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## Accuracy of Carboxyhemoglobin Detection by Pulse CO-Oximetry During Hypoxemia

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#### **Abstract**

**Background**—Carbon monoxide poisoning is a significant problem in most countries, and a reliable method of quick diagnosis would greatly improve patient care. Until the recent introduction of a multi-wavelength "pulse CO-oximeter" (Masimo Rainbow SET® Radical-7), carboxyhemoglobin (COHb) levels in blood required blood sampling and laboratory analysis. The purpose of this study was to determine if hypoxemia, which can accompany carbon monoxide poisoning, interferes with the accurate detection of COHb.

**Methods**—Twelve healthy non-smoking adult volunteers were fitted with 2 standard pulse oximeter finger probes and 2 Rainbow probes for COHb detection. A radial arterial catheter was placed for blood sampling during three interventions: 1) increasing hypoxemia in incremental steps with oxygen saturations (SaO<sub>2</sub>) of 100-80%; 2) normoxia with incremental increases in %COHb to 12%; and 3) elevated COHb combined with hypoxemia with SaO<sub>2</sub> of 100-80%. Pulse oximeter readings (SpCO) were compared with simultaneous arterial blood values at the various increments of hypoxemia and carboxyhemoglobinemia ( $\approx$ 25 samples per subject). Pulse CO-oximeter performance was analyzed by calculating the mean bias (SpCO – %COHb), standard deviation of the bias (precision), and the root mean square error (A<sub>rms</sub>).

**Results**—The Radical 7 accurately detected hypoxemia with both normal and elevated levels of COHb (bias mean  $\pm$  SD: 0.44  $\pm$  1.69% at %COHb < 4%, and  $-0.29 \pm 1.64$ % at %COHb 4%, P < 0.0001, and  $A_{rms}$  1.74% vs. 1.67%). COHb was accurately detected during normoxia and moderate hypoxia (bias mean  $\pm$  SD:  $-0.98 \pm 2.6$  at SaO<sub>2</sub> 95%, and  $-0.7 \pm 4.0$  at SaO<sub>2</sub> < 95%, P = 0.60, and  $P_{rms}$  2.8% vs. 4.0%), but when SaO<sub>2</sub> fell below ~85%, the pulse CO-oximeter always gave low signal quality errors and did not report SpCO values.

**Conclusions**—In healthy volunteers, the Radical 7 pulse CO-oximeter accurately detects hypoxemia with both low and elevated COHb levels, and accurately detects carboxyhemoglobin, but only reads SpCO when SaO<sub>2</sub> is greater than about 85%.

#### Introduction

Carbon monoxide (CO) is a leading cause of unintentional poisoning deaths in the United States. Accidental, non-fire-related CO poisoning is responsible for approximately 15,000 emergency department visits and nearly 500 deaths annually, with as many as 50,000 total emergency department visits for all causes of CO poisoning. Until the introduction of pulse CO-oximetry (e.g. Masimo Rainbow® pulse oximeters), the detection of CO poisoning required laboratory analysis of a blood sample. Therefore, significant CO poisoning can be missed if not suspected 5-5, with diagnosis and treatment delayed while awaiting laboratory measurement. Standard pulse oximetry (SpO<sub>2</sub>) does not detect carboxyhemoglobin (COHb), and SpO<sub>2</sub> readings may remain within normal ranges in spite of severely decreased oxygen carrying capacity, dropping only at very high COHb levels. 6

The Masimo Rainbow SET<sup>®</sup> Radical 7 Pulse CO-Oximeter (Masimo Corp, Irvine CA) uses 7 wavelengths of light, to measure levels of both methemoglobin (SpMet) and carboxyhemoglobin (SpCO). In a prior study on healthy volunteers, an early version of the Radical 7 oximeter yielded inaccurate results when hypoxemia was combined with elevated methemoglobin (MetHb), producing errors in both MetHb accuracy and false indications of

highly elevated COHb levels. The errors in MetHb detection during hypoxia were subsequently corrected. 8

Studies on healthy volunteers have demonstrated acceptable accuracy of the Masimo pulse CO-oximeter for detecting COHb during normoxia<sup>9,10</sup>, although observations in patients revealed limits of agreement exceeding 10%. <sup>11–13</sup> To date, no study has examined the effect of hypoxia on COHb measurements with pulse CO-oximetry. Since hypoxemia may occur simultaneously with carbon monoxide poisoning, particularly in fires with smoke inhalation, <sup>14</sup> this issue is clinically important. Currently, the United States Food and Drug Administration (FDA) does not have standards of accuracy for detection of elevated COHb during simultaneous hypoxemia, although the current device is approved clinically for continuous noninvasive monitoring of SpO<sub>2</sub>, SpCO and SpMet. Therefore, we studied the accuracy of Masimo pulse CO-oximeter detection of COHb during both normoxia and during hypoxemia.

#### **Methods**

The University of California at San Francisco Committee on Human Research approved the study, and all subjects gave informed written consent. The pool of subjects were healthy non-smoking men and women, from 18 to 49 years of age, willing to volunteer for the study for a nominal payment. The selected group of subjects was gender and ethnically balanced, following the United States Food and Drug Administration (FDA) requirements for standard studies of pulse oximeter accuracy. The final group included 12 healthy adult subjects, 7 men and 5 women, with a range of skin pigmentation (Table 1). The study size was based on prior studies, <sup>7,8,15,16</sup> and the size of standard studies of pulse oximeter accuracy for the FDA.

Oximeter probes and instruments were supplied by Masimo, Inc. (Irvine, CA). Rainbow DCI Sensor System oximeter probes (reusable, clip-on probes), Revision H, were used to measure carboxyhemoglobin (SpCO) and oxygen saturation (SpO<sub>2</sub>). The standard Masimo oximeter probes were the "red DCI" type. Both probe types were connected to Radical-7 oximeters (SET software version 7.6.2.1). One probe of each type was placed on the middle and ring fingers of each hand of each subject. The probe locations were randomized for each subject. The probes were covered with black plastic to shield them from ambient light and prevent interference from other oximeter probes. Both forearms and hands were kept warm with electric heating pads. The oximeter box and probe combination were kept together and considered as a single "device".

