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Elevated Dihydrotestosterone is Associated with Testosterone-Induced Erythrocytosis

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Abstract

INTRODUCTION—Erythrocytosis is the most common dose-limiting adverse effect of testosterone therapy (TTh), but the mechanisms of T-mediated erythropoiesis remain unclear. In this study, we examine risk factors for erythrocytosis associated with TTh.

METHODS—Retrospective review of 179 hypogonadal men on TTh in a single andrology clinic was performed. Demographic data, TTh formulation and duration of treatment, and 5 α reductase inhibitor (5ARI) use were assessed. Serum dihydrotestosterone (DHT), total T (TT), free T (FT), follicle stimulating hormone (FSH), luteinizing hormone (LH), Hematocrit (Hct), and lipid levels were extracted and changes during treatment determined. Spearman's rank correlation was used to identify relationships between change in Hct (Hct) and study variables.

RESULTS—Of 179 patients, 49 (27%) developed a $\geq 10\%$ Hct and 36 (20.1%) developed erythrocytosis (Hct $\geq 50\%$) at a median follow-up of 7 months. Topical gels were used by 41.3% of patients, injectable T by 52.5%, and subcutaneous pellets by 6.1%. More men who developed Hct $\geq 10\%$ used injectable T than men with Hct $<10\%$ (65% vs. 48%, $p=0.035$), and were less likely to be on 5ARI (2% vs. 15%, $p=0.017$). Men with Hct $\geq 10\%$ had higher post-treatment DHT levels (605.0 vs. 436.0 ng/dL, $p=0.017$) and lower LH and FSH levels than men with Hct $<10\%$. Spearman's rank correlations yielded relationships between Hct and post-treatment DHT ($\rho=0.258$, $p=0.001$) and TT ($\rho=0.171$, $p=0.023$).

CONCLUSION—DHT may play a role in TTh-related erythrocytosis, and monitoring of DHT levels during TTh should be considered. In men who develop erythrocytosis, 5ARIs may be therapeutic.

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Keywords

Testosterone replacement; Dihydrotestosterone; Erythrocytosis

INTRODUCTION

Hypogonadism affects a growing number of men in the United States¹, and as its prevalence has increased, so has the use of testosterone therapy (TTh). The beneficial effects of TTh include amelioration of hypogonadal symptoms (fatigue, erectile dysfunction and poor libido) as well as improvements in muscle mass, mood and cognitive function, bone mineral density, and reversal of the metabolic syndrome¹⁻⁴. In contrast, the adverse effects of TTh include negative effects on lipids, a possible increased risk of cardiovascular disease, elevated estrogen levels, gynecomastia, local reactions, and erythrocytosis^{1, 3, 5-9}. Of these, erythrocytosis is the most common dose-limiting adverse effect, occurring in 4–40% of men on TTh, and may worsen pre-existing vascular disease secondary to increased blood viscosity^{1, 2}. As such, erythrocytosis secondary to TTh has been addressed with cessation or modification of treatment and periodic phlebotomy.

Despite the high incidence of TTh-related erythrocytosis, the mechanisms underlying significant hematocrit (Hct) elevations in the setting of exogenous T are poorly understood. Certainly, specific formulations, dosing, and serum T levels correlate with the development of erythrocytosis^{5, 10-12}, and biological factors including age^{5, 10, 13}, smoking and alcohol use^{10, 14}, obesity¹⁵, and cardiac/lung disease¹⁶ may also play some role. Proposed mechanisms center on the actions of T on hematopoiesis in the bone marrow with previously identified links to erythropoietin stimulation^{17, 18}, suppression of the iron regulatory peptide hepcidin¹³, and possible relationship with androgen receptor expression¹⁵.

The majority of these hypotheses derive from studies investigating the effects of exogenous T on hematopoiesis. However, when examining studies employing exogenous dihydrotestosterone (DHT) for androgen supplementation, elevations in Hct persist, but in the setting of suppressed plasma T levels^{19, 20}. Limited data regarding the possible role of DHT in the development of TTh-induced erythrocytosis exist. In this retrospective study, we examine clinical factors associated with elevations in Hct, focusing on a potential role for DHT in this process in men on TTh.

