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## The Habenulo-Interpeduncular pathway in nicotine aversion and withdrawal

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### Abstract

Progress has been made over the last decade in our understanding of the brain areas and circuits involved in nicotine reward and withdrawal, leading to models of addiction that assign different addictive behaviors to distinct, yet overlapping, neural circuits (Koob and Volkow, 2010; Lobo and Nestler, 2011; Tuesta et al., 2011; Volkow et al., 2011). Recently the habenulo-interpeduncular (Hb-IPN) midbrain pathway has re-emerged as a new critical crossroad that influences the brain response to nicotine. This brain area is particularly enriched in nicotinic acetylcholine receptor (nAChR) subunits  $\alpha 5$ ,  $\alpha 3$  and  $\beta 4$  encoded by the *CHRNA5-A3-B4* gene cluster, which has been associated with vulnerability to tobacco dependence in human genetics studies. This finding, together with studies in mice involving deletion and replacement of nAChR subunits, and investigations of the circuitry, cell types and electrophysiological properties, have begun to identify the molecular mechanisms that take place in the MHb-IPN and underlie critical aspects of nicotine dependence. In the current review we describe the anatomical and functional connections of the MHb-IPN system, as well as the contribution of specific nAChRs subtypes in nicotine-mediated behaviors. Finally, we discuss the specific electrophysiological properties of MHb-IPN neuronal populations and how nicotine exposure alters their cellular physiology, highlighting the unique role of the MHb-IPN in the context of nicotine aversion and withdrawal.

### Keywords

Nicotine; Habenula; Interpeduncular Nucleus; Pacemaking; nAChR

## 1. Introduction

The habenula is a small bilateral structure located in the epithalamic region of the diencephalon. Together with its associated afferent and efferent tracts, it forms part of the dorsal diencephalic conduction system, which connects the limbic forebrain with nuclei in

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#### Conflict of Interest Statement:

The authors declare no conflicts of interest.

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the midbrain and hindbrain (Sutherland, 1982). The habenula is phylogenetically highly conserved across vertebrates, and serves to connect more recently evolved structures involved in executive function with ancient brain areas that process pain and reward. In fish, amphibian, and reptiles, the right and left sides of the habenula exhibit a remarkable asymmetry in size, molecular properties, connectivity and associated behaviors (Aizawa et al., 2005; Concha and Wilson, 2001; Dadda et al., 2010). In mammals, the habenula is symmetric and is located on the posterior medial end of the dorsal thalamus, adjacent to the third ventricle. Highlighting its ancient origin, a recent study of human fetuses demonstrated that the habenulo-interpeduncular (Hb-IPN) tract is one of the first major fiber tracts to form in the developing brain, present as early as eight weeks gestation (Cho et al., 2014).

The habenula is subdivided into the medial habenula (MHb) and the lateral habenula (LHb) (Andres et al., 1999), each having different anatomical connections and serving different functions (Herkenham and Nauta, 1977, 1979; Klemm, 2004; Lecourtier and Kelly, 2007). In this review, we will discuss the current understanding of the MHb and its role in nicotine dependence. The MHb receives input mainly from the septum through the stria medularis and projects to the interpeduncular nucleus (IPN) through the fasciculus retroflexus (FR) (Herkenham and Nauta, 1979; Qin and Luo, 2009; Swanson and Cowan, 1979) (Fig. 1). Besides the peculiar anatomical traits of the MHb-IPN pathway, such as the remarkable high density of cell bodies in the MHb, the long axons that bundle together to form the FR and terminate in an ipsilateral manner in the IPN (Fig. 2A), the MHb-IPN tract also highly expresses a unique subset of nicotinic acetylcholine receptor (nAChR) subunits, the  $\alpha 5$ ,  $\alpha 3$  and  $\beta 4$  subunits encoded by the *CHRNA5-A3-B4* gene cluster (Fig. 2A,D). This gene cluster has been associated with higher levels of nicotine consumption and dependence in human genetics studies (Berrettini et al., 2008; Bierut et al., 2008; Lips et al., 2010; Liu et al., 2010; Ware et al., 2011). In agreement with these association studies in smokers, cumulative evidence from animal models points to the MHb-IPN pathway as a key modulator of nicotine aversion and nicotine withdrawal (Fowler et al., 2011; Frahm et al., 2011; Salas et al., 2009).

## 2. Anatomy and connectivity: The medial habenula and its output to the interpeduncular nucleus

MHb afferents derive mostly from the posterior septum, specifically from the septofimbrial nucleus (SF<sub>i</sub>), the triangular septum (TS) and the bed nucleus of the anterior commissure (BAC) (Herkenham and Nauta, 1977). Topographic connections have been revealed from the TS and the BAC to the ventral and dorsal subnuclei of the medial habenula (MHb<sub>V</sub> and MHb<sub>D</sub>) respectively (Yamaguchi et al., 2013). The MHb also receives input from the medial septum (MS) and nucleus of diagonal band (NDB) in the basal forebrain; from the interfascicular nucleus of the ventral tegmental area (VTA) (Phillipson and Pycocock, 1982), from the mesencephalic raphe in the midbrain (Herkenham and Nauta, 1977; Staines et al., 1988) and from the locus coeruleus (LC) and superior cervical ganglion (Gottesfeld, 1983) (Fig. 1).

The MHb has been subdivided into five subnuclei (Aizawa et al., 2012; Wagner et al., 2014) according to the expression of output neurotransmitters (Aizawa et al., 2012) (Fig. 2A).

However, as many as 15 subnuclei have been described based on different ultrastructural, morphological and cytochemical properties (Andres et al., 1999; Geisler et al., 2003; Aizawa et al., 2012; Wagner et al., 2014). Neurons in the MHbD express the neuropeptide substance P (SP), also known as Tachykinin 1 (Fig. 2A–C). Neurons in the superior part of the MHb (MHbS) show strong glutamatergic character and lack of SP expression (Fig. 2A). The lower two-thirds of the MHb comprise the ventro-medial (MHbVm), the ventro-central (MHbVc) and the ventro-lateral (MHbVl) subnuclei. These three subnuclei display strong expression of the acetylcholine synthesizing enzyme choline acetyltransferase (ChAT) and the vesicular glutamate transporters 1 and 2 (VGlut1 and VGlut2) (Aizawa et al., 2012) (Fig. 2A,C). Intermingled with ChAT positive, there are also ChAT negative neurons expressing nAChRs (Shih et al., 2014). In addition to the differential expression of neurotransmitters, expression of other markers has been shown to be subnuclei specific. For instance, the  $\mu$ -opioid receptor (Oprm) is only expressed in the MHbVl part, and interleukin 18 (IL-18) is only expressed in the MHbS and MHbD parts (Aizawa et al., 2012) (Fig. 2A).

