

Sorafenib and its derivative SC-1 exhibit antifibrotic effects through signal transducer and activator of transcription 3 inhibition

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Signal transducer and activator of transcription 3 (STAT3) had been involved in liver fibrogenesis. We aimed to explore the antifibrotic activities of sorafenib and its derivative SC-1 (devoid of Raf kinase inhibition activity) both in vivo and in vitro with special focus on the STAT3 pathway in hepatic stellate cells (HSCs). The clinical role of STAT3 in chronic hepatitis B (CHB) was also investigated. Experimental fibrosis mouse models were established by thioacetamide injection and bile duct ligation in Balb/C mice and treated with sorafenib and SC-1. Rat and human HSCs were used for mechanistic investigations. Forty CHB patients were enrolled to quantify the hepatic phospho-STAT3 (p-STAT3) levels and correlated with liver fibrosis. Both sorafenib and SC-1 ameliorated liver fibrosis in vivo and promoted HSC apoptosis in vitro. p-STAT3 and downstream signals were down-regulated after sorafenib and SC-1 treatment in HSC. STAT3 overexpression in HSC enhanced cell proliferation and undermined the apoptotic effects of sorafenib and SC-1, whereas STAT3-specific inhibition promoted HSC apoptosis. Sorafenib and SC-1 activated Src-homology protein tyrosine phosphatase-1 (SHP-1) and STAT3 inhibition followed. Of particular interest, in CHB patients with advanced liver fibrosis, p-STAT3 in HSC was significantly overexpressed and positively correlated with the severity of liver fibrosis and plasma IL-6 levels. In conclusion, sorafenib and SC-1 ameliorate liver fibrosis through STAT3 inhibition in HSC and STAT3 may potentially serve as a promising fibrotic biomarker and target in liver fibrosis. SHP-1 phosphatase-directed STAT3 inhibition may represent a previously unidentified strategy for antifibrotic drug discovery.

hepatic stellate cell | STAT3 | SHP-1 | hepatitis B | liver fibrosis

More than 400 million people worldwide are infected with chronic hepatitis B virus (HBV) or hepatitis C virus (HCV) infection. They are the major causes of chronic hepatitis, which often leads to fibrosis progression, cirrhosis, and hepatocellular carcinoma (HCC) and, thus, poses a great threat to public health (1). Several potent antiviral agents with a minimal drug resistance profile are shown to persistently suppress HBV replication in patients with chronic hepatitis B (CHB), leading to a reduction or even a reversal of hepatic fibrosis in the treated patients (2). This fibrosis reduction is also true for chronic HCV infection after effective therapy (3). Nevertheless, active therapy directed against liver fibrogenesis is not yet available and is thus urgently needed in clinical practice (4).

Liver fibrogenesis represents a wound-healing response to a variety of chronic stimuli, including viral hepatitis. Fibrosis is characterized by an excessive deposition of several extracellular matrix proteins, which disrupts the normal architecture of the liver, resulting in fibrosis progression and subsequent cirrhosis. Hepatic stellate cells (HSCs) play a major role in liver fibrogenesis.

A comprehensive understanding of the molecular mechanisms involved in HSC activation, proliferation, and fibrosis-related gene expression will provide invaluable insight to ameliorate fibrosis progression of liver diseases with various etiology (5, 6).

Sorafenib, a multikinase inhibitor, is the only Food and Drug Administration-approved molecular targeted agent against advanced HCC. Interestingly, sorafenib has been reported to attenuate liver fibrosis, and reduce HSC proliferation by enhancing cell apoptosis (7). However, the underlying mechanisms of the antifibrogenic effects remain unclear. The signal transducer and activator of transcription 3 (STAT3) is a transcription factor associated with liver injury, inflammation, and regeneration (8, 9). Several studies have shown that interleukin-6 (IL-6) activates STAT3 in HSCs and promotes their survival, proliferation, and activation, thus contributing to liver fibrogenesis (8, 10). Recently, we found that sorafenib could down-regulate phospho-STAT3 (p-STAT3) in HCC cells (11). In addition, we also found that sorafenib and its derivative SC-1 inhibit HCC via a Raf kinase-independent mechanism: Src-homology protein tyrosine phosphatase-1 (SHP-1)-dependent STAT3 inactivation (12).