A 22-gauge radial arterial cannula was placed in either the left or right wrist of each subject. Arterial blood was analyzed with a multi-wavelength optical blood analyzer (ABL800 FLEX, Radiometer Medical A/S, Copenhagen, Denmark) to determine arterial oxygen saturation (SaO<sub>2</sub>), carboxyhemoglobin concentration (%COHb), and methemoglobin concentration (%MetHb).

Studies on each subject began with one arterial blood sample drawn while breathing room air. Hypoxemia was then induced to 4–5 different targeted plateaus from 100-80% by

having subjects breathe mixtures of nitrogen, air, and carbon dioxide according to a protocol previously detailed. <sup>16</sup> Oxygen saturation is calculated from end-tidal PO<sub>2</sub> and CO<sub>2</sub> breathby-breath, which guides the gas mixtures, since pulse oximeter values lag behind. Each saturation plateau level was maintained for at least 60 seconds with pulse oximeter stabilization, then two arterial blood samples were obtained approximately 30 seconds apart. After the final SaO<sub>2</sub> plateau, the subject received 100% O<sub>2</sub> and then returned to breathing room air. Elevated COHb was induced by breathing carbon monoxide gas to produce a target %COHb level of ≈10–12% based on Barker's volunteer study<sup>9</sup> and accumulated experience in volunteers in our laboratory. To do this, carbon monoxide (15–30 mL) was added to a 1-liter bag prefilled with approximately 500 mL of oxygen. Subjects then briefly rebreathed this mixture from a mouthpiece, allowing us to produce approximately 2% stepwise changes in %COHb. Blood samples were obtained 5 minutes after each administration of carbon monoxide. When %COHb reached target levels (10–12%) hypoxemia was induced in steps and blood samples taken using the prior protocol. Data output from the oximeters were recorded at 1 Hz using custom software developed with LabVIEW 2009 (National Instruments, Austin, TX).

#### **Statistics**

No current FDA standard of accuracy exists for SpCO. We did not establish acceptable limits of agreement ahead of time. For SpO<sub>2</sub>,  $A_{rms} < 3\%$  is the acceptable accuracy standard established by the FDA. We considered that the SpO<sub>2</sub> accuracy would be degraded if elevated %COHb increased  $A_{rms}$  to over 3%.  $A_{rms} < 3\%$  would certainly represent acceptably accurate performance for determining SpCO, although it may not be reasonable to expect the same accuracy and precision as for determining SpO<sub>2</sub>.

Pulse Oximeter performance was analyzed by calculating mean bias (SpO<sub>2</sub>-SaO<sub>2</sub> or SpCO-%COHb), precision (standard deviation of the bias) and root-mean square error ( $A_{rms}$ ) over different ranges of %COHb and SaO<sub>2</sub>. The Shapiro-Wilk test was used to confirm the normality of the distribution of the SpCO bias (individual and pooled devices all > 0.07). Bias was compared with ANOVA and Tukey-Kramer honest significant difference was used for any multiple comparison testing. A 2-sided F-test compared the variances for SpCO bias at SaO<sub>2</sub> < 95% or 95%.

Bias was plotted against %COHb, which was treated as a gold standard. Limits of agreement were calculated according to Bland and Altman with adjustments for multiple measurement for each individual.  $^{17}$  Bias, precision and  $A_{rms}$  were determined and analyzed separately for both  $SpO_2$  and SpMet.

To examine the effects of other variables on bias, a mixed-effects model was used to analyze within-subjects factors (SaO<sub>2</sub> or %COHb) and between-subjects factors (gender and skin color). The effects of SaO<sub>2</sub> and %COHb were examined by both univariate analysis, and with both variables, either as an ANOVA (5% SaO<sub>2</sub> range intervals) or as linear regression.

SpCO performance was also analyzed by observing the incidence of excessive reading bias at the various levels of  $SaO_2$ . Sensitivity, specificity, positive and negative predictive values for detecting COHb were calculated from the observed data using different cutoff values.

Receiver-operator characteristics were analyzed by setting %COHb  $\,$  10% and  $\,$  5% as positive tests. The distribution of true and false positives and negatives in different SaO<sub>2</sub> ranges was tested with Chi Square.

Data are reported as mean  $\pm$  SD or mean (95% confidence interval [CI]) as indicated. For all statistical tests, P < 0.05 was considered significant. Data were analyzed with JMP 10.0 (SAS Institute, Cary, NC) and Prism 6.0 (GraphPad Software, La Jolla, CA).

#### Results

#### **Demographics**

Demographic data and summary information for each individual's instrument reading bias and perfusion index is provided in Table 1.

#### Accuracy of detecting hypoxemia

The devices read higher values of  $SpO_2$  at lower  $SaO_2$  (P < 0.0001), as demonstrated by a positive bias in  $SpO_2$  reading. This effect was small, 0.04% for each 1% of desaturation for the Rainbow oximeters (Figure 1A). For the standard pulse oximeters, the bias also increased with desaturation, 0.07% for each 1% change, P < 0.0001 (Figure 1B). The root mean square error ( $A_{rms}$ ) was 1.70% for the Rainbow oximeters, and 2.05% for the standard device for  $SaO_2$  70 – 100%.

For the Rainbow probes,  $SpO_2$  bias at low saturation was also more positive at lower COHb levels (P < 0.0001, Figure 2A). For standard oximeter probes,  $SpO_2$  bias was also more positive at lower COHb levels, (P = <0.0001, Figure 2B). The  $A_{rms}$  was 1.67% at elevated %COHb (4%) for the Rainbow devices and 1.79% for the standard devices.

