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Electrophysiological evidence of alterations to the nucleus accumbens and dorsolateral striatum during chronic cocaine self-administration

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Abstract

As drug use becomes chronic, aberrant striatal processing contributes to the development of perseverative drug-taking behaviors. Two particular portions of the striatum, the nucleus accumbens (NAc) and the dorsolateral striatum (DLS), are known to undergo neurobiological changes from acute to chronic drug use. However, little is known about the exact progression of changes in functional striatal processing as drug intake persists. We sampled single-unit activity in the NAc and DLS throughout 24 daily sessions of chronic, long-access cocaine self-administration and longitudinally tracked firing rates (FR) specifically during the operant response: an upward vertical head movement. A total of 103 neurons were held longitudinally and immunohistochemically localized to either NAc Medial Shell (n=29), NAc Core (n=30), or DLS (n=54). We modeled changes representative of each category as a whole. Results demonstrated that FRs of DLS Head Movement neurons were significantly increased relative to baseline during all sessions, while FRs of DLS Uncategorized neurons were significantly reduced relative to baseline during all sessions. NAc Shell neurons' FRs were also significantly decreased relative to baseline during all sessions while FRs of NAc Core neurons were reduced relative to baseline only during training days 1-18 but were not significantly reduced on the remaining sessions (19-24). The data suggest that all striatal subregions show changes in FR during the operant response relative to baseline, but longitudinal changes in response firing patterns were observed only in the NAc Core, suggesting that this region is uniquely susceptible to plastic changes induced by abused drugs.

Keywords

Addiction; Stimulant; Single-Unit; Basal Ganglia

Conflict of Interest:

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1.0 Introduction

The striatum is the receiving area of the basal ganglia, processing inputs from cortex, thalamus, limbic structures such as the amygdala, and midbrain dopamine neurons (Parent, 1990; Zahm et al., 1988, 1990; Brog et al., 1993; Wright et al., 1996; Haber et al., 2000; Usuda et al., 1998). Signals processed within the striatum project sequentially to the globus pallidus and subthalamic nucleus and then on to premotor areas where they are capable of affecting behavioral output. Accordingly, it has been proposed that these cortico-striatothalamo-cortical re-entrant loops process limbic and sensorimotor information in order to monitor ongoing behaviors as well as to affect future action selection (Nauta et al., 1978; Alexander et al., 1986).

It is thought that as drug use transitions to chronic abuse, aberrant striatal processing contributes to the development of perseverative drug-taking (Robbins & Everitt, 2002; Gerdeman et al., 2003; Volkow et al., 2006; Thomas, 2008; Belin & Everitt, 2008) that persists despite adverse consequences (Tiffany, 1990; Feltenstein & See, 2008; Root et al., 2009; Marchant et al., 2013). These changes are linked to interactions between abused drugs and dopaminergic transmission in the striatum. Several studies suggest that two portions of the striatum, the nucleus accumbens (NAc) and the dorsolateral striatum (DLS), undergo neurobiological changes as drug use progresses from acute to chronic (Letchworth et al., 2001; Nader et al., 2002; Macey et al., 2004; Porrino et al., 2004; Hanlon et al., 2009; Willuhn et al., 2014). Despite knowledge of the somatotopic organization of the DLS (Crutcher & DeLong, 1984; Carelli & West, 1991; Brown, 1992; Mittler et al., 1994; Brown & Sharp, 1995; Cho & West, 1997), and that sensorimotor processing is altered during the course of chronic cocaine use (Hanlon et al., 2009), little is known about changes in phasic processing of individual DLS neurons during this progression, making it difficult to predict how they might contribute to future drug-taking or relapse behaviors.

The goal of the present study was to electrophysiologically record single-unit activity in the NAc and DLS throughout chronic, long access cocaine self-administration (Ahmed & Koob, 1998) and longitudinally track activity specifically during the operant response. Recording wires were divided into four groups based first on histochemical localization within the DLS (François et al., 1994) or to either the Core or Shell subregions of the NAc (Meredith et al., 1996) using antibodies against Calbindin D28k. Next, because many DLS neurons show somatic sensorimotor firing properties, we further characterized them via a sensorimotor examination prior to training (Carelli & West, 1991). DLS neurons that fired selectively during vertical head movement (Tang et al., 2007) were separated from DLS neurons that did not explicitly exhibit a sensorimotor correlate. Animals were trained to perform a vertical head movement reinforced by cocaine in order to engage DLS Head Movement neurons in processing the operant, drug-taking response. Population activity for striatum as a whole, as well as each NAc and DLS sub group were examined during the operant response, and changes in response-related firing patterns were modeled longitudinally.

2.0 Materials and Methods

2.1 - Subjects and Surgery

Details of the general surgical procedure have been described previously (Root et al., 2011). Adult male Long–Evans rats (Charles River, Wilmington, MA) were implanted with a catheter in the right jugular vein and a 16 micro-wire array (2×8) ; Micro-Probes, Gaithsburg Maryland) targeting either the right DLS (n=19) or right NAc (n=21). Arrays were implanted through a rectangular craniotomy with the following corners (ML mm, AP mm) relative to bregma: DLS [(2.8, 2.5) (3.4, 2.6) (3.4, -0.5) (4.0, -0.4)], NAc [(0.6, 0.5) (1.8, 0.5) (0.6, 3.0) (1.8, 3.0)]. Arrays were constructed from 50μm stainless steel microwires quad coated in Teflon® insulation. Arrays targeting the DLS were constructed with 300μm spacing between rows and columns, while those targeting the NAc were constructed with 250μm between rows and 750μm between each column. Arrays were lowered using a motorized stereotaxic device (Coffey et al., 2013) at a rate of 200μm/min to a depth of 3.9mm below the surface of the skull for the DLS or 6.7mm below the skull surface for the NAc. A stainless-steel ground wire (0.25mm diameter) with 5mm of exposed wire was also implanted contralateral to the microwire array 5.5mm ventral to the skull surface. Recovery took place in individual Plexiglas self-administration chambers, which served as the animals' home cages for the duration of the experiment. Animals were provided with food after each self-administration session to maintain body weight between 310-350g (~85% of free feeding weight as estimated based on growth curves from Charles River). Experiments were performed in compliance with the Guide for the Care and Use of Laboratory Animals (NIH, 1985; Publication 865–23) and have been approved by the Institutional Animal Care and Use Committee, Rutgers University.