Taking these lines of evidence together, we hypothesized that STAT3 activation in HSCs is involved in liver fibrogenesis and is associated with the antifibrotic mechanism of sorafenib. We further investigated the antifibrotic activity of SC-1 and the role of STAT3 as a potential target of antifibrotic therapy in CHB patients with liver fibrosis.

Significance

The role of signal transducer and activator of transcription 3 (STAT3) pathway in hepatic stellate cells (HSCs) remains unclear. Using sorafenib and its derivative SC-1, we demonstrated the significant role of STAT3 pathway in liver fibrogenesis. We further found that STAT3 pathway of HSCs overexpressed in chronic hepatitis B patients with advanced fibrosis, therefore STAT3 may serve as a promising fibrotic biomarker and target. Furthermore, SHP-1 phosphatase-direct STAT3 inhibition may represent a previously unidentified strategy for antifibrotic drug discovery.

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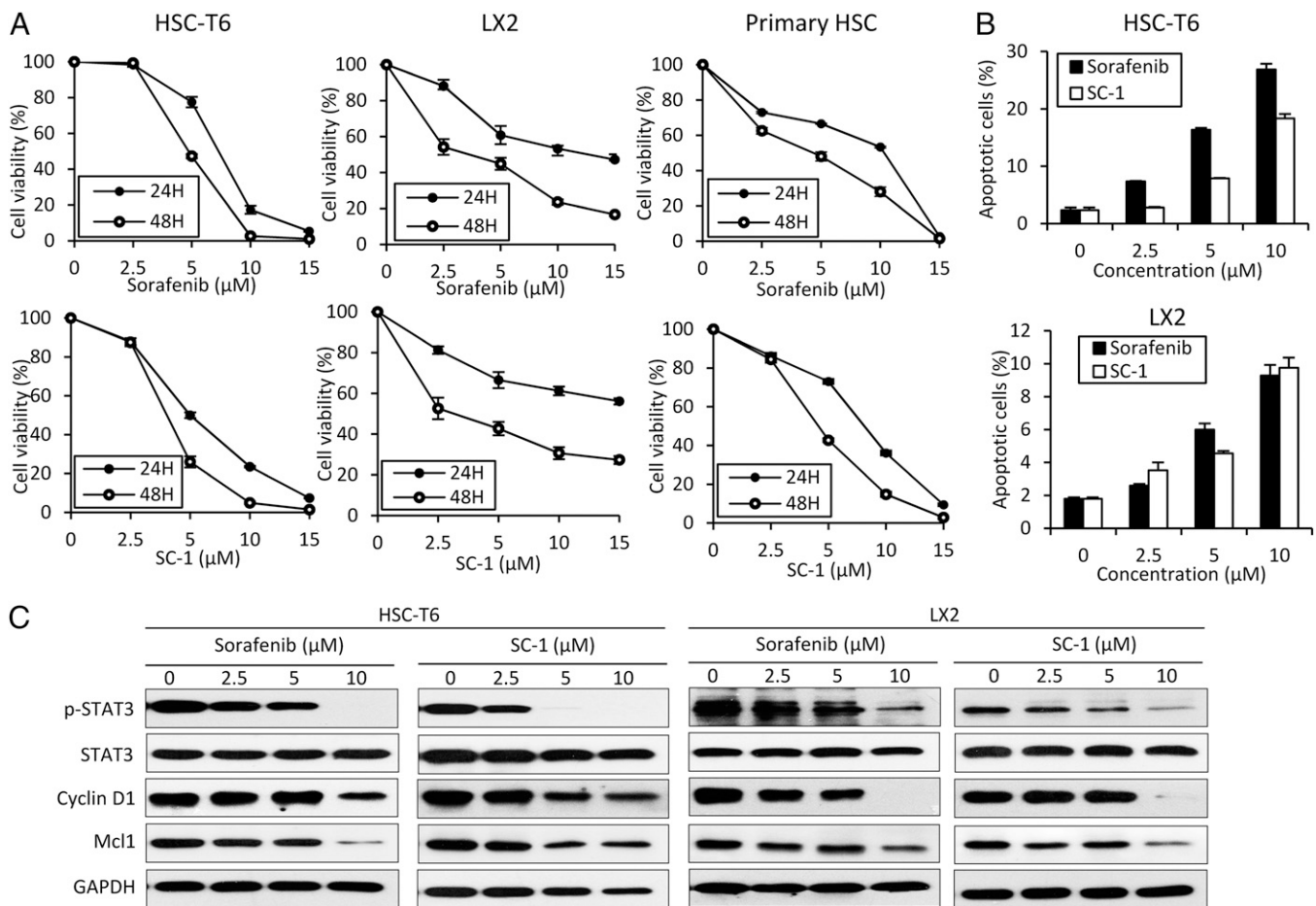


