

1       **AIRWAY HYPERREACTIVITY IS DELAYED AFTER MILD NEONATAL HYPEROXIC EXPOSURE**

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26       *Key words:* hyperoxia, wheezing, premature, airway hyperreactivity, neonatal mouse

28 **ABSTRACT**

29 Background: Wheezing disorders are prominent in former preterm infants beyond the neonatal  
30 period.

31 Objectives: We used a neonatal mouse model to investigate the time course of airway  
32 hyperreactivity in response to mild (40% O<sub>2</sub>) or severe (70% O<sub>2</sub>) neonatal hyperoxia.

33 Methods: After hyperoxic exposure during the first week of postnatal life we measured changes  
34 in airway reactivity using the *in vitro* living lung slice preparation at the end of exposure (P8)  
35 and 2 weeks later (P21). This was accompanied by measures of  $\alpha$  smooth muscle actin, myosin  
36 light chain [MLC] and alveolar morphology.

37 Results: Neither mild nor severe hyperoxia exposure affected airway reactivity to methacholine  
38 at P8 compared to normoxic controls. In contrast, airway reactivity was enhanced at P21, in  
39 mice exposed to mild (but not severe) hyperoxia, two weeks after exposure ended. This was  
40 associated with increased airway  $\alpha$  smooth muscle actin expression at P21 after 40% oxygen  
41 exposure without significant increase in MLC. Alveolar morphology via radial alveolar counts  
42 was comparably diminished by both 40% and 70% oxygen at both P8 and P21.

43 Conclusions: These data demonstrate that early mild hyperoxia exposure causes a delayed  
44 augmentation of airway reactivity, suggesting a long-term alteration in the trajectory of airway  
45 smooth muscle development and consistent with resultant symptomatology.

46 **INTRODUCTION**

47 Wheezing disorders and asthma remain a major source of longer-term morbidity in former  
48 preterm infants [1,2]. While this is most severe in infants with a history of bronchopulmonary  
49 dysplasia [BPD], even late preterm infants appear at risk, many of whom may only have  
50 exposure to modest supplemental oxygen [3-5]. Interestingly, the increased airway reactivity  
51 exhibited by former preterm infants usually manifests beyond the neonatal period as exemplified  
52 by the limited benefit for bronchodilator therapy in the neonatal intensive care unit [6].  
53 Therefore, there is a need for animal models to characterize the developmental trajectory of  
54 airway function after neonatal lung injury.

55 Neonatal rodents have served as models to study respiratory mechanics after neonatal  
56 hyperoxia exposure and lung mechanics or airway hyperreactivity have been documented *in vivo*  
57 from 14 and 21 days of life [7-12]. Comparative data on lung development indicate that alveolar  
58 formation in rodents is largely complete by day 14, which approximates the lung of a two year  
59 old infant [13,14]. Unfortunately, the small size of neonatal mice precludes reliable *in vivo*  
60 measurement of airway reactivity prior to approximately day 14. However, the living lung slice  
61 model provides a novel technique to quantify changes in airway caliber in response to a  
62 bronchoconstrictor challenge under *in vitro* conditions [15]. This method allows airway  
63 contractility to be assessed with bronchoalveolar attachments intact as would occur *in vivo*, and  
64 we have adapted this technique to study lung slices in one week old mice.

65 In this study we exposed mouse pups to mild (40% O<sub>2</sub>) or severe (70 % O<sub>2</sub>) hyperoxia for the  
66 first seven postnatal days and used living lung slices at postnatal (P) days 8 and 21 to test the  
67 following two hypotheses: 1) onset of airway hyperreactivity is delayed after neonatal hyperoxic  
68 exposure; and 2) mild hyperoxic exposure causes a greater increase in airway reactivity than

69 severe hyperoxia as suggested by our prior *in vivo* studies employing lung mechanics. We also  
70 sought to correlate our physiologic data with changes in airway smooth muscle and lung  
71 morphology.