#### Accuracy of detecting elevated carboxyhemoglobin during normoxia (room air breathing)

Higher COHb levels lead to an increasingly negative SpCO bias (P < 0.0001), shown in Figure 3A and summarized in Table 2.

Individual mean bias is shown in Table 1. The range of individual bias was from -3.3 to +3.4. Skin color was not a significant predictor of SpCO bias for either device (Table 1).

#### Carboxyhemoglobin combined with hypoxia

Below SaO<sub>2</sub> of 85%, both COHb devices reported low signal errors and read blank values for SpCO. At %COHb values near zero, the devices sometimes displayed blank SpCO readings, rather than zero. Details of missing values at various ranges of SaO<sub>2</sub> and COHb values are shown in Table 3.

SaO<sub>2</sub> had no significant effect on SpCO bias for the pooled device data, (P = 0.66, Figure 3B). In Table 4, data are shown within SaO<sub>2</sub> ranges of 5% increments. The standard deviation of the SpCO bias was significantly higher (precision lower) with hypoxemia (SaO<sub>2</sub> < 95%), 4.0 vs. 2.6, P < 0.0001.

Sensitivity, Specificity and Predictive Value for detecting elevated COHb in the presence of Hypoxia—Sensitivity, specificity, positive and negative predictive value is summarized in Table 5 for different ranges of elevated %COHb. The distribution of true and false positives and negatives for the 10% COHb cutoff (shown graphically in Figure 4) was different among the different SaO<sub>2</sub> ranges, P = 0.0004. For the 5% cutoff, the distribution was not different (P = 0.20).

A receiver-operator characteristic (ROC) curve analyzed for %COHb 10% as significant carboxyhemoglobinemia maximized sensitivity and specificity at an SpCO of 6.6%, with an area under the curve (AUC) of 0.84 (95% CI, 0.79 to 0.89) (Figure 5A). Sensitivity, specificity and predictive value for this cutoff are summarized in Table 5. The ROC curve for %COHb 5% as "positive" carboxyhemoglobinemia had an AUC of 0.88 (95%CI, 0.84 to 0.92) (Figure 5B).

#### Methemoglobin

MetHb levels were in the low range of normal for all subjects during the study.  $SaO_2$  had a slight effect on SpMet bias (P = 0.008) with a slightly more positive bias as lower  $SaO_2$ , but this was only 0.006% for every 1% of desaturation (Figure 6A). Carboxyhemoglobin produced a small but statistically significant effect on SpMet bias (P = 0.018, Figure 6B).

#### **Perfusion Index**

Women had significantly lower perfusion index (PI) than men, mean (95%CI) of 1.7% (0.4–3.0%) vs. 5.5% (3.9–7.1%), P = 0.0014. Despite efforts to warm hands, one female subject had a PI below 1 %. Overall, PI had no significant effect on the Rainbow SpO<sub>2</sub> bias (P = 0.086, Figure 7A) or SpCO bias (P = 0.95, Figure 7B). SpO<sub>2</sub> bias had lower precision (higher SD) at PI < 2% (P < 0.0001), although SpCO bias did not (P = 0.93).

#### Discussion

The primary purpose of this study was to assess the accuracy of pulse CO-oximetry measurement of COHb in the presence of mild to moderate hypoxemia. We found that, in the presence of 10–12% COHb, accuracy for detecting hypoxemia was not degraded, with an Arms still < 3%. Mild to moderate hypoxemia did not appreciably degrade the accuracy of COHb measurement as indicated by the bias, but slightly degraded the precision and  $A_{rms}.$  However, when the  $SaO_2$  was lower than 85%, the devices read "low signal IQ" and would not report SpCO values.

The usefulness of a non-invasive measurement of carboxyhemoglobin has been demonstrated in numerous case reports, <sup>18–20</sup> and studies of occult carbon monoxide poisoning. <sup>4,5</sup> The ability to diagnose suspected cases of carbon monoxide exposure in a timely fashion, and avoid unnecessary invasive testing, requires good positive and negative predictive value. Detecting elevated COHb levels to enable rapid initiation of appropriate treatment, including normobaric and hyperbaric oxygen, may improve outcomes. <sup>21</sup> Detecting elevated COHb levels, even at levels that may not be clinically important, may identify sources of carbon monoxide exposure at home or at work that could cause more

serious harm in the future or lead to testing of others exposed to the event. Determining whether COHb levels are improving in patients requires more frequent measurements.

No prior studies involving pulse CO-oximetry in patients mention simultaneous evaluation in the presence of hypoxemia. Previous reports of pulse CO-oximetry in emergency room patients probably involved supplemental oxygen administration. <sup>5,12</sup> Simultaneous hypoxemia would be likely in cases of smoke inhalation and loss of consciousness. However, studies comparing SpCO and blood values did not include such data in the field because of the lack of blood analyzers. The manufacturer reports that the Rainbow Radical-7 is not accurate with simultaneous methemoglobinemia. We reported erroneous SpCO reading in an earlier study with induced methemoglobinemia, <sup>7</sup> but we did not test the combination of methemoglobin and carboxyhemoglobin in the current study.

Our results concerning the detection of COHb during normoxia were similar to two studies in normal volunteers in laboratory settings at normal levels of oxygen that found good accuracy and precision. <sup>9,10</sup> In contrast, studies in ER patients have shown larger bias, from -3% to +4%, and wider limits of agreement, span from lower to upper limit of agreement of 15% to 25%. <sup>4,11–13,22</sup> A study on 139 patients in pulmonary function lab found a low bias, but fairly wide limits of agreement. <sup>23</sup> In some studies, significant delays occurred between the SpCO reading and blood sampling for COHb measurements, making accurate assessment of bias difficult. <sup>4,12</sup>

Skin color and gender are known to alter pulse oximeter performance. <sup>15,16</sup> Many studies have not reported the gender and skin pigmentation of study subjects, making direct comparison of the results difficult, although Tougher et al. attempted some analysis excluding dark-skinned patients. <sup>13</sup> Our subjects were intentionally of different genders, ethnicities and skin color, since it is important that the results apply broadly, and is also required by the United States Food and Drug Administration for studies of pulse oximeter accuracy. Our study did not have the power to resolve differences in performance related to skin pigmentation.