Fig. 2. Sorafenib and SC-1 treatment induce apoptosis of HSCs via the STAT3 pathway. (A) Dose-escalation and time-dependent effects of sorafenib and SC-1 for 24 or 48 h on cell viability in HSC-T6, LX2, and mouse primary HSCs. Circles, mean; bars, SE ($n = 3$). (B) Dose-escalation effects of sorafenib and SC-1 for 24 h on apoptosis in HSC-T6 and LX2 cells. Columns, mean; bars, SE ($n = 3$). (C) Dose-escalation effects of sorafenib or SC-1 for 24 h on STAT3-related proteins in HSC-T6 and LX2 cells.

Overexpression of STAT3 Undermined the Apoptotic Effect of Sorafenib and SC-1. To validate the apoptotic effect of sorafenib and SC-1 in HSC cells, stable clones of HSC-T6 cells that overexpressed STAT3 were established. First, the colony formation assay demonstrated the enhanced cell proliferation after overexpression of STAT3 (Fig. 3A). As shown in Fig. 3B, both sorafenib- and SC-1-induced apoptosis were abolished in STAT3-overexpressing HSC cells, as evidenced by the flow cytometry data, suggesting STAT3 may be a major mediator of sorafenib- and SC-1-induced apoptosis. To investigate whether STAT3 inhibition is directly associated with apoptosis of HSC cells, we administered WP1066, a STAT3-specific inhibitor and found a significant increase in apoptotic cells after WP1066 treatment (Fig. 3C).

SHP-1 Phosphatase Has a Role in STAT3 Pathway-Associated Apoptosis in HSCs. SHP-1 phosphatase is involved in down-regulation of p-STAT3 (12). To investigate whether this protein phosphatase is also involved in p-STAT3 pathway-associated apoptosis in HSC cells, we used sodium vanadate, a nonspecific phosphatase inhibitor. Sodium vanadate up-regulated p-STAT3 and abolished sorafenib- or SC-1-induced apoptosis (Fig. 3D). Furthermore, we found that a specific SHP-1 phosphatase inhibitor (PTP inhibitor III) could reverse sorafenib- or SC-1-induced HSC apoptosis and down-regulation of p-STAT3 (Fig. 3E). Both sorafenib and SC-1 up-regulated SHP-1 activity up to 1.9-fold compared with the control cells ($P < 0.01$) (Fig. 3F). Finally, IL-6 is the main activating signal of STAT3, and we demonstrated IL-6 stimulation

activated the STAT3 pathway in HSC, which can be suppressed by sorafenib and SC-1 (Fig. 3G).