72

### 73 **METHODS**

74 All procedures were carried out in accordance with the National Institute of Health guidelines for  
75 laboratory animals and approved by the Animal Care and Use Committee at Case Western  
76 Reserve University. Time-pregnant mice (C57BL/6J) gave birth in our animal facility and  
77 together with the dam, were assigned to receive 40% or 70% oxygen or room air for seven  
78 consecutive days (control). Measurements of airway responses to methacholine using the living  
79 lungs slice preparation [15] were performed on 8 or 9 comparable size airways per pup either  
80 the day after exposure ended (P8) or at P21 (2 week recovery in room air. We studied only male  
81 mice to avoid an effect of sex on airway hyperresponsiveness [16].

82 For immunohistochemistry and morphology, the trachea was cannulated and the lungs were  
83 inflation fixed [25 cmH<sub>2</sub>O] for 10 minutes with 10% neutral-buffered formalin as we have  
84 previously described [11]. The left lung was removed, and post fixed for two days at 4°C,  
85 embedded in paraffin, and 5 μm paraffin sections were processed. In those studies we analyzed  
86 2-3 airways of comparable size [100-320 μm diameter] in each pup. For all experiments group  
87 sizes comprised 5-7 pups.

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89

90 *Hyperoxia Exposure:*

91 Hyperoxic exposure consisted of the dam and her litter placed inside a plexiglass chamber (30L  
92 x 50W x 28H cm), through which gas flowed (3L/min) at the desired concentration (room air,  
93 40% or 70 % oxygen. Oxygen concentrations were monitored and achieved using manual flow  
94 controllers as we have previously described [11]. Mice were exposed 24hrs/day for 7  
95 consecutive days starting the day after birth (P1). Dams were cycled between litters exposed to  
96 room air and hyperoxia every 24 hours to minimize acute oxygen toxicity and to ensure that the  
97 normoxic and hyperoxic dams received the same exposure. At the end of the seventh day of  
98 exposure, the pups were removed from the chamber and then raised for a further 14 days in  
99 normoxia. Cages, water, and food were replaced every three days. Normoxic mice received  
100 room air for the same time period.

101 Hyperoxic exposure resulted in a decrease in body weight at P8 after 70% oxygen exposure  
102 in the pups who underwent physiologic study [room air:  $5.05 \pm 0.25$  gm; 40%:  $4.56 \pm 0.19$  gm;  
103 70%L  $3.91 \pm 0.3$  gm,  $p < .02$ ]. At P21 body weights no longer differed [room air:  $9.92 \pm 1.05$  gm;  
104 40%:  $11.02 \pm 0.36$  gm; 70%:  $8.77 \pm 0.67$  gm, NS].

105

106 *Measurement of Airway Reactivity/in vitro Living Lung Slice Preparation:*

107 At P8 and P21 mice were sacrificed via anesthetic overdose, intraperitoneal injection of a  
108 ketamine [500 mg/kg] xylazine [100 mg/kg] mix, to prepare the lungs for *in vitro* measurements  
109 of airway reactivity to methacholine. The trachea was cannulated and agarose gently injected to  
110 inflate the lungs [0.3-0.4 ml for P8 mice; 0.7-0.8 ml for P21 mice] until inflation volumes of 0.3-  
111 0.4 ml and 0.7-0.8 ml were achieved at P8 and P21, respectively. After agarose was cooled and  
112 allowed to gel, the entire lung was removed, placed on a vibratome, sliced into 300  $\mu$ m sections,

113 and immersed in DMEM solution for overnight incubation [5% CO<sub>2</sub>; 37°C]. The following day  
114 the lung slices were rinsed in Hank's Balance Salt Solution [HBSS] and mounted in an *in vitro*  
115 recording chamber for live imaging of airway responses to methacoline challenge. Slices were  
116 obtained from the left lobe of each animal and sectioned transversely from lateral to medial.