2.2 - Body Exam

Neurons in the DLS are responsive to somatosensory and/or motor activity of single body parts (Crutcher & DeLong, 1984; Liles & Updyke, 1985; Alexander & Delong, 1985; West et al., 1990; Carelli & West, 1991; Mittler et al., 1994; Cho & West, 1997). Prior to selfadministration, animals with arrays targeting the DLS underwent an exam of the anterior body parts (omitting hindlimbs and tail). The purpose was to select DLS neurons that were targeted for this study (i.e., those that were related specifically to vertical head movement). Neuronal signals were amplified 7000x and online discriminated spiking activity was played through a pair of headphones to assess whether body part stimulation and/or manipulation resulted in changes in FR. Animals were trained to remain entirely still, resulting in low baseline firing rates of DLS neurons. Each neuron was then tested for responsiveness to experimenter manipulation and/or cutaneous stimulation of the following regions: ipsilateral and contralateral forelimbs, vibrissae, and, shoulders, as well as the head, neck, snout, and chin. For experimenter manipulation, each body part was physically moved up, down, left, and right while the rest of the body remained motionless. Neurons were considered to process movement if discharges occurred during single body part manipulation in the absence of any other body part movements. For cutaneous stimulation, a hand-held probe (2mm diam.) calibrated to deliver 1-2g of force was used. Each body part was tapped with the probe and the fur or skin was gently rubbed with light stroking movements. Neurons were considered to process cutaneous touch if discharges occurred during single body part

stimulation in the absence of discernible movements. This approach unambiguously assigned each responsive neuron to a particular category.

Head Movement neurons were defined as those that responded to cutaneous stimulation of the head or neck (other than the face) or fired during spontaneous or experimenter-induced head movements. Neurons that responded to other specific body parts were excluded from the present study because the automated measurement of movements (see next section) was selective for head/neck and could not monitor other body parts. For example, vibrissae neurons were excluded because, although vibrissae contacted the walls of the photocell corner during the operant response, we did not measure activity of the vibrissae. Any neuron not assigned to a particular category described above (i.e., unresponsive during the sensorimotor exam) was included as an Uncategorized neuron in this study.

2.3 - Procedures and Experimental Apparatus

Detailed descriptions of the experimental apparatus have been published previously (Barker et al., 2014; Root et al., 2011). The photocell device used for operant responding (Figure 1) consisted of a series of six infrared-emitting diodes capable of transistor-transistor logic (HOA-6299, Honeywell, Morristown, NJ), which were positioned along a 50° arc over 69mm. Each photocell emitted a beam with a 5.59mm diameter and an 880nm wavelength. The photocell apparatus was attached to the outside of the back left corner of the operant chamber with the lowest photocell positioned ~13mm from the floor of the chamber. The lowest photocell will be referred to as photocell one, with incrementing photocells referred to as two through six. During shaping and training a 750Hz tone (70dB) was presented at the completion of the operant response (0.5s duration) using a custom tone generator (M. B. Turnkey Design, Hillsborough, NJ). All experimental apparatus were controlled by a PC running MED-Associates hardware and software (St. Albans, VT). During hours when selfadministration sessions were not in effect a Plexiglas block (50.8mm \times 50.8mm \times 152.4mm) was inserted into the corner to block the photocell device and prevent extinction learning.

The operant response and schedule of reinforcement in this study were custom-designed specifically to improve the quality of data collected in this experiment. The vertical head movement operant response was chosen because 1) it has a discrete beginning and end sandwiched between approach and retreat from the corner (Root et al., 2014), unlike lever pressing, which can include rapid movements of many body parts, even locomotion or turning as a rat self-administering cocaine moves rapidly past the lever, and 2) it has been demonstrated to become habitual with extended training, as employed here (Tang et al., 2007). The vertical head movement operant response is more complex than the traditional lever press, so to facilitate shaping, the first 3-5 days of self-administration employed a fixed-interval (FI) 10s schedule of cocaine availability and responses were reinforced with a moderately large 0.24mg/0.2mL infusion of cocaine administered over 7.5s. Animals were shaped to make a vertical head movement by reinforcing successive approximations of the movement, starting with a single-photocell break at photocell two. The response requirement was incremented by one photocell after every seven successful responses were performed until subjects were successfully breaking photocells two through five consecutively in an upward movement less than one second in duration (termed the 'criterion response').

Once animals performed 40 or more criterion responses during a single session, they progressed from shaping to self-administration training. During each training session subjects were allowed to load-up on a FI 10s schedule for the first nine infusions. Criterion responses during the load-up period produced a 0.24mg/0.2mL cocaine infusion administered over 7.5s, allowing animals to rapidly increase drug level. Responses during the load-up phase were removed from analysis in order to remove the effect of rapidly changing pharmacology from our measure of response related activity. Following the loadup period, animals progressed into a pseudo-random variable-interval (VI) 30s schedule for maintenance responding. Reinforced responses 10-19 produced a 0.12mg/0.1mL infusion over 3.75 seconds. From infusion 20 onward, animals received the maintenance dose of 0.06mg/0.05mL delivered over 1.875 seconds. This change in schedule was designed to yield two benefits. First, the unsignaled VI schedule removed the effect of anticipation, as the animals could not predict which responses were reinforced, allowing us to analyze all operant responses together. Second, the low maintenance dose causes animals to respond more often to maintain a stable drug level, and the variable timeouts allow for many unreinforced responses. This maximized the number of responses animals made each session, giving us sufficient numbers of matched movements (discussed below) for neural analyses. For both load-up and maintenance, if an animal failed to produce a response within 1 minute after the VI 30s had elapsed, the cocaine availability period ended and a new interval began. Training and shaping lasted a total of 24 sessions on 24 consecutive days and lasted for 6 hours each day.