P-STAT3 Overexpression in CHB Patients with Advanced Liver Fibrosis. We further explored whether STAT3 could be a potential antifibrotic target in 40 patients with CHB (Table S1). The mean histology activity index score (HAI) was 7.5, and it was positively correlated with the Metavir scores (P for trend < 0.001). There was no correlation between viral factors (HBsAg and HBV DNA levels) and the Metavir score. The images of p-STAT3 nuclear staining from a representative patient of each Metavir score (F0–F4) are shown in Fig. 4A. The p-STAT3 nuclear staining according to the Metavir score is shown in Fig. 4B. Compared with patients without fibrosis (F0), those with advanced fibrosis had p-STAT3 overexpression (P for trend < 0.001). In addition, p-STAT3-positive cells were largely HSCs, rather than hepatocytes (Fig. 4C and Fig. S4). We further measured the IL-6 level in the patients' plasma and found plasma IL-6 concentration increased significantly with higher Metavir scores (P for trend = 0.002) (Fig. 4D). A good correlation of 0.73 ($P < 0.001$) between hepatic p-STAT3 nuclear staining and plasma IL-6 level was found (Fig. 4E).

Discussion

In this study, we demonstrated that sorafenib and its derivative SC-1 could successfully ameliorate liver fibrosis. In addition to the TGF- β and PDGF pathways, we further identified that the STAT3 pathway is critical in the apoptosis and survival of HSCs.

