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# **Translating the MAM Model of Psychosis to Humans**

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# Abstract

Elevated dopamine function and alterations in the medial temporal lobe structure and function (MTL) are two of the most robust findings in schizophrenia, but how interactions between these abnormalities underlie the onset of psychosis is unclear. Although several preclinical models of psychosis have been proposed, the methylazoxymethanol acetate (MAM) rodent model provides a mechanistic account linking these two clinical observations. The model proposes that psychosis develops as a result of a perturbation of MTL function, leading to elevated striatal dopamine dysfunction. We review a number of recent neuroimaging studies that examine components of the putative model in people with an ultra high risk (UHR) of psychosis. Whilst data from these studies are broadly consistent with the MAM model, that the potential for comparing various kinds of neurobiological data across animal and human studies imposes some limitations on what can be inferred from these data. Going forward, longitudinal studies are needed to explicitly test the model's predictions in UHR populations.

# Keywords

Neurobiology; Psychosis; Neuroimaging; Animal Research; Schizophrenia; Prodrome

# The Neurobiology of Psychosis Onset

In recent years several animal models have been developed to advance research into the neurobiological mechanisms involved in the development of psychosis and emergence of symptoms associated with the disorder (see Box 1). The development of these preclinical models has been informed by clinical observations in patients with schizophrenia and psychosis. Two of the most robust and replicated clinical findings are elevated presynaptic dopamine function in the midbrain and striatum [1–3], and neuroanatomical and physiological alterations in the hippocampus and adjacent medial temporal lobe (MTL) structures [4–6]. However, these neurobiological changes have largely been identified through independent bodies of work, so how they interact during the development of psychosis is still unclear. Whilst dopamine dysfunction has historically been regarded as the primary factor underlying psychosis [7], recent work in experimental animals, using the

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methylating agent methylazoxymethanol acetate (MAM) has highlighted the role of a hippocampal-midbrain-striatal circuit, and introduced the concept that subcortical dopamine function is elevated as a consequence of changes in descending outputs from the MTL [8, 9]. The MAM animal model is appealing as it incorporates a disruption of brain development, which is thought to be fundamental to psychotic disorders [10–12]. Brain development is experimentally perturbed by the administration of methylazoxymethanol acetate to pregnant rats on gestational day 17 [12] (see Box 2 for details). An elaboration of this model can be extended to the psychopathology of psychosis, with the suggestion that the elevation in dopamine function leads to the formation of abnormal associations and that this underlies the generation of symptoms such as delusions [13, 14]. Ultimately, useful animal models of disease need to provide a framework in which to generate testable predictions for clinical research. Although just one of several animal models from which hypotheses can be derived, the MAM model provides a particularly promising framework for clinical research in psychosis (see Box 4).

#### Box 1

#### **Rodent Developmental Models of Psychosis**

#### Prenatal immune activation

Based on the premise that prenatal infection acts as a "neurodevelopmental disease primer" for a number of chronic mental illnesses, including schizophrenia, these models use maternal gestational exposure to: human influenza virus, the viral mimic polyriboinosinic-polyribocytidilic acid (Poly I:C), the bacterial endotoxin lipopolysaccharide, the locally acting inflammatory agent turpentine, or selected inflammatory cytokines [18, 19].

- <u>Anatomy</u>: Reduced thickness of the neocortex and hippocampus, Decreased myelination and axonal diameters in the hippocampus, No loss of oligodendrocytes
- <u>Pharmacology</u>: Reductions of cortical Reelin immunoreactivity in the offspring

While this model may invite further research into the mechanisms involved in schizophrenia pathology, more conclusive data on the involvement of Reelin in schizophrenia and on the behavioral phenotype of the animal model are required before conclusions about the relevance of this model for schizophrenia can be made.

#### Neonatal hippocampal lesion

A neurodevelopmental model is generated by using adult rats with neonatal and adult ibotenic acid lesions of the ventral hippocampus, involving regions that directly project to the prefrontal cortex, i.e., ventral hippocampus and ventral subiculum and that correspond to the anterior hippocampus in humans [20].

• <u>Anatomy</u>: Frontal lobe abnormalities, dopamine system dysregulation. Molecular changes in the PFC (decreased NAA levels, GAD67 mRNA, BDNF mRNA), Shorter and less branched basilar dendrites and reduced spine density at the mPFC

- <u>Neurophysiology</u>: Increased mesolimbic/nigrostriatal dopamine transmission
- <u>Pharmacology</u>: Amphetamine-induced hyperactivity, Apomorphine-induced stereotypies, Reduced catalepsy to haloperidol MK-801, PCP-induced hyperactivity
- <u>Behavior</u>: Sensorimotor gating deficits, deficits in PPI and latent inhibition, impaired social behaviors and working memory problems

These models show the plausibility of neurodevelopmental damage having selected deleterious effects after a prolonged period of relative normalcy. However, lesion models have limited construct validity, as the schizophrenic brain does not manifest a "lesion" analogous to any of these models.

