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Toll-like receptor genetic variants are associated with Gramnegative infections in VLBW infants

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Abstract

OBJECTIVE—To test the hypothesis that single nucleotide polymorphisms (SNPs) in Toll-like receptor (TLR) genes alter susceptibility to bacterial infections and modulate WBC counts during infections in very low birth-weight infants (birth weight <1500g, VLBW).

STUDY DESIGN—VLBW infants recruited in a multi-center study were genotyped for 9 functional *TLR* SNPs and associations between SNPs and infection rates examined. WBC counts obtained during infections were compared among infants with and without SNPs.

RESULTS—In our cohort (n=408), 90 infants developed bacterial infections. Presence of *TLR4* (rs4986790 & 4986791) variants were associated with Gram-negative infections. Female infants heterozygous for the X-linked *IRAK1* (rs1059703) SNP had less Gram-negative infections. In regression models controlling for confounders, the *TLR4* (rs4986790) SNP was associated with increased Gram-negative infections. The *TLR5* (rs5744105) variant was associated with elevated WBC counts during infections.

CONCLUSION—*TLR* genetic variants can contribute to increased risk of bacterial infections and altered immune responses in VLBW infants.

Keywords

Toll-like receptors; infections; SNP; premature infants; genetic susceptibility

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Conflict of Interest

All authors confirm that they have not competing financial interests in relation to the work described in this manuscript.

INTRODUCTION

Bacterial infections remain a major cause of morbidity and mortality in very low birth weight [VLBW] infants. ^{1, 2} Perinatal factors such as clinical chorioamnionitis, maternal GBS status, duration of rupture of membranes as well as infant characteristics such as gestational age, birth weight, use of indwelling vascular catheters and ventilation are known risk-factors for bacterial infections in this population. $1, 2, 3$ While recent evidence suggests that genetic factors contribute significantly to diseases in VLBW infants, genetic loci that increase susceptibility to infections have not yet been characterized. $4, 5, 6$ The pathogenesis of infections in humans is complex, but can arise from a failure of host genome-regulated mucosal immune defenses to overcome invasive pathogens. ⁷ The VLBW infant's ability to fight infections is compromised as neutrophil function is inadequate, humoral and T-cell responses are ontogenically restricted and transplacental transfer of immunoglobulin is incomplete. ^{8, 9} In this setting, intact functioning of the innate immune system is critical to prevent bacterial diseases. We hypothesized that aberrant functioning of the innate immune system arising from functional genetic variation in pathogen recognition receptors will increase the risk of infections in VLBW infants.

The Toll-like receptor (TLR) signaling pathway proteins are pattern recognition receptors that recognize signature microbial motifs and stress ligands. 10 TLR-mediated innate immune responses play an important role in preventing bacterial invasion, maintaining mucosal homeostasis and regulating inflammation. 10, 11 Studies in adults and children demonstrate that *TLR* genetic variants modulate susceptibility to sepsis, pneumococcal infections and other infections with increased penetrance in childhood. $7, 12, 13$ In this study, we investigated the impact of nine functional *TLR* single nucleotide polymorphisms [SNPs] on bacterial infections in a VLBW infant cohort. Recognition of pathogens by TLRs results in expression of chemokines and cytokines such as C5a, IL-8 and GM-CSF which regulate the release and activation of immune cells (neutrophils and monocytes) from the bone marrow. ^{14, 15} Infections in VLBW infants are often characterized by changes in the white blood cell [WBC] and absolute neutrophil count [ANC], and WBC indices are used to screen for sepsis in this population. 16 , 17 Because the TLRs regulate the immune response to microbes we examined whether WBC/ANC counts obtained during infection episodes are regulated by TLR genotype.

MATERIALS AND METHODS

Patient recruitment

VLBW infants were recruited prospectively from tertiary neonatal intensive care units [NICUs] at Children's Hospital of Wisconsin (Milwaukee, WI), St. Joseph's Hospital (Milwaukee, WI), Kosair's Children's Hospital (Louisville, KY), Rush University Medical Center (Chicago, IL) and Children's Hospitals and Clinics of Minnesota (Minneapolis, MN) between October 2006 and January 2012 after institutional review board approval at each center. After consent was obtained 0.5mL of blood was collected in de-identified sample containers, labeled with the study ID number and shipped on ice to Children's Hospital of Wisconsin where DNA extraction and genotyping was done. Epidemiological data and

disease outcomes recorded in a standardized data sheet were extracted and entered into a password-protected database.

Eligibility

Preterm infants with a birth weight $1500 g$ [VLBW] admitted to the participating NICUs were eligible if they did not have chromosomal disorders or major congenital anomalies.