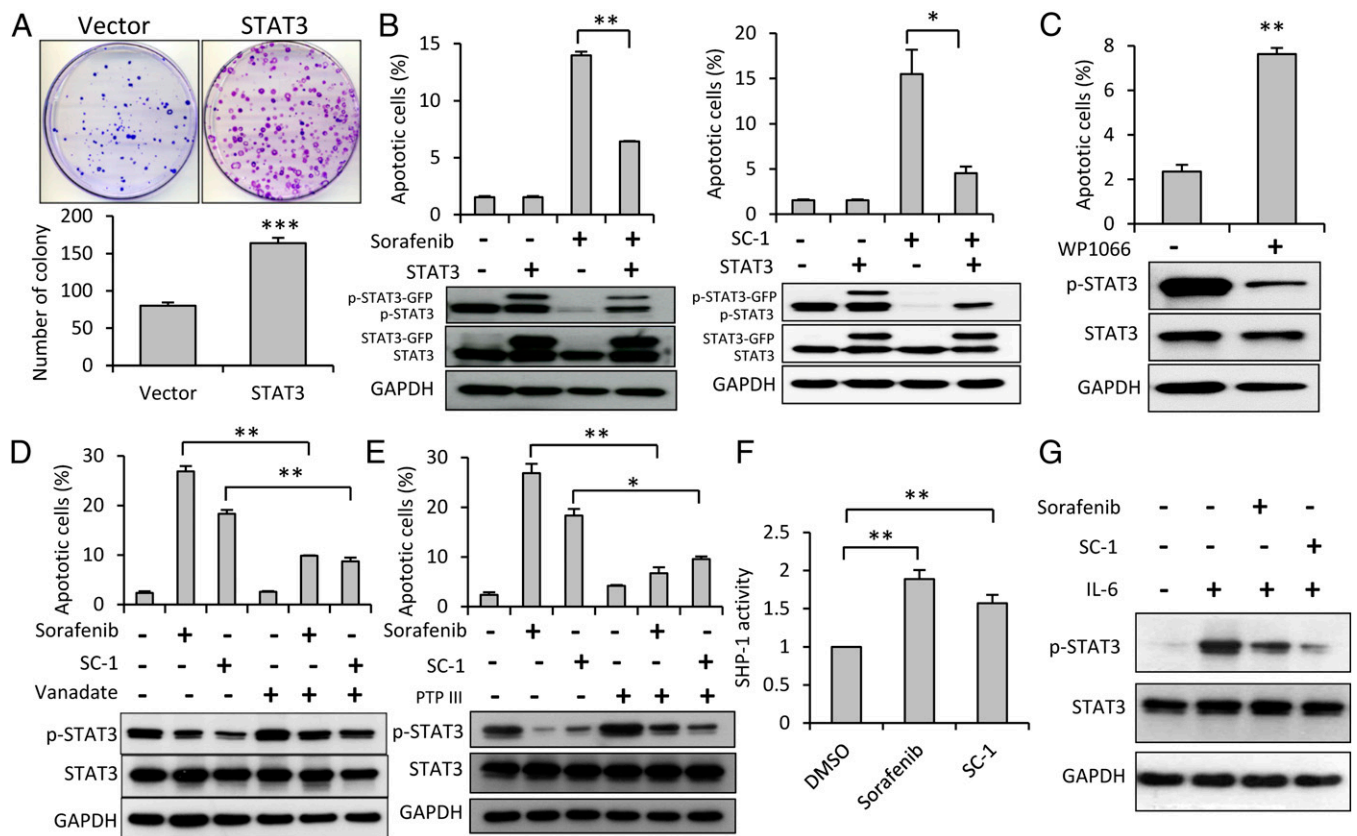


Fig. 3. Protective effect of STAT3 on apoptosis induced by sorafenib and SC-1 in HSC-T6 cells. (A) The colony formation assay demonstrated the significantly enhanced cell proliferation after overexpression of STAT3 compared with vector control. (B) Cells (with wild-type or ectopic expression of STAT3) were exposed to sorafenib (Left) or SC-1 (Right). Apoptotic cells were analyzed by flow cytometry. Both sorafenib- and SC-1-induced apoptosis were abolished in STAT3-overexpressing HSCs. (C) Treatment with WP1066, a STAT3 pathway-specific inhibitor, increased apoptosis. (D) Treatment with vanadate, a nonspecific phosphatase inhibitor, up-regulated p-STAT3 and reduced apoptosis. (E) SHP-1 specific inhibitor (PTP inhibitor III) up-regulated p-STAT3 and reduced apoptosis. (F) SHP-1 activity increased after sorafenib and SC-1 treatment. (G) IL-6 stimulation up-regulates p-STAT3 and suppressed by sorafenib and SC-1 treatment. Columns, mean; bars, SE ($n = 3$) * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

In addition, we also showed p-STAT3 overexpression in the HSCs of CHB patients with advanced liver fibrosis. Our results suggested STAT3 might be a promising fibrotic biomarker and target, and STAT3 inhibition might ameliorate liver fibrosis both in vitro and in vivo. Furthermore, SHP-1 phosphatase-directed therapy (e.g., SC-1) might be a previously unidentified strategy for the discovery of antifibrotic drugs.

STAT3 activation has been detected in several human liver diseases, and STAT3 signaling is involved in liver injury, steatosis, inflammation, regeneration, fibrosis, and hepatocarcinogenesis (8, 9). The role of STAT3 in liver inflammation and fibrosis is cell type-specific and model-dependent. STAT3 activation in hepatocytes induces acute phase responses, promoting liver regeneration, hepatocyte survival, and ameliorating fatty liver (8, 13). In hepatocyte-specific STAT3 knockout mice, CCl₄ injection induced greater liver damage than wild-type mice (14). In another alcoholic liver injury model, STAT3 in hepatocytes otherwise promotes inflammation, whereas STAT3 in macrophages/Kupffer cells suppresses inflammation (15). In Kupffer cells, the transient activation of STAT3 by IL-6 is proinflammatory, promoting HSC survival and proliferation (10). The activation of STAT3 in HSCs by IL-6 or leptin stimulates HSC survival, proliferation, and activation (8, 16, 17). Nevertheless, the exact mechanisms of IL-6/STAT3 in HSC during liver fibrogenesis remain to be determined.