The MHb efferents target the single midline IPN via the FR. The IPN can be subdivided into 3 unpaired and 4 paired subnuclei based primarily on cytoarchitecture and to a lesser extent on marker localization: the median, unpaired subnuclei are the apical (IPA), rostral (IPR) and central (IPC) nuclei, while the paired subnuclei comprise the dorsolateral (IPDL), dorsomedial (IPDM), lateral (IPL) and intermediate (IPI) subnuclei (Hemmendinger and Moore, 1984; Lenn and Hamill, 1984) (Fig. 2D). Projections from MHb to the IPN are topographically organized, such that a 90-degree lateral turn of the MHb corresponds to the target areas within the IPN (Herkenham and Nauta, 1979). SP neurons in the MHbD project to the IPR and IPL subnuclei of the IPN, while ChAT neurons in the MHbV part project to the IPC and IPI subnuclei of the IPN (Contestabile et al., 1987) and  $\alpha 4$ , ChAT negative neurons of the MHbVl project to the IPR (Shih et al., 2014) (Fig. 2E). The axons from MHb criss-cross through the entire extent of the IPN, forming *en passant* synapses, before terminating ipsilaterally in the IPL (Herkenham and Nauta, 1977). Within the IPI, cholinergic MHb axons terminate to form a special type of synapse, termed the crest synapse, where a single disc-shaped IPN dendrite, or “crest”, receives paired innervation from both the left and right MHb (Lenn et al., 1983). These synapses form and undergo extensive remodeling during the post-natal period in rodents (Lenn, 1978b), and it is possible that in birds and mammals, this is the predominant form of asymmetry within the habenulo-interpeduncular pathway. Ultrastructurally, however, the vast majority (~90%) of crest synapses receives input from both the left and right MHb (Hamill and Lenn, 1983; Lenn, 1976, 1978a; Lenn et al., 1983; Murray et al., 1979).

While the IPN receives considerable input from the MHb, this is not the only source. The IPN also receives projections from forebrain nuclei such as the septum (Contestabile and Flumerfelt, 1981; Gottesfeld and Jacobowitz, 1978; Hamill and Fass, 1984; Swanson and Cowan, 1979), the medial preoptic area (Vertes and Fass, 1988) the ventral thalamus (Moore et al., 2000), the central and ventral hypothalamus (Jennes, 1987; Villalobos and Ferssiwi, 1987), and midbrain nuclei such as the dorsal and dorsolateral tegmental nuclei (DTg and LDTg), both dorsal and median raphe nuclei, the central gray and the locus coeruleus

(Cornwall et al., 1990; Groenewegen et al., 1986; Hamill and Jacobowitz, 1984; Lenn and Wong, 1980; Marchand et al., 1980; Satoh and Fibiger, 1986) (Fig. 1).

As mentioned above, the IPN can be roughly divided based on expression of markers, although it is not as discrete as the subdivision within the MHb (Fig. 2) (Groenewegen et al., 1986; Hamill et al., 1984; Kawaja et al., 1990; Kawaja et al., 1991; Zhao-Shea et al., 2013). IPN neurons are predominantly, but not exclusively GABAergic. Interestingly, many of the GABAergic cells within the IPN are projection neurons that target caudal structures such as the LDTg and DTg. Like the MHb, the IPN also expresses a unique subset of nAChR subunits (Beiranvand et al., 2014; Grady et al., 2009), most notably the  $\alpha 5$  subunit linked to nicotine dependence. Strikingly, the only nAChRs in the IPN for which subnuclear distribution is known are  $\alpha 5$  and  $\alpha 2$ ;  $\alpha 2$ -positive cells are found in all subnuclei (Grady et al., 2009), while  $\alpha 5$ -positive cells are present in the IPR, and to a lesser extent, in the IPC (Hsu et al., 2013). Interestingly, the IPA is the only subnucleus with serotonergic cell bodies and is continuous with the raphe (Groenewegen et al., 1986).

IPN efferents are predominantly to the median and dorsal raphe nuclei, and to the dorsal and dorsolateral tegmental regions (Groenewegen et al., 1986), although they also target the nucleus incertus (Goto et al., 2001) (Fig. 1). While all subnuclei project to the DTg, the main input is from IPR and IPL, with sparse projections from IPC and IPI, and minimal from IPA. IPN fibers targeting the raphe originate predominantly in IPR and IPDM, and to a lesser extent in IPC, IPI, and IPL. The IPN also sends projections to the forebrain, namely to the septum (Montone et al., 1988; Vertes and Fass, 1988), hypothalamus (Smith et al., 1980), entorhinal cortex and thalamus (Shibata and Suzuki, 1984) and the hippocampus (Baisden et al., 1979). Additionally, there are some reports of a minor projection of ascending fibers from the IPN along either the habenulo-interpeduncular or the mammillothalamic tract (Groenewegen et al., 1986) (Fig. 1).

Although the predominant projection is from the MHb to the IPN and from the LHb to the VTA and raphe, a small subpopulation of MHb neurons has been shown to innervate the LHb (Kim and Chang, 2005), suggesting that MHb may regulate LHb activity. Likewise, a small number of LHb have been shown to project to the IPN (Contestabile and Flumerfelt, 1981; Goncalves et al., 2012; Kim, 2009), suggesting that the LHb may regulate IPN activity, providing a balance between activity in the two parallel circuits. As recent advances in viral mediated strategies facilitate tracing studies, other non-conspicuous but functionally important anatomical connections will be determined.

### 3. Behavioral responses to nicotine mediated by nAChRs in the MHb-IPN

Except  $\alpha 7$ ,  $\alpha 9$  and  $\alpha 10$ , the MHb-IPN densely expresses all known neuronal nAChRs subunits, sometimes at their highest expression level in the brain (Perry et al., 2002). Detailed analysis of the contribution of individual nAChRs subunits in the MHb-IPN has been possible through the use of nAChR-mouse models, including null mice (Fowler et al., 2011; Kedmi et al., 2004; Maskos et al., 2005; Picciotto et al., 1998; Salas et al., 2004a; Salas et al., 2003a; Salas et al., 2004b; Salas et al., 2003b; Salas et al., 2009), gain-of-function mice (Broide et al., 2002; Fonck et al., 2005; Tapper et al., 2004), overexpressing

mice (Frahm et al., 2011; Gallego et al., 2012) and knock-in mice (Shih et al., 2014), as well as more recently with the use of viral-mediated expression of nAChR subunits or shRNAs for nAChR knockdown (Fowler et al., 2011; Frahm et al., 2011). Through the behavioral analysis of the nicotine responses of these mouse models, nAChRs highly enriched in the MHb and IPN were first identified as critical modulators of nicotine withdrawal and more recently, as key mediators of nicotine aversion, and subsequently intake. Here we will first review the studies of MHb-IPN nAChRs in nicotine withdrawal and then discuss recent findings that implicate  $\alpha 5$  and  $\beta 4$  subunits encoded by the *CHRNA5-CHRNA3-CHRNB4* gene cluster in nicotine aversion.