#### **Chronic phencycidine (PCP)**

These models involve the pharmacological blockade of NMDA receptors in adult animals, based on observations that noncompetitive NMDA antagonists, such as phencyclidine (PCP) and ketamine, exacerbate some psychotic symptoms in schizophrenic patients and have psychotomimetic effects in normal humans [21, 22].

- <u>Anatomy</u>: Fewer PFC synapses, decreased parvalbumin (PV+) in hippocampus, Increased astroglia process density w/o change in glia number
- <u>Neurophysiology</u>: Dysregulation of the firing patterns of mesolimbic and mesocortical dopaminergic neurons
- <u>Behavior:</u> Sensorimotor gating deficits, reversal learning and extra-dimensional set-shifting, impaired social interactions, No perseverative responding

Unlike the etiological or neonatal lesion models, the PCP approach does not, however, address the developmental component of schizophrenia.

#### Methylazoxymethanol (MAM)

MAM administration to pregnant rats to disrupt embryonic brain development [8, 9, 12, 23].

- <u>Histology/Anatomy:</u> Decreases in cortical thickness and increases in neuronal density (hippocampus, parahippocampal cortex, medial prefrontal cortex) and no differences in neocortical neuron number; Decreased parvalbumin expression in ventral hippocampus, medial and orbital prefrontal cortex.
- <u>Neurophysiology:</u> Abnormalities in corticocortical synaptic transmission, Striatal hyperdopaminergia, Altered glutamatergic neurotransmission in the hippocampus, Disruption of evoked gamma rhythms
- <u>Pharmacology</u>: Increased responsivity to psychostimulants (amphetamine, phencyclidine), rapid onset of antipsychotic drug effects on DA neurons
- <u>Behavior</u>: Cognitive dysfunction, sensorimotor gating deficits, latent inhibition reversal learning, extradimensional set-shifting, prepulse inhibition, reduced social interaction, perseverative responding

#### Box 2

#### The MAM-treated Rat as a Pathophysiological Model of Schizophrenia

Adult rats exposed to MAM (mg/kg) in utero at gestational day 17 show selective histopathology in mediodorsal thalamus, hippocampus, parahippocampal and prefrontal cortices [12] which may in part be due to decreased density of parvalbumin positive GABAergic interneurons throughout these regions [24]. In particular, reduced parvalbumin expression is seen in MAM treated rats in several regions associated with schizophrenia pathology such as the orbitofrontal and medial prefrontal cortex and hippocampus [25]. Reduced parvalbumin expression may impact on certain classes of cortical GABAaergic interneurons known to be decreased in schizophrenia [26].

Crucially, MAM-treated rats display elevated striatal dopaminergic activity, which is normalized by inactivating the subiculum, an output region of the MTL that projects to the nucleus accumbens via a polysynaptic pathway involving glutamatergic pyramidal neurons [8]. In healthy rats, activation of the subiculum increases subcortical dopamine activity [27]. In MAM-treated rats, over-activity in reciprocal signaling pathways between the MTL and striatum [8] due to a loss of γ-aminobutyric acid (GABA)ergic inhibition of pyramidal neurons in the MTL, leads to increased glutamate release in the striatum [28]. Increased activity in glutamate pyramidal neurons in the hippocampus leads to an increase in glutamate release in the striatum. This stimulates GABAergic neurons that project from the striatum to the ventral pallidum, thereby increasing inhibition of ventral pallidum GABAergic neurons, leading to the disinhibition of midbrain dopaminergic neurons and the increase in the release of dopamine from their terminals in the striatum. Dopaminergic neurons in the midbrain project back to the striatum and hippocampus, producing further disinhibition and forming a positive feedback loop [27]. The projections from the MTL to the striatum mainly terminate in its ventral (limbic) portion [29], which can, in turn, influence activity of dopamine neurons projecting to more dorsal (associative) striatal areas by a series of 'spiralling loop' connections with the midbrain [30, 31], and through MTL projections that overlap with those from prefrontal cortex in the striatum [32].