2.4 - Electrophysiological Recordings

2.4.1 - Recording Procedures and Parameters—Single-unit activity was recorded approximately every other day for a period of 24 days. Neural signals from all 16 microwires were amplified at the level of the headstage using a harness with four quadchannel operational amplifiers (MB Turnkey Design, Hillsborough, NJ). Each harness connected to a fluid and electrical swivel (Plastics One Inc., Roanoke, VA) through which signals were fed to a preamplifier and filter (MB Turnkey Design). The preamplifier differentially amplified $(10x)$ the signal on each recording electrode against another wire on the implanted array that did not display a neuron. The filter then amplified (700x) and bandpassed signals between 450Hz and 10kHz with a roll off of -1.5dB per octave below 1kHz and -6dB per octave above 11kHz. Finally, signals were digitized at a 50kHz sampling frequency and were recorded using DataWave Technologies hardware and software (Longmont, CO). All signals were then stored for offline sorting and analysis. During each session, electrophysiological recordings began concurrently with the start of the selfadministration session and terminated at the end of the session. Isolation of individual neural waveforms from background noise was performed offline using SciWorks spike sorting and separation software (DataWave). All waveforms of the putative individual neuron during the entire session (6h) were displayed in temporal order on a computer-simulated oscilloscope to assess the stability of neural waveforms within session. An inter-spike interval (ISI) histogram was also constructed. If discharges occurred within the first 2ms in the ISI corresponding to a neuron's natural refractory period, the recording was not considered that of a single neuron and was discarded (Kosobud et al., 1994; Peoples et al., 1999). Neurons exhibiting signal-to-noise (SN) ratios less than 2:1 were also discarded. Finally, if one wire

exhibited two different waveforms (1.7% of recorded cells), a cross-correlogram was constructed for that wire. Using the cross-correlogram, it was determined that two separate neurons were present if spikes occurred within the first two 1ms bins, representing the refractory period for a single neuron.

2.4.2 - Criteria for Identifying the Same Single Neuron across Sessions—Under

circumstances similar to those in the present study, neurons can be recorded longitudinally using microwire arrays (McMahon et al., 2014; Thompson & Best, 1990; Lütcke et al., 2014; Greenberg & Wilson, 2004; Schmitzer-Torbert & Redish, 2005; Tolias et al., 2007; Fraser & Schwartz, 2012; Jackson & Fetz, 2007; Dickey et al., 2009; Tang et al., 2007, 2009). A key feature of the striatum that is not shared by all brain areas is that MSNs are homogeneously dispersed (i.e., not layered) and their small soma exhibit single spikes of small amplitude which decay over a short distance. Waveforms of MSNs typically exhibit maximal amplitude (subtracting our typical 50μV noiseband) of approximately 170μV. Using Rall's (1962) calculation applied to MSNs (i.e., somal diameter of approximately 20μm and radially symmetric dendrites (the "closed field" model), waveform amplitude would decline by 170μV at a distance of 34μm and thus be undetectable. Thus, the spikes of one neuron rarely interfere with discriminating the spikes of another. From one day to the next, the same wires in a given animal exhibit stable waveform recordings throughout the 6 hour session (Peoples et al., 1999; Ghitza et al., 2003 for NAc; Tang et al., 2007 for DLS).

To assert that the same neuron was recorded over sessions in the present study, the following criteria were met: 1) each waveform present throughout the period in question was recorded from the same microwire; (2) waveforms had similar shapes: the correlation between the average waveforms (average waveform voltages during the spike, sampled at 35 time points at 50kHz) from one session to the next was greater than 0.9; (3) waveforms had similar parameters: the difference in spike height from one session to the next was less than 20%, and the difference in peak time from one session to the next was less than 0.04ms; and 4) alternative explanations were also ruled out using a combination of logical inference (see above paragraph) and modeling of waveform stability using real data.

Within-wire waveform stability was calculated by determining the total area displaced when overlaying the mean waveform from each session recorded from a single wire. These areas were compared to the expected areas of waveforms from alternative explanations. The most extreme alternative explanation is that each given wire recorded a different striatal neuron on every session. This alternative was modeled by randomly selecting waveforms from different wires for an equal number of sessions as recorded by each given wire. A more conservative alternative explanation is that a unit is lost and a second unit is gained somewhere in training. This alternative was modeled by selecting half of the session's waveforms from a single wire and randomly selecting the other half of the session's waveforms from a different wire. Under the assumption that all waveforms recorded from a single wire belong to a single-unit, we predict minimal waveform area. We also predict greater waveform area when half the waveforms come from a second wire and even greater area when all waveforms are selected from all different wires. Statistical analysis of the difference in area of waveform displacement between real and modeled distributions was accomplished using two-sample Kolmogorov-Smirnov tests.

2.5 - Analysis of Neural Data

2.5.1 - Behavioral Equivalence—The concept of behavioral equivalence (Porrino, 1993) is important for comparing neural measures in awake animals across conditions in order to eliminate sensorimotor differences as a possible alternative explanation of neural results. This is especially important in the present data, as DLS neurons are sensitive to sensorimotor processing and the topographies of observed behaviors can change across learning for both NAc and DLS neurons. Thus, behaviors tracked across longitudinal recordings were analyzed using 'matched sets' (Tang et al., 2007, 2009). Matched sets are equivalent motor behaviors observed across sessions. Specifically, similar movements were categorized based on parameters such as movement distance or duration (described below). A movement type was included in the longitudinal analysis when at least 5 alike movements were observed in a given category on each of four or more sessions. While this technique excludes many unmatched movements from analysis, we believe that analyzing behaviorally equivalent data is essential for interpreting longitudinal changes in neural activity.

