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Brain insulin resistance in Alzheimer's disease and its potential treatment with GLP-1 analogs

Konrad Talbot*

Konrad Talbot: talbotk@cshs.org

*Department of Neurosurgery, Cedars-Sinai Medical Center, Los Angeles, CA, USA; Tel.: +1 323 866 2865; Fax: +1 424 315 4569

SUMMARY

The prevalence of Alzheimer's disease is increasing rapidly in the absence of truly effective therapies. A promising strategy for developing such therapies is the treatment of brain insulin resistance, a common and early feature of Alzheimer's disease, closely tied to cognitive decline and capable of promoting many biological abnormalities in the disorder. The proximal cause of brain insulin resistance appears to be neuronal elevation in the serine phosphorylation of IRS-1, most likely due to amyloid- β -triggered microglial release of proinflammatory cytokines. Preclinically, the first line of defense is behavior-lowering peripheral insulin resistance (e.g., physical exercise and a Mediterranean diet supplemented with foods rich in flavonoids, curcumin and ω -3 fatty acids). More potent remediation is required, however, at clinical stages. Fortunately, the US FDA-approved antidiabetics exenatide (Byetta[®]; Amylin Pharmaceuticals, Inc., CA, USA) and liraglutide (Victoza[®]; Novo Nordisk A/S, Bagsvaerd, Denmark) are showing much promise in reducing Alzheimer's disease pathology and in restoring normal brain insulin responsiveness and cognitive function.

Until recently, Alzheimer's disease (AD) was defined as a type of neurodegenerative dementia associated with abnormally high densities of amyloid- β (A β) plaques and neurofibrillary tangles in the forebrain. The disorder was thus synonymous with a form of dementia. Today, however, AD is more broadly defined to include the underlying pathophysiological processes that gradually lead to a dementia [1,2]. Over several decades, AD pathology develops in three stages [3,4]: preclinical periods with no more than subtle behavioral symptoms [2,5]; a prodromal period known as mild cognitive impairment (MCI) due to AD with the first clear, but not incapacitating, symptoms [6,7]; and dementia due to AD [4,8]. This last stage is among the most devastating of human disorders, ultimately robbing its individuals of their identity, their capacity to care for themselves and their ability

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to recognize or communicate with others. Such dementia most often manifests at the age of 65 years or older, but it can manifest as early as 30 years of age in relatively rare familial cases [3].

AD dementia, the most common of all neurodegenerative dementias, is of special concern because it poses a worldwide public health risk of epidemic proportions [9,10] and there is, as yet, a lack of effective treatment. While over 100 pharmacological treatments for AD have been proposed and tested, most seeking to reduce A β levels in the brain, none have proven more than minimally effective [11] for more than approximately 1 year after diagnosis [12]. If this situation persists, it is expected that at least 13.8 million Americans will be afflicted with AD dementia by the year 2050, with healthcare costs for them costing US\$1.2 trillion [3].

There is consequently an urgent need to develop novel treatments of AD within the next decade [13]. Among the most promising of those now in development are treatments that target brain insulin resistance (i.e., reduced neuronal responsiveness to extracellular insulin), which is an early, common and major feature in patients with AD, with and without diabetes [14,15]. This review describes the significance, nature and potential treatment of brain insulin resistance with a relatively new class of antidiabetics.

Significance of brain insulin resistance in AD

Insulin is best known as a pancreatic β -cell hormone secreted in response to elevated plasma glucose after meals. Its classic functions are stimulation of glucose uptake by adipose and muscle tissue, and inhibition of no longer needed free fatty acid released by adipose tissue and glucose production by the liver. However, insulin is also synthesized in brain neurons [16], including many pyramidal and granule cells in the adult cerebral cortex and hippocampus [17], where the density of insulin receptors is appreciable [18]. While pancreatic insulin is transported in small amounts across the blood-brain barrier in many brain regions and exerts effects on brain function, especially in the hypothalamus [19], most insulin in the brain outside the hypothalamus seems locally derived since vascular hypo- and hyper-insulinemia has little, if any, effect on total brain insulin [20]. Therefore, it seems likely that outside the hypothalamus insulin resistance in the brain largely reflects reduced responsiveness to endogenous, not pancreatic, insulin.