#### Box 4

## MAM-model Based Predictions for Human Studies and Methodological Limitations

The MAM model provides a framework for making testable predictions for clinical research studies in psychosis. For example, according to this model, people at high risk of psychosis, or in the early stages of a psychotic disorder, would be expected to show, relative to healthy controls:

- i. Increased resting state perfusion and activation in the MTL
  - Limitation: Whilst MR and PET perfusion imaging provide an absolute measure of resting cerebral blood flow (rCBF), functional MRI, provides only a proximal

and relative measure of neuronal activation. Thus predicting the polarity of a given effect is more difficult.

#### ii. Increased glutamate levels in the MTL and striatum

Limitation: The MAM model predicts increased glutamate release in the pathways projecting from the ventral hippocampus (subiculum) to the ventral striatum. Measurement of glutamate concentrations in humans using MRS are difficult at such an anatomically localized level.

#### iii. Reduced cortical and MTL GABA levels.

Limitation: Whilst GABA levels can be measured in the cortical areas using 1H-MRS, reliable measurement is more difficult in the MTL.

# iv. Increased dopamine release and neuronal activity in the midbrain and ventral striatum

Limitation: 18-Fluorodopa PET measures presynaptic dopamine syntheses but is not a direct measure of DA release in the synapse.

# v. Altered associations between glutamate levels in MTL and striatum and dopamine function in the striatum and midbrain.

Limitation: The MAM model demonstrates a causal relationship between increased activity in ventral hippocampal pyramidal neurons, increased glutamate release and increased DA release in the VTA and striatum. Currently non-invasive neuroimaging in humans can only establish correlational associations between different neurotransmitter function/levels.

vi. Altered functional relationships between the MTL, striatum and midbrain related to abnormal processing of novelty / motivational / emotional salience (i.e. attribution of salience to stimuli that would normally be non-salient).

Limitation: Testing this prediction requires complex effective connectivity modeling. The roles of GABA, Glu and DA signaling in such a model could only be inferred.

Human neuroimaging studies allow the measurement of brain structure, function and neurochemistry, all important elements of the MAM model. Moreover, recent multi-modal neuroimaging work has attempted to integrate different neuroimaging modalities to examine how these neurobiological factors interact. It should be considered however, that the potential for comparing various kinds of neurobiological data across animal and human studies has some limitations. In the context of the MAM model, and its predictions for clinical studies, human neuroimaging methods can only provide proximal measures of neuronal and neurotransmitter activity. For example, the electrophysiology techniques employed in studies of experimental animals provide a direct measure of neuronal activity that cannot be achieved with functional Magnetic Resonance Imaging (fMRI). Furthermore, microdialysis in freely moving animals allows for a dynamic measurement of neurochemistry that cannot be achieved with Positron Emission Tomography in humans. Similarly, Magnetic Resonance Spectroscopy (1H-MRS) can provide only a crude measure of neurotransmitter concentrations across large areas of tissue and cannot dissociate between metabolic and vesicular neurotransmitter concentrations, although glutamine levels are thought to be proportional to the vesicular glutamate fraction [15]. That said, the BOLD signal (measures with functional MRI) does reflect the neural response elicited by a stimulus [16] and is a 'down-stream' physiological measure of the neural activity directly measured by electrophysiological recordings. Furthermore, animal 1H-MRS allows the quantification of glutamate and GABA concentrations that can be verified with ex-vivo biochemical assays therefore providing a relevant measure of these neurotransmitters for preclinical research [17]. With these methodological caveats in mind, we review the human neuroimaging literature relevant to dysfunction in the putative hippocampal-midbrain-striatal circuit in schizophrenia and in individuals at ultra high risk (UHR) of developing the disorder, and discuss the extent to which the findings are consistent with the MAM model.

# Are Data from Studies in Schizophrenia Consistent with the MAM Model?

Neuroimaging studies in patients who have already developed a psychotic disorder have examined several different elements of the putative model (Box 4 describes testable hypothesis for clinical studies derived from the MAM model).

Studies using positron emission tomography (PET) and single-photon emission computed tomography (SPECT) have shown that in schizophrenia subcortical dopamine synthesis and release are increased [7, 36-42]. Structural MRI studies have demonstrated reductions in MTL volume [4, 43-46], while fMRI studies have revealed altered MTL activity at rest [47-51], and altered MTL activation during cognitive tasks involving the processing of salient information in the domains of emotion [52–54], novelty [55], and reward processing [56]. Schizophrenia has also been associated with increased hippocampal glutamate levels [57, 58], although increased glutamate levels have also been identified in several regions other than the MTL [57, 59-61]. Moreover, both increased [62, 63] and decreased glutamate levels have been reported in cortical and striatal regions [64–66]. These inconsistencies may be related to between-study and within-study variation in the age, illness stage and the treatment history of the patients studied. One study has reported that GABA levels in the hippocampus are increased in schizophrenia [67], while a more recent study found no differences between patients with schizophrenia and healthy controls [66]. While these observations are broadly consistent with the MAM model, their interpretation is potentially confounded by the effects of illness and its treatment with antipsychotic medication [68–71].

