the structures of individual modules (PDB ID 3NJP for the extracellular module, PDB ID 2M20 for the transmembrane - JM-A helices, and PDB ID 2GS6 for the kinase domains). B) Schematics for possible oligomerization states of EGFR in cells.