117 For live imaging of individual airways, the slices were held covered with a thin lightweight  
118 sheet of mesh, which was also held in place with petroleum jelly. The recording chamber  
119 containing the slice was mounted on a microscope [Leica DMLFS] and perfused [7 ml/min]  
120 continuously with HBSS using a perfusion pump [MPII Harvard, instruments]. The microscope  
121 mounted with a camera [Rolera Fast, Q imaging] was used to identify individual airways under  
122 high magnification [5x]. After an initial 3-minute baseline period, the lung slice and chamber  
123 were perfused with increasing concentrations of methacholine and continuous infusion of HBSS  
124 at 7 ml/min was maintained both prior to and during methacholine application. The extent of  
125 constriction in response to methacholine doses of 0.25, 0.5, 1, 2, 4, and 8 µm was determined  
126 from computer software [image j] imaging of luminal surface area at the end of a 2-minute drug  
127 exposure. The agarose that had been perfused into the lungs to allow for cutting of the slice is  
128 often dislodged from the airway during the cutting procedure of overnight incubation. The  
129 presence of agarose in the airway was examined under a microscope before the methacholine  
130 challenge was performed, and those airways containing agarose were excluded from the study as  
131 this may have influenced airway caliber.

### 132 *Airway Immunohistochemistry and Alveolar Morphometry:*

133 Immunohistochemistry for α-smooth muscle actin was performed as previously described [11]  
134 employing a monoclonal antibody against mouse anti α-smooth muscle actin (1:400 dilution,  
135 Sigma-Aldrich). Immune complexes were captured with FITC-conjugated donkey anti-mouse

136 secondary antibodies (1:500, Alexa Fluor-488, Invitrogen). Individual airways were imaged  
137 (Rolera XR CCD camera Q-Imaging, Canada) and the amount of smooth muscle actin area ( $\mu\text{m}^2$ )  
138 was analyzed with software (Image-pro Plus 7.0, Media Cybernetics, Silver Spring, MD). The  
139 green fluorescent areas of  $\alpha$ -smooth muscle actin area were normalized for airway size using the  
140 basement membrane (BM) circumference.

141 Myosin light chain (MLC) immunohistochemistry employed rabbit anti-myosin light chain  
142 polyclonal antibody specific for phosphorylated MLC (1:500; ab2480 Abcam, Cambridge, MA).  
143 The secondary antibody was biotinylated goat anti-rabbit (1:500 Jackson immunoResearch West  
144 Grove, PA, USA) followed by ABC reagent, visualized by diaminobenzidine (Vectastain, Vector  
145 Laboratories, Burlingame, CA, USA). Primary antibody was omitted for negative control. MLC  
146 area was also normalized to the basement membrane perimeter.

147 Hematoxylin-eosin stained sections were used to assess radial alveolar counts as previously  
148 described by ourselves [11], by counting the number of alveolar septa transected by a  
149 perpendicular line drawn from terminal bronchioles to the nearest connective tissue septum.

150

### 151 *Statistics*

152 Statistical comparison of airway reactivity to methacholine and body weight was performed  
153 between treatment groups using a two-way, repeated measure ANOVA for each age group or  
154 between age groups where appropriate. Comparison of airway and lung morphology (area of  
155 actin and MLC, radial alveolar counts) at each age group was performed using a one-way  
156 ANOVA with a Student Newman-Keuls post hoc test. Differences were considered significant  
157 at  $p < 0.05$ . All values are expressed as mean  $\pm$  1 SEM.

158

159 **RESULTS**

160 *Airway Reactivity to Methacholine Challenge in One and Three Week Old Mice*

161 Airway responses to methacholine challenge in P8 male mice are provided in Figure 1. The  
162 slope of the airway response and maximal contraction to increasing doses of methacholine were  
163 not significantly different between treatment groups (Fig. 1A and B). For consistency, airways  
164 of similar size were chosen, as indicated by the comparable baseline airway lumen area (Fig.  
165 1C). These data suggest a week of mild (40% O<sub>2</sub>) or severe (70% O<sub>2</sub>) neonatal hyperoxia  
166 exposure does not affect airway responses to methacholine challenge. In contrast, mild hyperoxia  
167 increased airway reactivity to methacholine at P21, two weeks after treatment ended, as indicated  
168 by the larger decrease in airway luminal area (Figs. 2A and B) compared to control mice.  
169 However, airway responses to methacholine were similar between control mice and severe  
170 hyperoxia exposure.