The effects of antipsychotics may be particularly confounding, as these drugs act on central dopamine receptors [2] and even a small amount of treatment may have an effect: a single dose of antipsychotic medication can increase hippocampal perfusion in healthy volunteers [72]. Brain glutamate levels are also affected by antipsychotic medication [73], and may also vary with the stage of psychotic illness, with different findings in patients studied at illness onset compared to patients who have a long duration of illness [57].

# Studies in Subjects at UHR for Psychosis

The MAM model is particularly relevant to the *development* of psychosis, rather than to the established disorder. Hence, experimental studies in people who are experiencing prodromal symptoms and are at high risk of becoming psychotic are especially useful in the context of assessing the validity of the MAM model. These individuals present to mental health services with a clinical syndrome characterized by 'attenuated' psychotic symptoms, and about a third will develop a psychotic disorder within 2 years [74]. They have thus been termed at 'Ultra High Risk' for psychosis. A further advantage in studying this group is that some of the factors that potentially confound the interpretation of data from chronic patients, such as antipsychotic medication, are minimized. Finally, longitudinal studies in UHR subjects provide a means of examining the human brain before and after the onset of psychosis in the same individual, which is an ideal paradigm of investigating factors relevant to the onset of psychosis. The present review has therefore particularly focused on data from this group.

#### **Dopamine Dysfunction**

PET studies have recently found that dopamine function is elevated in people at UHR for psychosis [75, 76], particularly in the subgroup that subsequently develops a psychotic disorder [77, 78]. This is evident in both the striatum and in the midbrain [77, 78] and a longitudinal PET study suggests that there is a progressive increase in striatal dopamine function as psychosis develops [79]. Striatal dopamine dysfunction in UHR cohorts is reported in the associative subdivision of the striatum, whereas no effects have been found on the ventral striatum [75–77, 80].

#### Medial Temporal Lobe Abnormalities

Several MRI studies using region of interest (ROI) or whole-brain voxel-based morphometric (VBM) methods have reported reduced hippocampal grey matter volume in UHR individuals relative to healthy controls [81–86]. Although not all studies have found reductions in hippocampal volume (e.g., [87]), a meta-analysis found that, overall, there was a significant reduction in MTL volume in UHR subjects [88]. There is also evidence that these reductions are greatest in the subgroup of UHR subjects who develop psychosis subsequent to scanning [88–90]. Within the MTL, reductions in volume have often been localized to the anterior part of the left parahippocampal gyrus [89, 90].

MTL function is also altered in UHR populations. In an fMRI study using a verbal memory task, UHR subjects showed reduced activation in the left parahippocampal gyrus during word encoding, and altered hippocampal engagement bilaterally during correct word recognition [91]. Furthermore, in a longitudinal fMRI study, clinical and functional improvement in UHR subjects was associated with a longitudinal normalization of altered activation in the right parahippocampal gyrus during a working memory task [92]. Increased hippocampal activation during a verbal fluency task has also been reported in UHR subjects that developed psychosis relative to those that did not [78]. Increases in activation in MTL regions, particularly in the amygdala, have been reported in UHR cohorts during abnormal emotional salience attribution, in terms of hyperactivation of emotional brain regions to

otherwise neutral stimuli [93]. Interestingly, such hyperactivation may predict levels of psychotic symptoms and global functioning [94]. Schobel and colleagues [95] found that resting regional cerebral blood volume (CBV) was increased in the CA1 region of the hippocampus in UHR subjects who subsequently developed psychosis. A longitudinal follow-up in this cohort showed that the onset of psychosis was associated with a progressive increase in CBV that extended from the CA1 region into the subiculum [95].

#### **Glutamate and GABA dysfunction**

<sup>1</sup>H-MR spectroscopy (MRS) in UHR individuals suggests that glutamate levels in the thalamus are lower than in healthy controls [96], and are associated with poor clinical and functional outcomes [97]. Independent work has reported that both UHR and first episode subjects have higher levels of glutamate in the caudate nucleus than controls [80], and that UHR subjects that subsequently developed psychosis had higher striatal glutamate levels than UHR subjects who did not become psychotic [98]. A study that examined a medial prefrontal region failed to find altered glutamate or glutamine in UHR or first episode subjects, but did find reductions in chronic patients [99].

