

## International Pediatric Non-Hodgkin Lymphoma Response Criteria

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### ABSTRACT

#### Purpose

Response criteria are well established for adult patients with non-Hodgkin lymphoma (NHL). A revised set of response criteria in adults with NHL was recently published. However, NHL in children and adolescents involves different histologies, primary sites of disease, patterns of metastatic spread, approaches to therapy, and responses to treatment compared with adult NHL. However, there are no standardized response criteria specific to pediatric NHL. Therefore, we developed international standardized methods for assessing response to therapy in children and adolescents with NHL.

#### Methods

An international multidisciplinary group of pediatric oncologists, pathologists, biologists, and radiologists convened during and after the Third and Fourth International Childhood, Adolescent and Young Adult NHL Symposia to review existing response and outcome data, develop methods for response evaluation that reflect incorporation of more sensitive technologies currently in use, and incorporate primary and metastatic sites of disease for the evaluation of therapeutic response in children and adolescents with NHL.

#### Results

Using the current adult NHL response criteria as a starting point, international pediatric NHL response criteria were developed incorporating both contemporary diagnostic imaging and pathology techniques, including novel molecular and flow cytometric technologies used for the determination of minimal residual disease.

#### Conclusion

Use of the international pediatric NHL response criteria in children and adolescents receiving therapy for NHL incorporates data obtained from new and more sensitive technologies that are now being widely used for disease evaluation, providing a standardized means for reporting treatment response.

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### INTRODUCTION

There have been well-established response criteria for adults with non-Hodgkin lymphoma (NHL).<sup>1,2</sup> In the recently published Lugano classification system, Barrington et al<sup>3</sup> and Cheson et al<sup>4</sup> updated and reported revised response criteria during and after treatment in adults with NHL. Both the prior and more recent reports on therapy response assessment in patients with NHL were developed without input from the pediatric oncology community and did not reference specific pediatric NHL disease entities.<sup>1-4</sup> However, there are major differences in clinical presentation, pathologic subtype, approach to therapy, and evaluation of response to treatment between

pediatric and adult NHLs.<sup>5-16</sup> Currently, there are no uniformly agreed on response evaluation criteria for children with NHL. This dilemma prompted an international effort to establish uniform criteria. This proposal represents the consensus of a multidisciplinary international (North America, Europe, and Australia) collaboration of experts in pediatric oncology, hematopathology, radiology, and NHL biology (Third and Fourth International Symposia on Childhood, Adolescent and Young Adult NHL, held in 2009 and 2012, respectively).

The International Harmonization Project convened to address these issues in adults with malignant lymphoma, and this group subsequently published its recommendations.<sup>1,2</sup> The more recent

Lugano classification system incorporates [<sup>18</sup>F]fluorodeoxyglucose (FDG) positron emission tomography (PET) findings, immunohistochemistry (IHC) results, and flow cytometric data into response evaluation.<sup>3,4</sup> The Lugano classification does not specify an age range for intended application, but it is based on data primarily derived from populations of adult patients with lymphoma. However, there are some striking pathologic and staging differences between NHL occurring in children and adolescents compared with adults. The spectrum of histologic subtypes occurring in children and adolescents is quite different than that observed in adults, with a predominance of high-grade lymphomas occurring in children and adolescents.<sup>5,7,8,14,16</sup> Children are more likely to present with extranodal disease and have a greater propensity for involvement of the bone marrow (BM) and CNS as compared with adults. However, detection of extranodal disease in kidneys or CNS or diffuse BM involvement can be difficult using FDG-PET alone.<sup>17</sup> With respect to response evaluation modalities, the data on FDG-PET in pediatric NHL are derived from relatively small patient cohorts, particularly with respect to residual masses. However, there is increasingly wider use and acceptance of more sensitive ancillary immunophenotypic and molecular approaches to quantify minimal residual disease (MRD) for the NHL subtypes frequently encountered in children (eg, lymphoblastic lymphoma [LL], Burkitt's lymphoma [BL], anaplastic large-cell lymphoma [ALCL]).<sup>18-24</sup>

The accuracy and precision of response evaluation are directly related to the sensitivity, specificity, and availability of the tools used to make the determination. This has clearly changed and evolved over the years.<sup>24</sup> Subsequent changes have included the use of FDG-PET (available since early 2000s at some pediatric cancer centers), which in most settings has replaced gallium and bone scintigraphy.<sup>25</sup>

