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Cardiac Rehabilitation after Heart Valve Surgery: Comparison with Coronary Artery Bypass Grafting Patients

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Abstract

Background—Coronary artery bypass graft (CABG) surgery patients participating in cardiac rehabilitation (CR) experience improvements in aerobic fitness but there has been little study of outcomes for heart valve (HV) surgical patients. The primary aims of this study are to evaluate baseline peak aerobic capacity for HV patients participating in CR and to compare outcomes between HV and CABG patients.

Methods—Five hundred and seventy six consecutive patients who underwent HV (N=125), valve plus coronary artery bypass surgery (HV+CABG, N=57), or CABG (N=394) (all with classic sternotomy) and enrolled in CR were prospectively studied. Changes in outcome measures were assessed for individuals that completed CR (N=313).

Results—Valve patients were significantly older and had a greater percent of females than the CABG only group. Combining HV and HV+CABG groups, valvular disorders included: 134 mitral, 39 aortic and 8 combined abnormalities (mitral and aortic). For the entire cohort, the mean number of CR exercise sessions attended was 23.6 ± 11.7 . Peak VO₂ increased 19.5% from 17.4 ± 4.4 to 20.8 ± 5.5 mLO₂*kg⁻¹*min⁻¹(p<0.0001). Improvements in peak VO₂ with CR exercise training were similar between the 3 groups of patients. Within the group of patients who had HV surgery, percent change in peak VO₂ was similar between the 3 types of valvular abnormalities (i.e. Mitral [19.2%], Aortic [24.4%], and Mitral + Aortic [21.9%]) (p=0.27).

Conclusions—Heart valve surgery patients gain similar improvements in aerobic fitness from participating in CR exercise training as individuals that have CABG. The observed improvements in aerobic fitness are similar regardless of the type of valve abnormality or whether coronary artery bypass was performed concurrently.

Introduction

Individuals with heart valve (HV) disorders, in contrast with coronary bypass grafting surgery (CABG) patients, often experience cardiac abnormalities and diminished functional

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capacity for several years before surgery¹. A period of post-surgical convalescence results in further declines in functional capacity for both HV and CABG patients. Peak aerobic capacity for CABG patients entering CR is exceedingly low² and there is evidence that values for individuals after HV surgery are particularly reduced^{3–5}.

The Center for Medicare and Medicaid Services expanded cardiac rehabilitation (CR) coverage in 2006 to include patients following HV surgery. Current CR guidelines for HV patients are based primarily on results from randomized clinical trials in patients with coronary artery disease⁶. While patients after CABG have experienced improvements in aerobic fitness from CR exercise^{7, 8}, there is a paucity of studies examining the outcomes for HV patients. The primary aims of this study, therefore, are to evaluate baseline peak aerobic capacity for HV patients participating in CR and to compare demographic and exercise training-related outcomes between patients undergoing HV and CABG surgery. We hypothesized that patients after HV surgery are less fit than patients after CABG but benefit similarly from the exercise training component of CR.

Methods

Five hundred and seventy six consecutive patients who underwent open heart surgery with a classic sternotomy : HV (N=125), valve plus coronary artery bypass surgery (HV+CABG, N=57), or CABG (N=394) and enrolled in CR between January 2006 and December 2012 were prospectively studied. The study protocol was approved by the Internal Review Board at the University of Vermont and Fletcher Allen Health Care.

The number of CR sessions completed and, when appropriate, the self-reported reason for program discontinuation was recorded. Participation in CR was individualized. The number of CR sessions attended (up to maximum of 36) was determined by medical necessity, insurance coverage, an individual's goals and objectives and personal preference. Participants were considered "completers" of the program if they attended CR sessions and underwent a post-program assessment.

Peak aerobic capacity was assessed during symptom-limited graded exercise test on a treadmill prior to commencing with CR. Post-program exercise stress test was performed approximately 4-months from the baseline evaluation, regardless of the number of CR sessions attended. If an individual was unable to walk on a treadmill at a minimum of 2 miles per hour a stress test was not performed (N=52, 9%). Expired gas was continuously analyzed during the modified Balke exercise testing protocol using a Medgraphics Ultima CPX metabolic cart (Minneapolis, MN) and subjects exercised to voluntary exhaustion. Peak VO₂ was considered to be the highest 30 second average during the test.

