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*MINIREVIEWS*

# **Emerging links between type 2 diabetes and Alzheimer's disease**

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# **Abstract**

Type 2 diabetes mellitus and Alzheimer's disease are both associated with increasing age, and each increases the risk of development of the other. Epidemiological, clinical, biochemical and imaging studies have shown that elevated glucose levels and diabetes are associated

with cognitive dysfunction, the most prevalent cause of which is Alzheimer's disease. Cross sectional studies have clearly shown such an association, whereas longitudinal studies are equivocal, reflecting the many complex ways in which the two interact. Despite the dichotomy, common risk and etiological factors (obesity, dyslipidemia, insulin resistance, and sedentary habits) are recognized; correction of these by lifestyle changes and pharmacological agents can be expected to prevent or retard the progression of both diseases. Common pathogenic factors in both conditions span a broad sweep including chronic hyperglycemia per se, hyperinsulinemia, insulin resistance, acute hypoglycemic episodes, especially in the elderly, microvascular disease, fibrillar deposits (in brain in Alzheimer's disease and in pancreas in type 2 diabetes), altered insulin processing, inflammation, obesity, dyslipidemia, altered levels of insulin like growth factor and occurrence of variant forms of the protein butyrylcholinesterase. Of interest not only do lifestyle measures have a protective effect against the development of cognitive impairment due to Alzheimer's disease, but so do some of the pharmacological agents used in the treatment of diabetes such as insulin (especially when delivered intranasally), metformin, peroxisome proliferator-activated receptors γ agonists, glucagon-like peptide-1 receptor agonists and dipeptidyl peptidase-4 inhibitors. Diabetes must be recognized as a risk for development of Alzheimer's disease; clinicians must ensure preventive care be given to control and postpone both conditions, and to identify cognitive impairment early to manage it appropriately.

**Key words:** Cognition; Insulin resistance; Insulin; Butyrylcholinesterase; Dementia

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**Core tip:** Type 2 diabetes mellitus is a risk factor for future development of Alzheimer's disease, the most prominent cause of cognitive failure in the elderly. Common pathogenic mechanisms underpin both



conditions. Therapeutic strategies in prevention (lifestyle changes) and pharmacological agents (biguanides, intranasal insulin, thiazolidinediones, glucagon-like peptide-1 receptor agonists and dipeptidyl peptidase-4 inhibitors could also be useful against Alzheimer's disease.

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### **INTRODUCTION**

The prevalence of diabetes mellitus is inexorably rising as evidenced by data from local, national and international studies<sup>[1-3]</sup>. Improved care of diabetes as well as general increase of longevity are predictive of greater proportion of elderly in the general population. It is well known that the critical problems of the aged relate to impaired activities of daily living and of cognitive decline. It is also recognized that compared to persons without diabetes, those with diabetes have dementia two to three times more commonly $[4]$ . The economic and social burden on the health-care system as well as on care-providers would be enormous. It is essential if methods are available, to prevent or postpone the onset of both conditions; lifestyle changes appear to be effective in preventing both conditions<sup>[5]</sup>.

#### **CAUSES OF DEMENTIA**

Dementia may be broadly classed into Alzheimer's disease, vascular dementia, dementia with Lewy bodies and frontotemporal dementia<sup>[4]</sup>. Of these, Alzheimer's disease is the most common type $^{[6]}$ .

#### *Dementia in diabetes: Epidemiological studies*

A number of epidemiological studies have shown an association of dementia with diabetes. In the Hisayama study (1995), the relative risk (RR) of Alzheimer's disease was 2.18 (95%CI: 0.97-4.9) and of vascular dementia 2.77 (95%CI: 2.59-2.97)<sup>[7]</sup>. A later publication from the same study group (2011) showed an RR of 2.05 (95%CI: 1.18-3.57) for Alzheimer's disease and 1.82 for vascular dementia (95%CI:  $0.89-3.71$ <sup>[8]</sup>. The Rochester Study (1997) reported differences in the risk of Alzheimer's disease based on gender: RR was 2.27 for men (95%CI: 1.55-3.31) and 1.37 for women (95%CI:  $0.94 - 2.01$ <sup>[9]</sup>. The well cited Rotterdam Study (199) showed a relative risk of 1.9 for Alzheimer's disease (95%CI:  $1.2 - 3.1$ <sup>[10]</sup> (Table 1).