171 Control mice raised in room air were equally reactive to methacholine (8μM) at P8 compared  
172 to three week old mice (Fig. 3, left panel). However, three week old mice exposed to mild  
173 hyperoxia exhibited an enhanced airway response at postnatal day 21 than at one week, as  
174 indicated by the steeper slope of the response to maximum methacholine challenge (Fig. 3,  
175 middle panel). In contrast, the slope of the response to methacholine was similar between one  
176 and three week old mice exposed to severe hyperoxia. Representative contractile responses at  
177 P21 are shown in Figure 4 after room air [control], 40% or 70% oxygen exposure. These data  
178 indicate mild neonatal hyperoxia does not affect airway reactivity at the time of exposure, but  
179 rather elicits a delayed effect that manifests ~2 weeks after treatment.

180

181 *α. Smooth Muscle Actin, Myosin Light Chain, and Alveolar Morphology:*



182 At P8 there was no significant effect of oxygen exposure on  $\alpha$  smooth muscle actin expression  
183 [Fig. 5A]. At P 21 oxygen exposure did have an overall effect on actin expression with a  
184 significant increase after 40% exposure when compared to both room air control and 70%  
185 oxygen groups [Fig. 5C]. Consistent with actin expression, myosin light chain [MLC]  
186 expression at P8 also did not differ between oxygen-exposed groups [Fig. 6A]. At P21 there was  
187 also no significant overall effect of treatment on MLC levels, although there was a trend for  
188 increased MLC expression after 40% vs 70% oxygen exposure [Fig. 6B]. Compared to room air  
189 controls, there was a significant and comparable decrease in RAC after both 40% and 70%  
190 oxygen exposure which did not differ between P8 and P21 pups [Fig. 6C and D].

191

## 192 **DISCUSSION**

193 Although increased airway reactivity and resultant wheezing disorders are widely recognized  
194 clinical problems in former preterm infants, there is limited information on their natural history  
195 in the post neonatal period. This is attributable, in part, to the challenges of quantifying *in vivo*  
196 airway reactivity in both human infants and animal models during early postnatal development.  
197 Our study was motivated by the widespread clinical observation that airway hyperreactivity  
198 typically manifests beyond the neonatal period, especially in infants who do not have a history of  
199 BPD. We, therefore, chose to study the time course of airway hyperreactivity in a mouse pup  
200 model in response to mild versus severe hyperoxic exposure. We hypothesized that the onset of  
201 airway hyperreactivity would be delayed after neonatal hyperoxic exposure, and that this might  
202 correlate with expression of airway smooth muscle contractile proteins.

203 We utilized the living lung slice preparation which enables *in vitro* measurement of airway  
204 contractions in the presence of bronchoalveolar attachments and cell-matrix interactions [15,17].

205 This technique provides a useful complement to *in vivo* measurements of airway resistance,  
206 especially in small animal models, such as neonatal mice, in which *in vivo* data of respiratory  
207 function with bronchoconstrictors have not been reported prior to 14 postnatal days [10,11].  
208 Unfortunately the widely used flexivent system for measuring respiratory function requires pups  
209 to be at least 7-8 gm, making studies prior to approximately 14 days unreliable. It is very  
210 reassuring that our slice data at 3 weeks are comparable to our prior lung function studies [11].

211 Our major finding is that while neither mild nor severe hyperoxia increased airway  
212 contraction at postnatal day 8, airway contractility significantly increased at P21, and only in  
213 mice exposed to mild hyperoxia. This occurred two weeks after cessation of exposure to 40%  
214 oxygen suggesting a delayed effect of early neonatal hyperoxia on airway hyper-reactivity. We  
215 have previously reported in 21 day old mice that mild, but not severe, hyperoxia increased the  
216 response of respiratory system resistance to methacholine [11]. The current study employing  
217 lung slices confirms this finding and suggests that the living lung slice technique approximates  
218 the *in vivo* condition. We do recognize that an important limitation of the lung slice technique is  
219 the absence of an intact autonomic nervous system and accompanying circulation.