Handgrip strength was measured using the dominant hand with the shoulder adducted and neutrally rotated, elbow in 90 degrees of flexion and the forearm and wrist neutrally positioned using a Jamar handgrip dynamometer (Jamar, Bolingbrook, II). The reported handgrip measure represented the mean of 3 consecutive attempts.

A diagnosis of hypertension and diabetes mellitus (DM) were recorded at the point of entry to CR and smoking history was self-reported. Prescribed cardiac and preventive medications

were reviewed and confirmed with the patient at entry to CR. Glycated hemoglobin (HbA1c) values were obtained during hospitalization. A co-morbidity score was determined by assessing for peripheral vascular disease, cerebrovascular disease, chronic lung disease or orthopedic limitations. If a co-morbid condition was present it was quantified by severity as follows: 1, present but not exercise–limiting; 2, present and impacts on exercise performance; and 3, exercise-limiting. A total co-morbidity score ranging from 0 to 12 was thus determined. Self-reported physical functioning was assessed using the Medical Outcomes Study Short Form-36 survey questionnaire⁹ (0–100 scale) with 100 representing excellent physical functioning. Depressive symptoms were assessed using the Geriatric Depression Scale¹⁰ scored 0–15 was recorded with higher numbers indicating more depressive symptoms.

Specific details regarding surgery were gathered retrospectively via chart review. The type of valve abnormality was obtained from the surgical report as well as the number of surgical arterial anastomosis. Left ventricular ejection fraction was obtained, from the pre-operative echocardiogram or if not available, from the left heart catheterization. Whether the patient was discharged to home or sub-acute rehabilitation was documented from the hospital discharge records. The time between the date of hospitalization and enrollment in CR was recorded.

The exercise training program has been described elsewhere¹¹ and is similar to that performed at most rehabilitation programs around the United States¹². Subjects performed CR exercise at an intensity of 70 to 85% of their peak heart rate (65 to 75% of peakVO₂) and/or a Borg Scale rating of perceived exertion (RPE) of between "light" and "somewhat hard" (12 to 14 on a scale of 6 to 20)¹³. Generally, individuals exercise for 45 to 60 minutes per CR session on a variety of modalities including: treadmills, elliptical trainers and rowing, cycle and arm ergometers. Typically, an exercise prescription consisted of 30 minutes of treadmill walking and 8 minutes on 2 other ergometers. Patients performed weight-training exercise consisting of 1 set of 10 repetitions for 6 different exercise targeting major muscle groups. Upper body strength training began 3-months post-operatively. All patients were encouraged to exercise aerobically on non-CR days.

Statistical analysis

Values are presented as mean \pm standard deviation. For analysis, the cohort was separated into 3 groups: HV, HV+CABG, and CABG. Analysis of variance was used to compare baseline variables between surgical groups. Contingency table analysis was used to compare nominal variables. For all valve patients, a stepwise linear multiple regression analysis was used to determine which variables independently correlated with change in peakVO₂. Variables included in the regression analysis were age, gender, days from the index cardiac event and entry stress test, baseline body weight, entry body mass index (BMI), waist circumference, type of valve abnormality, left ventricular ejection fraction, number of CR sessions attended, peakVO₂, handgrip strength, and total co-morbidity, depression and physical function scores. A level of significance of P < 0.05 (*two-tailed test*) was used for hypothesis testing. Statistical analyses were carried out using Stat View (SAS Institute Inc, Cary, NC) statistical package.

Results

Demographic and clinical characteristics for the cohort are listed in Table 1. Valve + CABG were significantly older than HV only patients and both groups of valve patients were significantly older than individuals in the CABG group. The number of days from index event to entry into CR was similar between groups. The percentage of females was greater for HV than in the HV+CABG and CABG groups. Individuals in the CABG group weighed significantly more than HV patients but weight was similar between valve groups. For both BMI and waist circumference, significantly higher values were observed in each of the CABG groups compared to HV only patients.