Definition of cases

Infants with clinical signs of sepsis were considered to have a culture- positive $(C+ve)$ infection if bacteria or candida *spp.* were isolated from blood, trachea, urine or cerebrospinal fluid and they were treated with antibiotics for $\bar{5}$ d. For tracheal infections, only episodes associated with radiographic evidence of pulmonary infiltrates or a change in the clinical status were deemed as infections by the attending neonatologist and treated for $\bar{5}d$.

Selection of SNPs

TLR pathway genes essential for mediating immune responses against known bacterial and fungal pathogens in this population were targeted. SNPs in candidate genes were identified by searching databases such as PubMed and dBSNP and selected based on; a) whether they were reported to modulate infectious disease susceptibility, b) demonstration of altered functionality with the variant, and c) mean allele frequency of > 2% among Caucasians.

Genotyping

DNA was extracted from blood samples using the FlexiGene DNA kit (Qiagen, CA). The nine *TLR* pathway SNPs [*TLR2* (rs5743708), *TLR4* (rs4986790 & rs4986791), *TLR5* (rs5744168), *TLR9* (rs352140), *IRAK1* (rs1059703), *TIRAP* (rs8177374), *NFKB1* (28362491) and *NFKBIA* (rs3138053)] were genotyped by developing a multiplexed singlebase extension (SBE) assay using the ABI PRISM SNaPshot Multiplex kit (Applied Biosystems, Foster City, CA) and performed essentially as described before. ⁶

Quality control

7% of samples were re-genotyped by a technician blinded to prior results. There was 100% concordance for all samples.

Estimation of WBC/ANC counts

WBC counts acquired when blood, urine, cerebrospinal fluid or tracheal cultures were obtained were used. WBC counts were quantified using automated cell analyzers such as Cell-Dyn Sapphire (Abbott Diagnostics, CA) and Beckman-Coulter (Brea, CA). ANC was calculated based on the proportion of neutrophils in the differential WBC count.

Statistical analysis

Categorical demographic variables were analyzed using Chi-square tests. Wilcoxon-Mann-Whitney rank sum test was used for continuous variables like birth weight and central venous line [CVL] days.

Power and Sample size

A genetic dominance model was used for power calculations. Assuming a rate of 24% for C +ve infections, a sample size of 400 would give us 80% power to detect a 12–15 % difference in the prevalence of SNPs between infants with and without infections assuming a P <0.05. Rates of C+ve, Gram-positive (G+ve), and Gram-negative (G-ve) infections were compared among infants with and without *TLR* SNPs using chi-square tests or Fisher's exact test. Bonferroni correction was applied to adjust for multiple comparisons [9 SNPs]. To control for potential confounders we developed temporal logistic regression models in which risk factors present at birth [birth-weight, race, antenatal steroids, gender, chorioamnionitis, gestational age, sex, Apgar-5min etc.,] along with TLR SNPs were examined for association with infections. Non-significant variables $[p<0.10]$ were removed in a stepwise fashion until only those associated $(p<0.05)$ with a phenotype remained. CVL days was then added to the model and stepwise elimination done to identify variables significantly associated with each infection phenotype. WBC and ANC counts obtained during the first episode of C+ve infection were compared between VLBW infants with *TLR* variants and those without using Wilcoxon-Mann-Whitney rank sum test. Linear regression was used to explore interrelationships between genetic variants, epidemiological variables and WBC counts. SPSS 19.0 [SPSS Inc., Chicago, Illinois and SAS 9.3 [SAS Inc., Cary, NC] were used for data analysis.

RESULTS

Infections in VLBW cohort

In our cohort (n=408), 90 infants developed C+ve infections. Forty infants had blood stream infections, 13 had blood and tracheal infections, 4 had blood and urinary tract infections, 26 had tracheal infections, 3 developed urinary tract infections and 4 had tracheal and urinary tract infections. Early-onset infection ($3d$) was detected in 3.4% (14/408) of infants while late-onset infections (>3d) occurred in 19.8% (81/408). There were 129 C+ve infection episodes with 25 infants (5.6%) having two or more episodes. Bacteria isolated during C+ve infections are shown in the supplement section (S.1). Infants with infections had a lower birth weight, were more premature, and required more central venous line (CVL) days when compared to infants without infections (Table 1). Comparisons between infants with G-ve or G+ve infections and infants without infections showed similar results as above (data not shown).