220 Mild hyperoxic exposure was associated with an increase in airway  $\alpha$  smooth muscle actin at  
221 P21. We also observed a trend toward increased myosin light chain [MLC] expression after 40%  
222 vs 70% oxygen exposure at day 21. These findings are consistent with the observation of  
223 Hartman in human fetal airway smooth muscle cells that modest hyperoxia has a proliferative  
224 effect while severe hyperoxia causes apoptosis [18]. We speculate that apoptosis induced by  
225 more severe hyperoxia [19] is the mechanism underlying the greater airway constrictor effect of  
226 40% vs 70% oxygen exposure at day 21. All preterm infants are at increased risk of wheezing  
227 disorders, however, this problem is enhanced in those with a history of BPD [1,2]. While such

228 infants may be exposed to higher or longer supplemental oxygen, this is typically accompanied  
229 by some form of positive pressure ventilation which was absent from our model of hyperoxic  
230 exposures.

231 We performed additional histologic studies to begin to identify a mechanism whereby  
232 neonatal hyperoxic exposure did not have an immediate effect on airway hyperreactivity.  
233 Increased  $\alpha$  smooth muscle actin, as a measure of airway smooth muscle mass, only reached  
234 significance at P21 after prior 40% oxygen exposure. We also measured MLC content as a more  
235 specific contractile protein and observed no effect of oxygen at P8 and only a trend toward  
236 higher expression at day 21 in 40% vs 70% exposure. Actin may be expressed by airway smooth  
237 muscle cells such as fibroblasts and myofibroblasts, which may contribute to constriction; hence,  
238 the stronger correlation between our physiologic findings and actin rather than myosin [20]. It  
239 is possible that the myosin data may have reached significance with larger group sizes. Future  
240 studies might focus on more specific contractile elements in airway smooth muscle and the  
241 relative roles of hypertrophy versus hyperplasia in our model.

242 Recent studies of neonatal lung injury have focused on the role of alveolar simplification in  
243 later respiratory morbidity of preterm infants with BPD [21], which might diminish tethering of  
244 small airway structures embedded in alveolar walls resulting in increased airway contractile  
245 responses [22]. However, radial alveolar counts were decreased to a comparable degree after  
246 both levels of hyperoxia at both days 8 and 21, indicating it is unlikely that altered alveolar  
247 morphology is a major contributor to our findings of delayed airway hyperreactivity after mild  
248 hyperoxic exposure. We did not quantify epithelial thickness in our slices, however, all our  
249 physiologic responses were based on initial lumen size which we attempted to match between  
250 airways. Therefore, any change in epithelial thickness in response to hyperoxia should not have

251 contributed to our findings. It should be noted that the lung slice technique does not provide a  
252 comparable measure to baseline lung resistance as would be obtained from *in vivo* pulmonary  
253 mechanisms. Three week old mouse pups were chosen to approximate the maturational age of  
254 human infants who exhibit a high incidence of wheezing following preterm birth. Future studies  
255 might address later ages as neonatal hyperoxia increases airway reactivity in mature mice [9] in  
256 a sex-dependent manner [16]. Our prior *in vivo* study in developing mice did not demonstrate  
257 clear sex differences in airway reactivity [11], however, we chose to study only male pups to  
258 avoid confounding our current data with the previously described effect of sex on airway  
259 hyperresponsiveness in developing mice [16].

260 In conclusion, we have documented a delay in development of airway hyperreactivity  
261 exhibited by mouse pups exposed to mild hyperoxia. Our data suggest this is associated with  
262 greater airway smooth muscle mass, although we cannot exclude other physiologic pathways  
263 contributing to the balance of airway contractile/relaxant mechanisms. This study provides new  
264 insight into airway related symptomatology that preterm infants do not exhibit until the post  
265 neonatal period.

266

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270

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351 **FIGURE LEGENDS**

352 **FIGURE 1** Airway responses to methacholine challenge in the *in vitro* living lung slice  
353 preparation from P8 mice [**A-B**] that received one week of neonatal (P1-7)  
354 hyperoxia (40% or 70% O<sub>2</sub>). Measurements were made on similar size airways in  
355 each of five pups for each group [**C**]. Airway responses to methacholine  
356 challenge were similar between treatment groups [ $m \pm \text{SEM}$ ; 1  $\mu\text{m} = 0.39$  [pixels]].