Combining valve groups, valvular disorders included: 134 mitral, 39 aortic and 8 combined abnormalities (mitral and aortic). There were a mean of 3.2 ± 1.1 and 2.0 ± 1.0 anastomosis in the CABG and the HV+CABG groups, respectively. Left ventricular ejection fraction was significantly higher in both HV groups compared to CABG patients. Prevalence of hypertension and smoking along with a diagnosis of type 2 diabetes mellitus (T2DM) and were significantly lower in the HV group than both of the CABG groups. HbA1c values were significantly lower in both of the valve groups compared to CABG patients. Cardio-preventive medication (β -Blocker, Calcium Channel Blocker and Angiotensin-converting-enzyme inhibitor) use was similar between groups except that significantly more patients in CABG group were on statin therapy. Co-morbid score was similar between the 3 groups. Upon hospital discharge, significantly more patients in the valve groups convalesced at a sub-acute rehabilitation facility than individuals in the CABG-only group.

Overall, 52 individuals or 9% of the cohort were unable to perform an entry stress test. Compared to CABG and HV patients, a significant greater percentage of individuals in the HV+CABG group were unable to perform a baseline stress test. For individuals that were able to do an entry CR stress test, peak VO₂ was lower in HV+CABG group than for CABG and HV patients. Handgrip strength was lower for both groups of valve patients compared to individuals with CABG. MOS-SF-36 and Geriatric Depression Scale scores were similar among all surgical groups. The mean number of CR sessions attended was significantly greater in the HV+CABG group than the CABG and HV groups.

Changes in outcome were assessed for individuals that had measures obtained at baseline and completion of CR (N=313, 54.3% of total). Consequently, individuals that "completed" the program but did not have baseline peak VO₂ measurements were excluded. A similar percentage of patients in each group (CABG = 53.6%, HV = 58.4%, HV+CABG = 52.6%, p=0.55) had outcomes data for the program. For the entire cohort, the peak VO₂ increased 19.5% from 17.4 \pm 4.4 to 20.8 \pm 5.5 mLO₂*kg⁻¹*min⁻¹ (Table 2) (p<0.0001). Improvements in peak VO₂ with CR exercise training were similar between the 3 groups of patients (Figure1).

Within the group of patients who had valve surgery, the peak VO₂ increased 22.0% from 16.8 ± 5.2 to 20.3 ± 6.4 mLO₂*kg⁻¹*min⁻¹ (p<0.0001, data not shown). The percent increase in peak VO₂ was similar between the 3 types of valvular abnormalities (i.e. Mitral [+19.2%], Aortic [+24.4%], and Mitral + Aortic [+21.9%]) (p=0.27, data not shown).

Forty-three (23.6%) of all valve patients went to sub-acute rehabilitation upon hospital discharge compared with 31 (7.9%) of the CABG patients. Compared to individuals that did not, a significantly greater percentage of individuals that went to sub-acute were unable to perform an entry stress test (4.3% vs 30.2%, respectively, p<0.0001). For those valve patients that performed a baseline stress test, individuals that went to sub-acute rehabilitation had a lower baseline peakVO₂ than patients who were discharged to home (13.0±3.0 vs 17.6±5.2 mLO₂*kg⁻¹*min⁻¹, respectively, p<0.0001). Similar improvements in peakVO₂ were achieved for valve patients that went to sub-acute rehabilitation (2.7±2.1 mLO₂*kg⁻¹*min⁻¹) compared to those that did not (3.5±2.8 mLO₂*kg⁻¹*min⁻¹) (p<0.22, between groups). Additionally, among all individuals that went to sub-acute rehabilitation, similar improvements in peakVO₂ were observed among CABG patients (+1.7±2.4 mLO₂*kg⁻¹*min⁻¹) as compared to all valve patients (+2.6±2.1 mLO₂*kg⁻¹*min⁻¹) (p<0.29, between groups).

Valve, HV+CABG, and CABG groups all achieved similar gains in strength as measure by handgrip dynamometer (Table 2). Self-reported physical function and Depression Questionnaire scores improved, overall, and the changes were similar between groups (Table 2). Weight was unchanged, overall, and within each group (Table 2).