METHODS**Patient Selection/Study Variables**

After approval by the Institutional Review Board of Baylor College of Medicine, retrospective chart review of 245 hypogonadal men treated with TTh between 2009–2012 was performed. Of these, 66 men were excluded due to a lack of pre- or post-TTh Hct levels, being lost to follow up, or known hematologic disorders, leaving 179 men in our study. The diagnosis of hypogonadism was based on biochemical evidence of low serum T (<300 ng/dL) as well as clinical symptoms including fatigue, low energy, and worsening libido and/or erectile function. Men with pre-treatment serum T levels above 300 ng/dL, but

with hypogonadal symptoms and no alternate diagnoses to explaining the symptoms, were also treated with TTh²¹.

After inclusion, demographic data including age, body mass index (BMI), and medical comorbidities were recorded. Testosterone formulation used for TTh, duration of TTh, change in Hct (Hct) levels during TTh, and concurrent use of 5 α -reductase inhibitors (5ARIs) were recorded. To evaluate factors related to erythrocytosis, DHT, total T (TT), free T (FT), follicle stimulating hormone (FSH), luteinizing hormone (LH), Hct, total cholesterol (TChol), HDL and LDL cholesterol, and triglycerides (TG) drawn prior to TTh initiation and during follow up were recorded.

Data Analysis

All samples were analyzed in the Laboratory for Male Reproductive Research and Testing at Baylor College of Medicine. As the definition for erythrocytosis in the literature ranges from 50–54%, we defined erythrocytosis as Hct \geq 50%, which we use in clinical practice. In this work, a Hct \geq 50% was used for comparison purposes, whereas the primary analysis grouped patients based on Hct during TTh: 1) Hct \geq 10% above pre-treatment values or 2) Hct $<$ 10%. The cutoff of 10% Hct was based on the finding that men with a \geq 10% Hct were more likely to develop erythrocytosis than those with $<$ 10% Hct.

In a secondary analysis, patients were grouped based on whether they were taking a 5ARI during TTh. Pre- and post-treatment variables were compared between groups using Mann-Whitney U analysis for continuous variables and Chi-square or Fisher's exact test for categorical variables. Changes in Hct, TT, FT, DHT pre- and post-treatment were also compared between groups. Spearman's rank correlations were used to identify significant correlations between Hct and cohort variables during treatment. All statistical analyses were performed using SPSS for Mac Version 22 (IBM Corporation, Armonk, NY) with $p < 0.05$ considered statistically significant.

RESULTS

Cohort Demographics

Patient characteristics of the 179 men included in the analysis are found in Table 1. The median (IQR) age within the cohort was 49 (40–60) years and BMI 28.1 (25.8–31.4) kg/m². Within the cohort, 172 men initially presented with secondary hypogonadism, and 7 with primary hypogonadism. Seventy four (41.3%) men in our cohort used topical, 94 (52.5%) injectable, and 11 (6.1%) subcutaneous pellet T formulations. During treatment, 20 (11.2%) patients were concomitantly on a 5ARI, with 15 on finasteride and 5 on dutasteride. Men on 5ARI used 5mg daily dosing, with the exception of 2 men on 1mg of finasteride for alopecia. All men on 5ARI had been treated for at least 3 months prior to TTh initiation. The overall incidence of erythrocytosis (Hct \geq 50%) within the cohort was 20.1% (36 men) after a median of 7 (3–19) months of TTh, with 27% (49 men) developing a \geq 10% Hct during this time period.