Unlike the case in peripheral tissues, insulin in the brain does not control cellular uptake of glucose [14]. However, insulin has many other functions. In the brain, it promotes most functions disrupted in AD, including regulation of cerebral blood flow, inflammatory responses, oxidative stress, A β clearance, tau phosphorylation, apoptosis, lipid metabolism, transmitter receptor trafficking, synaptic plasticity and memory formation [21,22]. Brain insulin resistance may thus cause or contribute to the full spectrum of AD pathology and symptoms. For that reason, the rate at which insulin resistance develops in the brain may play a large role in determining the rate at which AD progresses.

Nature of brain insulin resistance in AD

While not affecting neuronal glucose uptake, brain insulin resistance in AD is similar to muscle insulin resistance in Type 2 diabetes (T2D) [14]. In both circumstances, insulin is much less able to activate a specific signaling pathway than is normally the case. In that pathway (Figure 1), insulin binding of the insulin receptor (IR) at the cell surface activates IRS-1 intracellularly, which in turn activates PI3K, then Akt and, finally, the many downstream targets of Akt, including mTOR [14,23]. Since IRS-1 in the brain is neuronal not glial [14,24], brain insulin resistance in the signaling pathway just described is a neuronal phenomenon. Another IRS isoform (IRS-2) is abundant in the brain, but it does not mediate insulin signaling at or near physiological doses of insulin [14]. IRS-2 instead mediates IGF-1 signaling at such doses [14].

The first clear indications that the brain may be insulin resistant in AD came from postmortem studies on baseline properties of the cerebral cortex and hippocampal formation, the latter referring collectively to the hippocampus, dentate gyrus and subiculum. These studies found, for example, that such tissue in AD cases exhibits decreased binding of insulin [25], reduced levels of activated IR [26] and increased serine phosphorylation of IRS-1 at sites known to inhibit insulin signaling, as discussed below [14,27].

Only recently, however, has it been demonstrated that the brain in AD actually is insulin resistant. Our group showed this using *ex vivo* stimulation [14]. We measured brain responses to physiological dose of insulin (1 nM) applied to brain tissue from AD dementia patients and healthy controls of the same sex and similar age who had died within approximately 6 h of autopsy. To reveal whether any abnormality in brain responses to insulin was a general factor in AD, we excluded cases with a history of diabetes.

In all the brain areas our group has studied (hippocampal formation, prefrontal cortex and cerebellar cortex [14,28]), insulin applied to AD tissue induced significantly less activation of the signaling pathway tested than in healthy tissue, as assessed by insulin-induced levels of tyrosine or serine phosphorylated forms (pY or pS, respectively) of insulin signaling molecules or binding among those molecules. Compared with control cases, insulin in AD cases induced 29–34% less activation of the IR (pY), 90% less activation of IRS-1 (pY), 96% less binding of PI3K to IRS-1, 89% less activation of Akt (pS) and 74% less activation of mTOR (pS) in the hippocampal formation (Figure 2) [14]. The first molecule in this signaling pathway to show severe dysfunction was IRS-1, which thus seems to be a central factor in brain insulin resistance in AD. Increasing the dose of insulin tested to 10 nM, which may be higher than can be achieved safely with intranasal insulin, was unable to significantly increase tissue responsiveness.

Our subsequent *ex vivo* stimulation studies have shown lesser, but significant, brain insulin resistance in the hippocampal formation from nondiabetic individuals with MCI [Wang H-Y *et al.*, Liraglutide markedly reduces hippocampal insulin resistance in APP/PS1 mice and MCI cases (2014), Manuscript in preparation], which often progresses to AD dementia [29]. For this reason, brain insulin resistance appears to be an early feature of AD pathogenesis. Our additional finding that a very high percentage of the nondiabetic MCI and AD dementia

cases studied show brain insulin resistance suggests that this is a common feature of AD even in the absence of diabetes [14].

According to our *ex vivo* stimulation studies on the hippocampal formation, brain insulin resistance in AD is accompanied by brain IGF-1 resistance (i.e., impaired IGF-1 signaling via IRS-2) [14]. Unlike the case with insulin resistance, IGF-1 resistance was severe even at the level of the hormone receptor. The significance of this phenomenon remains to be determined.