For all valve patients, factors that correlated with improvement in peak VO₂ included: HbA1c (r=-0.18, p<0.004); diagnosis of T2DM (r=-0.07, p<0.008); total co-morbid score (r=-0.06, p<0.02); age (r=-0.05, p<0.03); and there is a trend with the number of days between index event and entry to CR (r=-0.04, p<0.07). By stepwise multivariate analysis, HBA1c and age independently negatively correlated with change in peakVO₂ (cumulative total r=0.51, adjusted R^2 =0.23, p<0.002).

Discussion

Our results demonstrate that patients that undergo heart valve surgery have a similar baseline peak aerobic capacity and achieve similar improvements in aerobic fitness from CR exercise training as individuals that have undergone CABG. Additionally, HV patients, regardless of the type of abnormality or whether coronary artery bypass grafting was performed concurrently, experience similar improvements with CR exercise capacity.

It has been previously reported that CABG patient participating in CR experience significant improvements in aerobic fitness^{7, 8}. While previous studies have demonstrated improvements in aerobic fitness for post-surgical HV patients participating in CR^{14–17}, less well studied is the effect of *exercise training* following HV or HV + CABG surgery compared with patients who had undergone CABG surgery. In a study of exclusively HV patients from Belgium, Pardaens, et al¹⁷, reported similar improvements in aerobic fitness to our results. Additionally, Pardaens, et al¹⁷ reported that HV patients, regardless of preoperative risk or type of surgery (mini- or full sternotomy or port access), obtain a similar benefit from training. Together, these studies confirm that exercise training protocols employed in CR are effective for patients after undergoing HV surgery, CABG or both.

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It has previously been shown that exercise capacity is related to subsequent survival in individuals with coronary heart disease^{18–20}. Additionally, improvement in peak VO₂ with CR exercise training is associated with decreased mortality²¹. A previous study by Goel, et al²², reported a significant survival benefit with CR participation in patients undergoing HV +CABG. Further study is needed to determine if, absent coronary heart disease, a similar survival benefit exist with improvements in aerobic fitness for HV patients participating in CR.

While our study groups experience similar improvements in aerobic fitness as a result of participating in CR, significant differences at baseline exists between the groups. Valve + CABG are significantly older than HV only or CABG patients. Valve and HV + CABG patients are more likely to convalesce, post-hospital discharge, in a sub-acute rehabilitation facility. Additionally, the length of time to enroll in CR was longest and baseline peak VO₂ is lowest in the HV + CABG group. Finally, Valve + CABG patients are more often deemed unable to perform a baseline stress test due to extremely low aerobic fitness levels. Together, these characteristics suggest that Valve + CABG are more disabled at entry to CR than CABG or HV patients. Valve + CABG patients complete more sessions of CR than the other groups; however, they experience similar improvements in aerobic fitness, hand grip strength, and self-reported physical function and depression scores.

For the entire study cohort, individuals that attended sub-acute rehabilitation were more likely to be deemed too unfit to perform a baseline stress test. For patients that performed a baseline stress test, attendees of sub-acute rehabilitation had a lower peak aerobic capacity. For individuals that had baseline and exit measures, however, peakVO₂ improved similarly for those individuals that went to sub-acute rehabilitation as compared to those that did not. Consequently, despite being significantly less aerobically fit at entry to CR, individuals that convalesce in sub-acute rehabilitation facilities should be encouraged to participate in CR as they experience similar improvements in aerobic fitness as those patients that do not.

Among valve patients, a diagnosis of T2DM was negatively correlated with changes in peak VO_2 and HbA1c was the strongest independent factor associated with improvements in aerobic fitness. For individuals with coronary heart disease, it has been previously shown that a diagnosis of T2DM is associated with lesser improvements in peak $VO_2^{11, 23}$. Similarly, our results indicate that T2DM and HbA1c are negatively associated with changes in aerobic fitness for individuals rehabilitating from valve-related surgery.

In addition to HbA1c, age was the other independent predictor of change in peak VO_2 among the valve patients. In previous reports of CABG patients, age was not correlated with change in peak VO_2^{11} . In the current study, the valve patients were significantly older than individuals in the CABG group. Alternatives to currently employed exercise training protocols maybe indicated for older valve patients.