TLR pathway variants and infection outcomes in VLBW cohort

Hardy-Weinberg equilibrium was confirmed for all SNPs except for the *IRAK-1* variant which is X-linked. The *NFKB1*, *NFKBIA*, *TIRAP*, *TLR2, TLR5*, and *TLR9* SNPs were not associated with infection phenotypes (Table 2). Functional studies have shown that presence of both *TLR4* variants disrupts signaling more profoundly than either variant. 18 Presence of both *TLR4* SNPs was associated with C+ve infections (OR = 2.32, 95% CI; 1.02 – 5.25, $p=0.026$) while presence of the *TLR4* (rs4986790) SNP alone showed a marginal ($p=0.06$) association with increased infections (Figure 1). Because TLR4 recognizes lipopolysaccharide from G-ve bacteria we examined associations between *TLR4* SNPs and G-ve infections (Figure 1). Infants who had both *TLR4* variants had increased G-ve

infections (OR = 3.7, 95% CI; 1.4 – 9.6, p=0.002). Presence of the *TLR4* (rs4986790) variant alone was associated with increased G-ve infections (OR = 3.2, 95% CI; 1.3 – 7.5, $p=0.003$). The above associations met the Bonferroni statistic of $p<0.0055$.

Because studies in adults have reported gender-dependent effects of the X-linked *IRAK1* SNP (rs1059703) on inflammation, ¹⁹ we examined the gender-dependent effects of this variant on infection outcomes. In our cohort, there was a trend towards decreased G-ve infections in females (male *vs.* female; 25/212 *vs.* 14/196, p=0.10). Female infants with the C/T genotype had decreased rates of G-ve infections (Figure 2) when compared to other infants (male or female) who were T/T or C/C at the variant *IRAK1* locus (Odds ratio $[OR] =$ 0.14, 95% CI; 0.007 – 0.96, p=0.02). Female infants with the C/T genotype showed a trend towards less G-ve infections (Figure 2) when compared to female infants with T/T or C/C genotypes (p=0.067).

TLR4 SNPs are associated with G-ve infections

In regression models that adjusted for potential confounders, only birth-weight $(p<0.001)$ and CVL days (p=0.001) were associated with C+ve or G+ve infection. In models that examined risk-factors for G-ve infections we noted that the *TLR4* (rs4986790) variant, birthweight and CVL days were associated with increased G-ve infections while presence of the T/C genotype at the variant *IRAK1* locus had a protective effect (Table 3). Among Caucasian infants ($n=285$), the largest racial group, 65 (23%) developed C+ve infections (44 G+ve and 28 G-ve). Both *TLR4* variants were inherited together and in complete linkage disequilibrium. Infants with *TLR4* SNPs had increased G-ve infections (8/30 *vs*. 20/254; OR $= 4.3, 95\% \text{ CI}; 1.5 - 11.7, p=0.004$ but not G+ve infections.

TLR variants and risk of blood stream or urinary tract infection

We examined the relationship between *TLR* SNPs and infections excluding infants with tracheal infection. In this group (n=64), 39 infants had G+ve infection and 27 developed Gve infection. *TLR* SNPs were not associated with increased C+ve or G+ve infection except for a trend towards more C+ve infection with presence of both *TLR4* SNPs (p=0.07). Presence of the *TLR4* (rs4986790) SNP (OR = 3.3, 95% CI; 1.3 – 8.4, p=0.008) or presence of both *TLR4* SNPs (OR = 4.6, 95% CI; 1.6 – 12.6, p=0.002) was associated with increased G-ve but not G+ve infections (Figure 3). In regression models for G-ve infection, only birthweight (p <0.001), CVL days (p <0.001) and the *TLR4* (rs4986790) variant (p=0.04) were associated with increased blood stream or urinary tract infection.

TLR SNPs and WBC counts

WBC and ANC counts obtained during the first episode of C+ve infection in 90 infants who had infections were compared among infants with and without *TLR* SNPs (Supplement S.2). Infants with the *TLR5* SNP (rs5744168) had higher WBC (p=0.02) and ANC counts $(p=0.08)$ during C+ve infection. Linear regression models exploring associations between clinical variables (such as sex, race, *etc*.) and *TLR* variants showed that the *TLR5* SNP modified the relationship between birth-weight and WBC count (Figure 4). In infants without the *TLR5* variant, there was no correlation between birth-weight and WBC counts

while in infants with the *TLR5* SNP, an increasing birth-weight was associated with higher WBC count $(p=0.01)$.