Currently, there are no published neuroimaging studies reporting GABA concentrations in UHR subjects. These are, however, of great interest, as the MAM model proposes that excessive glutamatergic activity in the MTL is secondary to GABA dysfunction.

### Multimodal Imaging Studies in UHR Subjects

Multimodal neuroimaging studies provide a particularly useful source of data for examining putative interactions within the MAM model between MTL activity, glutamate and dopamine function. A number of recent studies have thus acquired different types of neuroimaging data from the same UHR subjects (summarized in Table 1).

#### **Glutamate and Grey Matter Volume**

Stone and colleagues [96] investigated the relationship between regional glutamate levels and grey matter volume by combining <sup>1</sup>H-MRS and volumetric MRI. In UHR subjects, the degree to which thalamic glutamate levels were reduced was directly correlated with the magnitude of the reduction in grey matter volume in the MTL. No such relationship was evident in the controls. This suggests that thalamic glutamatergic dysfunction in UHR individuals is associated with cortical structural abnormalities.

#### **Glutamate MRS and fMRI**

Animal studies have shown that hippocampal glutamate is critically involved in memory encoding [100]. Combining fMRI data acquired during memory encoding and <sup>1</sup>H-MRS glutamate measures, Valli and colleagues [101] found that in control subjects, MTL activation was positively correlated with hippocampal glutamate levels, but that this relationship was not evident in UHR subjects. This suggests that in UHR subjects there may be a breakdown in the normal relationship between hippocampal glutamate levels and MTL activation. Another fMRI study in UHR subjects examined the relationship between thalamic glutamate levels and activation during a verbal fluency task [102]. The relationship

between thalamic glutamate levels and both MTL and PFC activation was significantly altered in UHR subjects compared to controls. Further work suggests that the relationship between thalamic glutamate levels and PFC function is particularly perturbed in UHR subjects with poor functional outcomes [103].

#### **Glutamate and Dopamine**

The MAM model proposes that striatal hyperdopaminergia is driven by upstream changes in hippocampal glutamate function. Using <sup>1</sup>H-MRS and <sup>18</sup>F-DOPA PET data from the same individuals, Stone and colleagues [104] found a negative relationship between MTL glutamate and striatal dopaminergic function in UHR subjects that was absent in controls, and was most marked in the UHR subjects that subsequently developed psychosis.

#### Dopamine and fMRI

Allen and colleagues [105] used a verbal memory task to examine the relationship between MTL activation and striatal dopaminergic function, combining fMRI and <sup>18</sup>F-DOPA PET in the same subjects. The relationship between striatal dopamine function (in the limbic subdivision) and MTL activation during both verbal encoding and recognition in UHR subjects was significantly different to that in controls. In controls, there was a negative correlation between activation averaged across the subiculum and hippocampus during correct recognition trials, and dopamine levels in the limbic striatum: this correlation was absent in the UHR group.

Using a salience attribution task, Roiser and colleagues report that UHR subjects attributed inappropriate importance to unrewarded stimuli [106], and that this was associated with altered activation in the ventral striatum and an altered relationship between hippocampal responses and striatal dopamine function [106]. These findings are broadly consistent with the model proposed by Kapur and colleagues, which suggests that salience processing is perturbed prior to the onset of psychosis, and is driven by abnormal striatal dopamine function [34].

Fusar-Poli and colleagues combined fMRI and <sup>18</sup>F-DOPA PET to examine the relationship between prefrontal cortical activation (using a working memory task) and striatal dopamine function in people at UHR of psychosis [107]. In UHR subjects, dorsolateral PFC activation was negatively correlated with presynaptic dopamine function in the associative striatum, whereas in controls the correlation was positive. A similar study using <sup>18</sup>F-DOPA PET and fMRI in conjunction with a verbal fluency task found that in UHR subjects, the ventral PFC response was positively correlated with the level of striatal dopamine function, a relationship that was absent in controls [108]. Collectively, these findings suggest that subcortical dopamine dysfunction in UHR subjects is related to alterations in both medial temporal and prefrontal function. This is consistent with the notion that descending inputs from cortical regions may drive elevated dopamine function in psychosis [8, 9]. Furthermore, while the MTL and PFC each have a well-established role in cognitive processes, the precise ways in which these regions interact to support these functions is not fully understood. Research in rodents shows that a projection of neurons extending from the CA1 region of the hippocampus and subiculum to the PFC is critically involved in aspects of cognition related

to executive function [109]. The implications for the MAM model of putative MTL-PFC dysregulation in psychosis are currently unclear. Figure 1 displays MTL/PFC – dopamine correlations in UHR subjects across a range of cognitive tasks.