In both humans and in animal models, chronic nicotine consumption leads to withdrawal if intake is abruptly stopped or a nicotinic antagonist is administered. The nicotine withdrawal syndrome manifests as a collection of affective and physical symptoms that largely prevent success in quitting (Changeux, 2010; West et al., 1989). In humans, this includes affective symptoms such as irritability, anxiety, depressed mood, difficulty concentrating, disrupted cognition and nicotine craving; and physical symptoms such as bradycardia, gastrointestinal discomfort and increased appetite accompanied by weight gain (Dani and De Biasi, 2013). In rodent models, physical signs (often called “somatic signs”) include scratching, rearing, jumping, head nods, and body shakes (Damaj et al., 2003; Grabus et al., 2005), hyperalgesia (Grabus et al., 2005; Salas et al., 2004b), and changes in locomotor activity (Hildebrand et al., 1999; Nomikos et al., 1999); whereas affective signs include anxiety-like behaviors (Damaj et al., 2003), elevated reward thresholds (Kenny and Markou, 2001), withdrawal-induced contextual fear conditioning (Davis et al., 2005), and withdrawal-induced conditioned place aversion (CPA) (Jackson et al., 2008; Suzuki et al., 1999).

The nAChR subunits that are enriched in the Hb-IPN pathway, namely the  $\alpha 5$  and  $\beta 4$  subunits, mediate many of the aversive effects of nicotine withdrawal. Mice lacking the  $\beta 4$  nAChR subunit ( $\beta 4^{-/-}$  mice) as well as null mice for  $\alpha 5$  ( $\alpha 5^{-/-}$  mice) have fewer somatic signs of withdrawal after chronic nicotine administration and attenuated withdrawal-induced hyperalgesia (Jackson et al., 2008; Salas et al., 2004b; Salas et al., 2009). Though not as specific for the Hb-IPN path as the *CHRNA5-CHRNA3-CHRNB4* gene cluster,  $\alpha 2$  is expressed in the IPN as well as limbic areas such as striatum (Ishii et al., 2005). Likewise the somatic manifestations of nicotine withdrawal are altered in mice null for the  $\alpha 2$  nAChR subunit. When  $\alpha 2^{-/-}$  mice are tested in a familiar environment, they show decreased somatic signs of withdrawal (Salas et al., 2009); whereas  $\alpha 2^{-/-}$  mice tested in a novel environment display increased number of somatic signs and increased affective signs of nicotine withdrawal, as measured by the cued fear conditioning test (Lotfipour et al., 2013).  $\beta 2^*$  and  $\alpha 6^*$  nAChRs, present in the Hb-IPN pathway, though more highly expressed in the VTA, are involved in the affective component of nicotine withdrawal. Deletion of the  $\beta 2$  nAChR subunit induces a loss of anxiety-related behavior during withdrawal and a loss of aversion in the CPA model but no alterations in somatic signs of nicotine withdrawal (Jackson et al., 2008). Blockade of the  $\alpha 6$  nAChR subunit with a selective antagonist diminishes the expression of withdrawal-induced CPA and anxiety-related behavior. However somatic signs of withdrawal and withdrawal-induced hyperalgesia are not affected by administration of the  $\alpha 6$  antagonist (Jackson et al., 2009). Altogether these animal studies indicate that  $\alpha 5$ ,

$\alpha 2$  and  $\beta 4$  containing nAChRs contribute to the physical symptoms of nicotine withdrawal whereas  $\alpha 2$ ,  $\beta 2$  and  $\alpha 6$  contribute to the affective component of withdrawal.

$\alpha 5$  and  $\alpha 2$  nAChR subunits are highly expressed in a subset of neurons in the IPN (Hsu et al., 2013; Ishii et al., 2005). The  $\beta 4$  subunit shows high levels of expression in both the MHb and IPN (Görlich et al., 2013; Quick et al., 1999; Salas et al., 2003b; Sheffield et al., 2000; Shih et al., 2014).  $\beta 2$  and  $\alpha 6$  subunits are also expressed in a subset of MHb neurons among other brain areas (Shih et al., 2014). Thus, cumulative evidence points to nAChRs in the MHb-IPN pathway as critical regulators of nicotine withdrawal. Consistently, microinjection of the nAChR antagonist mecamylamine into the habenula and IPN, but not in other brain areas such as the VTA, cortex or hippocampus is sufficient to precipitate physical nicotine withdrawal symptoms in mice chronically treated with nicotine (Salas et al., 2009). In agreement with these studies, Zhao-Shea and colleagues showed that chronic nicotine treatment upregulated gene expression of  $\beta 3$  and  $\beta 4$  nAChR subunits in somatostatin positive neurons in the IPN and, further, that infusion of the  $\beta 4$  nAChR antagonist SR16584 in the IPN elicited somatic signs of nicotine withdrawal (Zhao-Shea et al., 2013). In summary it is clear that the somatic manifestations of nicotine withdrawal are mediated by the MHb-IPN pathway.

Even though somatic signs of withdrawal contribute less to relapse than affective signs of nicotine withdrawal (Markou et al., 1998), few studies have analyzed which brain areas and nAChRs subunits are involved in the affective aspect of withdrawal. As mentioned above,  $\alpha 5$ ,  $\alpha 2$  and  $\beta 4$  containing nAChRs have been shown to contribute mainly to the physical symptoms of nicotine withdrawal whereas the  $\beta 2$  and  $\alpha 6$  subunits contribute to the affective component of withdrawal. Given that the  $\beta 2$  nAChR subunit is almost ubiquitously expressed and the  $\alpha 6$  subunit is strongly expressed in the VTA, substantia nigra, and locus coeruleus (Le Novere et al., 1996), it is likely that other brain regions besides the MHb and IPN are involved in the affective component of nicotine withdrawal. Another possibility is that distinct neuronal populations within the MHb and IPN, expressing different nAChRs subunit compositions are differentially involved in somatic and affective signs of withdrawal. Evidence supporting this possibility has been observed following optogenetic stimulation of a subset of neurons in the IPN. Light stimulation of GAD2-positive GABAergic neurons in the IPN induced physical withdrawal signs not only in chronic-nicotine-treated mice, but also in nicotine-naïve mice; however, activation of these neurons did not induce anxiety-related behaviors (Zhao-Shea et al., 2013). Studies using an antagonist of HCN pacemaker channels in habenular neurons induced both somatic and affective signs of withdrawal in nicotine-naïve mice (Görlich et al., 2013), indicating that the MHb-IPN tract may mediate both aspects of withdrawal. Much work still needs to be done to reveal the distinct neuronal populations that may be differentially involved in somatic and affective signs of withdrawal. Conditional mouse models and viral-mediated modulation of nAChRs expression in specific brain areas may aid elucidating this question.