Search for the causes of brain insulin resistance

Many causes have been proposed to explain evidence of decreased insulin signaling in AD brains. Among the most often cited are reduced extracellular insulin estimated from cerebrospinal fluid assays [30], reduced total [26] or cell surface [27,31] IR expression, and reduced IR affinity for insulin [25]. There are, however, reasons to doubt that these are major factors in the reduced brain insulin signaling seen in AD. Deficient extracellular insulin in the AD brain is not clear given contrary cerebrospinal fluid findings [32,33]. Similarly, deficiencies in the total IR content of AD brain tissues have not been found in studies explicitly using age-matched controls [14,15,27,34,35], and cell fractionation fails to reveal deficiencies in cell surface IR levels in such tissues [14]. While insulin binding of the IR may be reduced in AD brain tissue [25], insulin still manages to activate the catalytic domain of IR at 71–74% of normal levels even in the hippocampal formation of AD dementia cases [13]. As noted above, far greater reductions in insulin responsiveness are seen below the IR in the AD brain beginning with IRS-1, which is activated by insulin at only 10% of normal levels in the hippocampal formation [14].

The most likely proximal cause of reduced brain insulin signaling in AD is thus brain insulin resistance due to dysfunctional IRS-1. This probably reflects A β -induced glial secretion of proinflammatory cytokines (Figure 1). Among the earliest abnormalities in AD is elevated soluble A β [36], monomers of which aggregate into oligomers that can assemble into fibrils later, which form amyloid plaques or into amylophospheroids [37,38]. Also early in AD [39], A β oligomers and nascent fibrils (i.e., protofibrils) activate microglia, resulting in their secretion of proinflammatory cytokines, such as IL-1, IL-6 and TNF- α [40]. Such microglial activation may be a critical event in AD pathogenesis given the recent finding that knocking out a gene in an animal model of AD that encodes a microglial receptor (i.e., NOD-like receptor 3), which can sense inflammatory pathogens, including A β , prevents development of AD pathology and cognitive deficits that normally occur in that animal model [41]. Via neuronal receptors, microglial IL-1, IL-6 and TNF- α activate IRS-1 serine kinases known by the acronyms IKK, JNK and Erk2 [13]. In this way, A β oligomers administered to neuronal cultures or cerebral ventricles markedly elevate IRS-1 serine phosphorylation (IRS-1 pS) at multiple sites, namely S312, S616 and/or S636 (S307, S612 and S632 in rodents) (Figure 1) [42,43].

Elevated neuronal IRS-1 pS is prominent in the cerebral cortex and hippocampal formation of AD cases and appears to be the major cause of IRS-1 dysfunction in AD [14,27]. Since such phosphorylation inhibits the transmission of insulin-induced receptor activation to

more downstream molecules, it is understandably an established cause of insulin resistance in peripheral tissues, especially muscle tissue [44]. The same appears to be true in AD brains, where insulin-induced IRS-1 activation is consistently reduced in tissues with significantly elevated levels of IRS-1 pS616 and IRS-1 pS636, which are thus potential biomarkers of brain insulin resistance [14]. As expected, levels of these candidate biomarkers are significantly correlated with oligomeric A β plaque loads and are negatively associated to a high degree with measures of cognitive ability, including episodic and working memory [14].

Chronically elevated neuronal IRS-1 pS resulting from A β -induced proinflammatory processes is thus the most likely explanation for brain insulin resistance in AD and a major factor in its cognitive deficits. This may explain why peripheral insulin resistance due to obesity and/or T2D exacerbates brain insulin resistance in AD [15] and in animal models of AD [45]. Obesity and T2D are, in fact, risk factors for AD [46] and are both associated with elevated vascular proinflammatory cytokines [47,48]. Especially in AD, where cerebral vasculature is damaged, cytokines can cross the blood–brain barrier [49] and activate IRS-1 serine kinases in the same way that microglial-derived cytokines can. Peripheral insulin resistance can also raise brain IRS-1 pS by decreasing clearance of brain A β because insulin facilitates hepatic clearance of plasma A β [50], interference with which impairs brain clearance of that peptide [51].