Comparison of Men As a Function of Hct Elevation

Men with Hct $\geq 10\%$ were of comparable age (52.0 vs. 48.0 years old, $p=0.137$) and BMI (28.0 vs. 28.3 kg/m^2 , $p=0.289$), but had a longer duration of TTh (12.0 vs. 5.0 months, $p=0.002$) than men with Hct $<10\%$. Men with Hct $\geq 10\%$ more frequently used injectable T formulations (65.3% vs. 47.7%, $p=0.035$), were less frequently on concomitant 5ARIs (2.0 vs. 14.6%, $p=0.017$) and had higher incidence of any comorbidity (44.9 vs. 28.5%), hypertension (22.4% vs. 6.2%), COPD (4.1 vs. 1.5%), and hyperlipidemia (30.6 vs. 5.4%) ($p<0.05$ for each).

Comparing pre- and post-treatment labs in men who developed $\geq 10\%$ Hct during TTh with those that did not yielded no significant differences between groups in pre-treatment labs, with the exception of pre-treatment Hct, which was lower in men who developed $\geq 10\%$ Hct (43.0 vs. 45.1 %, $p<0.001$) (Table 2). Post-treatment, men with $\geq 10\%$ Hct had significantly higher DHT levels (605.0 vs. 436.0 ng/dL , $p=0.017$), without significant differences in TT (863.0 vs. 725.0 ng/dL , $p=0.275$) and FT (18.4 vs. 15.2 pg/mL , $p=0.268$) levels (Figure 1). DHT values were higher at follow-up in men with $\geq 10\%$ Hct, and when comparing men with $\geq 10\%$ and $<10\%$ Hct, a relationship approaching significance was observed (354.7 vs. 215.0 ng/dL $p=0.085$). Changes in TT and FT were not different between the groups. Men with $\geq 10\%$ Hct had lower post-treatment FSH (0.18 vs. 0.45 mIU/mL , $p=0.012$) and LH (0.19 vs. 0.30 mIU/mL , $p=0.012$). No significant differences in LDL, HDL, TChol, and TG levels were observed between groups.

Comparison of Men as a Function of Concurrent 5ARI Therapy

Given that 5ARIs lower serum DHT levels, a secondary analysis comparing men on 5ARIs to those who were not was performed to further assess whether DHT was involved in Hct. No differences in patient demographics, duration of TTh use, T formulation, or comorbidity incidence between groups were observed (Table 1). When comparing pre-and post treatment labs (Table 2, Supplementary Table 1), no differences in median pre-treatment Hct were observed between groups (44.3 vs. 44.9%, $p=0.241$), but post-treatment Hct was significantly higher in men not taking 5ARI (47.2 vs. 45.4%, $p=0.002$) (Table 2, Figure 2), with a median Hct of +3.0 % (0.8–4.8) in men not taking 5ARI vs. +1.1% (0.08–2.9) in men on 5ARI ($p=0.013$).

As expected, a similar trend in post-treatment DHT levels was observed, with a median DHT of 536.0 ng/dL in men not taking 5ARIs vs. 126.0 ng/dL in the 5ARI group ($p<0.001$). Despite the discordant rise in Hct and DHT between men these groups, TT levels pre-treatment (261.0 vs. 278.0 ng/dL , $p=0.472$) and post-treatment (743.0 vs. 785.0 ng/dL , $p=0.928$) were not significantly different between these groups. Of the 36 men in the cohort that developed erythrocytosis, only 1 (2.7%) of these patients was concurrently taking a 5ARI. This patient presented with a baseline Hct of 48.0%, which then rose to 50.4% at follow-up, a 5% increase. Only 1 (5%) other patient who was concurrently taking a 5ARI had a $>10\%$ Hct during the course of treatment, although the patient did not, by definition, develop erythrocytosis.

Correlation Analyses

To identify variables most strongly impacting Hct, Spearman's rank correlation analysis between Hct and cohort variables was performed, yielding significant correlations between Hct and follow-up TT and DHT. A larger positive correlation was observed between the Hct and follow-up DHT ($\rho=0.258$, $p=0.001$) than follow-up TT ($\rho=0.171$, $p=0.023$), suggesting that DHT may influence Hct more than TT. No significant correlation between Hct and FT or lipids was observed. A negative correlation was observed between Hct and follow-up LH ($\rho=-0.212$, $p=0.005$) and FSH ($\rho=-0.254$, $p=0.001$), as would be expected with an intact hypothalamic-pituitary-gonadal axis.