Sorafenib inhibits tyrosine kinases, vascular endothelial growth factor receptor 2, PDGFR- β , and Raf kinases (18). The microenvironment in a fibrotic liver is rather complex, including

hepatocytes, Kupffer cells, liver sinusoidal endothelial cells (LSEC), and HSC. Sorafenib may have various effects on different cell types. Mejias et al. first reported sorafenib ameliorated portal hypertension, intrahepatic fibrosis, inflammation, and angiogenesis in a cirrhotic rat model (19). Subsequent studies demonstrated sorafenib might reduce HSC proliferation, and inhibit the synthesis of fibrogenesis-related proteins and extracellular matrix (7, 20, 21). Sorafenib inhibits the KLF6/angiopoietin-1/fibronectin to disrupt the LSEC-HSC interactions, affecting the matrix reconstruction and vascular remodeling (22). Although sorafenib suppresses HSC by p-STAT3 inhibition in our study, a recent study suggested that sorafenib suppressed TGF- β 1 induced epithelial-mesenchymal transition and apoptosis of hepatocytes, and also inhibited TGF- β 1-induced STAT3 phosphorylation (23). Recently, Deng et al. demonstrated that STAT3 in hepatocytes was critical for sorafenib-mediated protection against liver fibrosis, by Kupffer cell-derived IL-6-dependent p-STAT3 up-regulation (24). In our study, we consistently confirmed the antifibrotic effect of sorafenib and further verified that sorafenib inhibited the STAT3 pathway and downstream cyclin D1 and Mcl-1 expression, which are involved in the cell-cycle regulation and apoptosis (25). Of particular note, p-STAT3 inhibition was associated with HSC apoptosis, which was clearly reversed by p-STAT3 overexpression. Deng et al. showed the nuclear translocation of STAT3 in hepatocytes after sorafenib treatment; however, after thioacetamide induction, we found nuclear p-STAT3 overexpressed largely in HSC and reduced by sorafenib. One possible explanation of these findings is