Our study has limitations. The results presented are from one CR center. The study design, while prospective, was observational and non-randomized. Cardiac rehabilitation, however, is considered standard of care¹² precluding randomizing individuals to a non-exercising control group. Without a control group, the extent of the observed improvements in aerobic

fitness that are spontaneous and the amount that is the result of participating in CR is unknown. Previous studies suggest, however, that without participating in CR exercise capacity does not improve for individual recovering from valve surgery^{24, 25}. Despite the lack of a control group, our results are relevant as they represent what was observed in a clinical CR program. Our analysis is also limited in that we do not have outcome measures for the individuals that did not have both pre- and post-CR measures and we do not have information regarding exercise training intensity.

Conclusion

Patients that undergo heart valve surgery gain similar improvements in aerobic fitness from participating in CR exercise training as individuals that have undergone CABG. The observed improvements in aerobic fitness are similar regardless of the type of valve impairment or whether coronary artery bypass was performed concurrently. Additionally, CABG and HV patients experience similar improvements in strength, and self-reported physical function and depression scores.

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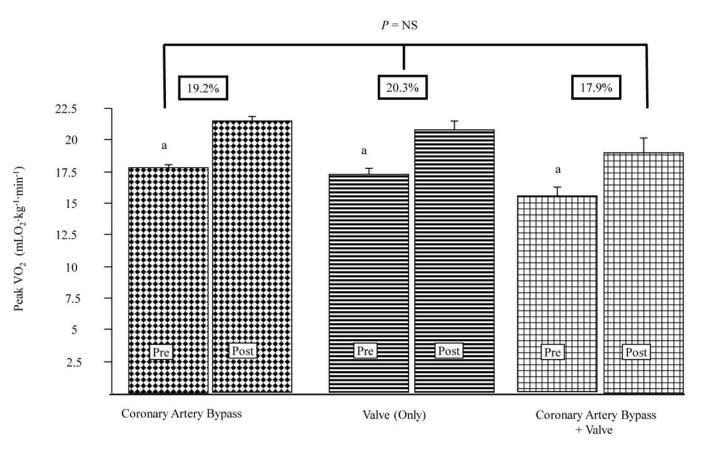
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Type of Surgery

Figure 1.

Exercise capacity according to type of surgery at the start and the end of cardiac rehabilitation is presented. Percent improvement from pre- to post-cardiac rehabilitation for each group is included above the bars.

 ^{a}P <.0001 for within group comparisons.

P = NS for between group comparisons.

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	Total ‡ N=576	Valve N=125	Valve + CABG N=57	CABG N=394	Between group p Value
Age (years)	64.9 ± 10.4	66.3±12.2	71.0 ± 10.4	63.6±9.4	0.0001
Days since event	49.2 ± 35.5	52.6 ± 40.9	55.2±27.9	47.4±34.6	0.6
Male (%)	78.0	64.0	71.9	83.2	0.0001
Weight (kg)	84.3±17.7	79.0±17.2	83.2±17.2	90.0±17.6	0.003
Waist (cm)	100.5 ± 13.7	95.8±13.7	101.1 ± 13.0	101.6±13.5	0.002
Body Mass Index (kg/m ²)	29.0 ± 5.5	27.6 ± 5.0	29.6 ± 5.9	29.4 ± 5.5	0.006
Type of Valve (N) Mitral Aortic Mitral & Aortic	134 39 8	85 31 8	49 8	Not applicable	
Anastomosis (N)	3.2 ± 1.1	Not Applicable	2.0 ± 1.0	3.4 ± 1.0	
Ejection Fraction (%)	55.2±11.5	58.1 ± 9.8	56.1 ± 13.1	54.3 ± 11.5	0.01
Risk Factors (%) Hypertension Type 2 Diabetes Mellitus Current smoker Ex-smoker	68.4 26.4 4.5 43.2	61 12.8 0.8 33.6	73 21.1 3.5 47.4	74 31.5 5.8 45.6	0.02 0.001 0.06 0.05
Glycated hemoglobin A1c	6.3 ± 1.6	5.7 ± 1.2	5.8 ± 0.5	6.6 ± 1.8	0.002
Medication (%) Blocker Calcium Blocker ACE-Inhibitor Statin	86.1 10.9 41.8 84.2	80.0 11.2 36.0 57.6	91.2 12.3 36.8 36.8	87.3 10.7 44.4 91.9	0.07 0.92 0.19 0.0001
Co-morbidity Score	0.6 ± 1.3	0.5 ± 1.1	0.7 ± 1.3	0.7 ± 1.3	0.45
Sub-Acute Rehabilitation (%)	12.9	22.4	26.3	6.7	0.0001
Physically unable to perform stress test (N, $\%)$	52, 9.0%	8, 6.4%	11, 19.3%	33, 8.4%	0.02
Peak VO ₂ (mLO ₂ *kg ^{-1*} min ⁻¹) (N=524)	17.5 ± 4.7	17.3 ± 5.2	15.5 ± 4.8	17.8 ± 4.4	0.006
Handgrip Strength (kg)	15.5 ± 4.9	14.3 ± 5.3	14.4 ± 5.0	16.0 ± 4.6	0.005
Physical Function Score *	57.4 ± 22.4	58.3 ± 20.9	56.1 ± 23.0	57.3 ± 22.8	0.87
Depression Scale $\dot{ au}$	3.4 ± 2.9	3.2 ± 2.6	3.5 ± 3.1	3.4 ± 3.0	0.86