The above data suggest a previously unrecognized role for DHT in the development of erythrocytosis in men on TTh, which may be tempered using 5ARI without an impact on serum TT levels.

DISCUSSION

Erythrocytosis is the most common side effect of TTh and occurs at variable rates as a function of T formulation, although its specific etiology is unclear. Several potential mechanisms underlying the development of erythrocytosis have been proposed, including erythropoietin stimulation^{17, 18}, suppression of hepcidin¹³, alone and in concert with erythropoietin¹⁸, and relation to androgen receptor CAG repeat length¹⁵. Here we provide evidence supporting a role for DHT in the development of erythrocytosis in men on TTh.

Within our cohort, 20.1% of men on TTh developed erythrocytosis, consistent with prior studies observing a 5–30% incidence of erythrocytosis as a function of T formulation and upper-limit-of-normal Hct levels ranging from 49–54%^{10, 12, 16, 17, 22–24}. In our study, erythrocytosis was defined as Hct $\geq 50\%$; we use this value in clinical practice as a cutoff for treatment modification or therapeutic phlebotomy in men on TTh. Given the variability in the definition of erythrocytosis, we used the magnitude of change in Hct levels during TTh to identify factors associated with Hct.

When comparing men with Hct of $\geq 10\%$ to those with $<10\%$ change, we observed significant increases in DHT in the absence of changes in TT and FT. Furthermore, the observed Hct over the course of treatment correlated more strongly with follow-up DHT than follow-up TT values. Published data regarding a possible DHT-mediated role in erythrocytosis are limited, though the current work may be compared to studies examining cohorts of hypogonadal men treated with DHT as an androgen. Several small clinical trials investigating the use of transdermal DHT in hypogonadal men have demonstrated significant increases in Hct during treatment. Although these findings were not the primary goal of these studies, the observed increases in Hct were 5.3% at 6 months²⁵ and 6.7% at 24 months¹⁹. Moreover, the observed increases in Hct appeared to occur in a T-independent fashion, as suppression of serum TT and FT were observed during each study^{19, 20, 25}.

To more specifically evaluate whether DHT plays a role in TTh-induced erythrocytosis, we evaluated the subgroup of men in our cohort taking 5ARIs during TTh and found that fewer men on 5ARI developed erythrocytosis than men not taking 5ARIs. Furthermore, men on

5ARIs had a lower Hct than men not on 5ARIs, suggesting that inhibition of 5AR may suppress erythropoiesis in this setting. Two randomized, placebo controlled studies examine the effects of TTh during 5AR inhibition. One study evaluated the effects of exogenous T in comparison to T + finasteride on bone mineral density in 70 men randomized to receive biweekly placebo, testosterone enanthate (TE), or TE + finasteride^{24, 26}. Although not the primary goal of the study, at 36 months follow-up, men receiving TE alone experienced a 14.4% increase in Hct, whereas those receiving concomitant TE + finasteride experienced only a 9.7% increase in Hct levels, with a 30% rate of erythrocytosis (Hct >52%). However, no distinction in erythrocytosis rates was made between the TE and TE + finasteride groups. A more recent trial compared TE + high-dose dutasteride vs. TE + placebo at various TE dosages over 20 weeks²⁷. No difference in post-treatment Hct levels between groups was observed, although the study was not powered to detect this difference²⁸. When considering the relationship between DHT and T in the context of our findings, a previous study found that TTh administration using both topical and injectable formulations yielded transient and concomitant rises in both DHT and T, with higher Hct elevations in men with higher DHT and T levels¹². A more recent study evaluating parenteral testosterone undecanoate observed a low rate of erythrocytosis in the setting of T and DHT levels within physiologic limits, ascribing these findings to a lack of supraphysiologic T and DHT levels³⁰. A more recent study evaluating oral testosterone also found that DHT and T levels parallel each other, although erythrocytosis rates were not discussed²⁹. Thus, while the existing literature supports our findings, whether DHT elevations are causally related to the development of erythrocytosis remains unclear.