Besides their role in nicotine withdrawal, recent findings indicate that both  $\alpha 5$  and  $\beta 4$  nAChRs mediate the aversive response to nicotine intake. Genetic studies have linked single nucleotide polymorphisms (SNP) within the *CHRNA5-CHRNA3-CHRNA4* gene cluster to heavy smoking (Berrettini et al., 2008; Bierut et al., 2008; Lips et al., 2010; Liu et al., 2010;

Ware et al., 2011). Strikingly, the most common polymorphism in *CHRNA5* (rs16969968), which results in the amino acid substitution of aspartic acid to asparagine (D398N) in the  $\alpha 5$  subunit, more than doubles the risk of tobacco dependence in those carrying two copies of the risk allele (Berrettini et al., 2008; Bierut et al., 2008; Gruzza et al., 2008; Stevens et al., 2008). Consistent with these human genetic studies,  $\alpha 5$  null mice continue to self-administer nicotine at doses that normally elicit aversion in wild type animals (Fowler et al., 2011) (Fig. 3A,B). Nicotine self-administration reverts to wild type levels when the  $\alpha 5$  nAChR subunit is re-expressed in the MHb or the IPN (Fowler et al., 2011). Moreover, viral-mediated knockdown of the  $\alpha 5$  nAChR subunit in the MHb–IPN tract did not alter the reward-enhancing properties of lower doses of nicotine, but significantly diminished the aversive effects of higher doses in rats (Fowler et al., 2011). Aversive responses to nicotine and reduced nicotine consumption are also observed in transgenic mice for the *CHRNA5-CHRNA3-CHRNA4* gene cluster, overexpressing only the  $\beta 4$  nAChR subunit (called Tabac mice) (Frahm et al., 2011) (Fig. 3C).  $\beta 4$  was shown to be rate-limiting for the assembly of  $\alpha 3\beta 4^*$  receptors and to compete with  $\alpha 5$  to form pentameric  $\alpha 3\beta 4\alpha 5$  nAChRs (Frahm et al., 2011; Slimak et al., 2014). Thus  $\beta 4$  overexpression led to an increased density of  $\alpha 3\beta 4^*$  receptors at the plasma membrane, as well as to a potentiation of  $\alpha 3\beta 4^*$  currents *in vitro* and in habenular neurons of Tabac mice (Frahm et al., 2011). Remarkably, the nicotine aversion observed in Tabac mice overexpressing  $\beta 4$  was reversed upon viral-mediated expression in the MHb of the  $\alpha 5$  D398N variant associated with heavy smoking in humans (Frahm et al., 2011) (Fig. 3D). Moreover, viral-mediated overexpression of  $\beta 4$  in the MHb was sufficient to produce nicotine aversion (Slimak et al., 2014) (Fig. 3E,F). Functional analysis of missense  $\beta 4$  variants found in human genetic studies demonstrated that variants which increased nicotine-induced currents also increased aversion to nicotine in a two-bottle nicotine drinking test; whereas variants that decreased nicotine-induced currents decreased aversion (Slimak et al., 2014). In summary, these studies point to nAChRs containing  $\alpha 5$  and  $\beta 4$  subunits in the MHb-IPN axis as critical regulators to signal nicotine aversion and limit nicotine intake.

The role of the MHb-IPN pathway in nicotine aversion might be one of self-preservation, as evidenced by axonal degeneration in the central core of the fasciculus retroflexus, a significant reduction of the volume of the MHb and a decrease of ChAT in MHb neurons innervating the IPN after chronic administration of high doses of nicotine (Carlson et al., 2001; Ciani et al., 2005; Ellison, 2002). This is supported by the observation of apoptotic neurons in the MHb, after sustained high dose nicotine exposure (Ciani et al., 2005). Within the MHb, the damage was more prominent in the ventral-medial part (Carlson et al., 2001), which expresses high levels of the  $\alpha 3$ ,  $\beta 4$ ,  $\alpha 6$  and  $\beta 3$  receptor subtypes (Shih et al., 2014). However, it is interesting to note that nicotine acts as a neuroprotective agent in some neurological disorders, such as Alzheimer's and Parkinson's disease (Buckingham et al., 2009; Quik et al., 2012). Future studies are needed to understand the mechanisms by which nicotine differentially mediates neuroprotection and/or neurotoxicity and the nAChRs subtypes involved in these differential nicotine-mediated adaptative changes. These studies would help elucidate why the MHb-IPN tract is more susceptible to nicotine toxicity than other brain areas containing the same nAChRs.

## 4. Electrophysiological properties of the MHb-IPN circuitry

MHb neurons possess a very unique set of electrophysiological properties that confer them with the ability to sense nicotine and signal aversion and withdrawal. Here we discuss some distinctive properties of habenular neurons, including their intrinsic pacemaking activity, their receptors and neurotransmitters, synaptic inputs and outputs, and specifics of their synaptic transmission.

### 4.1 Pacemaking

A striking electrophysiological attribute of MHb neurons is their spontaneous pacemaking activity. Medial habenular cells generate tonic trains of action potentials (APs). In rodents, MHb neurons fire independently of their afferent inputs with a frequency of about 2–10 Hz (Görlich et al., 2013; Kim and Chang, 2005; Kim and Chung, 2007; McCormick and Prince, 1987). This rhythmic pacemaking activity is mediated by hyperpolarization-activated cyclic nucleotide-gated (HCN) channels (Görlich et al., 2013; Shih et al., 2014). Pharmacological block of HCN channels in MHb neurons with ZD7288 abolishes spontaneous AP firing in brain slices, and infusion of the same blocker into the MHb of nicotine-naïve mice precipitates somatic and affective withdrawal-like symptoms (Görlich et al., 2013). Notably, spontaneous AP activity (Görlich et al., 2013; McCormick and Prince, 1987), or induced APs by current-injection (Dao et al., 2014) are increased upon nicotine application, likely via  $\alpha 3\beta 4^*$  nAChRs (Görlich et al., 2013), and/or by  $\alpha 5^*$  nAChRs and neurokinin signaling (Dao et al., 2014). While all ChAT positive neurons in the MHb were spontaneously active (Görlich et al., 2013), Shih and colleagues found nicotine-mediated increases in spontaneous activity only in the MHbVI, but not in the MHbVc (Shih et al., 2014). The major difference between these two subnuclei is the expression of  $\alpha 4^*$  nAChRs in the MHbVI (Fig. 2A). From these studies it has become evident, that disruption of spontaneous AP activity or its modulation by HCN or neurokinin signaling blockers is an important factor in nicotine withdrawal symptoms (Dao et al., 2014; Görlich et al., 2013). Therefore afferents influencing MHb neuron activity are likely to affect nicotine sensitivity and nicotine withdrawal.

### 4.2 Synaptic Inputs to the MHb

Tracing and genetic studies have shown that the MHb receives GABAergic input from the medial septum and diagonal band, and glutamatergic and ATP-ergic input from the triangular septum, (Qin and Luo, 2009; Robertson and Edwards, 1998), while the main input from the posterior septum via the stria medularis is likely to be cholinergic (Contestabile and Fonnum, 1983) (Fig. 4).