2.5.2 - Response-Related Firing Patterns—To examine firing patterns during the operant response, head movements (Figure 1) were sorted into 50 unique movement categories. These 50 categories correspond to a combination of ten unique categories of movement start and end positions (movement distance) at five different categories of movement duration (Table 1). In order to effectively match movements across all sessions and maximize sampling of each neuron's FR, categories cover a multitude of movements and not just the 'criterion response' for self-administration behavior.

Specifically, start position was broken into 4 bins starting at photocell (PC) 1 and incrementing by 1 until PC 4, while end position was broken into 4 bins starting at PC 3 and incrementing by 1 until PC 6. Duration consisted of 5 bins starting at 100ms and incrementing by 180ms until 1000ms; note that only movements longer than 100ms that contained at least 2 PC breaks were accepted and many categories at the extremes contained no observations (Table 1). Movements from different sessions that fell into the same category of start position, distance, and duration were considered "matched sets". In accordance with our previous work, only categories containing at least 5 movements on every recorded session were considered for analysis (Tang et. al, 2007). FR from matched sets maintained across sessions were compared to baseline FR within each session using the ratio ' FRresponse= (Response FR - Baseline FR) / (Response FR + Baseline FR)'. Change ratios were then examined across sessions.

2.5.3 - Calculation of Baseline Firing Rate—Firing rates (FR) of neurons in the DLS are sensitive to the sensorimotor activity of single body parts; neurons in the NAc have similarly been repeatedly implicated in behaviors that involve some form of motor behavior (e.g., operant responding). Therefore, response-related FR was not compared to the immediately preceding FR, which would have varied with motor behaviors involved in approaching the photocell corner (Root et al., 2013). Instead, movement-free epochs were used to calculate baseline FRs as a control for possible influences of motor behaviors on baseline firing. To determine movement-free epochs, a custom MATLAB script was used to examine subjects' video tracked coordinates across the entire session and extract moments

when rats exhibited no movement for a period of one second (tracked point deviated less than 5.03mm; Figure 1). The number of discharges per second during each period was used to calculate average FR during all movement-free intervals. Firing rate during movementfree periods will henceforth be referred to as 'baseline FR'. Comparisons between baseline FR and other methods for calculating a FR baseline (i.e., utilizing a pre-node period or a scrambled/randomly sampled baseline) revealed that all measures of baseline FR were highly correlated (all $r = 0.90$). Thus, while the movement-free baseline was specifically chosen as a control for the behaviors of interest, it was similar to all aforementioned canonical baseline measures.

2.6 - Histological Procedures

Following self-administration training, animals were given an overdose of sodium pentobarbital (150-200mg/kg). Anodal current (50 μ A for 3 sec) was passed through each microwire to produce a small lesion for localizing each microwire tip. Animals were then transcardially perfused with 0.9% phosphate buffered (PB) saline followed by 4% paraformaldehyde. The brain was removed and post-fixed in 4% paraformaldehyde before transitioning into a cryo-protectant solution of 30% sucrose in PB. A microtome was used to section brains into 50 μm thick coronal slices through the extent of the NAc and DLS.

Immunohistochemistry was carried out under gentle agitation using a horizontal rotator (Laboratory-Line, Fisher, Pittsburgh, PA). Free-floating sections were washed for 10 minutes three times $(3 \times 10\text{m})$ in PB, and then were rinsed for 15 minutes in a 0.3% H2O2 solution to quench endogenous peroxidase. Sections were then washed in PB for $3 \times 10m$ before treatment with blocking solution (4% bovine albumin + 0.3% triton X-100 in PB) for 1h. Sections were subsequently transferred into the primary antibody solution containing anti-calbindin d28k diluted in blocking solution (Immunostar, Inc., Hudson WI) at a 1:5000 ratio for a minimum of 16h at 4°C (gently agitated and refrigerated overnight). Following incubation in the primary antibody, sections were rinsed for 3×10 m in PB and transferred to a solution containing biotinylated secondary antibody against rabbit immunoglobulin (1:200 dilution; Vector Laboratories inc., Burlingame, CA, USA) in blocking solution for 1h at room temperature. Again, sections were rinsed in PB (3×10^m) prior to incubation with a solution containing Avidinbiotinylated horseradish peroxidase complex (ABC kit; Vector Laboratories Inc.) for 1h. Finally, sections were rinsed in PB (3×10^m) before developing the peroxidase reaction with 0.05% 3,3-diaminobenzide-4 HCl (DAB; Vector laboratories Inc.) and 0.003% H202 for 2 to 5m.

Individual slices were mounted onto gelatin-coated slides before incubation in a solution of 5% potassium ferrocyanide and 10% HCl to stain iron deposits left at the location of the lesioned microwire tip. Sections were then rinsed $(3 \times 1m)$ in water to neutralize the reaction. Processed sections were then dehydrated through a graded series of ETOH before being transferred into a primary xylene solution for 2m and then a second xylene solution while slides were cover slipped with Permount (Fisher, Pittsburgh, PA) and left to dry for 48h.

2.7- Statistical Analyses

2.7.1- Analysis of Behavioral Data—Behavioral variables (criterion head movements, velocity, etc.) were analyzed as a function of training day using repeated measures ANOVAs (SPSS/PASW 18, Chicago IL). The alpha criterion for all tests was 0.05. For any repeated measures ANOVA where sphericity could not be assumed, a Huynh-Felt correction was applied. Corrected contrast tests (Holm-Bonferroni) were used to determine where behavior stabilized by comparing each day in the first two weeks to the last week of selfadministration.