Consistent with prior studies, our study observed a higher incidence of Hct >10% in patients on injectable T formulations^{11, 12}. We also observed a trend towards an increased risk of erythrocytosis in older patients, which has also been previously described^{5, 13} and those with a higher incidence of medical comorbidities that may alone predispose to erythrocytosis^{1, 10, 16}. However, the retrospective nature of this study and the relatively low overall incidence of specific comorbidities limits definitive conclusions about individual comorbidities.

Our study is limited by its retrospective nature, which precluded more precise control for confounding factors. Furthermore, while we present evidence suggesting a relationship between DHT and a rise in Hct during TTh, we cannot prove causality with our current dataset. In addition, we lack erythropoietin and hepcidin levels, and CAG repeat lengths, making it difficult to integrate our findings with other proposed mechanisms of increased erythropoiesis in the setting of TTh. Additional limitations include the overall small cohort size and relatively short follow-up, a longer duration of TTh, which may have further informed the rate of erythrocytosis and allowed further dissection of the role of DHT in this condition, and the small number of men with primary hypogonadism, limiting the ability to differentiate the rates of erythrocytosis in men with primary or secondary hypogonadism. Further investigation is indicated to evaluate the role of DHT in TTh-induced erythrocytosis as well as the effects of concurrent 5ARI use during TTh, particularly in the setting of erythrocytosis. Nevertheless, our findings suggest that patients with elevated Hct on TTh should be screened for elevated DHT and that 5ARIs may be an alternative therapy to cessation of TTh or phlebotomy, as is current practice.

CONCLUSIONS

Serum DHT levels in hypogonadal men on TTh correlate with T-induced erythrocytosis, suggesting a role for DHT in the development of TTh-induced erythrocytosis. As such, DHT levels should be monitored during TTh, and in men who develop erythrocytosis, 5ARIs may be considered as adjunct or alternate therapy to modification of TTh or therapeutic phlebotomy.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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KEY ABBREVIATIONS

TTh	testosterone therapy
5ARI	5 α -reductase inhibitor
DHT	dihydrotestosterone
Hct	hematocrit
TT	total testosterone
FT	free testosterone
FSH	follicle stimulating hormone
LH	luteinizing hormone
Hct	hematocrit
Hct	change in hematocrit
TChol	total cholesterol
TG	triglycerides