There remains controversy on how to interpret FDG-PET findings in children.<sup>17,25,26</sup> A subcommittee of the International Harmonization Project made specific recommendations regarding the use of FDG-PET in adults with NHL, indicated that visual assessment alone using the mediastinal blood pool as a comparator is adequate for determining abnormal FDG uptake, and strongly encouraged the implementation of the attenuation-correction PET technique.<sup>27,28</sup> These criteria for FDG-PET assessment were intended for end-of-treatment evaluation, and it was recommended that the use of FDG-PET for treatment monitoring during therapy be limited to clinical trials or prospective registries. Dunleavy et al<sup>27</sup> also emphasized that the role of FDG-PET is still being defined and made the following observations and recommendations: 1) reports of interim FDG-PET results in the treatment of adults with diffuse large B-cell lymphoma (DLBCL) have prognostic significance; 2) using FDG-PET to guide therapeutic decision making is still under investigation; 3) there are a lack of standardized reporting criteria for FDG-PET with proven reproducibility; and 4) interim FDG-PET should be considered investigational and only used for clinical decision making in the context of a clinical trial. There are also conflicting reports regarding the predictive value of FDG-PET with respect to treatment outcome.<sup>29,30</sup> More recently, Barrington et al<sup>3</sup> reported a new set of recommendations on the use of PET-computed tomography (CT) for staging and response assessment in malignant lymphomas to be used in clinical practice and late-phase clinical trials. These recommendations have been incorporated into the new Lugano classification and are intended both for interim analysis to assess early treatment response and for end-of-treatment analysis to establish remission status.<sup>4</sup> For metabolic response assessment on FDG-PET in the Lugano classification, a visual 5-point scale based on the Deauville

criteria is recommended to grade the most intense disease FDG uptake, with a score of 1 representing no uptake above background, a score of 2 representing uptake  $\leq$  mediastinum, a score of 3 representing uptake  $>$  mediastinum but  $\leq$  liver, a score of 4 representing uptake moderately higher than liver, and a score of 5 representing uptake markedly higher than liver and/or new lesions.<sup>31</sup> A score of 1 or 2 represents a complete metabolic response, a score of 3 probably represents complete response (CR) but may be considered as an inadequate response to avoid under-treatment in a de-escalation trial, a score of 4 or 5 with reduced uptake compared with baseline represents a partial metabolic response, a score of 4 or 5 with no significant change from baseline represents no response, and a score of 4 or 5 with an increase in uptake from baseline represents progressive metabolic disease.<sup>4</sup> However, it should be noted that the Deauville criteria were developed and validated on the basis of studies focused on adult DLBCL and follicular lymphoma subtypes and not pediatric NHL subtypes.<sup>3</sup>

Experience with FDG-PET imaging in pediatric NHL is relatively sparse compared with adult NHL. Riad et al<sup>32</sup> described a small series of children with BL who were found to have false-positive FDG-PET scans. False-positive FDG-PET scans have been observed in children with benign inflammatory processes,<sup>32</sup> xanthomatous pseudotumour,<sup>33</sup> brown fat, rebound thymic hyperplasia, or infection or as the result of a granulocyte colony-stimulating factor effect. A review of the past decade of publications regarding the use of FDG-PET for therapy response in pediatric lymphoma showed that FDG-PET generally demonstrates high sensitivity and negative predictive value but more variable and modest positive predictive value. Consequently, the significance of new or residual FDG-avid foci in the absence of a growing nodal or extranodal mass on CT or magnetic resonance imaging (MRI) is uncertain.<sup>34</sup> Minimal residual FDG-PET uptake has been the subject of much study and poses a challenge in the interpretation of FDG-PET.<sup>31,35-39</sup> These studies have been conducted primarily in adults, and specific data regarding FDG-PET imaging to determine response in children with NHL undergoing therapy are relatively lacking because of small sample sizes and inconsistent study designs. A recent small study showed that FDG-PET interpreted using the Deauville criteria can help confirm a CR in children with BL at the end of induction chemotherapy by virtue of a high negative predictive value.<sup>40</sup> However, with regard to the evaluation of treatment response in children with BL or other NHL histologies, more data regarding the significance of FDG-PET findings in a residual mass is needed before negative findings can be assumed to confirm a CR, as is now the case in the newly proposed Lugano classification for adult PET-CT-based response determination.<sup>2,4</sup>

MRI is the preferred imaging modality for evaluating CNS disease. CT scan is superior for assessing the lungs, and MRI is superior for assessing the marrow. With growing concern about the risks of cumulative ionizing radiation exposure to children resulting from CT, MRI could be considered as an alternative to CT for evaluating nonpulmonary disease sites, particularly in children with syndromes associated with increased sensitivity to ionizing radiation (eg, ataxia-telangiectasia, Nijmegen breakage syndrome).<sup>41</sup>