2.7.2 - Analysis of Neural Data—Analyses of the neural firing patterns for the above mentioned behavioral events were conducted using a linear mixed model (also known as a hierarchical linear model; Raudenbush & Bryk, 2002) in SAS PROC GLIMMIX (SAS Institute Inc., 2005, Cary, NC). All models included (from 24 self-administration sessions) a maximum of 12 continuously modeled recording sessions and 4 categorical levels of neuron type (i.e., NAc Core, NAc Shell, DLS Head-Movement, and DLS Uncategorized). The outcome variable for the model was the FR response change score (described above) for matched head movements during each individual session.

Previous experiments have shown subregional differences in striatal function during repeated stimulant administrations (Porrino et al., 2004; Willuhn et al., 2014). Accordingly, post-hoc comparisons between means for each model (i.e., post-hoc t-tests) were performed and Sidak-Holm adjusted to control for inflated Type I error via an inflated familywise error rate. Also, the present experiment is predicated on previous evidence suggesting that changes in striatal function occur over time when stimulants are repeatedly administered (see Introduction). Accordingly, post-hoc comparisons for change-scores were made at the level of each recording session and designed to examine whether each value differed from a value of zero, representing no change. For all tests alpha was set to 0.05.

3.0 Results

3.1 - Histology

Sections were processed for anti-calbindin d28k immunohistochemistry, which has been shown to differentiate the NAc Core and Shell (Fudge & Haber, 2002) and the sensorimotor dorsolateral striatum from other parts of dorsal striatum (Brown et al. 1998). A total of 59 longitudinally held neurons were localized to NAc subregions and of the 205 units localized to the DLS, 54 met the criteria for inclusion in this study (Figure 2). Among NAc neurons, 29 of the recorded cells were verified within the boundaries of the NAc Medial Shell, while the remaining 30 were verified to have been recorded from the NAc Core. Body-exams for neurons verified to have been recorded from the DLS revealed that 27 microwires recorded activity of Head Movement neurons. Another 27 DLS neurons did not respond to stimulation of any tested body part and were therefore designated as Uncategorized.

3.2 - Behavior

Preliminary statistical analyses of behavior (not shown) revealed no differences between animals implanted with microwires in the DLS versus those implanted with microwires into

the NAc. Therefore, behavioral data were collapsed. Animals significantly increased the number of criterion head movements emitted over sessions $[F(20,780)=12.33, p<0.001;$ Figure 3A]. Criterion movements became stable from session 5 onwards [sessions 1-4: all $F(1,39) > 11.7$, $p < 0.05$; Figure 3A]. The increase in the number of criterion head movements over sessions corresponded to a significant increase in drug consumption over sessions [F(20,780)=18.27, p<0.001; Figure 3B]. Increases in drug consumption became stable from session 8 onwards [sessions 1-7: all $F(1,39) > 4.1$, $p < 0.05$; Figure 3B].

Behavioral data also demonstrated that operant-head movements were learned over time. This was evidenced by a significant increase in animals' average starting positions for vertical head movement over sessions [F(20,780)=6.50, p<0.001; Figure 3C], which stabilized from session 4 onwards [sessions 1-3: all $F(1,39) > 8.6$, $p < 0.05$; Figure 3C], as well as a significant increase in movement velocity over sessions $[F(20,780)=4.35, p<0.001;$ Figure 3D], which did not appear to stabilize. While not required, the change in movement start position demonstrated that animals gradually restricted their movements to the criterion range. Increases in movement velocity also represented non-required increases in movement efficiency. Notably, these types of changes in movement efficiency are consistent with our previous observations and with descriptions of behaviors that become skilled and automatic (Tiffany, 1990; Root et al., 2011).

3.3 - Extracellular Recordings

3.3.1 - Stability Analysis—Waveform variance across sessions for individual wires was significantly smaller than the variance expected from the alternative explanations. Modeling the assumption that a neuron was lost, and that a second neuron was gained across days, waveforms would be significantly more varied than was actually observed $(D(113)=.419)$, p<.001; Figure 4, blue v yellow). Modeling the assumption that a neuron was lost, and a new neuron was recorded every day, waveforms exhibited even greater variance than those observed from wires meeting the stability criteria $(D(113)=.694, p<.001;$ Figure 4, blue v red). Both the known morphology of the striatum and models of alternative explanations support the interpretation that signals from the same striatal neurons were held across sessions. Therefore, stable waveforms recorded on the same single wire across sessions were considered to belong to a single neuron.

3.3.2 - Baseline Firing Rate—Baseline FRs did not significantly differ between subregions $(F(3,786)=1.5, p>0.05, N.S.)$, nor did they change across recording sessions $(F(3,786)=1.6, p>0.05, N.S.)$, nor was there a session by subregion interaction $(F(3,786)=1.3, p>0.05, N.S.)$. These results demonstrate that cocaine self-administration did not change on-drug baseline FRs over sessions (Figures 5b, 5d, 6b, and 6d). This result is important for interpreting the change scores which will follow, as it demonstrates that longitudinal changes in response-related firing are not due to changes in baseline FR over sessions. Thus, any observed effects relate to changes in neural processing of matched head movement responses during cocaine self-administration.

