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A Population-Level Evaluation of the Effect of Antiretroviral Therapy on Cancer Incidence in Kyadondo County, Uganda, 1999 – 2008

Innocent MUTYABA^{1,2}, Warren PHIPPS^{3,4}, Elizabeth M. KRANTZ⁴, Jason D. GOLDMAN³, Sarah NAMBOOZE⁵, Jackson OREM^{1,2}, Henry R. WABINGA^{2,5}, and Corey CASPER^{3,4}

¹Uganda Cancer Institute, Kampala, Uganda ²Makerere University, College of Health Sciences, Kampala, Uganda ³University of Washington, Seattle, Washington, US ⁴Fred Hutchinson Cancer Research Center, Seattle Washington, US ⁵Kampala Cancer Registry, Kampala, Uganda

Abstract

Background—The introduction of antiretroviral therapy (ART) in the US and Europe has led to changes in the incidence of cancers among HIV- infected persons, including dramatic decreases in Kaposi sarcoma (KS) and non-Hodgkin lymphoma (NHL), and increases in Hodgkin lymphoma (HD), liver and anogenital malignancies. We sought to evaluate whether increasing availability of ART is associated with changing cancer incidence in Uganda.

Methods—Incident cases of 10 malignancies were identified from Kampala Cancer Registry from 1999 to 2008. ART coverage rates for Uganda were abstracted from UNAIDS reports. Negative binomial and poisson regression modeled the association between ART coverage and age-adjusted cancer incidence.

Results—ART coverage in Uganda increased from 0 to 43% from 1999 to 2008. With each 10% increase in ART coverage, incidence of Kaposi sarcoma decreased by 5% (incidence rate ratio [IRR]=0.95, 95% CI: 0.91–0.99, P=0.02) and stomach cancer decreased by 13% (IRR=0.87 [0.80–0.95], P=0.002). Conversely, incidence of non-Hodgkin lymphoma increased by 6% (IRR=1.06 [1–1.12], P=0.05), liver cancer by 12% (IRR=1.12 [1.04–1.21], P=0.002), prostate cancer by 5% (IRR=1.05 [1–1.10], P=0.05), and breast cancer by 5% (IRR=1.05 [1–1.11], P=0.05). ART coverage was not associated with incidence of invasive cervical cancer, lung, colon, and Hodgkin disease. These findings were similar when restricted to histologically confirmed cases.

Conclusion—Our findings suggest that AIDS-defining malignancies and other malignancies are likely to remain significant public health burdens in sub-Saharan Africa even as ART availability increases.

Conflicts of interest:

There were no conflicts of interest declared.

CC conceived the study; CC, IM, WP and JG designed the study and acquired the data. IM and EK analyzed and interpreted the data. IM and WP drafted the manuscript. CC, JG, EK, AW, JO, WH, and SN critically revised the manuscript. All authors saw and approved the final version of the paper.

Keywords

Cancer incidence; HIV/AIDS; antiretroviral therapy; cancer in Africa; Uganda

Introduction

Cancer is a growing cause of morbidity and mortality in sub-Saharan Africa (sSA), fueled in part by the ongoing HIV epidemic^{1–5}. The incidence of several cancers, including Kaposi sarcoma (KS), invasive cervical cancer (ICC), non-Hodgkin's lymphoma (NHL), prostate cancer, and squamous cell cancer of the conjunctiva increased markedly across sSA in the HIV/AIDS era^{2, 6, 7}. AIDS-defining malignancies (ADMs) – KS, ICC, and NHL – are now some of the leading causes of cancer in sSA¹. KS alone represents the commonest cancer in men and second commonest in women in several African countries². KS incidence increased by nearly 20-times with the advent of HIV in four sSA countries (Uganda, Malawi, Swaziland and Zimbabwe) reaching rates as high as 27.9/100,000 men and 20/100,000 women in Uganda in 2002–2006^{2, 8}. A growing body of evidence also suggests that other malignancies – designated “non-AIDS-defining malignancies” (NADMs) – including Hodgkin's disease (HD), liver, anal and lung cancer, disproportionately affect HIV-infected individuals^{9–14}. In sSA, incidence of NADMs including prostate, breast and conjunctiva cancer increased in the HIV era in several Countries^{2, 7}. In Uganda the risk of NADMs, specifically as conjunctiva, kidney, thyroid and uterine cancers, were significantly higher in the HIV-infected individuals compared to the general population³.