#### **Longitudinal Multimodal Studies**

Schobel and colleagues [110] reported that UHR subjects showed increased hippocampal perfusion in the CA1 subfield of the hippocampus at presentation, and that this was associated with a longitudinal reduction in hippocampal volume during the progression to psychosis, especially in the CA1 field and the subiculum/ventral hippocampus. Although this study did not examine interactions with striatal dopamine levels or *in vivo* measures of glutamate function, in a series of related experiments in mice, the authors found that similar changes in hippocampal CBV and volume could be induced by ketamine, and were dependent on local glutamate release. Furthermore, these volumetric changes were associated with a local reduction in parvalbumin positive GABA neurons. Excessive glutamate concentrations around neurons can result in excitotoxicity through the influx of calcium ions [111]. The notion that increased glutamate levels might lead to reduction in grey matter volume is consistent with data from neuroimaging studies that have combined MRS and MRI in first-episode patients and individuals at UHR [61, 96].

#### To What Extent Do the Human and Animal Data Converge?

The data reviewed above suggest that a number of findings from neuroimaging studies in schizophrenia patients are broadly consistent with the MAM model. Studies in UHR populations have produced similar results, revealing altered interactions between regions and neurotransmitter systems implicated in the MAM model.

A prediction central to the MAM model is increased dopamine function in the ventral (limbic) striatum, although MAM rats also display hyperactivity in the lateral VTA, which projects to the associative striatum [31]. Independent groups have confirmed striatal dopamine dysfunction in schizophrenia patients and people at UHR but mainly in the associative (dorsal) striatum, with a lack of effects in its ventral portion [75–77, 80]. These findings in humans represent an inconsistency between the predictions derived from the MAM model and clinical observations. One multimodal imaging study reports an association between MTL functional activation and dopamine levels in the ventral striatum [105] and it has been established that MTL projections to the ventral striatum can influence activity of dopamine neurons in the associative striatum via connections with the midbrain [30, 31]. Nevertheless, an important question still remains about dopaminergic dysfunction in different striatal subdivisions and further research is warranted to resolve the disparity between MAM model predictions and clinical observations regarding dopamine dysfunction.

Whilst evidence of increased caudate glutamate levels [80], and of altered relationship between MTL glutamate levels and striatal dopamine in UHR populations, are in line with the MAM model, there is also evidence that glutamate levels are reduced in the thalamus [96]. Although the MAM model does not make specific predictions about glutamate activity in this region, the thalamus is a key component of the circuit that links the MTL and PFC to

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the striatum and the midbrain [112]. According to the MAM model, cortical glutamate levels are increased due to a reduction in GABAergic inhibition of local pyramidal neurons [14]. However, MRS studies in patients with schizophrenia have reported both increased and decreased cortical glutamate levels. Decreases have been described in the medial prefrontal cortex [57], whereas increases have been reported in the hippocampus [67]. Most studies in unmedicated first episode psychosis patients have found elevated glutamate and glutamine levels in the hippocampus, anterior cingulate and thalamus [58, 60]. This potentially confusing set of findings may partly reflect a variation in the nature of alterations in glutamate levels according to the stage of psychotic illness [57]. Longitudinal MRS studies could help to resolve this issue, but there are few such studies in the literature [61, 97]. Nevertheless, across a variety of regions, glutamate levels in UHR and psychotic subjects have often been found to be increased. The reduction in thalamic glutamate levels could be related to increased cortical glutamate levels; overactivity in thalamic pyramidal neurons (perhaps due to NMDA receptor dysfunction on local GABAergic interneurons) may result in a depletion of local glutamate levels, but an increase in glutamate release from the dense projections of the thalamic neurons to cortical regions. This would be consistent with evidence of both reduced thalamic and increased cortical glutamate levels in the same UHR subjects [96].

The MAM model also predicts that cortical GABA levels should be decreased in psychosis due to loss and dysfunction of inhibitory GABAergic interneurons. There have only been a small number of MRS studies of GABA in patients with schizophrenia, but these have found increases in cortical GABA levels [67]. This has been interpreted as reflecting a compensatory increase in firing by unaffected GABAergic interneurons [113]. As with MRS studies of glutamate, disease stage may influence the nature of the findings: for example, GABA levels in the basal ganglia appear to be reduced in patients in the early stage of psychosis, whereas increased GABA levels in the anterior cingulate cortex and the parieto-occipital cortex have been reported in chronic patients [15]. Antipsychotic medication may also affect MRS measures of GABA [15]. To date, no studies have examined GABAergic function in medication naïve patients with psychosis, or in UHR subjects. Similarly, how GABA levels relate to glutamate levels in the same individual has yet to be investigated.