3.3.3 - Modeling of Response-Related Firing Rate—Visualization of population dynamics was accomplished by plotting heat-maps of response change scores across

sessions for all neurons (Figures 5 and 6). Response-related firing patterns differed based on the type of neuron recorded and session [recording session \times neuron type interaction: F(3,746)=2.56, p<0.05; Figure 7]. Post hoc comparisons were conducted on the linear trends for the population of Uncategorized, Head Movement, Core, and Shell neurons in order to compare change scores at each session to a value of zero, representing 'no change' from baseline FR. Results of comparing response-related to baseline FR demonstrated that FRs of Head Movement neurons were significantly increased relative to baseline during all sessions [all t(746)>2.43, p<0.05; Figure 5a and Figure 7], while Uncategorized neurons' FRs were significantly reduced relative to baseline during all sessions [all $t(746) < -2.78$, p < 0.01 ; Figure 5c and Figure 7]. FRs of neurons in the NAc Shell were also significantly decreased relative to baseline during all sessions [all $t(746) < -2.57$, $p < 0.01$; Figure 6c and Figure 7]. FRs of cells in the NAc Core were reduced relative to baseline during training days 1-18 [recording sessions 1-9: all t(746)<-2.86, p<0.05; Figure 7], but were not significantly reduced relative to baseline on the remaining sessions [recording sessions 10-2: all t(746)>-2.06, p>0.05 N.S.; Figure 7]. Graphical analyses of heat maps representing the population trend revealed that the shift in Core FR toward "no change" was due entirely to individual neurons in the Core losing their inhibition during the response, not because some neurons were gaining excitation (Figure 6a and Figure 7 inset).

Planned post hoc comparisons were conducted in order to make pairwise comparisons between Uncategorized, Head Movement, Core, and Shell neurons across recording sessions. Post-hoc comparisons showed that FRs of DLS Head Movement neurons were significantly greater than those of Uncategorized neurons during the response. This effect was present across all recording sessions [all t(746)>4.14, p<0.001; Figures 5a and c and Figure 7]. Likewise, the response FRs of DLS Head Movement neurons were significantly greater than those of neurons in the NAc Core and Shell across all sessions [all t(746)>3.27, p<0.01; Figure 7]. In contrast, no differences were observed in the response FRs of NAc Core and Shell neurons on any session [all $t(746) < 1.9$, p > 0.05 , N.S.]. Similarly, the response FRs of NAc neurons did not significantly differ from those observed in Uncategorized DLS neurons [all t(746)<2.4, p>0.05, N.S.].

3.3.4 – Examples of Response-Related Individual Neuron Dynamics—Head Movement neurons in the DLS receive strong excitatory input from sensory and motor cortical neurons that process head and neck activity. This excitatory cortical input likely was responsible for the increase above baseline FR in the population of DLS Head Movement neurons during operant responses across all 12 recording sessions (24 days). Some individual neurons in DLS followed this trend, showing excitation during movement from the earliest recording session until their very last (Figure 8b). However, not all Head Movement neurons were excited during the head movement operant response on every session. Some individual Head Movement neurons were excited during the response early in training and lost that excitation across the 24 days of self-administration (Figure 8a). Still other neurons were weakly excited during responses early in training and became progressively more excited across self-administration sessions (Figure 8c).

As a population, NAc neurons were primarily inhibited during operant responses. For Core neurons, this inhibition could be potent, starting just before each response and extending just

beyond it (Figure 9a). The strength of this inhibition waned across self-administration sessions (Figure 9a). Still, not all neurons in the NAc Core were inhibited during the operant response and some showed no clear or consistent pattern across sessions (Figure 9b). The population level decrease in response inhibition in Core was not due to some neurons gaining excitation across sessions. All neurons that changed response modulation trended from highly inhibited to less inhibited across self-administration sessions (Figure 7 inset). In the NAc Shell, neurons were primarily inhibited during the response, and population activity remained relatively stable across all sessions. However, some individual Shell neurons did not show consistent inhibition across self-administration sessions and instead appeared to fire independently of the response (Figure 9d).

4.0 Discussion

The key findings from the present study were that the response-related firing patterns of NAc Core neurons are modulated across protracted drug use during the specific time frame of the operant response. In contrast, firing patterns in the dorsolateral striatum that exhibited sensorimotor relations specific to vertical head movements showed stable excitatory response-related FR across protracted drug use. Similarly, NAc Shell neurons and Uncategorized (i.e., not explicitly sensorimotor responsive) neurons in the DLS were generally inhibited during the operant response and remained stable across training.

4.1 The Nucleus Accumbens and Operant Responding

The present data illustrates that NAc Core neurons exhibit strong phasic inhibition during the operant response (duration typically < 0.5 sec) early in training, but that these phasic response correlates become blunted across sessions. When compared to the NAc Shell, where response correlates remained stable throughout training, this important difference is likely illustrative of distinct functional processing within the NAc Core.

Dynamic changes in the firing patterns of NAc Core are likely to play a role in persistent responding during cocaine self-administration. Recent evidence demonstrated that responserelated dopaminergic signaling in the NAc Core (but not the DLS) becomes attenuated across three weeks of repeated, long access drug use (Willuhn et al., 2014). Demonstrated similarly here, response-related firing changes in the NAc Core (but not the DLS) became attenuated across 24 sessions of long access self-administration. Furthermore, the decrease in phasic dopamine signaling reported by Willuhn et al. was correlated with increased responding and escalation of cocaine intake. While the present study did not use established escalation procedures (Ahmed & Koob, 1998), animals did significantly increase drug intake through the first eight sessions and self-administered cocaine for an amount of time comparable to the time of the animals of Willuhn and colleagues. It is possible that during long access self-administration, attenuation of phasic dopaminergic signaling may play a role in the attenuation of response-related inhibitions in the NAc Core.

While response-related firing patterns of NAc Shell neurons were stable over repeated drug use, their potential role in drug self-administration behavior should not be overlooked. Previous work in our laboratory has demonstrated that slow phasic, progressive reversal firing patterns, which correlate with the animal's temporal spacing of self-infusions (Peoples

& West, 1996), are particularly prominent in the medial NAc Shell (Fabbricatore et al., 2010). Thus, by way of sensitivity to minute-to-minute fluctuations in brain cocaine concentration, the NAc Shell may be poised to drive continued drug-taking behavior via downstream influences of its phasic and slow-phasic firing patterns.