## METHODS

Response criteria and methodology for determining response were reviewed from the results of multiple childhood and adolescent NHL pediatric cooperative group clinical trials and reports.<sup>5-16,42-44</sup> Morphologic evaluation of a pathologic sample, including a biopsy of a residual mass, or analysis of a BM or

**Table 1.** International Pediatric NHL Response Criteria

Criterion	Definition
CR	Disappearance of all disease (three designations)
CR	CT or MRI reveals no residual disease or new lesions Resected residual mass that is pathologically (morphologically) negative for disease (detection of disease with more sensitive techniques described as supporting data [Table 2]) BM and CSF morphologically free of disease (detection of disease with more sensitive techniques described as supporting data [Table 2])
CRb	Residual mass has no morphologic evidence of disease from limited or core biopsy (detection of disease with more sensitive techniques described as supporting data [Table 2]), with no new lesions by imaging examination BM and CSF morphologically free of disease (detection of disease with more sensitive techniques described as supporting data [Table 2]) No new and/or progressive disease elsewhere
CRu	Residual mass is negative by FDG-PET; no new lesions by imaging examination BM and CSF morphologically free of disease (detection of disease with more sensitive techniques described as supporting data [Table 2]) No new and/or progressive disease elsewhere
PR	50% decrease in SPD on CT or MRI; FDG-PET may be positive (Deauville score of 4 or 5 with reduced lesional uptake compared with baseline); no new and/or PD; morphologic evidence of disease may be present in BM or CSF if present at diagnosis (detection of disease with more sensitive techniques described as supporting data [Table 2]); however, there should be 50% reduction in percentage of lymphoma cells
MR	Decrease in SPD > 25% but < 50% on CT or MRI; no new and/or PD; morphologic evidence of disease may be present in BM or CSF if present at diagnosis (detection of disease with more sensitive techniques described as supporting data [Table 2]); however, there should be 25% to 50% reduction in percentage of lymphoma cells
NR	For those who do not meet CR, PR, MR, or PD criteria
PD	For those with > 25% increase in SPD on CT or MRI, Deauville score 4 or 5 on FDG-PET with increase in lesional uptake from baseline, or development of new morphologic evidence of disease in BM or CSF

Abbreviations: BM, bone marrow; CR, complete response; CRb, complete response biopsy negative; CRu, complete response unconfirmed; CT, computed tomography; FDG, [<sup>18</sup>F]fluorodeoxyglucose; MR, minor response; MRI, magnetic resonance imaging; NHL, non-Hodgkin lymphoma; NR, no response; PD, progressive disease; PET, positron emission tomography; PR, partial response; SPD, sum of product of greatest perpendicular diameters.

CSF sample remains the standard approach to determine response. However, the findings of more sensitive pathologic techniques, including sensitive molecular and immunophenotypic approaches, should be considered and, in some cases, used to define the response evaluation. For example, immunophenotyping by IHC or flow cytometric analysis is useful for determining whether suspicious cells are in fact lymphoma. For example, in ALCL, occasional large cells that are CD30 and/or ALK positive in a biopsy or BM sample may be considered residual disease, assuming the diagnostic sample is also positive for both markers.<sup>45,46</sup> Similarly, use of sensitive flow cytometric approaches are well accepted for detection of MRD in LL in blood and marrow.<sup>16</sup> Use of other more sensitive detection methods to identify residual disease is more controversial, particularly in cases where suspicious cells are not observed in biopsy materials. For example, positive cytogenetic findings from a tumor biopsy or BM sample are not used to indicate induction failure, but we would advocate that such findings should be indicated in the response designation as supporting data. The finding of positive cytogenetics is unusual in the setting of negative morphologic evidence of disease. However, MRD testing (by either flow cytometric or molecular method), which can detect one in 10,000 to one in 100,000 cells, is more commonly observed, and inclusion of these data is not required or considered in the current standard NHL response evaluation systems in the literature. MRD technology has been successfully developed for the major pediatric NHL subtypes.<sup>18-23</sup> This includes flow cytometric determination of MRD for precursor T-LL,<sup>18</sup> polymerase chain reaction (PCR) detection of immunoglobulin gene rearrangements for mature B-cell lymphomas (eg, BL, DLBCL),<sup>22,23</sup> and quantitative PCR screening for the *NPM-ALK* fusion transcript in t(2;5)-positive cases of ALCL.<sup>19,20</sup> If positive MRD results are obtained, we do not recommend that the major response evaluation category be changed (eg, from CR to partial response [PR]), but we do suggest that this information be included in the response description as supporting data.