It is important to bear in mind that studies in UHR and psychotic subjects have also identified neurobiological findings in other regions and pathways that are not directly related to the MAM model. Thus, structural and functional alterations in UHR and psychotic subjects are not restricted to a circuit involving the MTL, striatum and midbrain: rather, the onset of psychosis had also been associated with alterations in the structure, function and connectivity of the prefrontal, anterior cingulate, lateral temporal and cerebellar cortices [82, 89–91, 114, 115]. Similarly, the model does not postulate a mechanistic role for other neurobiological factors that are potentially relevant to psychosis, such as the endocannabinoid system [116] and neuroinflammation [117, 118] (Box 5).

#### Box 5

#### **Outstanding Questions**

What Does the Model Not Explain About the Onset of Psychosis?

- The MAM model provides a testable neurobiological framework in which to formulate hypotheses about the development of psychosis in humans. However, there are some factors that are implicated in the development of psychosis that it does not incorporate. Psychosis has a strong genetic component ([119]), but the role of specific risk genes in the model has yet to be determined.
- Work in experimental animals suggests that stress can influence brain GABA function in the MTL [120–122]. MAM-treated rats are anxious and hyper-responsive to stress [123], and peripubertal administration of benzodiazepines prevents MAM-induced pathology, blocking the elevation in dopamine function normally seen in MAM-treated animals [124]. Stress could also lead to changes in the MTL through its effects on cortisol levels. Cortisol levels are altered in UHR subjects [125–127], and are associated with reduced MTL volume in first episode psychosis [128].
- Alterations in the PFC could influence the MAM model circuit in a number of ways. Research in rodents shows that neurons in the CA1 region of the hippocampus and the subiculum project directly to the PFC [109]. It is possible that the hippocampal–PFC–striatal projections regulate dopamine levels at rest, but in the presence of salient stimuli, the direct connection between the hippocampus and striatum by-passes the PFC [129, 130]. The PFC is also one of the few cortical areas that has direct projections to dopaminergic neurons in the midbrain [131].

## **Concluding Remarks**

There is a substantial body of evidence from a range of studies in patients with psychosis and individuals at UHR for the disorder that supports the MAM model. Much of the human data has come from neuroimaging studies, including unimodal studies of a particular component of the model and multimodal studies that have examined more than one component.

Multimodal studies can be particularly informative as they allow an assessment of the putative interactions between different components that are thought to be critical to the model. Longitudinal multimodal studies also allow investigation of the chronology of these alterations. Overall, the literature indicates that a hippocampal-midbrain-striatal circuit is abnormal in psychosis, and that this involves alterations in MTL structure and activity, and changes in glutamate and dopamine function. However, caution is needed when comparing various kinds of data across rodent and human studies, as these are not measuring precisely the same neurophysiological and neurochemical processes.

Crucially, further work is required to clarify the chronology of these alterations in humans, and their etiology. Longitudinal multimodal studies in high-risk subjects, and studies that integrate neurobiological findings with genetic and environmental risk factors, are crucially needed to address these issues. In addition, the model provides a basis for evaluating the impact of novel experimental and clinical interventions, such as the administration of compounds that act on GABA or glutamate function in people at high risk of psychosis.

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#### Box 3

#### Link Between Pathophysiology And Behavior

Lisman and Grace propose that activation of the hippocampal-midbrain loop begins when the hippocampus receives new information not already stored in long-term memory [14]. The resulting novelty signal is conveyed through the hippocampal subiculum, nucleus accumbens, and ventral pallidum to the ventral tegmental area (VTA) where it contributes to novelty-dependent firing of dopaminergic cells. In the ascending arm of the loop, dopamine (DA) is released within the hippocampus enhancing Long Term Potentiation (LTP, a form of synaptic plasticity important for learning [13]).

Functional Magnetic Resonance Imaging (fMRI) studies in healthy human subjects suggest that VTA activation is driven by absolute rather than relative novelty [33] as well as other types of salient stimuli. Thus, the human VTA, when activated with the hippocampus, contributes to enhanced learning in the context of absolute novelty. However, as psychosis develops, increased striatal dopamine release may perturb the hippocampal-VTA loop and disrupt the normal attribution of salience, such that nonnovel or unrewarding stimuli become salient. This is thought to underlie the development of the inappropriate associations that underlie psychotic symptoms, particularly delusions [34]. Disruption of dopaminergic signaling in the same network may also alter PFC function and the cognitive impairments widely seen in schizophrenia patients [35].