4.2 The Dorsolateral Striatum and Responding

Neurons in the DLS, or sensorimotor striatum, that were related specifically to stimulation of the head and neck prior to training continued to fire phasically during vertical head movements without fluctuation throughout protracted self-administration. In the present study, the observed stability in phasic FR of DLS Head Movement neurons, which meet criteria of Type IIb striatal projection neurons (Kimura et al., 1990), departs considerably from previous longitudinal studies using natural reinforcers. In those studies, DLS movement-related neurons gradually lost their response-related firing patterns and only a small minority maintained their phasic firing across operant training (Carelli et al., 1997; Tang et al., 2007; Tang et al., 2009). Thus, the maintenance of unabated sensorimotor firing during chronic cocaine self-administration likely represents an important difference in ondrug striatal processing as compared to processing natural rewards. This finding suggests that the pharmacological, dose-dependent enhancement of most striatal FR in response to acute cocaine (Pederson et al., 1997; Tang et al., 2008; Pawlak et al., 2010) or amphetamine (Ma et al., 2013) is a response that persists throughout repeated exposure.

Uncategorized neurons in the DLS were unresponsive during sensorimotor examination prior to training, yet exhibited slight inhibitions during operant responses as compared to their baseline FR. While the role of these neurons remains unclear, the notable difference in response pattern between these and Head Movement neurons (both presumed medium spiny neurons), emphasizes the importance of considering sensorimotor correlates in studies of DLS function. A number of possibilities for the differences between Uncategorized and Head movement neurons observed here should be considered. First, given that Uncategorized neurons were often intermixed with Head Movement neurons and demonstrated slight inhibitions during operant head movements, these neurons may be laterally inhibited by neurons related to movements of the head and neck. Second, Uncategorized neurons may have receptive zones (Prokopenko et al., 2004) that are difficult to manipulate or isolate during body examinations. This would include relations with core musculature, broad muscle groups, or internal muscles of the head and neck (e.g., laryngeal musculature).

It is important to note that stimulants produce stereotypical head-bobbing in rodents. However, such head movements were systematically excluded so as not to interfere with the present analyses. Specifically, baseline activity was measured during moments when the animal was completely still (no vertical or horizontal movement). Further, the operant head movements in this task were performed in a distinct location, and were quantifiably separate from stereotypical head movements (Root et al., 2011). Therefore, both the baseline and response periods were devoid of stereotypical head movement.

4.3 The Importance of Longitudinal Recordings of Single-Unit Activity

Drug abuse is an acquired condition with a gradual behavioral onset. Accordingly, it has become a priority to track neural changes which may lead to addiction across selfadministration sessions. Pioneering studies of NAc and DLS show decreased glucose utilization from initial to chronic cocaine exposure (Porrino et al., 2004; Macey et al., 2004), an increase in DAT binding from initial to chronic cocaine exposure (Letchworth et al., 2001), and an increase in D1 receptor binding but a decrease in D2 receptor binding from initial to chronic cocaine exposure (Nader et al., 2002). In all these studies, changes in the NAc preceded those in the DLS, consistent with a shifting influence of chronic cocaine administration to more dorsal and lateral regions of striatum. These studies constitute an important step in recognizing that drugs of abuse sequentially alter the striatum. Despite technical differences from measures of Porrino and colleagues, we also observed what amounts to a relative shift from ventral to dorsal striatum across sessions, in that responserelated NAc Core activity declined while DLS Head Movement neuronal activity, expected to decline based on previous studies using natural rewards, remained elevated after extended training. The aforementioned studies also agree with the general decline in tonic FR observed in a study that focused only on NAc neurons across two weeks of cocaine selfadministration (Peoples et al., 1999). While the present study did not reveal similar changes in baseline FR (likely owing to differences from the study by Peoples and colleagues) in controls for movement, drug dose, drug level, etc.), it sought to extend this line of work to focus on *phasic,* response-related changes in both the NAc and DLS. Single-unit recordings represent one of only a few recognized methods for tracking phasic changes in individual neuron activity over time, and allow for subregional specificity on millisecond time scales (McMahon et al., 2014; Thompson & Best, 1990; Lütcke et al., 2014; Greenberg & Wilson, 2004; Schmitzer-Torbert & Redish, 2004; Tolias et al., 2007; Fraser & Schwartz, 2012; Jackson & Fetz, 2007; Dickey et al., 2009; Tang et al., 2007, 2009; Carelli et al., 1997).

The present first-of-its-kind study demonstrates diverse roles for specific subregions of the striatum in drug-taking behaviors. The data suggests that all striatal subregions show changes in firing rate during the brief time frame of the operant response, but a number of important differences in the way these regions process response-related firing demonstrate that drug-related processing is both subregionally specific and dependent on the somatic sensorimotor properties of the recorded neuron. While response-related firing patterns were observed in both NAc and DLS, somatotopically organized processing of single body parts was detected only in DLS during body examinations. This suggests both that the primary role of DLS neurons is somatic sensorimotor and that NAc neurons may be processing information during the response that is not explicitly sensorimotor. Whereas DLS Type IIb neurons process the self-administering response, they do not explicitly process cues related to reward (Root et al., 2010). Processing of a cocaine cue has been observed in the NAc Shell (Ghitza et al., 2003) during reinstatement. Most importantly, longitudinal changes in response correlates were observed only in the NAc Core, suggesting that this region is uniquely susceptible to plastic changes in response-related firing induced by abused drugs. Nevertheless, the fact that the NAc Shell, NAc Core, and DLS all exhibit phasic changes in FR during the operant response is consistent with anatomical evidence that serial, multisynaptic processing may occur within the striatum (Haber et al., 2000).