DISCUSSION

Although complete loss of function mutations in *TLR* pathway genes such as *IRAK4* are a cause of primary immunodeficiency, the role of *TLR* signaling pathway SNPs in altering susceptibility to infections in the VLBW infant population remains understudied. ¹³ In this study, we report a novel association between presence of non-synonymous *TLR4* variants and increased G-ve infection in VLBW infants. Our data also suggest an association between the presence of the C/T genotype at the *IRAK1* (g.6435C>T) locus and decreased G-ve infections. Further, the *TLR5* (Arg392X) variant was associated with a higher WBC count among infants who developed C+ve infections. The use of a genetically heterogeneous population, examination of a limited number of *TLR* pathway variants, and lack of a replication cohort are limitations of this study.

Studies by Agnese et al. and Lorenz et al. 20, 21 showed that *TLR4* SNPs are associated with increased G-ve sepsis and shock in adult intensive-care patients. We noted similar conclusions in VLBW infants. However, our results differ from Abu-Maziad et al. 22 who did not find an association between the *TLR4* variant (rs4986791) and infections in a retrospective case-control study done in premature infants. In their study, the control population did not constitute entirely of VLBW infants and there were three times as many males among cases while the gender composition was more balanced among controls. Ahrens et al. 23 did not demonstrate an association between the *TLR4* (rs4986790) variant and sepsis in VLBW infants. In our study *TLR4* variants were more robustly associated with G-ve infections rather than C+ve infections; an association not investigated by this group. Szebeni et al. 24 noted no association between *TLR4* variants and NEC in VLBW infants but did not examine relationships between *TLR4* SNPs and sepsis. The study population was predominantly Caucasian in the above studies while about 30% of the infants in our cohort were African-American. However, the association between *TLR4* variants and G-ve infections was robust among Caucasian infants in our cohort, and remained significant after correcting for race and other confounders. Because TLR function is critical for immune responses in the lung, urinary tract and blood our initial analysis included tracheal infections along with blood stream and urinary tract infections. In our study, tracheal infections needing prolonged antibiotic treatment were usually associated with radiographic evidence of pneumonia or a change in the clinical status. However, this is a potential limitation of our study. In analysis that excluded infants with tracheal infections, *TLR4* SNPs were still associated with G-ve blood stream or urinary tract infection.

TLR4 recognizes lipopolysaccharide (LPS), a cell-membrane component of G-ve bacteria such as *Klebsiella pneumoniae* and *Escherichia coli*. ¹⁰ The variants examined in this study alter the LPS recognition domain of TLR4 which would result in aberrant pathogen recognition and sepsis susceptibility. 10, 25 Rallabhandi et al. 18 have demonstrated that both *TLR4* variants examined in this study decrease LPS-mediated cytokine responses in monocytes and interestingly, presence of both variants compromises TLR4 function more than either variant. In our cohort, presence of both variants was more robustly associated

with C+ve and G-ve infections compared to presence of the *TLR4* SNP (rs4986790) alone. Further, Arbour et al. and Tulic et al. $26, 27$ have demonstrated that adults and children who are heterozygous for these *TLR4* SNPs have impaired responses to endotoxin and LPS respectively, supporting impaired function with haploinsufficiency. Identification of genetic markers associated with higher risk of G-ve infections in VLBW infants is important as mortality associated with G-ve sepsis is $>25\%$. ^{1, 3}

All-cause neonatal mortality and sepsis-related mortality are higher in male VLBW infants. 3, 28 The *IRAK1* variant (rs1059703) was shown to be associated with increased Creactive protein in females from the Diabetes Heart Study suggesting a gender-dependent effect. 19 In our cohort, there were less G-ve infections among females of Caucasian descent and female infants with the T/C genotype at the *IRAK1* locus (g.6435T>C) appeared to be protected against G-ve infections. In a study examining associations between this variant and sepsis in adults, Arcaroli et al. 29 reported that patients who were homozygous for the *IRAK1* variant had increased sepsis-related mortality. We did not find increased infections in VLBW infants homozygous for this variant. Premature infants differ from adults in having dysregulated TLR-mediated responses to bacteria and this potentially can explain differences in sepsis outcomes. $8,30$ The effect of the heterozygous state on immune responses against G-ve bacteria as well as replication of our findings remains topics for future research. In contrast to adult studies and a study in preterm infants <37 weeks we did not find an association between the *TLR2* (rs5743708) variant and G+ve infections. ^{22, 31} A potential explanation could be that *TLR2* SNPs are a marker of premature birth rather than infection. In analysis that corrected for gestational age, the association between *TLR2* SNPs and G+ve infections were no longer evident. ²²