# *Conflicting results: Cross sectional vs longitudinal studies*

Although there is a consensus from cross-sectional



AD: Alzheimer's disease.

studies that hyperglycemia causes cognitive impairment, results from longitudinal studies are conflicting<sup>[4]</sup>. Two recent longitudinal studies show up differences in the occurrence of Alzheimer's disease and glucose tolerance.

The Baltimore Longitudinal Study of aging prospectively assessed a cohort of community-dwelling individuals. An investigation was made to relate serial glucose intolerance, insulin resistance with brain β amyloid burden measured *in vivo* using carbon 11-labelled Pittsburgh Compound B. The latter is utilized to image β-amyloid (Aβ) *in vivo* with PET scan; <sup>11</sup>C-Pittsburgh compound-B (11C-PiB), a PET Aβ ligand, is widely employed for early diagnosis of Alzheimer disease. It allows quantitative analysis of Aβ burden. Derived as a carbon-11 labelled thioflavin-T amyloid dys, it binds to Aβ plaques with high specificity and affinity. <sup>11</sup>C-Pittsburgh compound-B (11C-PiB), correlates with the rate of cerebral atrophy. Pathological process for Alzheimer's disease was established at autopsy<sup>[11]</sup>. Analysis was carried out using grouped and continuous mixed-models analyses. The key result of the study was, there was *no* significant correlation of brain markers of Alzheimer with insulin resistance or glucose intolerance during the follow up period of 22.1 years  $(SD:8.0)^{[11]}$ .

In contrast, a group from the University of Washington, which evaluated whether higher glucose levels increase the risk of dementia in those without diabetes, found that they did $[12]$ . Participants, without dementia were drawn from the Adult Changes in Thought study (839 men, 1228 women, mean baseline age 76 years). In all 35264 glucose levels and 10208 glycosylated hemoglobin levels were analyzed. They were followed up for a median of 6.8 years. 524 subjects developed dementia (74 of 243 with diabetes and 450 of 1228 without diabetes). Higher levels of average glucose levels were related to development of dementia in both groups, ie those with and without known diabetes. The authors conclude that "higher glucose levels may be a risk factor for dementia, even among persons without  $diabetes''<sup>[12]</sup>$ .

Can the conflicting results of these two rigorous, well-designed studies be resolved? The Baltimore study used both neuroimaging as well as autopsy to identify Alzheimer's pathological processes. The Adult Changes in Thought study performed a 6 year follow up in a large group of well-defined elderly. In the former, insulin resistance and glucose intolerance were not a risk factor for Alzheimer's changes; in the latter, higher glucose levels even among those without diabetes may be a risk factor for dementia.

The apparent differences can be attributed to the variety of pathological changes in diabetes leading to dementia including Alzheimer's disease: chronic hyperglycemia, hypoglycemia (acute and recurrent), glycosylated of proteins, vascular disease, endothelial dysfunction, inflammation, altered blood brain barrier, dyslipidemia, insulin resistance, genetic predisposition, amyloid deposition and depression, among others $[13]$ . More sensitive methods of measuring brain volume as a surrogate of cognitive function may throw light $[4]$ . In addition, there is a flaw in using brain markers such as plaques in diagnosis of Alzheimer's disease: subjects may have amyloid plaques, yet display no symptoms of Alzheimer's disease throughout their life. This discrepancy between the presence of plaques and Alzheimers could play a role for a lack of finding a link between diabetes and Alzheimer's disease.