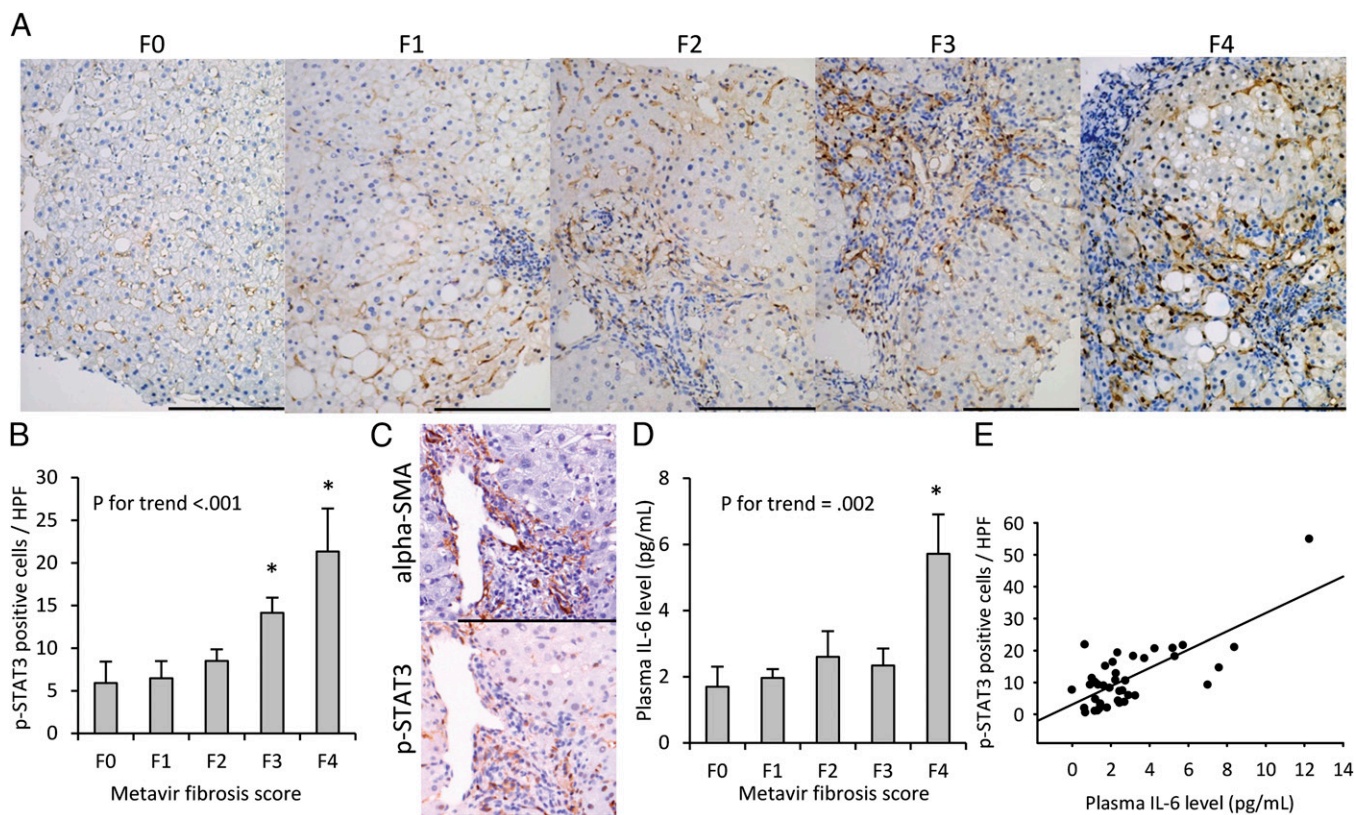


Fig. 4. Overexpression of p-STAT3 in HSCs in CHB patients with advanced fibrosis. Fibrosis was graded after Metavir fibrosis score. (A) p-STAT3 nuclear immunohistochemical staining increased significantly with advancing fibrosis. (B) Nuclear p-STAT3 expression increased with severity of fibrosis. $*P < 0.05$, compared with patients at F0. P for trend < 0.001 . (C) Selected areas with α -SMA [an activated HSC (myofibroblast) marker] and p-STAT3 immunohistochemical staining. The p-STAT3-positive cells were mostly HSCs. (D) Plasma IL-6 levels increased with severity of fibrosis according to Metavir scores. P for trend = 0.002. Columns, mean; bars, SE. $*P < 0.05$, compared with patients at F0. (E) Plasma IL-6 levels positively correlated with hepatic p-STAT3. (Pearson's $r = 0.73$, $P < 0.001$). (Scale bars: 200 μ m).

a time-dependent hepatic p-STAT3 inhibition and followed by activation during fibrogenesis, indicating a dynamic regulation by IL-6 from Kupffer cells (24). These results highlight the impact of the different cell types on the STAT3-dependent effects of sorafenib and STAT3 may be a key player in liver fibrosis. However, the beneficial results of sorafenib from these cellular or animal studies in oversimplified conditions should be carefully examined in patients.

We further introduced SC-1, a sorafenib analog devoid of Raf kinase inhibition activity due to the trimming of the functional amide group or pyridine ring that are critical to the hydrogen bond interactions between sorafenib and the ATP binding pocket of B-Raf (26). Our data showed that SC-1 exerted potent antifibrotic activity through STAT3 inhibition. This kinase-independent p-STAT3 inhibition possibly occurs through the up-regulation of SHP-1 with protein phosphatase and cell growth suppression activities (27). SHP-1 belongs to a family of non-receptor protein tyrosine phosphatases with two Src homology region 2 (SH2) domains, a catalytic protein tyrosine phosphatases (PTP) domain, and a C-terminal tail. The N-SH2 domain protrudes into the PTP domain to block the entrance of phosphopeptide activators. Sorafenib interacts with the inhibitory N-SH2 domain and relieves the autoinhibition, leading to increased SHP-1 activity. The deletion or a point mutation (D61A) of N-SH2 domain abolishes the effect of sorafenib on SHP-1 and p-STAT3 (28). The SHP-1 down-regulates p-STAT3 by a direct interaction and dephosphorylation of p-STAT3 (28). SHP-1 also dephosphorylates JAK2 kinase (29), the upstream of JAK2/STAT3 pathway, and thus represses the p-STAT3 expression.