The sensitivity, specificity, positive and negative predictive values for detecting COHb in the presence of mild hypoxia was acceptable (Table 5 and Figure 5). However, our study was not optimally designed to measure these parameters, since most of our data was clustered around subjects' baseline value and at the target of 10–12% COHb. Testing in human volunteers at these higher levels would not be appropriate, especially if combined with hypoxia. Receiver-operator characteristic analysis of our data (Figure 5 and Table 5) indicated maximum sensitivity and specificity for detecting COHb levels 10% was an SpCO of 6.6%. Similarly, Roth et al. found that an SpCO of 6.6% was 94% sensitive in identifying the 17 patients with carbon monoxide poisoning, with 77% specificity. Lowering the SpCO thresholds to maximize sensitivity in order to prevent missing anyone with potential serious carbon monoxide poisoning might be better for initial screening. For our data, a threshold of 5% SpCO is over 90% sensitive in detecting all subjects with COHb

10%. While lowering the threshold will decrease specificity, this may be desirable as a screening test for the presence of COHb. In a study of ER patients, Suner et al. reported 94% sensitivity and 54% specificity for the Rad-57 from 64 data points.<sup>4</sup> However, Touger et al.

found lower sensitivity (48%) but good specificity, positive and negative predictive value for a 15% COHb cutoff. <sup>13</sup> The exact clinical threshold indicating treatment necessity is not clear, although a level of COHb of 25% has been suggested for hyperbaric oxygen treatment. <sup>22</sup>

We studied two devices of each type, randomly placing them on different hands and different fingers. This increased the total number of data points for analysis. The oximeters behaved similarly, although slight differences, typically only 1–2% were apparent at times. We have previously found small difference in probes types. <sup>16</sup> Limitations on the reasonable number of probes we can study in volunteers mean that we do not have data on all probes types in this study.

Defining acceptable performance and accuracy is somewhat arbitrary, but depends on the clinical purpose of the device. Clearly, measurement of SpCO is less accurate than for SpO<sub>2</sub> and SpMet, being reported only to a whole number. Piatkowski et al. concluded that the bias of 3.15% (precision 2.36%) represented acceptable accuracy.<sup>11</sup> Roth et al.<sup>12</sup> concluded that accuracy was acceptable at bias of  $2.32 \pm 4.01$ . Touger et al. defined  $\pm$  5% as acceptable accuracy, reporting that 33% of data fell outside this range, <sup>13</sup> which was discussed in an editorial by Maisel and Lewis in the Annals Emergency Medicine.<sup>24</sup>

#### Methemoglobin

MetHb readings from the Rad 7 co-oximeters showed excellent stability with changes in carboxyhemoglobin and SaO<sub>2</sub>. Although a repeated measures analysis is extremely robust in detecting small changes in bias with a large number of measurements, the changes in SpMet bias as shown in Figure 6 are not clinically important. Changes in SpMet bias might not be expected from induced carboxyhemoglobinemia and hypoxemia, however early versions of the pulse CO-oximeter could not discriminate multiple different hemoglobin species. This was corrected in subsequent versions. Cyanide toxicity can occur in fires due to combustion of nitrogen containing compounds. Treatment is with sodium nitrite, which produces methemoglobinemia. This creates a clinical scenario in which MetHb and COHb would be present concurrently.

#### **Oxygen Saturation**

Within the range of carboxyhemoglobinemia studied, both the Rainbow and the conventional pulse oximeters were able to detect hypoxemia even in the presence of elevated COHb, with an  $A_{rms}$  of 1.70% and 2.05% being well below the acceptable FDA threshold of 3%. Confusion of carboxyhemoglobin and oxyhemoglobin might lead the oximeters to read a higher SpO<sub>2</sub> (positive bias) even at low oxygen saturation. Data on standard pulse oximeter accuracy with carboxyhemoglobinemia has shown a slightly negative bias at high COHb levels, with an obvious "gap" in measuring "fractional" oxygen saturation.  $^{6,26}$ 

Due to the similarity of the absorption spectra of oxyhemoglobin and carboxyhemoglobin, measurement may be intrinsically more difficult than for methemoglobin, which has greater spectral separation from oxyhemoglobin. The "pulse oximeter gap" describes the difference

between SpO<sub>2</sub> and *fractional* oxygen saturation with elevated %COHb, and implied that pulse oximeters were reading COHb as if it were oxyhemoglobin.<sup>26–28</sup> Current pulse oximeters are calibrated with *functional* oxygen saturation, so accuracy should properly be considered only for functional SaO<sub>2</sub>. The ability of the pulse oximeters to detect oxygen desaturation in the presence of elevated COHb suggests there is no clinically relevant "confusion".

#### **Perfusion Index**

Similar to finding with other parameters from pulse oximetry,<sup>29–31</sup> accuracy and precision was degraded slightly at lower perfusion index. The effect was not dramatic, and it should be noted that we were actively warming subjects' hands.