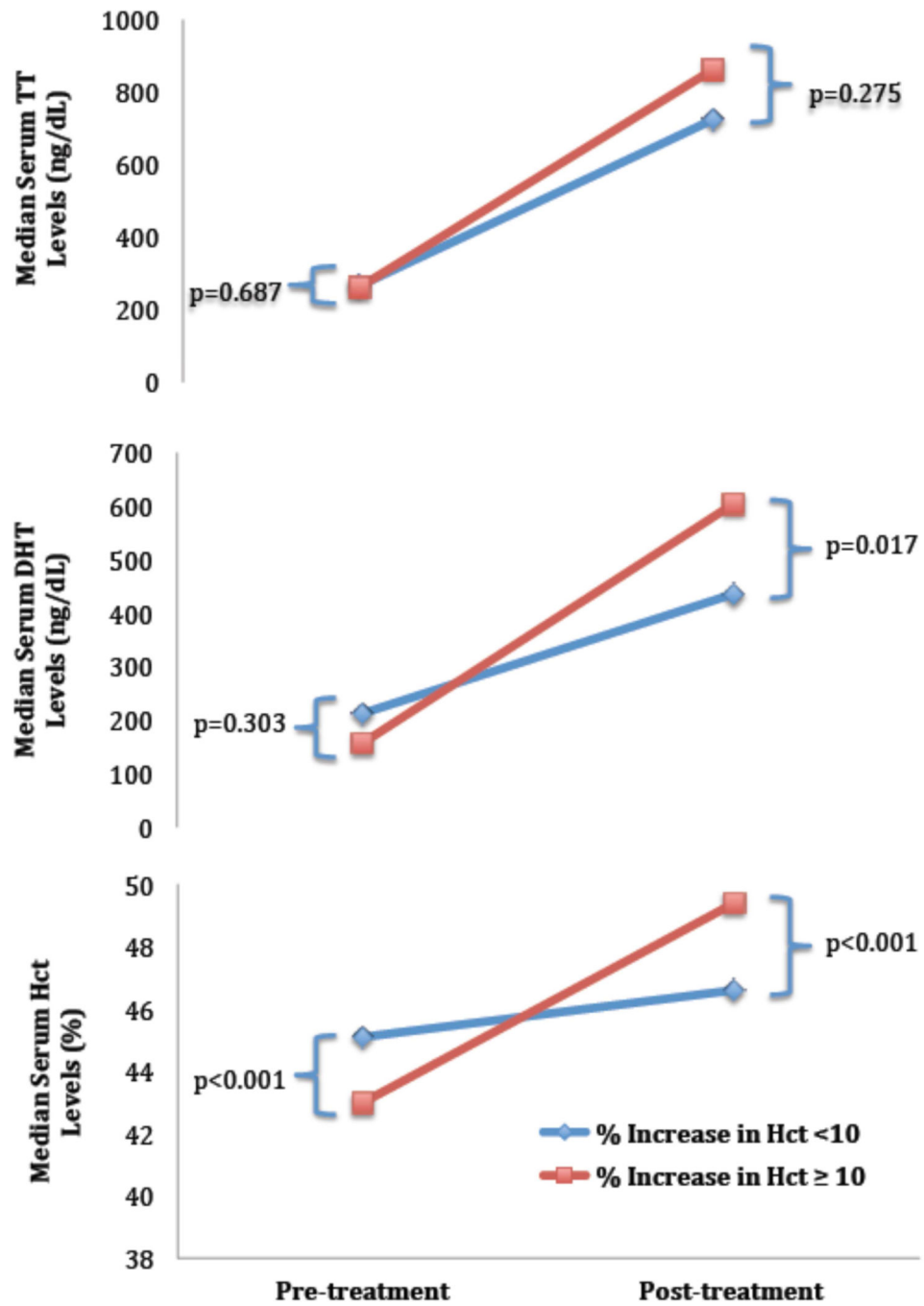


Figure 1. Comparison of median pre- and post-treatment TT, DHT, Hct in men as a function of Hct.