In North America and Europe, the widespread use of combination antiretroviral therapy (ART) led to declines in incidence of KS and NHL^{11, 12, 15, 16}. In the US, the proportion of HIV-infected individuals receiving ART rose from zero in 1995 to over 60% in 1998¹⁷, concurrent with a nearly 3-fold decline in KS incidence from 14.7 cases per 100,000 person-years in 1992–1995 (pre-ART era) to 5.4 in 1996–1999 (ART era), and a decline in NHL incidence from 17.0 to 14.3 cases per 100,000 person-years in the general population¹¹. Importantly, the incidence of some NADMs – including HD, liver, and anal cancer – increased in HIV-infected people in the US and Europe following the advent of ART^{9–14}. For example, the risk of HD increased by 68% among HIV-infected persons in the US in the ART era (1996–2002) compared to the pre-ART era (1990–1995)¹². The increased incidence of NADMs may be the result of improved survival of HIV-infected persons on ART, allowing for a longer time at risk for cancer development and an increase in the number of cancers that become clinically recognized^{18, 19}.

In Uganda and other countries in sSA, rapid scale-up of treatment of HIV with ART started in 2003²⁰. While the mass treatment programs have had tremendous impact on AIDS-related mortality^{21–24}, the effect of ART scale-up on cancer incidence in Africa is unknown. To address this gap, we sought to describe the changes in cancer incidence of both ADMs and NADMs in Uganda since the introduction of widespread ART.

Methods

We performed an ecological study to assess the impact of ART coverage on cancer incidence in Uganda from January 1999 to December 2008. We evaluated 10 cancers – the ADMs (KS, NHL, and ICC), and a subset of NADMs, including three with known infectious etiology (HD, liver, and stomach cancer) and four with no known infectious etiology (lung, breast, prostate, and colon cancer). The cancers studied were chosen a priori because they were among the commonest in the study population⁸.

Data sources

Cancer incidence data were obtained from the Kampala Cancer Registry, a population-based cancer registry in Uganda that has been in continuous operation since 1989²⁵. The Kampala Cancer Registry is recognized by the International Agency for Research on Cancer (IARC) and uses active case finding to document incident cancer cases in Kyadondo County in Uganda; it is estimated to include 90 percent of incident cases²⁵. Kyadondo County includes Uganda's capital city, Kampala, and its suburbs. We obtained de-identified data on incident cases of cancer between January 1999 and December 2008 that included age, sex, date of diagnosis, basis of diagnosis, site, cancer morphology, and ICD-10 diagnostic coding. For breast cancer, only women were included. In parallel, we obtained the Uganda National Bureau of Statistics annual population estimates by age and sex for Kyadondo County to compute cancer rates in the area.

Annual country-level ART coverage estimates for the period 1999 to 2008 were obtained from the World Health Organization (WHO)/Joint United Nations Program on HIV/AIDS (UNAIDS) reports^{20–23}. ART coverage is expressed as annual cumulative number of people receiving ART divided by the estimated total number of people who qualify for it based on CD4 count and WHO staging of HIV/AIDS. The ART coverage rates used in these reports were based on the 2003 WHO criteria for initiating ART²⁶, corresponding to the period studied.

Statistical methods

We calculated the age-standardized incidence rate (ASR) using the direct method of standardization – we applied the observed age-specific incidence rates to the age-specific population sizes of the modified Segi World Standard Population^{27, 28}. We evaluated changes in the incidence rates for each cancer across the years studied and associations of ART coverage with cancer incidence using negative binomial and poisson models, with the number of incident cancer cases as the dependent variable and the natural logarithm of the population total as the offset. For cancers with overdispersed data, we used negative binomial models and for cancers that did not show evidence of overdispersion, we used poisson models with the scale parameter adjusted according to the deviance. Model estimates were presented as the annual percent change (APC), defined as incidence rate ratios (IRR) -1 multiplied by 100, for models estimating the average change in incidence over the years studied, and IRR for models evaluating associations between ART coverage and cancer incidence. For each cancer, we ran unadjusted models (representing crude cancer incidence) and age-adjusted models that adjusted for each 5-year age category. Calendar

year was not included as an adjustment variable in the models examining the association between ART coverage and cancer incidence because it was highly collinear with ART coverage. A 2-sided p-value of <0.05 was considered significant. Analysis was done using Stata version 11.1 (StataCorp, College Station, TX).