#### Limitations

A volunteer study has both limitations and advantages over other study designs. In human volunteers, we are limited as to the degree of carboxyhemoglobinemia and hypoxemia that we can safely produce. We have set the upper limit to 15%, with a target of 12% COHb in the setting of hypoxemia. Twelve subjects may not be adequate to produce robust data on sensitivity, specificity and predictive performance, although the study provided a total over 150 data points for SpCO and nearly 300 data points for SpMet and SpO2 with simultaneous arterial blood measurements. However, the repeated measures design is very robust for determining interaction between low SaO2 and elevated COHb. A laboratory setting also provides excellent coordination of blood draws and non-invasive measurement. Our step changes in carboxyhemoglobin, rather than continuous breathing of carbon monoxide, provides better stability for the coordination of SpCO and blood measurements. Continued updates and changes to hardware and software make comparisons between our studies and other past or future studies difficult. We treated laboratory measurements as a gold standard, although even such devices may have inaccuracies. Our population of study subjects may not represent all patient populations, but did have intentional variability of gender and ethnicity. Performance in a controlled laboratory environment may still differ from the clinical setting in patients with multiple comorbidities.

#### **Conclusions**

Accuracy of the Masimo pulse CO-oximeter for measuring carboxyhemoglobin was not affected by hypoxemia to a clinically important degree. However, hypoxemia did result in a significant increase in device reported low signal errors and blank COHb readings. COHb elevations up to 12% minimally affected measurements of SpO<sub>2</sub> and SpMet. Sensitivity, specificity and predictive value at up to 12% COHb were good (AUC of the ROC curve >0.8), but more data at higher COHb levels would be useful in helping clinicians define appropriate thresholds for optimizing screening for potential carbon monoxide poisoning. Given the history of pulse oximeter development, further investments in multi-wavelength pulse oximeter technology are likely to improve accuracy and performance.

### **Acknowledgments**

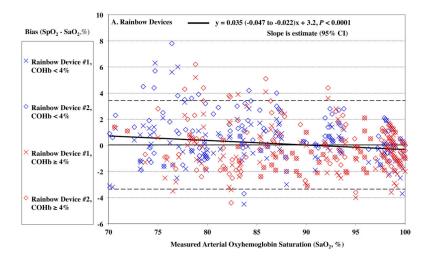
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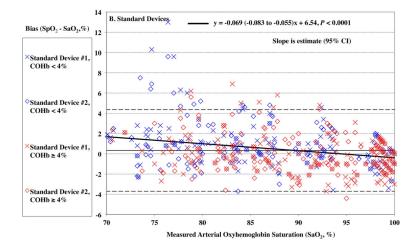
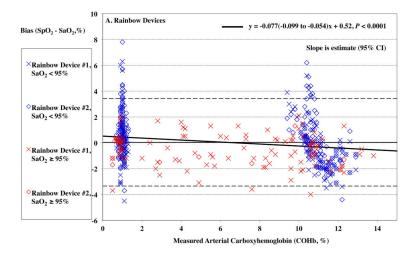


Figure 1. Pulse CO-oximeter  $SpO_2$  reading bias  $(SpO_2 - arterial oxygen saturation [SaO_2])$  is plotted as a function of  $SaO_2$  as measured by arterial blood samples. "X's" ("Device #1") and open diamonds ("Device #2") indicate readings from 2 oximeter devices monitoring simultaneously. The solid line shows the mean bias for both devices pooled together. The dashed lines are the upper and lower limits of agreement. Data for high %COHb ( 4%) are shown in red, while data for low %COHb (< 4%) are shown in blue. In panel A,  $SpO_2$  bias for the Rainbow probes was more positive at lower  $SaO_2$  (P < 0.0001). In Panel B,  $SpO_2$  bias for standard probes was also more positive at lower  $SaO_2$  (P < 0.0001). Regression lines and information are shown on the figures. Regressions for separate COHb ranges were similar (not shown).



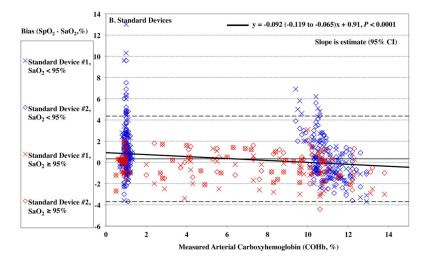
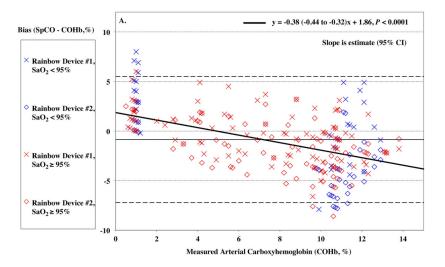


Figure 2. Pulse CO-oximeter SpO<sub>2</sub> reading bias (SpO<sub>2</sub> – arterial oxygen saturation [SaO<sub>2</sub>]) is plotted as a function of carboxyhemoglobin concentration (COHb) as measured by arterial blood gas analysis. "X's" ("Device #1") and open diamonds ("Device #2") indicate readings from 2 oximeter devices monitoring simultaneously. The solid line shows the mean bias for both devices pooled together. The dashed lines are the upper and lower limits of agreement. Data for high SaO<sub>2</sub> ( 95%) are shown in red, while data for low SaO<sub>2</sub> (< 95%) are shown in blue. In panel A, carboxyhemoglobin had a small but statistically significant effect on SpO<sub>2</sub> bias for Rainbow probes (P < 0.0001), with bias more positive at lower COHb. This was true at both low (< 95%, P < 0.0001) and high ( 95%, P < 0.0001). In panel B, SpO<sub>2</sub> bias for standard probes was also more positive at lower COHb (P < 0.0001). This was true at both low and high SaO<sub>2</sub> (P < 0.0001 and P = 0.001). Regression lines and information are shown on the figure. Regressions for separate SaO<sub>2</sub> ranges were similar (not shown).