## RESULTS

### International Pediatric NHL Response Criteria

On the basis of a review of scientific evidence, consensus among the experts of this panel, recommendations of the International Har-

monization Project in Lymphoma, and more recent revised response criteria,<sup>1-4</sup> we propose the following criteria for response assessment in children and adolescents receiving treatment for NHL (Table 1). We have elected to use the change in the sum of the products of the largest diameter and the perpendicular diameter (SPD) for each tumor mass, as measured by CT or MRI, as the measure of tumor size change, with the understanding that there may be cases where this is somewhat imprecise because of irregularly shaped tumor masses or disseminated disease with multiple tumor masses (Table 1). In cases with multiple masses, up to six of the most representative nodal or extranodal masses should be selected for measurement, as suggested in the new Lugano classification for adults.<sup>1-4</sup> To grade FDG uptake for metabolic response assessment on PET, a reproducible method should be used, such as the Deauville criteria visual 5-point scale recommended in the Lugano classification<sup>4</sup> or a more quantitative measure like the change in the maximum standardized uptake value. This will facilitate analysis and potential validation of these methods in subsequent clinical trials of pediatric NHL.

### CR

The CR designation will be used to indicate the disappearance of all disease; however, there will be subclassification of this designation to indicate how this designation was determined.

CR. The CR designation indicates the complete disappearance of all disease, as confirmed by physical examination, CT, or MRI and examination of CSF and BM. Specifically, the CT or MRI should be free of residual mass or evidence of new disease. FDG-PET should be negative (Deauville criteria score of 1, 2, or 3 [unless score of 3 is considered as inadequate response to avoid undertreatment, such as in de-escalation trial]). If a residual or new mass is present but has been completely resected and is negative for disease by pathologic evaluation of morphology, a CR designation is still assigned. Evaluation of BM and CSF should be negative for morphologic evidence of disease

**Table 2.** Supporting International Pediatric NHL Response Criteria Data

Supporting Information	Description
BM involvement	Currently defined by morphologic evidence of lymphoma cells; this applies to any histologic subtype; type and degree of BM involvement should be specified*
BMm	BM positive by morphology (specify percentage of lymphoma cells)
BMi	BM positive by immunophenotypic methods (histochemical or flow cytometric analysis; specify percentage of lymphoma cells)
BMc	BM positive by cytogenetic or FISH analysis (specify percentage of lymphoma cells)
BMmol	BM positive by molecular techniques
CNS involvement	
CSF status	CSF positivity is based on morphologic evidence of lymphoma cells; CSF should be considered positive when any number of blasts is detected; CSF may be unknown; as with BM, type of CSF involvement should be described whenever possible
CSFm	CSF positive by morphology (specify No. of blasts/ $\mu$ L)
CSFi	CSF positive by immunophenotype methods (histochemical or flow cytometric analysis; specify percentage of lymphoma cells)
CSFc	CSF positive by cytogenetic or FISH analysis (specify percentage of lymphoma cells)
CSFmol	CSF positive by molecular techniques
RM	
RMm	Tumor detected by standard morphologic evaluation
RMi	Tumor detected by immunophenotypic methods (immunohistochemical or flow cytometric analysis)
RMc	Tumor detected by cytogenetic or FISH analysis
RMmol	Tumor detected by molecular techniques

Abbreviations: BM, bone marrow; FISH, fluorescent in situ hybridization; NHL, non-Hodgkin lymphoma; PB, peripheral blood; RM, residual mass.  
\*Same approach should be used for PB involvement (ie, PBm, PBi, PBc, PBmol).

as well for the CR designation. Detection of disease using more sensitive techniques, such as immunophenotyping or molecular techniques should be indicated as supporting data, as summarized in [Table 2](#). There should be no new and/or progressive disease (PD) elsewhere.<sup>2</sup>

**CR biopsy negative.** The CR biopsy negative (CRb) designation is for patients who otherwise meet the CR designation but have a residual mass on CT or MRI that is biopsied (not resected) and found to be negative for disease based on pathologic evaluation of morphology. If disease is detected by more sensitive tools (eg, molecular techniques, flow cytometry, IHC, cytogenetics), this should be indicated as supporting data, as summarized in [Table 2](#). Although a biopsy of a residual mass that is negative for viable tumor provides some reassurance, there is always a possibility of sampling error. Thus, a CRb designation is included until there are more data showing that a negative biopsy is equivalent to a negative morphologic examination of a completely resected residual mass and/or until there are adequate PET data to confidently exclude the need for biopsy of a PET-negative residual mass. The decision to biopsy an FDG-PET-positive bone lesion is a clinical judgment based on symptoms and level of concern about risk associated with biopsy of a weight-bearing long bone.