Slowing age-related increases in brain insulin resistance

Between the ages of 45 and 64 years, the prevalence of prediabetes [52] and T2D [53] in the USA rises steeply, indicating a steep rise in peripheral insulin resistance starting in midlife. For the reasons given above, this phenomenon could promote brain insulin resistance, a view supported by our finding that brain levels of IRS-1 pS616 increase significantly from middle to old age, even in those without T2D or cognitive decline. It is thus important, especially from middle-age onward, to continue (or to adopt) lifestyles known to lower peripheral insulin resistance and reduce the risk of progressing to MCI, which elevates risk of AD dementia [29] as noted earlier. The most effective lifestyle changes for these goals are loss of excess weight, regular physical exercise and adherence to a Mediterranean diet specified by Estruch *et al.* [54] supplemented with nutrients in other diets lowering peripheral insulin resistance, reducing A β pathology in the brain, and improving cognition and IRS-1 pS levels [55]. These additional nutrients are flavonoids in blueberries and green tea, curcumin in the spice turmeric and the ω -3 fatty acid docosahexaenoic acid enriched in fatty fishes, such as salmon [55].

Treating brain insulin resistance in AD

While weight loss, exercise and better diets may slow progression to clinical stages of AD and even mitigate symptom severity in MCI [56–59], randomized clinical trials have not provided consistent evidence that such lifestyle changes initiated after diagnosis of MCI or AD dementia markedly slow cognitive decline [52,53,60]. At those stages of AD, simply reducing peripheral insulin resistance is ineffective, as shown by clinical studies documenting the failure of many T2D treatments to reduce AD risk or improve cognition in

AD dementia, namely treatments with peripherally administered insulin, metformin, sulfonyleureas and thiazolidinediones, such as rosiglitazone and pioglitazone [61,62]. The thiazolidinediones are also clinically compromised by their elevation of risk for heart failure in those with prediabetes or T2D [63].

Despite the failure of many antidiabetics to reduce AD risk or treat AD cognitive deficits the demonstrated ability of intranasal insulin to improve cognition in MCI and early AD dementia cases [64,65] shows that enhancing brain insulin signaling remains a viable means of treating AD. Intranasal insulin administration by itself, however, is unlikely to overcome the levels of brain insulin resistance seen in AD. The T2D drugs specified above may have failed as AD treatments for a number of reasons, such as rapid degradation, poor penetrance of the blood–brain barrier and/or ineffectiveness in reducing neuronal insulin resistance *in vivo*.

Fortunately, the antidiabetic GLP-1 analogs/mimetics do not have these limitations and are priority candidates among marketed drugs for development as AD therapeutic agents [12]. GLP-1 itself is one of two well-known incretin peptides, which are so named because their secretion by the intestines in response to food increases glucose-stimulated insulin release by the pancreas [66]. Like insulin, GLP-1 is produced in the brain [67] and has many functions outside the pancreas, including neuroprotection [68,69], promotion of neurogenesis [69,70] and potentiation of insulin signaling [71,72].

Since GLP-1 is quickly metabolized, degradation-resistant analogs have been developed for use in treating T2D. Two of those approved by the US FDA are exenatide (synthetic form of exendin-4 marketed as Byetta®; Amylin Pharmaceuticals, Inc., CA, USA) and liraglutide (Victoza®; Novo Nordisk A/S, Bagsvaerd, Denmark). Both effectively reduce peripheral insulin resistance [72,73] and have excellent safety profiles with a low incidence of hypoglycemia [74,75], which is expected given that GLP-1 increases glucose-stimulated, not basal, pancreatic insulin secretion. Pancreatitis has occurred in a very small number of those taking GLP-1 analogs, which may reflect the fact that the drug is prescribed for diabetes, which is a risk factor for pancreatitis [74,75]. A recent meta-analysis, however, found no evidence that GLP-1 analogs increase risk of pancreatitis [76]. Clinical trials are needed to determine if the weight loss induced by GLP-1 analogs in normal and T2D cases poses a problem in AD cases [77].

Peripherally administered GLP-1 analogs, including exendin-4 and liraglutide, cross the blood–brain barrier [70,78] and are thus able to bind GLP-1 receptors widely found in the brain, including pyramidal cells of the cerebral cortex and hippocampal formation [79]. The GLP-1 analogs have a remarkable number of beneficial effects on neurons, many of which may derive from their ability to block A β -induced neuronal insulin resistance [43]. In mouse models of AD, including aged animals, these drugs reduce A β plaque loads, block A β -stimulated inflammatory responses, and promote neurogenesis, neuronal survival and synaptic integrity, restore long-term potentiation and reduce cognitive deficits [43,68–70,80,81]. Given that elevated IRS-1 pS in the brain may be the primary cause of brain insulin resistance, it is notable that exendin-4 and liraglutide reduce levels of IRS-1 pS616 and IRS-1 pS636 in the APP/PS1 mouse model of AD [43,82].