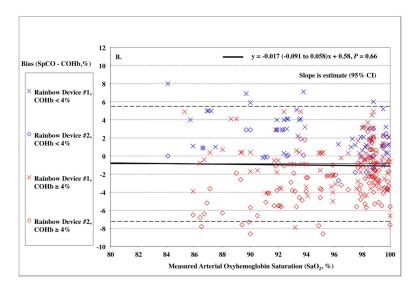


Figure 3. Pulse CO-oximeter SpCO bias (SpCO – percentage of carboxyhemoglobin in arterial blood [%COHb]) as a function of COHb (panel A) and oxygen saturation (SaO<sub>2</sub>) (panel B) as measured by arterial blood samples. "X's" ("Device #1") and open diamonds ("Device #2") indicate readings from the 2 Rainbow devices monitoring simultaneously. The solid line shows the mean bias for both devices pooled together. The dashed lines are the upper and lower limits of agreement. In Panel A, SpCO bias demonstrates a statistically significant relationship with COHb (P < 0.0001), with more positive bias at low COHb. Data for high SaO<sub>2</sub> (= 95%) are shown in red, while data for low SaO<sub>2</sub> (= 95%) are shown in blue. These show a similar relationship. Panel B shows no statistically significant relationship between SpCO bias and SaO<sub>2</sub> (= 0.66). Data for high COHb (= 4%) are indicated in red and show a negative bias at low SaO<sub>2</sub> (= 0.0022), while data for low COHb (= 0.0019). Regression lines and information are shown on the figures.

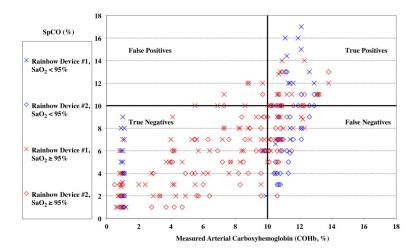
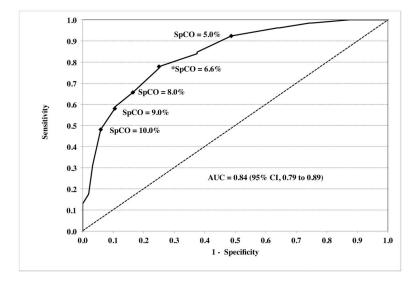


Figure 4. Pulse CO-oximeter carboxyhemoglobin (SpCO) readings as a function of measured percentage of carboxyhemoglobin in arterial blood (%COHb). "X's" ("Device #1") and open diamonds ("Device #2") indicated readings from the 2 Rainbow devices monitoring simultaneously. Values measured in room air (arterial oxygen saturation [SaO2] 95%) are shown as in red, and data obtained during hypoxemia (SaO2 < 95%) are shown in blue. The horizontal and vertical lines separate the data into quadrants, representing true or false positives or negatives for detecting a carboxyhemoglobin level of 10% or more.



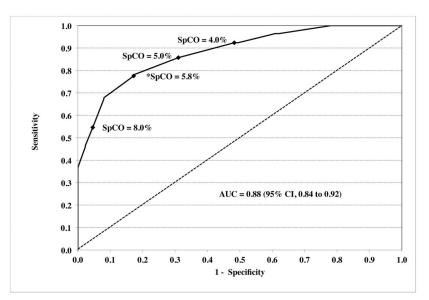
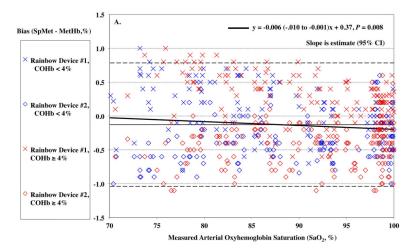


Figure 5.

Receiver-operator characteristic curves are shown for "positive" carboxyhemoglobinemia as 10% (panel A) or 5% (panel B). The dashed diagonal line shows an AUC of 0.50, which would have no discriminatory value. Values for the area under the curve (AUC) were 0.84 (95% CI, 0.79 to 0.89) and 0.88 (95% CI, 0.84 to 0.92) respectively. A number of key points (closed diamonds) along the curve are marked with respective pulse CO-oximeter carboxyhemoglobin (SpCO) values. The values of SpCO that maximized sensitivity and specificity are denoted by asterisks, and were 6.6% and 5.8% respectively.



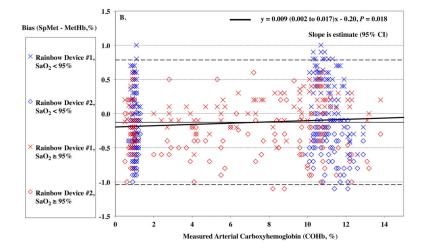
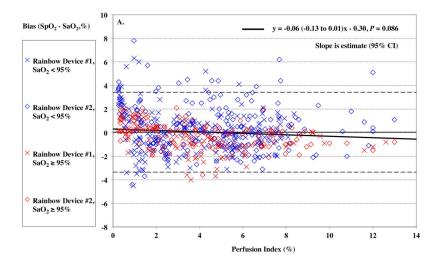


Figure 6. Pulse CO-oximeter SpMet reading bias (SpMet - percentage of methemoglobin in arterial blood [%MetHb]) as a function of oxygen saturation (SaO<sub>2</sub>) (panel A) and carboxyhemoglobin concentration (COHb) (panel B) as measured by arterial blood gas analysis. "X's" ("Device #1") and open diamonds ("Device #2") indicate readings from the 2 Rainbow devices monitoring simultaneously. The solid line shows the mean bias for both devices pooled together. The dashed lines are the upper and lower limits of agreement. In panel A, SaO<sub>2</sub> significantly influenced SpMet bias with a slightly more positive bias as lower SaO<sub>2</sub>, (P = 0.008). Data for high COHb (5%) are shown in red, while data for low COHb (5%) are shown in blue, and have a similar small but statistically significant effect. In panel B, carboxyhemoglobin produced a small but statistically significant effect on SpMet bias (P = 0.018). Data for high SaO<sub>2</sub> (95%) are shown in red, while data for low SaO<sub>2</sub> (95%) are shown in blue. Regression lines and information are shown on the figures. Regressions for separate COHb and SaO<sub>2</sub> ranges were similar (not shown).