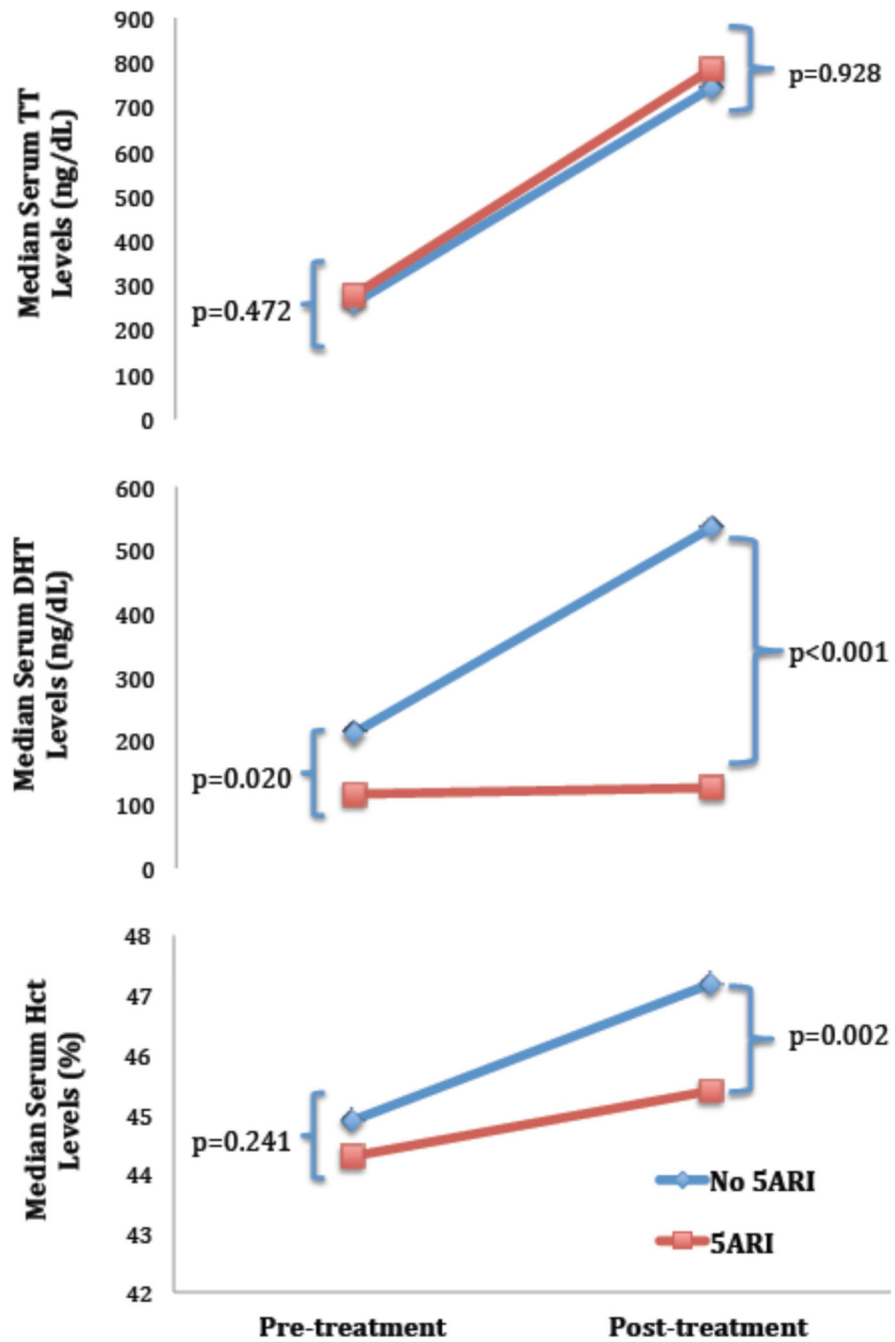


Figure 2. Comparison of median pre- and post-treatment TT, DHT, and Hct in men as a function of concomitant 5ARI therapy.