#### *Hyperglycemia*

Hyperglycemia is a recognized risk factor for cognitive impairment as shown by the ACCORD-MIND study and others<sup>[14,15]</sup>. Biological reasons for such changes were ascribed to neural damage following advanced glycosylated end products and oxidative stress, osmotic stress damaging the blood brain barrier and resultant leak of toxic substances leading to further damage of nervous structures $[4]$ . In addition to chronic hyperglycemia as assessed by glycated hemoglobin, glycemic variability was also proposed to contribute to cognitive dysfunction. Measurements by continuous glucose monitoring revealed cognitive function was better correlated with diurnal variation in blood glu- $\cos e^{[16]}$ . Post prandial glucose levels could also be a contributing factor, acting *via* oxidative stress<sup>[4]</sup>.

#### *Hypoglycemia*

Although severe hypoglycemia was shown to be associated with dementia in the elderly<sup>[17]</sup>, when hypoglycemia is avoided by careful treatment as in the DCCT/EDIC study, there was no association between hypoglycemia and cognitive dysfunction<sup>[18]</sup>. In the elderly however, hypoglycemia, when coupled with atherosclerosis leads to organic brain damage which is often irreversible $[4]$ .

#### *Role of insulin in brain*

Cognition may be affected not only by alterations in the level of glucose, but also *via* the action of insulin. Upon transport through the blood brain barrier, insulin binds to its receptors, and is involved in modulating cognitive function. A large number of insulin receptors occur in brain areas related to memory such as the hippocampus and cerebral cortex. In addition it also aids the release of β-amyloid peptide extracellularly, and increases the expression of the enzyme which degrades insulin, insulin degrading enzyme  $(IDE)^{[4]}$ . As the latter also degrades β-amyloid peptide, insulin deficiency results in accumulation of β-amyloid peptide.

Both hyperinsulinemia and hyperglycemia were shown to increase neuritic plaque formation $[19]$ .

Information is being available about the origin of insulin in the brain and its role in cognition. Originally, brain was considered to be insulin insensitive because insulin did not influence the glucose uptake by the bulk brain. However insulin has been shown to be a neuroregulatory peptide playing a role in food intake and in monitoring the energy stores of the body<sup>[20]</sup>. Interestingly a role for insulin in modulation of memory and cognition is also emerging. Its action has been observed in regions associated with reward recognition such as hippocampus, and in global cognition and memory. Rather than passing across the blood brain barrier from the periphery, insulin appears to be produced locally for action as a neurotransmitter, regulated by glucose levels. In addition a paraarteriolar pathway for transport at the level of microvasculature has been proposed<sup>[20]</sup>.

The role of insulin in the pathogenesis of Alzheimer disease has been described. Insulin can modulate Aβ peptide *in vitro*. The peptide is well known as a neuropathological hallmark of AD. Low levels of insulin in the brain can decrease the Aβ release into extracellular compartments. In addition hypoinsulinemia in the central nervous sytem can lower the levels of insulin-degrading enzyme, thereby impairing Aβ clearance. In all, chronic hyperinsulinemia in the peripheral circulation, along with decreased uptake of insulin into the brain can lead to dysregulation of Aβ and inflammation<sup>[21,22]</sup>.

#### *Role of microvascular disease in cognitive decline*

Studies have shown that retinopathy and nephropathy are associated with impairment of cognition<sup>[22,23]</sup>. Small vessels in both organs arise from a similar embryonic antecedent and share similar structures; it is conceivable therefore that insults (*e.g.*, increased polyol pathway activity, myo-inositol dysmetabolism) result in similar adverse reactions in frontal lobe of the cerebral cortex leading to cognitive decline<sup>[4]</sup>. However, evidence is not unequivocal. Retinopathy is related to microvascular changes in diabetes. Because the retina shares many features with the brain, both developmental, anatomical (*e.g.,* microvascular bed) and physiological (*e.g.,* blood-tissue barrier), changes in retina were suggested to presage brain pathological processes. Alzheimer's disease is known to involve the retina, such as the macula and the optic disc. It has been suggested that pathological changes in the retina such as macular deposits, reduced thickness of retinal nerve, cupping of optic disc and retinal microvascular changes may be related to cognitive dysfunction and Alzheimer disease<sup>[24]</sup>.