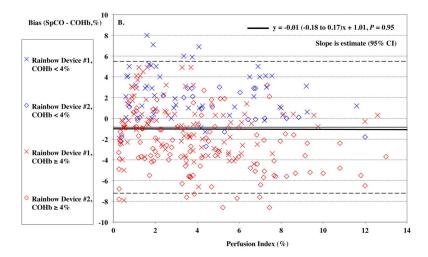


Figure 7. Pulse CO-oximeter SpCO bias (SpCO – percentage of carboxyhemoglobin in arterial blood [%COHb]) (Panel A) and SpO<sub>2</sub> reading bias (SpO<sub>2</sub> – arterial oxygen saturation [SaO<sub>2</sub>]) (Panel B) as a function of a function of perfusion index (PI). X's" ("Device #1") and open diamonds ("Device #2") indicate readings from the 2 Rainbow devices monitoring simultaneously. The solid line shows the mean bias for both devices pooled together. The dashed lines are the upper and lower limits of agreement. In panel A, data for high SaO<sub>2</sub> (95%) are shown in red, while data for low SaO<sub>2</sub> (<95%) are shown in blue. Data at high SaO<sub>2</sub> showed a barely significant effect (P = 0.029). In panel B, data for high %COHb (4%) are shown in red, while data for low COHb (<4%) are shown in blue. SpCO bias was higher for both Devices at PI < 2, P < 0.05 and precision lower, P < 0.05. Regression lines and information are shown on the figures. Regressions for separate COHb and SaO<sub>2</sub> ranges were similar (not shown).

# Table 1

Demographic data.

Subject	Sex	Sex Age (Years)	Skin	Ethnicity	SpCO Bias	SpMet Bias	Perfusion Index
Subject #1	M	28	Light	Caucasian	$-0.4 \pm 2.0 (23)$ $-0.2 \pm 0.4 (51)$	$-0.2 \pm 0.4$ (51)	5.0
Subject #2	Σ	26	Dark	African American	$-3.1 \pm 1.2$ (22)	$0.1 \pm 0.4$ (44)	6.4
Subject #3	Ц	22	Light	Caucasian	$3.4 \pm 1.7$ (22)	$0.2 \pm 0.5 (50)$	3.2
Subject #4	Ц	22	Light	Caucasian	$-2.9 \pm 4.0 (24)$	$0.0 \pm 0.5$ (49)	1.1
Subject #5	Μ	28	Medium	Asian/Caucasian	$-1.0 \pm 2.3 \ (25)$	$-1.0 \pm 2.3 (25)$ $-0.3 \pm 0.2 (50)$	2.6
Subject #6	Ц	22	Light	Caucasian	$-3.3 \pm 1.6$ (26)	$0.0 \pm 0.4 (50)$	0.5
Subject #7	Σ	23	Medium	Caucasian	$2.1 \pm 3.9 (16)$	$-0.2 \pm 0.4 (48)$	7.1
Subject #8	Μ	31	Medium	Hispanic	$1.1 \pm 2.6 (25)$	$-0.5\pm0.5$ (47)	3.8
Subject #9	Σ	26	Light/medium	Caucasian	$1.9 \pm 2.1 (30)$	$-0.3 \pm 0.6$ (52)	6.9
Subject #10	Σ	26	Light/medium	Caucasian	$-2.4 \pm 2.9 (19)$	$-0.2 \pm 0.6 \ (50)$	6.5
Subject #11	Ц	19	Dark	African American/Caucasian	$1.3 \pm 3.0 (32)$	$0.1 \pm 0.4$ (41)	2.0
Subject #12	ц	28	Dark	Indian	$-2.0 \pm 2.6 (19)$	$0.2 \pm 0.4 (29)$	1.7

M = Male, F = Female; SpCO, percent carboxyhemoglobin measured by the Masimo pulse CO-oximeter; SpCO bias, SpCO - measured arterial carboxyhemoglobin; SpMet, percent methemoglobin; bias displayed as mean  $\pm$  SD (n); perfusion index is a mean of 4 devices over all data points.

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Table 2

SpCO Reading Summary with carboxyhemoglobin level

%COHb Range	0% - 15%	0% - 5%	<b>5%</b> – <b>10%</b>	10% – 15%
Device #1				
n, paired observations	154	57	32	65
Mean Bias (%)	0.3	2.3*	-0.9	-0.7
Precision (%)	2.9	2.4	2.8	2.5
Arms (%)	2.9	3.3	2.9	2.6
Limits of Agreement	-5.6 to 6.2	-2.6 to 7.1	-6.5 to 4.7	-5.9 to 4.4
Bias  > 5%	5.8%	12.3%	6.3%	0.0%
Device #2				
n, paired observations	129	30	33	66
Mean Bias (%)	$-2.3^{\dagger}$	0.6	-2.2	-3.6
Precision (%)	2.9	1.9	2.5	2.5
Arms (%)	3.7	2.0	3.3	4.4
Limits of Agreement	-8.2 to 3.6	-3.6 to 4.7	-7.3 to 2.9	-8.7 to 1.4
Bias  > 5%	20.9%	0.0%	12.1%	34.8%
Pooled Devices				
n, paired observations	283	87	65	131
Mean Bias (%)	-0.9	1.7*	-1.5	-2.2
Precision (%)	3.2	2.4	2.7	2.9
Arms (%)	3.3	2.9	3.1	3.6
Limits of Agreement	-7.2 to 5.5	-3.1 to 6.4	-7.0 to 3.9	-8.0 to 3.6
Bias  > 5%	12.7%	8.0%	9.2%	17.6%

SpCO = percentage carboxyhemoglobin level (%COHb) as measured by the Masimo pulse CO-oximeter; SaO<sub>2</sub> range = as measured by arterial blood values; mean bias = average of the bias (SpCO - %COHb) within the SaO<sub>2</sub> range specified; precision = standard deviation of the bias; Arms = root-mean-square error; comparison of mean bias was by ANOVA with Tukey-Kramer honest significant difference for multiple comparisons; limits of agreement corrected for repeated measures.