Neutrophils are a critical component of the immune system and play a key role in the acutephase response to infections. 15, 16, 32 We therefore took an exploratory approach to examine relationships between *TLR* variants and WBC counts obtained during infections. Infants with the *TLR5* variant had increased WBC/ANC counts during episodes of bacterial infections and birth weight was associated with higher WBC count only in infants with the *TLR5* variant. As far as we are aware, this is the first study to examine associations between functional *TLR* variants and WBC counts during infections. Previously, Arbour et al. 26 have reported that adults with *TLR4* SNPs showed decreased bronchial responsiveness to inhaled endotoxin. Michel et al. 33 demonstrated that adults with the *TLR4* (rs4986790 or rs4986791) variants had decreased CRP levels after systemic challenge with LPS. We speculate that loss of TLR5 function arising from the *TLR5* variant may exaggerate immune responses through other TLRs and alter WBC counts. It is possible that our results could have been different if we had a larger cohort and as such our results should be considered pilot in nature. Whether *TLR* SNPs alter in-vivo cellular and cytokine responses during sepsis is a key area for future research.

Data from this and other studies support our hypothesis that functional TLR signaling pathway SNPs will be penetrant in VLBW infants and contribute to morbidity from pathogen-mediated diseases. 6, 22 Further research is needed to validate the findings of this study, identify other genetic markers in immune genes that alter sepsis susceptibility, and to understand mechanisms by which variants contribute to disease pathogenesis.

Characterization of robust genetic biomarkers that can predict susceptibility/severity of infections in this population will represent a major advance in this field and aid the development of immune-based prevention and treatment strategies to decrease the burden of microbial disease in this population.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. C+ve and G-ve infections stratified by the presence or absence of *TLR4* **variants in VLBW infants**

Data labels represent number of infants in each category. * - P=0.06, ** - P=0.026, # - $P=0.003, \## - P=0.002.$

Figure 2. G-ve infection outcomes stratified by IRAK1 (g.6435 T>C) genotype in VLBW cohort Data labels represent number of infants in each category. * - P=0.02, ** - P=0.067

Figure 4. Interaction between *TLR5* **variant (rs5744168), birth-weight and WBC counts (10³ /μL)** The relationship between WBC counts obtained during C+ve sepsis, genetic variants and epidemiological variables were examined using linear regression. Only birth-weight and the *TLR5* variant were associated with WBC counts. The dotted line represents the relationship between WBC counts and birth-weight in infants who did not have the *TLR5* variant. The bold line represents the relationship between WBC counts and birth-weight in infants who had the *TLR5* variant. The R square value represents the amount of variability of WBC count explained by birth weight in the presence (solid line) or absence (hatched line) of the *TLR5* SNP.

Table 1 Comparison of epidemiological risk-factors among infants with and without C+ve infections in the VLBW cohort

Data is represented as mean \pm SD or as percentages. Comparisons between gestational age and birth-weight were done using non-parametric tests. Other comparisons were done using Chi-Square or Fisher's exact tests. Chorioamnionitis was diagnosed by the presence of maternal fever >38°C plus one additional criteria (uterine tenderness, malodorous vaginal discharge, maternal leukocytes >15,000 cells/mm³ or fetal heart-rate of >160/ min).

*** P<0.001,

¶ P=0.06,

P=0.004

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Variant allele frequency stratified by infection phenotypes in our cohort. rs number; reference SNP accession ID number. Genotyping data was not Variant allele frequency stratified by infection phenotypes in our cohort. rs number; reference SNP accession ID number. Genotyping data was not obtained in one infant for 5 variants and another infant for 2 variants. obtained in one infant for 5 variants and another infant for 2 variants.

P=0.06 (C+ve infection vs. no infection), P=0.06 (C+ve infection *vs*. no infection),

**
P=0.026 (C+ve infection vs. no infection), P=0.026 (C+ve infection *vs*. no infection),

 $^{\#}P\text{=}0.003$ (G-ve infection vs. infants without G-ve infection), *#*P=0.003 (G-ve infection *vs*. infants without G-ve infection),

 $\#_{P=0.002}$ (G-ve infection vs. infants without G-ve infection), *##*P=0.002 (G-ve infection *vs*. infants without G-ve infection),

 $\sqrt[p]{P}$ =0.02 (G-ve infection in infants with T/C at IRAK1 (rs1059703) vs. infants with C/C or T/T). *¶*P=0.02 (G-ve infection in infants with T/C at *IRAK1* (rs1059703) *vs.* infants with C/C or T/T).

Table 3 Logistic regression model for G-ve infections in our cohort

Clinical variables available at birth along with *TLR* variants were investigated by logistic regression for association with G-ve infections. A step-wise elimination was used to discard non-significant variables. Then CVL days (postnatal variable) was entered into the model and stepwise elimination performed till only significant (P < 0.05) risk factors associated with G-ve infections remained. The final model representing variables significantly associated with the outcome is depicted.