#### *Insulin resistance and Alzheimer's disease*

Downstream insulin signaling acts through a complex interplay involving phosphatidylinositol 3-kinase (P13K0 and mitogen activation protein kinase (MAPK).



The latter is associated with most metabolic effects of insulin. Unlike its peripheral effects, the action of insulin in the central nervous system is dependent on its crossing the blood brain barrier *via* direct transfer<sup>[25]</sup> through an insulin receptor protein, which is selectively distributed. In addition there is also local synthesis of insulin in the CNS. Unlike peripheral insulin receptors, those in the CNS differ in terms of structure, function and size. They are highly populated in the olfactory bulb, hypothalamus, cortex, cerebellum, hippocampus and are expressed in both neurons and glia<sup>[26]</sup>.

The physiological effects of insulin in the brain are unlike those in the periphery: in an animal model it suppressed food intake and increased the level of glucose<sup>[27]</sup>, acting in a way as its own counterregulation<sup>[25]</sup>. Compared to its weight, the brain depends on a larger amount of glucose for its metabolic needs compared to other tissues. Glucose reaches it *via* facilitated diffusion transported by glucose transport proteins. In the brain, insulin does not have a major effect on either the transport of glucose to the brain or its basal metabolism[28,29]. While it is not a major regulator of glucose metabolism in the brain, insulin indirectly affects neurons by modulating neurotransmitter release, neural growth, tubulin activity, nerve survival and synaptic plasticity<sup>[26]</sup>. In humans, insulin improves cognition independent of its effects on peripheral glucose<sup>[30]</sup>.

Whereas acute increases of insulin improve cognition, chronic hyperinsulinemia can adversely affect neuronal function *in vitro* by increasing susceptibility to toxin and stress-induced effects<sup>[31]</sup>. Glycated proteins and inflammatory mediators could also have a pathogenic role<sup>[25]</sup>.

#### *Protein aggregation, diabetes and Alzheimer's disease*

Protein aggregation has been suggested to be an underlying pathogenic factor between type 2 diabetes and Alzheimer's disease<sup>[32]</sup>. A number of hypotheses were proposed to explain why a biological protein can be transformed into a pathological entity with the ability to self-assemble: aging, high concentrations of the protein, mutation of amino acids or abnormal posttranslational modification, modulated by environmental factors<sup>[32]</sup>.

Alzheimer's disease is associated with accumulation of neurofibrillary tangles and amyloid fibers leading to neuronal cell loss. Amyloid β peptides form from cleavage of amyloid β-protein precursor seen in plaques. It is organized as amyloid fibrils, which are  $linear$  aggregates<sup>[32]</sup>. Diabetes is also characterized by localized and progressive amyloid deposition in the pancreatic  $β$  cell islets<sup>[33]</sup>. Common features of amyloid deposited in both Alzheimer's disease and diabetes include: linear appearance, with a betasheet structure, which begin to form from spherical oligomers that can self-assemble<sup>[34]</sup>. The islet amyloid peptide is secreted by  $β$  cells of the pancreas and

consists of 37 amino acids.

#### *ApoE-*<sup>ε</sup> *4*

Expression of ApoE- $\varepsilon$ 4, which is related to diabetes as well, increases the risk of early onset Alzheimer's disease. It has increased ability to deposit Aβ, which is neurotoxic, and also impair its clearance<sup>[35]</sup>. ApoE- $\varepsilon$ 4 is less protective against oxidative stress and leads to cholinergic dysfunction seen in Alzheimer's disease, besides modifying the cholesterol transporter protein ABCA1.