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<sup>\*</sup> Significantly different from all other levels.

 $<sup>^{\</sup>dagger}$ All significantly different from each other

Table 3

SpCO Missing Reading Summary

			SaO <sub>2</sub> Range		
COHb Range	75% - 80%	80% - 85%	85% - 95%	95% - 100%	75% - 100%
0% – 2%	48/48 (100%)	36/38 (94.7%)	44/78 (56.4%)	31/54 (57.4%)	159/218 (72.9%)
2% – 4%	N/A	N/A	N/A	5/18 (27.8%)	5/18 (27.8%)
4% – 6%	N/A	N/A	N/A	1/26 (3.8%)	1/26 (3.8%)
%8 - %9	N/A	N/A	N/A	0/18 (0%)	0/18 (0%)
8% - 10%	N/A	4/4 (100%)	5/8 (62.5%)	0/34 (0%)	9/46 (19.6%)
10% – 12%	40/40 (100%)	32/32 (100%)	23/74 (31.1%)	0/20 (0%)	95/196 (48.5%)
0% – 12%	88/88 (100%)	72/74 (97.3%)	72/160 (45.0%)	88/88 (100%) 72/74 (97.3%) 72/160 (45.0%) 37/200 (18.5%) 269/522 (51.5%)	269/522 (51.5%)

Data are the number missing/total (%). Data combine both Rainbow devices

SpCO, pulse oximeter measured carboxyhemoglobin percentage; SaO2, measured blood arterial oxygen saturation; COHb, measured arterial carboxyhemoglobin percentage; N/A, not applicable

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Table 4

SpCO Reading Summary

SaO <sub>2</sub> Range	80% - 100%	95% - 100%	90% - 95%	85% - 90%	80%-85%
Device #1					
n, paired observations	154	95	39	19	1
Mean Bias (%)	0.3	-0.3*	8.0	2.1	8.0
Precision (%)	2.9	2.5	3.4	2.7	N/A
Arms (%)	2.9	2.5	3.5	3.4	N/A
Limits of Agreement	-5.5 to 3.3	-5.1 to 2.2	-6.1 to 4.3	-3.5 to 4.9	N/A
Bias  > 5%	5.8%	3.2%	10.3%	5.3%	100.0%
Device #2					
n, paired observations	129	82	33	13	-
Mean Bias (%)	-2.3	-1.8	-2.7	-4.5 <sup>†</sup>	0.0
Precision (%)	2.9	2.6	3.2	3.4	N/A
Arms (%)	3.7	3.1	4.1	5.6	N/A
Limits of Agreement	-8.1 to 3.5	-6.8 to 3.2	-9.1 to 3.6	-12.2 to 3.3	N/A
Bias  > 5%	20.9%	11.0%	30.3%	61.5%	0.0%
Pooled Devices					
n, paired observations	283	177	72	32	2
Mean Bias (%)	6.0-	-1.0	-0.8	9.0-	4.0
Precision (%)	3.2	2.6	3.7	4.4	5.7
Arms (%)	3.3	2.8	3.8	4.4	5.7
Limits of Agreement	-7.2 to 5.5	-6.1 to 4.1	-8.2 to 6.6	-9.3 to 8.1	N/A
Bias  > 5%	12.7%	%8.9	19.4%	28.1%	20.0%

%COHb) within the SaO2 range specified; precision = standard deviation of the bias; Arms = root-mean-square error; N/A = not applicable; comparison of mean bias was by ANOVA with Tukey-Kramer SpCO = percentage carboxyhemoglobin level (%COHb) as measured by the Masimo pulse CO-oximeter; SaO2 range = as measured by arterial blood values; mean bias = average of the bias (SpCO honest significant difference for multiple comparisons; limits of agreement corrected for repeated measures.

<sup>\*</sup> Significantly different from all other levels.

 $<sup>^{\</sup>uparrow}$  Significantly different from 90% - 95% and 95% - 100% levels.

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Table 5

SpCO Predictive Performance (0% < %COHb < 15%). Both Carboxyhemoglobin Probesf

"Positive"	%	%COHP 10%	%	%	%COHP 5%	•	%COHP 10%	10%
SpCO Threshold		10%			2%		9.9	2%
SaO <sub>2</sub> Range	95-100%	%56-06	<b>%06</b> - <b>58</b>	95-100% 90-95% 85-90% 95-100% 90-95% 85-90%	%56-06	%06-58	All	All
Observations	177	72	32	177	72	32	283	283
PPV = TP/(TP + FP)	78.0%	100.0%	100.0%	%0.06	83.3%	75.0%	72.9%	62.1%
NPV = TN/(TN+FN)	76.5%	50.0%	52.4%	68.4%	77.8%	50.0%	79.7%	79.7%
Sensitivity = TP/(TP+FN)	50.0%	43.5%	52.4%	85.7%	91.8%	71.4%	77.9%	92.4%
Specificity = TN/(TN+FP)	92.0%	100.0%	100.0%	76.5%	%6.09	54.5%	75.0%	51.3%
Accuracy = (TP+TN)/(P+N) 76.8%	76.8%	63.9%	%8.89	83.1%	81.9%		65.6% 76.3% 70.3%	70.3%

SpCO, percentage of carboxyhemoglobin as measured by the Masimo pulse CO-oximeter; %COHb, percentage of carboxyhemoglobin measured in arterial blood; SaO2, arterial blood oxygen saturation; PPV=Positive Predictive Value, NPV=Negative Predictive Value, TP=True Positive, FFP=false Positive, TN=True Negative, FN=False Negative. SpCO=6.6 was the cutoff optimizing sensitivity and specificity by receiver-operator characteristics analysis.