Table 1

Cohort Demographics

	All Patients	↑Hct <10%	↑Hct >10%	p-value	No SARI	SARI	p-value
N	179	130	49		159	20	
Age (years)	49.0 (40.0–60.0)	48.0(38.5–59.3)	52.0(43.0–60.0)	0.14	48.0 (39.0–59.0)	56.0(42.8–64.7)	0.07
BMI (kg/m²)	28.1 (25.8–31.4)	28.3(25.8–32.1)	28.0 (25.8–30.0)	0.29	27.8(25.6–31.0)	31.2(28.1–32.8)	0.02
Duration of TST (months)	7.0(3.0–19.0)	5.0(2.0–18.0)	12.0(6.0–35.5)	0.002	6.0 (2.0–19.0)	15.0(4.8–25.5)	0.15
TST Formulation:							
Topical	74(41.3)	59 (45.4)	15(30.6)	0.07	66(41.5)	8 (40.0)	0.90
Injectable	94 (52.5)	62 (47.7)	32(65.3)	0.04	83 (52.2)	11 (55.0)	0.81
Pellet	11(6.1)	9 (6.9)	2(4.1)	0.73	10 (6.3)	1 (5.0)	0.82
Post-treatment Hct >50%	36(20.1)	16(12.3)	20 (40.8)	0.001	35 (22.0)	1 (5.0)	0.07
Prior Anabolic Steroid Use	11(6.1)	10 (7.7)	1 (2.0)	0.294	11(6.9)	0(0)	0.615
SARI Use	20(11.2%)	19 (14.6%)	1 (2.0%)	0.02	-	-	-
Comorbidities-							
Any	59(33.0)	37 (28.5)	22 (44.9)	0.04	54 (34)	5(25)	0.42
DM	14	12 (9.2)	2(4.1)	0.36	10 (6.3)	4 (20.0)	0.06
HTN	19	8 (6.2)	11 (22.4)	0.002	16(10.1)	3 (15.0)	0.45
CAD/CHF	17	11(8.5)	6(12.2)	0.243	15 (9.4)	2(10.0)	0.935
COPD	4	2(1.5)	2(4.1)	0.03	4 (2.5)	0(0)	0.473
HLD	35	20 (5.4)	15(30.6)	0.022	32(20.1)	3 (15.0)	0.422
Hypothyroid	9	7 (5.4)	2(4.1)	0.068	9 (5.6)	0(0)	0.600
OSA	9	6 (4.6)	3(6.1)	0.763	8 (5.0)	1 (5.0)	0.995
Alcohol abuse	1	1 (0.8)	0(0)	0.538	1 (0.6)	0(0)	1.000
Smoker	26	18(13.8)	8(16.3)	0.715	22(13.8)	4 (20.0)	0.499

All values reported as median(IQR), or count(%) unless otherwise specified

Table 2

Pre- and post-TTh laboratory values and magnitude change during treatment.

Pre-Treatment	All Patients	↑Hct <10%	↑Hct 10%	p-value	No 5ARI	5ARI	p-value
TT (ng/dL)	264.0 (198.0–321.0)	266.0 (203.0–322.0)	262.5 (184.3–316.5)	0.69	261.0 (191.3–320.3)	278.0 (237.0–322.0)	0.47
DHT (ng/dL)	210.0 (107.2–260.5)	212.5 (98.6–267.8)	156.5 (113.3–225.3)	0.30	213.0 (128.0–273.3)	116.0 (43.2–201.0)	0.02
Hct (%)	44.8 (43.0–47.0)	45.1 (43.6–47.0)	43.0 (41.0–45.2)	<0.001	44.9 (43.0–47.0)	44.3 (42.9–45.6)	0.34
Post-Treatment							
TT (ng/dL)	750.0 (427.5–1258.3)	725.0 (415.0–1210.0)	863.0 (519.0–1292.0)	0.28	743.0 (427.5–1266.0)	785.0 (441.3–1206.5)	0.93
DHT (ng/dL)	4850 (280.0–766.0)	436.0 (260.0–739.0)	605.0 (354.5–872.0)	0.02	536.0 (339.0–809.0)	126.0 (69.5–253.8)	<0.001
Hct (%)	46.8 (45.1–49.4)	46.6 (44.8–48.3)	49.4 (46.4–51.6)	<0.001	47.2 (45.4–49.8)	45.4 (43.6–46.6)	0.77
Magnitude Change							
Hct (%)	2.7 (0.6–4.6)	1.2 (0.1–3.0)	5.8 (5.1–7.0)	0.001	3.0 (0.8–4.8)	1.1 (0.1–2.9)	0.013
DHT (ng/dL)	224.0 (116.5–406.5)	215.0 (152.5–570.5)	354.7 (116.8–364.0)	0.09	280.0 (145.0–502.0)	20.8 (–25.4–103.3)	0.007
T (ng/dL)	457.5 (181.8–992.8)	434.0 (161.8–958.0)	506.0 (287.8–1001.0)	0.43	451.5 (202.5–962.5)	467.0 (143.0–1128.0)	0.89
FT (pg/dL)	11.6 (4.2–22.0)	11.2 (3.0–21.5)	13.5 (9.0–22.9)	0.12	11.6 (4.1–21.8)	12.6 (4.6–34.6)	0.60

All values reported as median(IQR)