Our group has recently demonstrated that liraglutide essentially restores brain insulin sensitivity in APP/PS1 mice [83]. Using *ex vivo* stimulation, we showed that the hippocampal formation in such mice is as insulin resistant at 7.5 months as the same brain area in elderly AD cases, and that 2 months of daily liraglutide administration (25 nmol/kg intraperitoneally) beginning at 5 months virtually restored normal hippocampal formation responses to insulin in the IR–IRS-1–PI3K–Akt pathway. The same drug treatment was previously found to restore long-term potentiation in the HF and greatly improve cognition in this animal model of AD at 7 [80] and 14 months [81] of age.

Our most recent work suggests that liraglutide may be quite potent in reducing brain insulin resistance in MCI cases [Wang H-Y *et al.*, Liraglutide markedly reduces hippocampal insulin resistance in APP/PS1 mice and MCI cases (2014), Manuscript in preparation]. As noted above, such tissue in MCI cases is insulin resistant to a lesser degree than the same brain area from AD cases. After exposure to 100 nM of liraglutide for 1 h, the hippocampal formation of MCI cases was found to be much more responsive to 1 nM insulin. Indeed, this treatment resulted in virtually normal insulin responsiveness in tissue from nonamnesic MCI cases and substantially improved insulin responsiveness in tissue from amnesic MCI cases. The same treatment also significantly improved insulin responsiveness in the hippocampal formation of AD cases, but the improvement in responsiveness remained far from normal.

GLP-1 analogs thus emerge as very promising therapeutic agents in AD at an early clinical stage before extensive, irreversible neurodegeneration occurs. This puts a premium on early diagnosis of MCI due to AD, which is becoming possible with current methods to image A β plaque levels with PET scans [84]. The results of the first clinical trials of GLP-1 analogs on MCI cases that were started in the last 2 years in the USA and the UK [85] are thus eagerly anticipated. Hopes are raised by the significant improvement in cognition reported recently in the first clinical trial of a GLP-1 analog (exenatide) on a neurodegenerative disorder, namely Parkinson's disease [86]. As in AD, dementia in Parkinson's disease is associated with peripheral insulin resistance [87].

Conclusion & future perspective

Since insulin normally regulates many brain functions disrupted in AD, correcting brain insulin resistance in AD may be one of the most efficient means of treating it. Given the research summarized above, there is reason to believe we may soon be able to markedly reduce brain insulin resistance in AD at the MCI stage using FDA-approved GLP-1 analogs. This treatment strategy is especially promising, because it may soon be improved by the development of dual agonists of GLP-1 and the other incretin, GIP. Acting on its own receptors in the brain, GIP also reduces brain pathology and cognitive deficits in a mouse model of AD [88]. Newly developed dual agonists of this type have proven more effective than either GLP-1 or GIP agonists alone in reducing peripheral insulin resistance [89]. Within the next 5–10 years, we may have a second generation of especially potent incretin treatments for AD ready for clinical use.

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Practice Points

- Brain insulin resistance is a significant feature of Alzheimer's disease (AD). This phenomenon by itself can promote many of the neural and cognitive abnormalities of AD. In individuals with or without a history of diabetes, brain insulin resistance is an early and common feature of AD, closely associated with cognitive decline.
- Brain insulin resistance in AD is a neuronal phenomenon that reflects a decreased responsiveness to insulin at all levels of the insulin receptor–IRS-1–PI3K–Akt signaling pathway. The first major decrease in insulin responsiveness occurs, however, below the receptor, beginning with IRS-1.
- The most immediate cause of brain insulin resistance in AD appears to be amyloid- β -triggered microglial release of proinflammatory cytokines, which inhibit insulin signaling by promoting serine phosphorylation of IRS-1.
- It is likely that the rate of age-related increase in brain insulin resistance can be reduced. While there are no validated methods for detecting it *in vivo*, brain insulin resistance is more likely in those with peripheral insulin resistance (i.e., those with prediabetes or Type 2 diabetes [T2D]) since such resistance can promote brain insulin resistance. Individuals with prediabetics should, therefore, make lifestyle changes to lower peripheral insulin resistance (e.g., losing excess weight, getting regular physical exercise and adopting a Mediterranean diet) to avoid progression not only to T2D, but also to clinical stages of AD.
- Once clinical stages of this disorder manifest, lifestyle changes are unlikely to normalize brain insulin responsiveness. This might be accomplished, however, by two GLP-1 analogs approved by the US FDA for T2D, namely exenatide (Byetta[®]; Amylin Pharmaceuticals, Inc., CA, USA) and liraglutide (Victoza[®]; Novo Nordisk A/S, Bagsvaerd, Denmark). These drugs show promise in restoring normal brain insulin responsiveness at the mild cognitive impairment stage of AD, but not in AD dementia. Clinical trials are now being conducted on mild cognitive impairment cases with these promising agents.