357 **FIGURE 2** Airway responses to methacholine challenge in the *in vitro* living lung slice  
358 preparation in P21 mice [**A-B**] that received one week of neonatal (P1-7)  
359 hyperoxia (40% or 70% O<sub>2</sub>). Measurements were made on similar size airways in  
360 each of five pups for each treatment group [**C**]. Note: there was an augmented  
361 airway constriction in 40% O<sub>2</sub> exposed mice compared to control, whereas 70%  
362 exposure had no effect on airway reactivity. \* significant difference from control  
363 mice ( $p < 0.05$ ) [ $m \pm \text{SEM}$ ; 1  $\mu\text{m} = 0.39$  pixels].

364 **FIGURE 3** Comparison of airway responses to methacholine (8  $\mu\text{M}$ ) between P8 and P21  
365 mice for each treatment group. Note the magnitude of the response to  
366 methacholine (no differences between slopes, left panel) was similar between P8  
367 and P21 control mice, whereas airway reactivity was significantly higher at P21  
368 (steeper slope, middle panel) compared to P8 following 40% O<sub>2</sub> exposure. 70%  
369 O<sub>2</sub> exposure had similar effects on P8 and P21 airway reactivity (right panel).  
370 #significant difference in the slope compared to P8 mice ( $p < 0.05$ ) [ $m \pm \text{SEM}$ ;  
371 1  $\mu\text{m} = 0.39$  pixels].

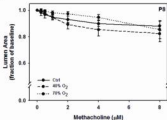
372 **FIGURE 4** Representative contractile responses in airways from P21 mice previously  
373 exposed to room air [control], 40% or 70% oxygen. Note the greater decrease in

374 lumen size in the 40% exposed tissue in response to 8  $\mu\text{m}$  methacholine [scale  
375 bar=100  $\mu\text{m}$ ].

376 **FIGURE 5** Immunohistochemical quantification of  $\alpha$  smooth muscle (SM) actin in P8 and  
377 P21 mice following neonatal hyperoxia exposure. The  $\alpha$  SM actin area expressed  
378 per airway (AW) basement membrane (BM) was similar between treatment  
379 groups at P8 [A], whereas at P21, it was increased by 40%, but not 70% oxygen  
380 [C]. Representative images are shown in [B] and [D]. \* significant difference from  
381 control and 70% exposed mice ( $p < 0.05$ ) [ $m \pm \text{SEM}$ ] [scale bar=50  $\mu\text{m}$ ].

382 **FIGURE 6** Immunohistochemical quantification of airway myosin light chain [MLC] and  
383 radial alveolar counts from P8 and P21 mice following neonatal hyperoxia exposure. The  
384 amount of MLC, normalized to basement membrane (BM) perimeter length, was similar between  
385 treatment groups at P8 and P21 [A-B], but there was a tendency for increased MLC following  
386 40%  $\text{O}_2$  exposure compared to 70%  $\text{O}_2$  in P21 mice. Radial alveolar counts [C-D] was also  
387 reduced at both age groups exposed to either 40% or 70% oxygen compared to control mice  
388 (\*both  $p < 0.05$ ) [ $m \pm \text{SEM}$ ].

A.



B. Max contraction ( $8\mu\text{M}$ )

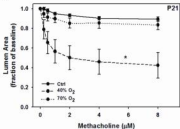


C. Baseline AW size

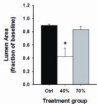


Figure 1

A.



B. Max contraction (8µM)



C. Baseline AW size

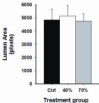


Figure 2

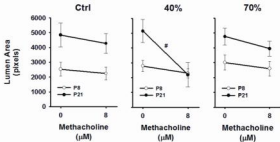
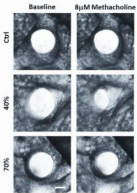
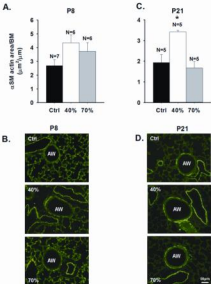


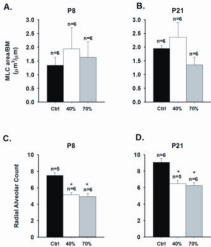
Figure 3



**Figure 4**



**Figure 5**



**Figure 6**