Cholinergic cells are densely packed in the ventral portion of the MHb, and innervate rostral, central, and intermediate subnuclei of the IPN (Contestabile et al., 1987; Eckenrode et al., 1987; Houser et al., 1983; Kimura et al., 1981). The MHb, together with the IPN, expresses the highest density of nicotinic binding sites in the mammalian brain (Clarke et al., 1985; Cui et al., 2003; Han et al., 2000; Han et al., 2003; Le Novere et al., 1996; Marks et al., 1992; Wada et al., 1989; Whiteaker et al., 2000; Yeh et al., 2001), but as evidenced by TRAP analysis (Görlich et al., 2013), immunoprecipitations (Grady et al., 2009; Scholze et



al., 2012), physiology (Mulle et al., 1991), receptor autoradiography (Zoli et al., 1998), and knock-in studies (Shih et al., 2014), nAChR subunit compositions are quite diverse (Fig. 2A). The MHb is even more complex in terms of physiology, when taking into account the heterogeneous expression of VGlut1 and VGlut2 (Aizawa et al., 2012) (Fig. 2A). Since the five molecularly distinct subdivisions of the MHb were only recently described (Aizawa et al., 2012), most electrophysiological studies on the inputs and outputs of the MHb have not taken these differences into account.

Neurons in the ventral two-thirds of the MHb are highly enriched in nAChRs, mostly containing the  $\alpha 3\beta 4^*$  subunit (Frahm et al., 2011; Grady et al., 2009). As noted earlier, in ChAT positive neurons, activation of  $\alpha 3\beta 4^*$  nAChRs by nicotine increases their pacemaking activity, but even more so in mice withdrawn from chronic nicotine (Görlich et al., 2013). On the other hand, recordings from neurons of mice in nicotine withdrawal in the MHbV1, show a reduced nicotine-mediated increase in AP firing, compared to saline treated animals (Shih et al., 2014). Within the MHb, only neurons in the MHbV1 contain the  $\alpha 4^*$  nAChRs, which are among the most sensitive receptors to nicotine and ACh (Chavez-Noriega et al., 1997; Gotti et al., 2009; Kuryatov et al., 2008), but likely do not express ChAT (Shih et al., 2014).

In addition to nAChRs, MHb neurons express P2X receptors, which, upon ATP release from the TS, cause a substantial  $\text{Ca}^{2+}$  influx into the neuron (Edwards et al., 1992; Edwards et al., 1997; Robertson et al., 1999; Rogers et al., 1997).  $\text{Ca}^{2+}$  is an important intracellular messenger, modulating ion channels and altering synaptic function, and  $\alpha 4\beta 2^*$  and  $\alpha 3\beta 4^*$  nAChRs show a fairly high  $\text{Ca}^{2+}$ -permeability (Ragozzino et al., 1998; Rathouz and Berg, 1994). Together, P2X and nAChRs, likely make up for the lack of  $\text{Ca}^{2+}$  current mediated by NMDARs, which are not expressed in the MHb (Robertson et al., 1999; Rogers and Dani, 1995). Functionally, ATP has been shown to both depolarize and increase spontaneous AP activity in hypocretin/orexin neurons (Wollmann et al., 2005). Further, ATP facilitates HCN activation (He et al., 2014), and therefore influences pacemaking activity. Despite the plausibility, it remains unclear if ATP is directly involved in nicotine dependence and nicotine withdrawal by modulating spontaneous AP activity of MHb neurons.

Apart from the unusually high expression of  $\alpha 3\beta 4^*$  nAChRs and the uncommon use of ATP as a neurotransmitter in the MHb, the physiology of GABAergic inputs coming from the septum (Qin and Luo, 2009), is quite unique in the CNS as well. Neurons in the MHb express both  $\text{GABA}_A$ Rs and  $\text{GABA}_B$ Rs, which have distinct physiological roles and mediate spontaneous pacemaking activity in opposing ways. In young rats (postnatal day (P)18–25), GABA release leads to a fast excitation of MHb neurons, followed by a slow inhibition, mediated by  $\text{GABA}_A$ Rs and  $\text{GABA}_B$ Rs, respectively (Kim and Chung, 2007).  $\text{GABA}_A$ -mediated responses are often excitatory in the early postnatal period, due to a high intracellular  $\text{Cl}^-$  concentration (Cherubini et al., 1991; Friauf et al., 2011). During development, increased expression of the  $\text{K}^+/\text{Cl}^-$  co-transporter 2 (KCC2), which shuffles intracellular  $\text{Cl}^-$  ions out of the neuron, causes  $\text{GABA}_A$ R activation to hyperpolarize neurons (Clayton et al., 1998; Friauf et al., 2011; Lu et al., 1999; Rivera et al., 1999). While  $\text{GABA}_A$ -mediated excitation in MHb neurons was measured in young animals, it is likely that this persists in the adult, as expression of KCC2 has been shown to be absent in mature

MHb neurons, both by immunohistochemistry (Kim and Chung, 2007; Markkanen et al., 2014), and by mRNA levels (Kanaka et al., 2001), likely leaving intracellular  $\text{Cl}^-$  concentrations high in the MHb. It is noteworthy, that in some MHb neurons,  $\text{GABA}_{\text{B}}$ Rs were more sensitive to GABA than  $\text{GABA}_{\text{A}}$ Rs (Kim and Chung, 2007). Therefore, only high activity in the MS will cause sufficient GABA release to increase MHb pacemaking activity.

Altogether, MHb neurons express a diverse receptor composition. The nAChRs subunit compositions in the MHb subnuclei are uncommon to other brain areas (Gotti et al., 2009; Grady et al., 2009), and excitatory actions of GABA (Kim and Chung, 2007), and ATP-ergic afferents (Edwards et al., 1992; Edwards et al., 1997; Robertson et al., 1999; Rogers et al., 1997) are quite unique with respect to other CNS circuits.

### 4.3 Synaptic output from the MHb

As mentioned previously, the major efferents from the bilateral MHb project via the FR to neurons of the midline IPN. Along their path, MHb axons in the IPN form *en passant* and crest synapses. The latter are synapses on opposing sides of the dendrite (Lenn, 1976). The two opposing synapses likely each emerge from only one of the two habenula nuclei (Lenn, 1976). This makes up for a potentially very interesting physiology, but so far no studies have addressed the role of these crest synapses in synaptic transmission, much less in the context of nicotine dependence and withdrawal.

Crest synapses could function as a coincidence detector, converging the bilateral signals from each of the MHb. Neurons that serve as coincidence detectors, have been postulated for the auditory system (Carr and Konishi, 1990; Görlich et al., 2010), where they only fire APs when two excitatory postsynaptic potentials (EPSP) from two synapses arrive nearly simultaneously (Agmon-Snir et al., 1998; McAlpine and Grothe, 2003).