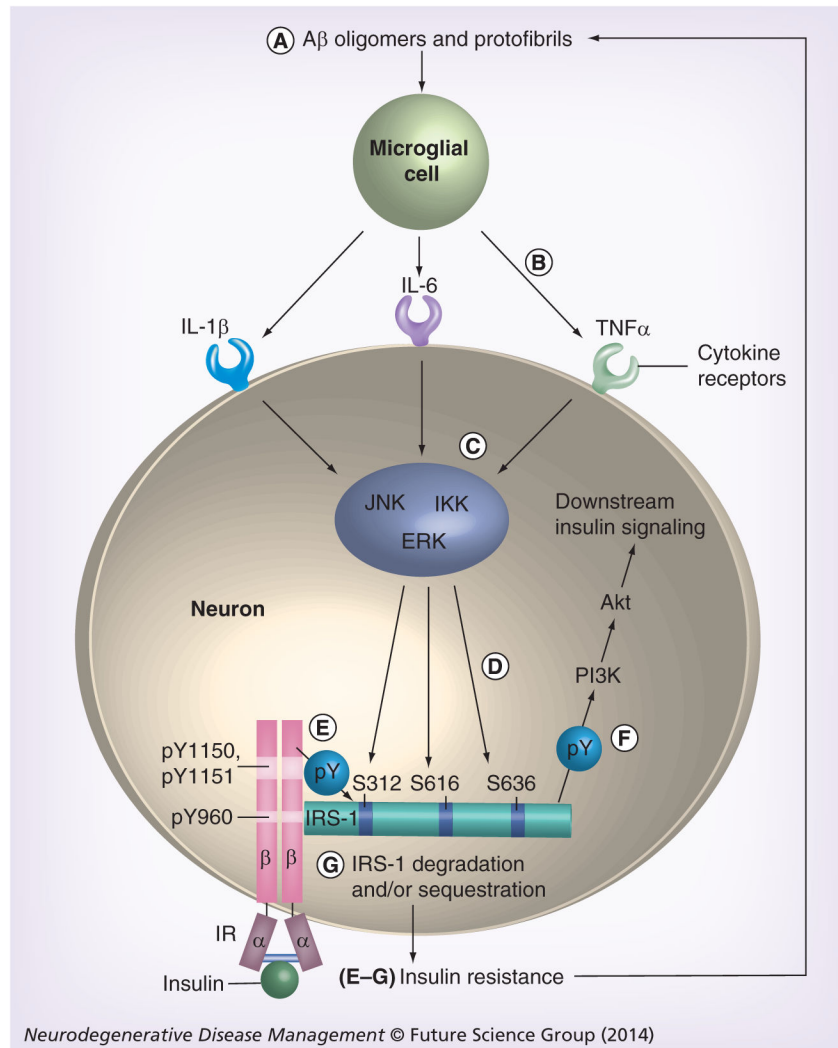


Figure 1. Neuronal insulin signaling pathway found resistant to insulin in Alzheimer's disease and the most likely mechanism for that abnormality

Insulin binding to the α -chains of the IR triggers pY of the β -chains of the receptor inside neurons. Thus activated, the IR binds and tyrosine phosphorylates IRS-1. This leads to activation of PI3K, then Akt and thereafter diverse downstream signaling molecules. (A–G) The sequence of steps likely to inhibit this pathway in AD. (A) A β oligomers and protofibrils activate microglial cells, (B) which consequently secrete a number of proinflammatory cytokines, including IL-1 β , IL-6 and TNF- α . (C) Via their receptors on neurons, each of these cytokines activate one or more of three major IRS-1 serine kinases, JNK, IKK and ERK. (D) Each of these kinases phosphorylate one or more IRS-1 sites, including S312, S616 and/or S636/639 (S307, S612 and S632/635 in rodents, respectively). For simplicity, S636/639 in this review is simply called S636. Such phosphorylation, which is abnormally high in cerebral pyramidal cells of Alzheimer's disease cases, inhibits IRS-1 interactions with the (E) IR upstream and (F) with PI3K downstream, thereby inhibiting transmission of insulin signals to downstream targets such as GSK-3 and mTOR complex 1. (G) Serine phosphorylation of IRS-1 can also promote its sequestration and/or degradation.