#### *Other potential associations*

In addition other associations are also being recognized as risk factors for both diabetes and Alzheimer's disease: weight gain, acting perhaps through defective leptin signaling and increased formation of advanced glycation end products, which could have a pathogenic role in the amyloid plaques deposited in the brain<sup>[35]</sup>. Other emerging associations include disturbances in sleep and the circadian rhythm $[36,37]$ , and iron overload in brain among persons with obesity<sup>[38]</sup>.

#### *Insulin like growth factor*

Animal studies have provided intriguing evidence that loss of insulin-like growth factors, along with insulin could lead to age-dependent brain atrophy with cognitive decline<sup>[39]</sup>. Insulin and its growth factors maintain brain protein content; their replacement can prevent brain protein loss, cell degeneration and demyelination. Lack of insulin and growth factors, which are common to type 2 diabetes and to Alzheimer's disease could therefore play a role in their pathogenesis and provide therapeutic targets in their treatment<sup>[39]</sup>.

#### *Butyrylcholinesterase*

Butyrylcholinesterase, belonging to the esterase family of enzymes that also contain acetylcholinesterase<sup>[40]</sup> has been evaluated in relation to insulin resistance, cardiovascular disease, obesity and dyslipidemia<sup>[36]</sup>. Variant forms of the enzyme with little or no activity exist in isolated geographic populations $[41]$  with apparently no adverse health effects<sup>[42]</sup>. Butrylcholinesterase was studied in relation to both type 2 diabetes mellitus and to Alzheimer's disease<sup>[43-45]</sup>. with studies suggesting a possible protective effect against Alzheimer's disease and risk for fronto-temporal dementia<sup>[46]</sup>.

A number of hypothesis were put forward for the association of butyrylcholinesterase with type 2 diabetes mellitus and Alzheimer's disease $^{[33,36]}$ . Plaques in the brains of individuals with dementia had higher levels of butyrylcholinesterase, which is also localized in neurofibrillary tangles. It was also shown to attenuate amyloid formation $[47]$ .

Similarly in type 2 diabetes, butyrylcholinesterase may modify the expression of insulin resistance or by way of amyloid fibril deposition in β cells of the pancreas<sup>[33]</sup>. It was shown to interact with amylin





**Figure 1 Links between Alzheimer's disease and type 2 diabetes mellitus.**

and attenuate the formation of amylin fibril as well as its oligomer. When applied to cultured β cells, it was protective against amylin cytotoxicity $[47]$ . Butyrylcholinesterase was shown to participate in the progression of metabolic syndrome to type 2 diabetes mellitus. A majority of subjects with type 2 diabetes show extracellular deposits formed by islet amyloid polypeptide (IAP), adjacent to the β cells. Elevated levels of IAP are found in conditions of insulin resistance. Disturbed balance of sympathetic and parasympathetic nervous system could participate in metabolic syndrome. Lower vagal activity could in part be caused by increased hydrolysis of acetylcholine mediated by increased butyrylcholinesterase<sup>[48]</sup>. Lowering of acetylcholinesterase results in reduced parasympathetic signals and increased ratio of sympathetic signals<sup>[48]</sup>. In addition BChE was reported to attenuate the formation of A $\beta$  amyloid fibrils<sup>[47]</sup>. Essentially subjects with metabolic syndrome had elevated levels of BChE compared to those with type 2 diabetes and with controls. *In vitro* interaction of BChE was observed with amylin. It interacted with amylin, and attenuated the formation of both amylin fibril and oligomer formation, showing that it can protect cultured β cells from cytotoxicity due to amylin<sup>[47]</sup>. Thus increased BChE seen in metabolic syndrome could protect pancreatic β cells by reducing toxic amylin oligomer formation $[47]$ .

A bioinformatics study suggested the following sequences ( $E < e^{-5}$ ) were associated with both type 2 diabetes and Alzheimer's disease: butyrylcholinesterase precursor K allele (NP\_000046.1), acetylcholinesterase isoform E4-6 precorsor (NP\_000656.1) and apoptosisrelated acetylcholinesterase (1B41|A). In an animal study, streptozotocin-induced diabetes was associated with elevated butyrylcholinesterase activity, lowered superoxide dismutase and impaired cognitive function assessed by Morris water maze method $[49]$ . Being lowgrade inflammatory conditons, both type 2 diabetes mellitus and Alzheimer's may be target conditions for utilization of butyrylcholinesterae as a biomarker<sup>[50]</sup>, as well as a treatment target<sup>[33]</sup>.