**CR unconfirmed.** The CR unconfirmed designation is applied in otherwise CR cases in which a residual mass on CT or MRI is negative by FDG-PET imaging. BM and CSF must be morphologically negative for tumor. There should be no new and/or PD elsewhere.

**PR.** The PR designation is assigned when there has been  $\geq 50\%$  decrease in the SPD by CT or MRI. The FDG-PET imaging results may be positive (Deauville score of 4 or 5 with reduced lesional uptake compared with baseline). Morphologic detection of disease in a biopsy sample of the mass may be present. There may also be persistent morphologic detection of disease in the BM and CSF if this finding was present at diagnosis; however, there should be a 50% reduction in the percentage of lymphoma cells. There should be no new and/or PD elsewhere.

**Minor response.** The minor response designation is assigned in cases where the decrease in the SPD is  $> 25\%$  but  $< 50\%$ . Morphologic

detection of disease in a biopsy sample of the mass may be present. There may also be persistent morphologic detection of disease in the BM and CSF, if this finding was present at diagnosis; however, there should be a 25% to 50% reduction in the percentage of lymphoma cells. There should be no new and/or PD elsewhere.

**No response.** The no response designation will be applied for those patients whose residual lesions do not meet the criteria for CR, PR, minor response, or PD.

**PD.** The PD designation is applied for any patient with  $> 25\%$  increase in the SPD of residual lesions, Deauville score 4 or 5 on FDG-PET with an increase in lesional uptake from baseline, or documentation of new lesions. PD also applies to any patient who develops new morphologic evidence of BM or CNS disease.

### Supporting Response Data

The recommended collection of additional response data beyond current conventional data collection is summarized in [Table 2](#). These additional data largely reflect information obtained using newer or more sensitive technologies to detect MRD. Although these data are not incorporated into our recommended response evaluation criteria outlined in this report, they will likely be used in future recommendations for pediatric NHL response evaluation.

## DISCUSSION

The international pediatric NHL response criteria described in this consensus statement provide a more uniform and accurate means of defining treatment response in children and adolescents with NHL and allow for systematic collection of supporting data generated by ancillary testing ([Table 2](#)) that may, in the future, become important criteria in defining response. The major response designations will be established by CT or MRI of involved sites in conjunction with morphologic evaluation of BM and CSF, if involved at diagnosis. With growing concern about the risks of cumulative ionizing radiation

exposure to children resulting from CT, MRI could be considered as an alternative to CT for evaluating nonpulmonary disease sites. The lack of universal availability of FDG-PET is accounted for in this system; however, in centers where FDG-PET is available, the results will be used and designated accordingly.

This response evaluation system will be revised as additional evidence about the utility, reproducibility, and impact of data from FDG-PET and more advanced immunophenotypic and molecular tools become available. For example, the need for a CR unconfirmed designation may become unnecessary (as in revised Cheson criteria and Lugano classification),<sup>2-4</sup> if sufficient evidence becomes available that FDG-PET–negative masses reflect a true CR in children and adolescents with NHL. Pathologic evaluation of a residual FDG-PET–negative mass provides the most direct evidence as to whether a true CR has been achieved, whereas lack of progression or relapse at the site of the residual FDG-PET–negative mass would provide indirect evidence of CR status. More sensitive molecular and immunophenotypic tools for disease detection will also be helpful in further refining response criteria. Among children with acute lymphoblastic leukemia, MRD as detected by PCR or flow cytometry is now reported as a subdesignation of response in marrows that are otherwise morphologically free of disease.<sup>47,48</sup> Similarly, MRD evaluations of peripheral

blood and BM in NHL are now possible for children and adolescents with the major pediatric lymphoma subtypes,<sup>18,19,21-23</sup> and this information can now be included in the description of response evaluation as supportive data. Additional refinements in the description of response evaluation will be required as more sensitive tools are developed for pathologic detection of disease and evidence as to their utility in defining outcomes emerges.

#### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [www.jco.org](http://www.jco.org).

#### AUTHOR CONTRIBUTIONS

**Conception and design:** All authors

**Collection and assembly of data:** Sherrie L. Perkins

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**Manuscript writing:** All authors

**Final approval of manuscript:** All authors

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

**International Pediatric Non-Hodgkin Lymphoma Response Criteria**

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