TGF- β and PDGFR are two major pathways in fibrogenesis, promoting HSC activation and extracellular matrix synthesis (5). In our study, sorafenib and SC-1 also down-regulated TGF- β /Smad2/Smad3 signaling. The Raf and Akt are two canonical downstream pathways of PDGFR- β . Although SC-1 devoid of Raf kinase activity, both sorafenib and SC-1 still down-regulate PDGFR- β /Akt and further contribute to their antifibrotic effects.

Sorafenib-induced liver dysfunction is more common in patients with Child B/C cirrhosis than those with Child A cirrhosis (30), which limits its clinical usefulness as an antifibrotic agent. In addition, sorafenib-induced multikinase inhibition causes various cutaneous adverse reactions through its off-target effects (31). In the mouse model, we also found elevation of alanine aminotransferase levels in the sorafenib group, compared with the SC-1 and control groups (209 vs. 143 vs. 120 U/L, $n = 2$ in each group). The hepatotoxicity of tyrosine kinase inhibitors should be monitored after marketing (32). From the perspective of drug discovery, kinase-independent STAT3 inhibitors like SC-1 may be more specific and safer agents for antifibrotic therapy.

To explore the clinical implications of STAT3 in liver fibrogenesis, we enrolled CHB patients with liver fibrosis. We clearly demonstrated p-STAT3 overexpression in HSC of CHB patients with advanced liver fibrosis. This p-STAT3 overexpression correlated well with fibrotic score and plasma IL-6 levels. In line with another clinical observation (33), plasma IL-6 levels correlated well with the severity of liver fibrosis. Therefore, the STAT3 signaling pathway in HSCs might be a potential previously unidentified target for antifibrotic therapy, functioning in a similar manner to AG490, a specific JAK2 inhibitor that inhibits JAK2/STAT3

activation and prevents early activation of HSCs (34). In addition, plasma IL-6 level, an indicator of liver fibrogenesis and p-STAT3 expression, may potentially serve as a noninvasive biomarker to monitor the antifibrotic efficacy of novel STAT3 inhibitors; nevertheless, further studies are required for verification.

In conclusion, *in vitro* and *in vivo* studies indicate that sorafenib and its derivative SC-1 can ameliorate liver fibrosis through STAT3 inhibition in HSC. p-STAT3 is overexpressed in CHB patients with advanced liver fibrosis. Therefore, STAT3 may be a promising fibrotic biomarker and target, and SHP-1 phosphatase-directed antifibrotic therapy may represent a novel strategy for antifibrotic drug discovery.

Materials and Methods

See *SI Materials and Methods* for more information.

Reagents. Sorafenib (Nexavar) was kindly provided by Bayer HealthCare AG. SC-1 was synthesized by the replacement of *N*-methylpicolinamide by a phenylcyano group in sorafenib, which abolished Raf kinase inhibition activity without compromising SHP-1-activating activity (26).

Liver Fibrosis Mouse Model. Male Balb/C mice (8 wk old) were obtained from the National Laboratory Animal Center Taiwan. Two murine liver fibrosis models were used. In the thioacetamide model, they were administered with triweekly *i.p.* injection of thioacetamide (200 mg/kg) for 8 wk. Vehicle, sorafenib (10 mg/kg), or SC-1 (10 mg/kg) was administered via oral gavage for 5 d a week from the third week until sacrifice. In the BDL model, the common bile duct was double-ligated and followed by resection. Vehicle, sorafenib, (10 mg/kg) or SC-1 (10 mg/kg) was administered via oral gavage daily from day 8 until killing at day 14. All experimental procedures performed on these mice were in accordance with protocols approved by the Institutional Laboratory Animal Care and Use Committee of National Taiwan University.

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