#### Figure 1.

Altered relationship between cortical activation and subcortical dopamine function in subjects at Ultra High Risk of Psychosis (UHR). (A) Functional activation in the left inferior frontal gyrus (IFG) during verbal fluency is positively correlated with presynaptic dopaminergic activity in the associative striatum [108]. (B) Functional activation in the right IFG during working memory is positively correlated in healthy controls but negatively correlated in UHR subjects [107]. (C) Functional activation in the medial temporal lobe (MTL) during verbal encoding is positively correlated with subcortical dopamine levels in UHR subjects but not in healthy controls [105]. (D) MTL activation during verbal recognition was negatively correlated with dopamine levels in the healthy control group but not in the UHR group [105]. (E) Abnormal interaction between functional activation in right hippocampus and subcortical dopamine function during the processing of reward salience [106]. Ki = 18F-fluorodopa influx constants. All figures adapted with permission from the author's original work.

# **TABLE 1**

Multimodal imaging studies in people at Ultra High Risk of psychosis.

Ref.	Modalities	Findings		нс			UHR	
			u	Age	M/F	u	Age (SD)	M/F
Roiser et al., 2013	fMRI (salience attribution task) & 18F-DOPA PET	<b>UHR:</b> negative correlation between striatal dopamine synthesis capacity and hippocampal activation to irrelevant stimulus features. <b>HC</b> : opposite correlation	18	26.5 (6)	10/8	18	25.7 (4.3)	7/11
Schobel et al., 2013	Perfusion MRI & sMRI	<b>UHR:</b> Hippocampal hypermetabolism at baseline predicted hippocampal atrophy, which occurred during progression to psychosis		ı		$\frac{15\mathrm{NP}}{10\mathrm{P}}$	$\frac{19.3(3.9);}{20.4(3.6)}$	$\frac{13/2;}{9/1}$
Allen et al., 2012	fMRI (episodic memory task) & 18F-DOPA PET	<b>UHR:</b> positive correlation between hippocampal activation during memory task and 18F-DOPA uptake. <b>HC:</b> opposite correlation	14	25.7 (4.1)	9/5	20	26.3 (5.1)	10/10
Fusar-Poli et al., 2011	fMRI (VF task) & 18F-DOPA PET	<b>UHR</b> : positive correlation between striatal dopamine synthesis capacity and activation in the IFC. <b>HC</b> : no correlation	14	25.5 (3.6)	10/4	20	26.7 (5)	11/9
Fusar-Poli et al., 2011	fMRI (VF task) & 1H-MRS	<b>UHR</b> : positive association between thalamic glutamate levels and activation in hippocampus and in temporal cortex; negative association between thalamic glutamate levels and activation in prefrontal cortex. <b>HC</b> : opposite correlation in the prefrontal and temporal cortex and in the hippocampus.	17	25.5 (3.6)	10/7	24	26.7 (5)	23/1
Valli et al., 2011	fMRI (episodic memory task) & 1H-MRS	<b>HC:</b> positive correlation between MTL activation during episodic encoding and MTL glutamate. <b>UHR:</b> no correlation	14	25.6 (3.7)	6/8	22	25.72 (4.9)	12 / 10
Fusar-Poli et al., 2010	fMRI (WM task) & 18F-DOPA PET	<b>UHR</b> : negative correlation between striatal dopamine synthesis capacity and prefrontal activation. <b>HC</b> : opposite correlation	14	25.5 (3.6)		20	26.6 (5)	
Stone et al., 2010	1H-MRS & 18F-DOPA PET	<b>UHR</b> : negative relationship between hippocampal glutamate levels and striatal dopamine synthesis capacity. <b>HC</b> : no correlation	12	ı	,	16	ı	,
Stone et al., 2009	lH-MRS & sMRI	$\mathbf{UHR}:$ level of thalamic glutamate positively correlated with GMV in the MTL and insula. $\mathbf{HC}:$ no correlation	27	25 (4)	14/13	27	25(5)	19/8
Note: CRV Cerebral B1	lood Volume: EG Erontal Gurns: fMBI	finctional magnetic resonance imaging. Glv- glutamate plus glutamine. G	O AN	rav Matter V	I omulo/	nc n <sub>aolt</sub> h	Controls: IEC 1	nfarior

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Frontal Gyrus; MMN, Mismatch Negativity; MRS, magnetic resonance spectroscopy; MTL, Medial Temporal Gyrus; NP, No Psychosis; P; Psychosis; R, Right; sMRI, structural magnetic resonance imaging; UHR, Ultra High Risk; VF, verbal fluency; WM, working memory; WMV, White Matter Volume. 5 4 UM V, UTAY MALLET UN, 81 â AULC.