The principal drugs currently available to manage

Alzheimer's disease act *via* modifying butyrylcholinesterase levels. Renewed interest in the possible role of "missing genes" in people who are apparently healthy may aid in uncovering new treatment modalities $[51]$ . Individuals with variant butyrylcholinesterae activity genes may be a potential group for such long-term follow up studies vis a vis their propensity or protection against diabetes and Alzheimer's disease<sup>[40]</sup>.

#### **THERAPEUTIC IMPLICATIONS**

The interest of linking type 2 diabetes mellitus and Alzheimer's disease lies not in science, but more in translational science: how understanding the common pathogenesis can help in prevention and treatment of both conditions. Other than the scope for future therapies, evidence is now available for the currently available drugs used in diabetes<sup>[52]</sup> to have a modulatory effect on the cognitive decline due to Alzheimer's disease.Along with its action on AMPK, metformin has been recently shown to influence the incretin system by increasing the secretion of glucagon-like peptide-1  $(GLP-1)^{53}$ . GLP-1 and GIP receptors are known to be expressed in the brain, and direct activation of these receptors may be a potential strategy to treat Allzheimer's disease<sup>[54]</sup>. In addition, metformin also influences gut microbiome along with its other putative actions in the management of diabetes mellitus<sup>[55]</sup>. The use of drugs used in diabetes mellitus such as metformin, GLP-1 mimetics (exenatide and liraglutide) and peroxisome proliferator-activated receptors γ agonists may all be of potential benefit in the prevention and management of Alzheimer  $disease^{[56]}$ .

When a theoretical basis for insulin to affect brain responses was studied in clinical practice, a recent review of 8 published studies on effect of intranasal insulin on cognition, comprising 328 participants showed first of all, no significant adverse effects. Generally the authors concluded that "the limited clinical experience suggests potential beneficial cognitive effects of intranasal insulin $^{\prime\prime[57]}$ . In a single study, use of 20 IU intranasal insulin showed improved immediate recall in Apoε4(-) subjects but not in  $Apo\varepsilon_{4(+)}$  subjects.

Other than correcting hyperglycemia, some of the conventional antidiabetic agents were shown to affect cognition. Metformin protected brain against oxidative stress in rats, and by preventing apoptosis<sup>[54]</sup>. However caution must be exercised because of metformin leading to increased biogenesis of Alzheimer's amyloid peptides<sup>[58]</sup> and increased risk of cognitive impairment<sup>[59]</sup>. Thiazolidinediones, which act at the nuclear receptors of insulin-sensitive tissues, affect transcription of genes affecting lipid and glucose metabolism. Early studies suggested that this group of drugs may favourably affect cognition, before the clinical use tapered due to their adverse drug effect profile.

Pharmacological agents modulating the incretin

system have now become mainstream in many markets world-wide. Pathological studies showed that sitagliptin lowered APP and Aβ deposition in the hippocampus of transgenic Alzheimer's disease mice<sup>[53]</sup>. However whether it does so by lowering glucose levels or independently remains to be clarified. Interest arises because liraglutide, another drug in the same group had similar protective effects (Figure 1).

# **CONCLUSION**

In conclusion, diabetes mellitus and Alzheimer's disease are both common and increasing in incidence in the aging population. Recent evidence has shown common pathogenic factors operating in both conditions. Thereby common preventive and therapeutic agents may be used in their prevention and treatment. Physicians caring for the elderly must be aware of the increased risk of the other when one condition is present. Common pathogenesis and therapeutic agents make it possible to manage both using similar lifestyle changes and pharmacological agents.

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