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Abbreviations

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Figure 1. Video tracking and baseline firing rate calculation

A Each rat's head position was tracked and used to isolate 1s periods of non-movement. **B** Operant head movements were measured accurately in the corner by a custom photocell device in order to reward vertical head movement responses with cocaine. A criterion head movement (PC2-PC5; <1s) produced an infusion. **C** Photographs of the actual photocell device mounted outside the corner of the operant chamber (top) and from the animal's perspective (bottom). **D** An animal's position tracked continuously over 10 trials of a selfadministration session, plotted in alternating colors for clarity. **E** Positional data from an entire session overlaid with FR data, used to determine FRs during non-movement only.

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Figure 2. Histochemical verification of microwire placement

Representative coronal slices through the rat striatum. All slices were processed for anticalbindin d28-k, which histochemically differentiates the NAc Shell and Core as well as the DLS from the dorsomedial and ventral striatum. All NAc Shell and DLS microwires were localized to calbindin d28k immunonegative regions. All NAc Core neurons were localized to calbindin d28k immunopositive regions. Arrow head in 1.28mm section shows an actual lesion left by a microwire tip.

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Figure 3. Behavioral changes over time

A Animals significantly increased criterion head movements [F(20,780)=6.5, p<0.001] and **B** increased drug consumption [F(20,780)=18.27, p<0.001] across days. **C** Animals also learned to begin their head movements closer to the required start position [F(20,780)=6.5, p<0.001] and **D** significantly increased their movement velocity [F(20,780)=4.35, p<0.05] across days. Hollow markers represent sessions in which that particular measure was significantly different (corrected contrast tests, p<.05) from that measure during the last week of training.

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Figure 4. Waveform stability models

Top An example of total waveform variance across days is shown for a single wire (left), the combination of two wires (center), and for a combination of random wires (right). **Bottom** Cumulative distribution functions for waveform variance when data were taken from individual wires (left), two different wires (center), or random wires (right). Waveform variance on our single wires across sessions was significantly smaller than variance expected from the assumption that a neuron is lost and a second neuron is gained across

days (left v center), and from the assumption that a neuron is lost and a new neuron is recorded every day (left v right).

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Figure 5. Population level visualization of DLS neuron activity

A Heat map containing the number of DLS Head Movement neurons falling into each category of response modulation during the operant [(Response FR-Baseline FR)/(Response FR+Baseline FR)] across sessions. Values greater than zero represent response excitation, while values below zero represent response inhibition. **B** Heat map containing baseline firing rates for all DLS Head Movement neurons across sessions. **C** Heat map containing the number of DLS Uncategorized neurons falling into each category of response modulation during the operant. **D** Baseline firing rates for all DLS Uncategorized neurons across sessions.

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Figure 6. Population level visualization of NAc neuron activity

A Heat map containing the number of NAc Core neurons falling into each category of response modulation during the operant [(Response FR-Baseline FR)/(Response FR +Baseline FR)] across sessions. Values greater than zero represent response excitation, while values below zero represent response inhibition. **B** Heat map containing baseline firing rates for all NAc Core neurons across sessions. **C** Heat map containing the number of NAc Shell neurons falling into each category of response modulation during the operant response. **D** Baseline firing rates for all NAc Shell neurons across sessions.

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Figure 7. Model of phasic neuronal activity during operant response

Neuronal modulation during operant movements was operationalized using the change score [(response FR - baseline FR)/(response FR + baseline FR)]. Response and baseline FRs were behaviorally matched across sessions. Core, Shell, DLS Head Movement, and DLS Uncategorized neurons' firing patterns changed differentially in relation to baseline across recording sessions [recording session \times neuron type interaction: F(3,746)=2.56, p<0.05]. DLS Head Movement neurons exhibited significantly increased FRs during matched head movements on all sessions [all $t(746) > 2.43$, p<0.05]. DLS Uncategorized neurons were significantly inhibited during matched movements when compared to baseline during all sessions [all t(746)<-2.78, p<0.01]. Neurons in the NAc Shell were also significantly decreased during all sessions when compared to baseline FRs [all t(746) \lt -2.57, p \lt 0.01]. Neurons in the NAc Core were also significantly inhibited when compared to baseline during sessions 1-18 [recording sessions 1-9: all t(746)<-2.86, p< 0.05], but were not significantly different from baseline during sessions 19 to 24 [recording sessions 10-12: all $t(746)$ $>$ -2.06, p $>$ 0.05 N.S.].

Figure 8. Examples of DLS response related firing patterns tracked across sessions Each row **A-D** shows rasters and peri-response histograms for a **single neuron** across sessions, as well as the mean waveform recorded during each session. Each row of the raster shows action potentials (purple dots) during a single movement. The onset of each movement is aligned to time zero, movement durations are sorted in descending order, and the offset of each individual movement is displayed as a dot. Shaded lines in each histogram show response related FR, while the dashed black lines show baseline FRs. **A** Some Head Movement neurons were highly excited by responses early in training and lost that activity

across sessions. **B** Some remained stable throughout training, while still other **C** Head Movement neurons' response excitation became progressively more robust across sessions. **D** Uncategorized neurons in this study showed primarily mild inhibitions during the head movement response.

Figure 9. Examples of NAc response related firing patterns tracked across sessions Each row **A D** shows rasters and peri-response histograms for a single neuron across sessions, as well as the mean waveform recorded during each session. Details are the same as Figure 8. **A** Most Core neurons were inhibited strongly during response early in training and lost that inhibition by late sessions. **B** However, not all Core neurons were consistently inhibited during the response. **C** Shell neurons were also inhibited during response and some

maintained that inhibition throughout training. **D** However, not all shell neurons were consistently inhibited during the response.

Table 1 Movement categories and behavioral matching

Head movements were sorted into 50 unique movement categories, corresponding to a combination of ten categories of movement start and end positions and five categories of movement duration. Categories are displayed in tables for three example time points. Movements from different sessions that fell into the same category of start position, distance, and duration were considered a "matched set". In accordance with our previous work, only categories containing at least five movements on every recorded session were considered for analysis. Underlined firing rates belong to categories with movements on all sessions and would therefore be included in the final analyses.