Values are presented mean \pm SD. Categorical variables are presented as percentage.

 ${}^{\sharp}T$ otal cohort and surgical groups: Heart valve alone; Valve + Coronary artery bypass surgery (CABG), and CABG

* Physical Function Score, Medical Outcomes Short-Form-36 Questionnaire

 $\dot{\tau}_{\rm Geriatric Depression Scale}$

Table 2

Pre- and post-cardiac rehabilitation (CR) values for the entire cohort and separated by surgical group.

	Total group N=313	Valve N=73	Valve + CABG N=29	CABG N=211
Peak VO ₂ pre	17.4±4.4	17.2±5.1	16.2±4.8	17.7±4.1
(mLO ₂ *kg ⁻¹ *min ⁻¹) post	20.8±5.5 *	20.7±6.1 *	19.1±6.1 *	21.1±5.2 *
% change	+ 19.5	+ 20.3	+ 17.9	+ 19.2
Handgrip (kg) pre	15.3±4.8	13.6±5.2	14.6±4.7	$\begin{array}{c} 15.9 \pm 4.6 \\ 17.0 \pm 5.1 \\ + 6.9 \end{array}$
post	16.5±5.3 *	15.0±5.5 *	16.1±5.5 [†]	
% change	+ 7.8	+ 10.3	+ 10.3	
Weight (kg) pre post	$\begin{array}{c} 82.0 \pm 16.3 \\ 82.0 \pm 16.3 \end{array}$	$\begin{array}{c} 77.6 \pm 16.4 \\ 77.9 \pm 16.7 \end{array}$	$\begin{array}{c} 80.7 \pm 16.1 \\ 81.3 \pm 15.7 \end{array}$	$\begin{array}{c} 83.7 \pm 16.1 \\ 83.5 \pm 16.2 \end{array}$
Physical Function pre	59.4±20.9	59.6±20.3	60.2±22.1	59.2±21.1
post	84.0±19.1*	81.4±20.0 *	85.2±19.1 *	84.5±18.8 *
% change	+ 41.4	+ 36.6	+ 41.5	+ 42.7
Depression Scale pre Post % change	$\begin{array}{c} 3.2 \pm 2.7 \\ 1.9 \pm 2.4 \\ -40.6 \end{array}^*$	3.2 ± 2.3 2.2 ± 2.4 [†] -31.3	$\begin{array}{c} 3.9 \pm 3.2 \\ 2.4 \pm 2.5 \ ^{\dagger} \\ - \ 38.5 \end{array}$	$\begin{array}{c} 3.1 \pm 2.7 \\ 1.8 \pm 2.3 \\ - 41.9 \end{array}^{*}$

* p<0.0001

 † p<0.05, within group

Comparison of between group difference with cardiac rehabilitation exercise, all p=NS