MHb terminals in the IPN co-release glutamate and ACh, but ACh release is only detected upon high frequency stimulation above 20 Hz (Ren et al., 2011). Spontaneous pacemaking activity in MHb slices is only up to around 10 Hz (Görlich et al., 2013; Kim and Chang, 2005; Kim and Chung, 2007; McCormick and Prince, 1987), but can easily increase up to 20 Hz in animals withdrawn from nicotine upon re-exposure to the drug (Görlich et al., 2013). *In vivo* AP frequencies are likely even higher. ACh is thought to be a volume-transmitter, meaning, that only high frequency stimulations cause enough ACh to be released for spillovers from remote release sites, which can be several 100 nm away from the respective receptor (Zoli et al., 1999). In the IPN, nAChRs are found both presynaptically on MHb axon terminals (Girod et al., 2000) and postsynaptically in IPN neurons. This sets up a situation in which, ACh, and potentially nicotine, not only excites postsynaptic neurons, but also facilitates presynaptic glutamate (Girod et al., 2000; Mansvelder et al., 2009), and influences GABA release (Covernton and Lester, 2002) (Fig. 4).

Volume-transmission has an essential advantage over direct neurotransmitter activation: By virtue of its dependence on the presynaptic AP frequency, synaptic and extrasynaptic ACh concentrations can change gradually with presynaptic excitation, whereas direct neurotransmission happens in an all or nothing fashion. ACh might hence gradually fine-

tune MHb to IPN neurotransmission by modulating presynaptic transmitter release (Covernton and Lester, 2002; Girod et al., 2000), a mechanism that is likely disturbed in tobacco users and smokers undergoing nicotine withdrawal. Indeed, recordings from IPN neurons of mice in nicotine withdrawal, showed increased spontaneous excitatory postsynaptic currents (sEPC) (Zhao-Shea et al., 2013). Future studies of ACh volume-transmission in the IPN of mice (Ren et al., 2011) and/or zebrafish (Hong et al., 2013) might also clarify, if ACh release from one site of a crest synapse also influences function of the opposing crest synapse, as well as determine the fundamental role of the endogenous cholinergic signaling at these synapses.

## 5. Concluding remarks

Recent research has led to an improved understanding of the physiology and function of the MHb-IPN pathway. Several cell-types have been described in the MHb and in the IPN, and their function, and synaptic inputs have begun to be deciphered. With the application of newly developed technologies for cell specific manipulation, a more comprehensive picture of the MHb-IPN circuit is emerging. For instance, intersectional studies using Cre-dependent mice and viral tools that alter neuronal activity, such as light-activated opsins and tethered toxins, allow specific activation or silencing of particular MHb-IPN cell populations in living mice to elucidate the contribution of individual subpopulations to nicotine and non-nicotine-mediated behaviors. Also, retrograde tracing with virus in Cre-recombinase expressing mice can help decipher new connections. Importantly, the use of ribosomal tagged-EGFP subunits for Translational Ribosomal Affinity purification (TRAP) profiling provides a strategy for the identification of new molecular markers of subpopulations and epigenetic traits that can allow further dissection of the circuit and its molecular mechanisms. Given the privileged position of the MHb-IPN tract as a relay pathway where cholinergic, serotonergic and dopaminergic signals converge, the identification of new molecules and mechanisms will accelerate progress in our understanding of this ancient brain structure, its role in the physiology of impulsive/compulsive behaviors and in the pathophysiology of schizophrenia and drug abuse. Eventually, exploratory efforts aimed at dissecting nicotine withdrawal and aversion may lead to the discovery of novel molecular events responsible for establishment of the addictive state, and result in the development of more effective therapies for smoking cessation. Because cigarette smoking is a global health problem, largely due to the difficulties associated with nicotine abstinence, developing a therapeutic strategy that specifically targets the negative symptoms of withdrawal can have a profound impact on human health.

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## Abbreviations

3V                      third ventricle

<b>4V</b>	fourth ventricle
<b>ACh</b>	acetylcholine
<b>AP</b>	action potential
<b>BAC</b>	bed nucleus of the anterior commissure
<b>ChAT</b>	choline acetyltransferase
<b>CPA</b>	conditioned place aversion
<b>DR</b>	dorsal raphe
<b>DTg</b>	dorsal tegmental nuclei
<b>EC</b>	entorhinal cortex
<b>EPSP</b>	excitatory postsynaptic potential
<b>FR</b>	fasciculus retroflexus
<b>Hb-IPN</b>	habenulo-interpeduncular
<b>HC</b>	hippocampus
<b>HCN</b>	hyperpolarization-activated cyclic nucleotide-gated
<b>Hyp</b>	hypothalamus
<b>IL-18</b>	interleukin 18
<b>IPA</b>	interpeduncular nucleus apical
<b>IPC</b>	interpeduncular nucleus central
<b>IPDL</b>	interpeduncular nucleus dorsolateral
<b>IPDM</b>	interpeduncular nucleus dorsomedial
<b>IPI</b>	interpeduncular nucleus intermediate
<b>IPL</b>	interpeduncular nucleus lateral
<b>IPN</b>	interpeduncular nucleus
<b>IPR</b>	interpeduncular nucleus rostral
<b>KCC2</b>	K <sup>+</sup> /Cl <sup>-</sup> co-transporter 2
<b>LC</b>	locus coeruleus
<b>LDTg</b>	laterodorsal tegmental nuclei
<b>LHb</b>	lateral habenula
<b>LV</b>	lateral ventricle
<b>MHb</b>	medial habenula
<b>MHbD</b>	medial habenula dorsal
<b>MHbS</b>	medial habenula superior

<b>MHbV</b>	medial habenula ventral
<b>MHbVc</b>	medial habenula ventro-central
<b>MHbVI</b>	medial habenula ventro-lateral
<b>MHbVm</b>	medial habenula ventro-medial
<b>MnR</b>	median raphe
<b>MS</b>	medial septum
<b>nAChR</b>	nicotinic acetylcholine receptor
<b>NDB</b>	nucleus of diagonal band
<b>NI</b>	nucleus incertus
<b>Oprm</b>	$\mu$ -opioid receptor
<b>PAG</b>	periaqueductal gray
<b>sEPSC</b>	spontaneous excitatory postsynaptic current
<b>Sfi</b>	septo-fimbrial nucleus
<b>SNP</b>	single nucleotide polymorphism
<b>SP</b>	substance P
<b>Thal</b>	thalamus
<b>TRAP</b>	translational ribosomal affinity purification
<b>TS</b>	triangular septum
<b>VGlut</b>	vesicular glutamate transporter
<b>VTA</b>	ventral tegmental area