(E–G) These last three events lead to insulin resistance, which is known to impair clearance of extracellular A β [90], presumably leading to further insulin resistance through the steps shown here. A β : Amyloid- β ; IR: Insulin receptor; pY: Tyrosine phosphorylation.

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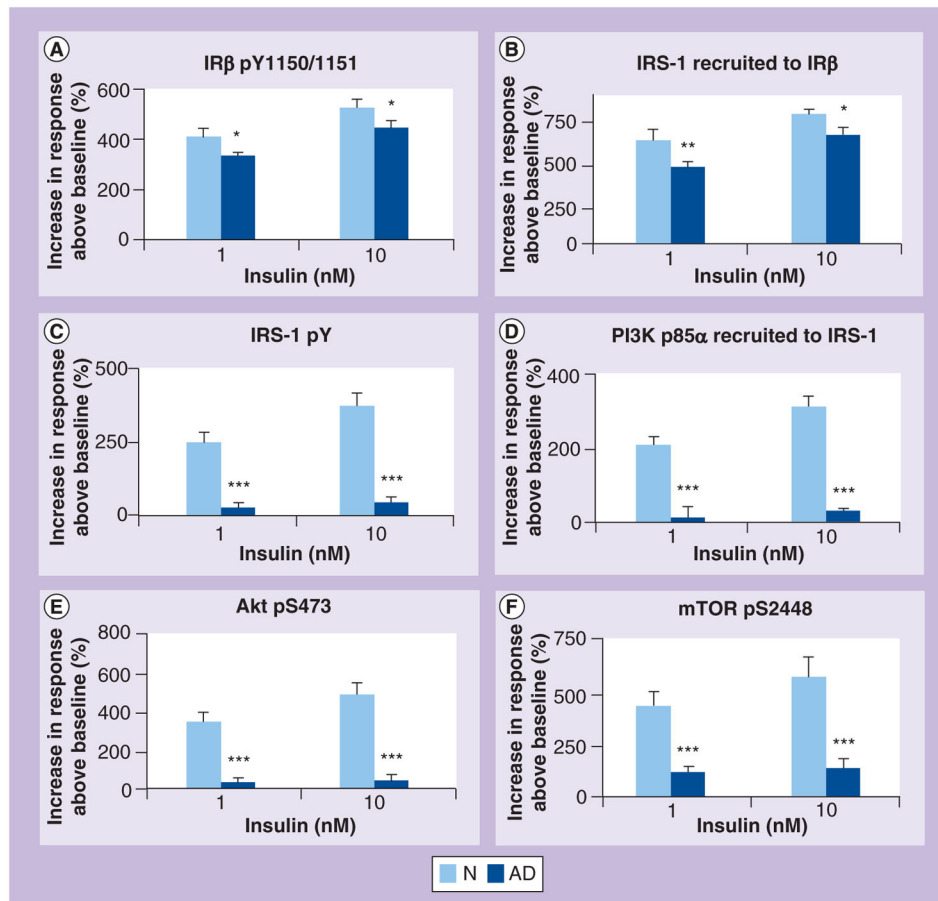


Figure 2. Physiologically demonstrated insulin resistance in the hippocampal formation of Alzheimer's disease cases compared with age- and sex-matched controls

Tissue with low postmortem intervals (~6 h) was used to test responses to 1–10 nM insulin in the IR–IRS-1–PI3K–Akt–mTOR pathway. Each bar in the graphs shows the mean percentage increase (\pm standard error of the mean) in activation or recruitment of a signaling molecule above the level seen without insulin exposure in the same diagnostic group. Reduced responsiveness was seen in (A) activation of the IR and (B) recruitment of IRS-1 to the IR, but the magnitude of the reduction was much less than in (C) total IRS-1 activation, (D) recruitment of the regulatory subunit of PI3K to IRS-1, (E) Akt activation via its S473 site or (F) mTOR activation via its S2448 site.

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.0001$.

AD: Alzheimer's disease; N: Normal control.

Data taken from [14].