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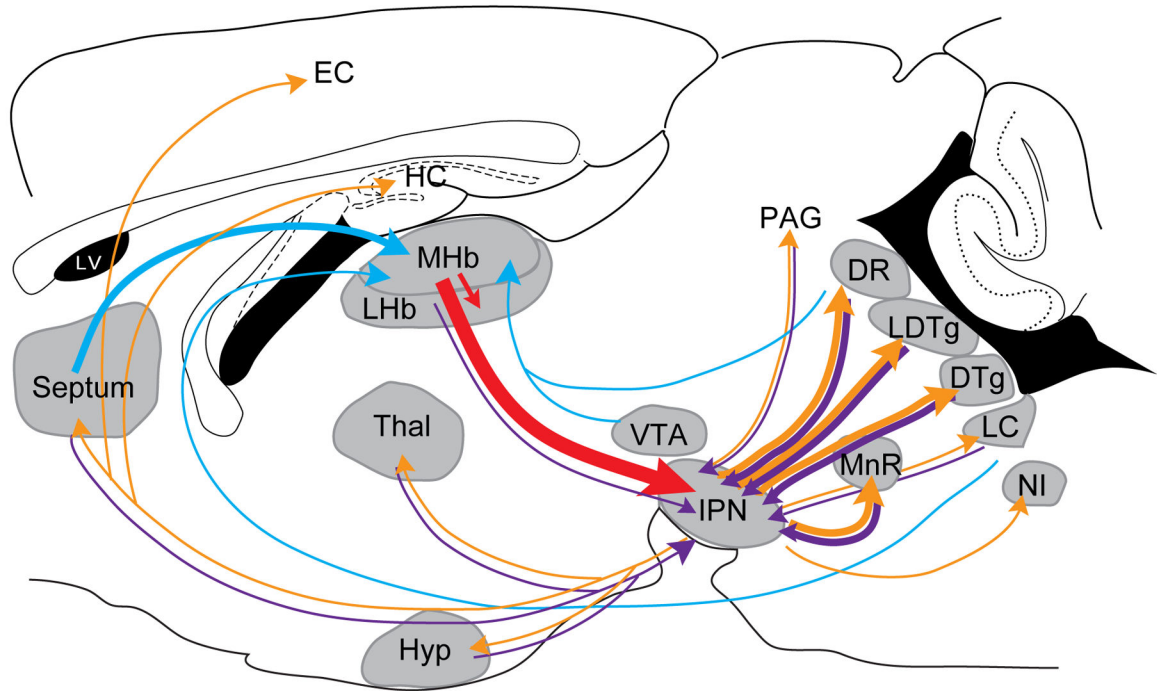
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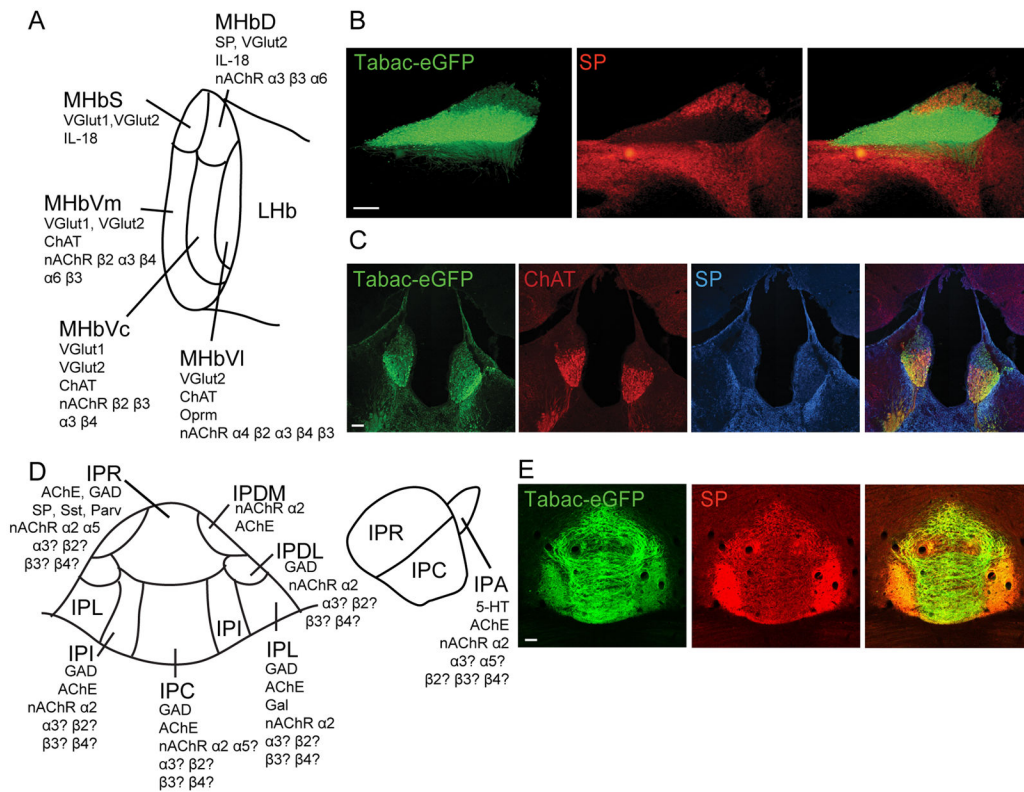
### Highlights

- Habenulo-interpeduncular system in nicotine aversion and withdrawal reviewed.
- Overview of afferents and efferents of the MHb-IPN circuitry.
- Role of nAChRs subtypes in nicotine withdrawal and aversion summarized.
- Neurotransmitters and molecular markers of the MHb-IPN subnuclei highlighted.
- Electrophysiological properties of MHb neurons discussed.



**Figure 1. MHb-IPN connectivity**

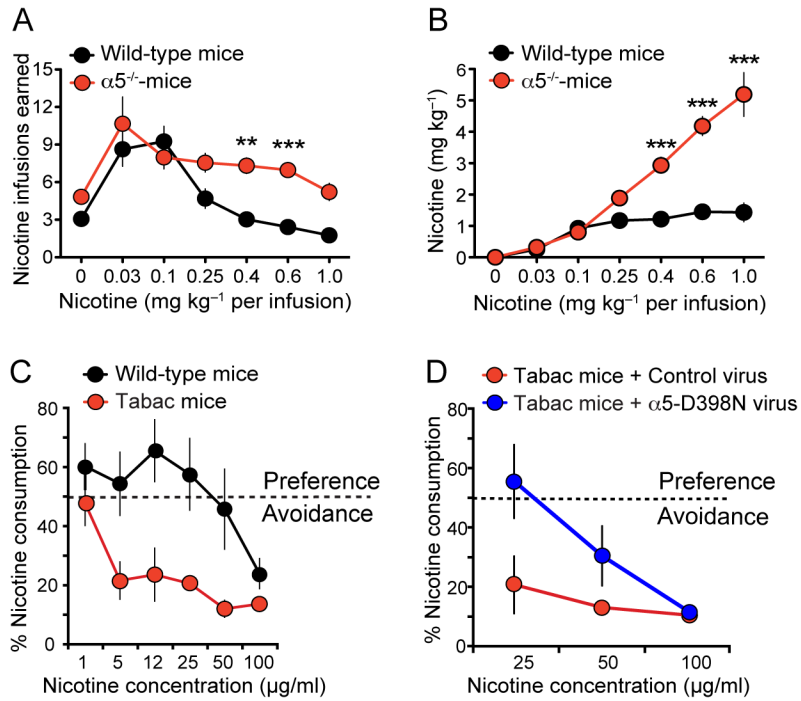
Schematic sagittal view of a mouse brain showing known MHb afferents (blue), MHb efferents (red), IPN afferents (purple) and IPN efferents (orange). The thickness of the arrows reflects the strength of the connection. 3V, third ventricle; 4V, fourth ventricle; DR, dorsal raphe; DTg, dorsal tegmental nucleus; EC, entorhinal cortex; HC, hippocampus; Hyp, hypothalamus; IPN, interpeduncular nucleus; LC, locus coeruleus; LDTg, laterodorsal tegmental nucleus; LHb, lateral habenula; LV, lateral ventricle; MHb, medial habenula; MnR, median raphe; NI, nucleus incertus; PAG, periaqueductal gray; Thal, thalamus; VTA, ventral tegmental area.



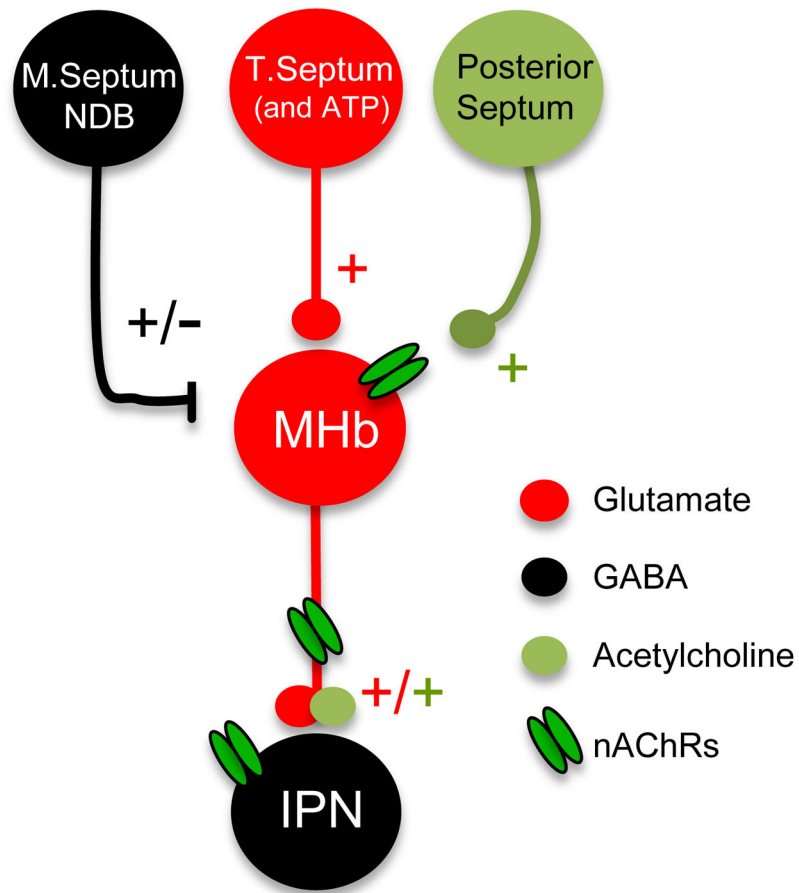
**Figure 2. Subnuclei of the MHb and IPN**

(A) Schematic representation of the MHb subnuclei indicating expression of different markers based on (Aizawa et al., 2012) and (Shih et al., 2014). (B–C) Expression of  $\alpha 3\beta 4$ -eGFP and ChAT in the ventral two-thirds of the MHb and of Substance P (SP) in the dorsal MHb in sagittal (B) and coronal (C) brain sections of Tabac mice expressing the *CHRNA3-A3-eGFP-A5* gene cluster. (D) Schematic representation of the IPN subnuclei indicating expression of different makers localized to cell bodies within the IPN. The panel on the left is a coronal schematic. The right panel is a sagittal schematic. (E) Coronal sections at the level of the IPN showing  $\alpha 3\beta 4$ -eGFP immunoreactivity (green) and SP immunoreactivity (red) in the habenular terminals of Tabac mice. (Scale bar: 100  $\mu$ m.) ChAT, choline acetyltransferase; IPA, apical subnucleus of the IPN; IPC, central subnucleus of the IPN; IPDL, dorsolateral subnucleus of the IPN; IPDM, dorsomedial subnucleus of the IPN; IPI, intermediate subnucleus of the IPN; IPL, lateral subnucleus of the IPN; IPN, interpeduncular nucleus; IPR, rostral subnucleus of the IPN; Lhb, lateral habenula; MHb, medial habenula; MHbD, dorsal part of the MHb; MHbS, superior part of the MHb; MHbVc, ventro-central part of the MHb; MHbVI, ventro-lateral part of the MHb; MHbVm, ventro-medial part of the MHb; SP, substance P.





**Figure 3. The MHb-IPN  $\alpha 5$  and  $\beta 4$  nAChRs subunits mediate nicotine aversion**  
 (A)  $\alpha 5^{-/-}$  mice self-administer more nicotine than wildtype mice. Data are presented as mean ( $\pm$  SEM) number of nicotine infusions earned across different nicotine doses. Modified with permission from (Fowler et al., 2011) (B) Total nicotine intake at each dose analyzed in A. Modified with permission from (Fowler et al., 2011). (C) Tabac mice, which overexpress  $\beta 4$  in the MHb, display strong aversion to nicotine in the two-bottle choice paradigm at increasing concentrations of nicotine. Data is expressed as percent of the volume of nicotine solution consumed divided by the total fluid intake per day. Modified with permission from (Frahm et al., 2011). (D) Tabac mice stereotactically injected in the MHb with a lentivirus encoding the most frequent variant associated to heavy smoking,  $\alpha 5$  D398N, do not display nicotine aversion at 25  $\mu\text{g/ml}$  in the two-bottle choice paradigm compared to Tabac mice injected with control lentivirus. Data is expressed as percent of the volume of nicotine solution consumed divided by the total fluid intake per day. Two-way ANOVA,  $p < 0.05$  Modified with permission from (Frahm et al., 2011).



**Figure 4. Neurotransmitter systems in the MHb-IPN**

Schematic illustration showing the neurotransmitter inputs to the MHb: GABAergic input from the medial septum (M. Septum) and nucleus of the diagonal band (NDB), glutamatergic and ATP-ergic input from the triangular septum (T. Septum) and cholinergic input from the posterior septum. MHb terminals in the IPN co-release glutamate and acetylcholine. Nicotinic acetylcholine receptors (nAChRs) are located in the somatodendritic compartment of MHb neurons, presynaptically in MHb axon terminals and postsynaptically in IPN neurons.