Ethical review

The study concept was reviewed and received a waiver of IRB review from the Fred Hutchinson Cancer Research Center Institutional Review Board in Seattle, Washington; The School of Medicine Research and Ethics Committee at Makerere College of Health Sciences in Kampala, Uganda; and the Uganda National Council of Science and Technology.

Results

A total of 12,263 incident cancers were recorded between 1999 and 2008 in Kyadondo County, which had an estimated total population of 1.45 million people in 1999 and 2.17 million people in 2008. Of these total cancers, 8,322 (67.9%) were one of the 10 cancer of interest for this study; 186 cases (2.2%) lacked age information and were excluded. Of the remaining 8,136 cases, two thirds were ADM: 37% were KS, 18% ICC, and 11% NHL (Table 1). Among NADMs, the commonest cancers were breast (10.5%), prostate (7.5%), and liver (5.9%). Histological confirmation of cancer diagnosis was available for 94.1% of HD and 71.4% of KS cases, compared to 51.3% of ICC, 59.5% of NHL, 55.6% of breast and 47% of prostate cases. The proportion of histologically-confirmed cancer cases did not change significantly over time except for breast and lung cancer. Overall, the median age of patients was 39 years (range 0–98 years). The ADM cases were generally younger, particularly for KS (median 32 years; range, 0–90 years) and NHL (median 18 years; range, 0–97 years). Fifty eight percent of patients were female; the sex distribution was nearly equal for all cancer types other than breast, ICC and prostate.

Age-adjusted cancer incidence decreased over the study period for KS (APC = -3.0%; 95% CI: -5.1% – -0.8%, p=0.007) and for stomach cancer (APC = -5.2%; 95% CI: -9.3% – -0.9%, p=0.02); this association with stomach cancer was attenuated (p=0.8) when we excluded the year 2008 in the analysis (Fig. 1). In contrast, the age-adjusted average annual percentage change for NHL was an increase of 4.7% (95% CI: 1.5% – 7.9%, p=0.004), and for liver cancer an increase of 7.2% (95% CI: 3.1% – 11.5%, p< 0.001). There were also increases in breast and prostate cancer with average annual percentage changes of 2.8% (95% CI: 0% – 5.7%, p=0.057) and 2.5% (95% CI: 0% – 5.1%, p=0.054) respectively, although these increases did not reach statistical significance. There were no consistent linear trends in cancer incidence over the study period for ICC (p=0.25), HD (p=0.64), colon (p=0.76), and lung (p=0.44).

ART became available in Uganda in 2001 and coverage increased from <1% in 2001 to 43% in 2008 (Fig. 2). Based on crude cancer incidence rates, there was a near significant association of ART coverage and NHL incidence, with an increase of 10% in the crude incidence of NHL for every 10% increase in ART coverage (IRR=1.10 per 10% increase in ART coverage, 95% CI: 0.99 to 1.22, p=0.08). There was no association between ART

coverage and crude cancer incidence rates for KS, ICC, breast, prostate, lung, colon, stomach, HD and liver cancer (Table 2).

After adjusting for age, we noted a significant association between ART coverage and incidence of KS, stomach, liver, and prostate cancer. For every 10% increase in ART coverage, KS incidence decreased by 5% (IRR = 0.95, 95% CI: 0.91 – 0.99, $p = 0.02$), and stomach cancer incidence decreased by 13% (IRR = 0.87, 95% CI: 0.80 – 0.95, $p = 0.002$), while liver incidence increased by 12% (IRR = 1.12, 95% CI: 1.01 – 1.21, $p = 0.002$) and prostate cancer increased by 5% (IRR = 1.05, 95% CI: 1.0 – 1.10, $p = 0.048$). Of note, the decrease in incidence of stomach cancer lost significance when the year 2008 was omitted ($p = 0.84$). We also found near significant associations between ART coverage and incidence of NHL and breast cancer. For every 10% increase in ART coverage, NHL incidence increased by 6% (IRR = 1.06, 95% CI: 1.0 – 1.12, $p = 0.054$), and female breast cancer incidence increased by 5% (IRR = 1.05, 95% CI: 1.0 – 1.11, $p = 0.052$). No association was observed between ART coverage and incidence of ICC, lung, colon, and HD in age-adjusted models. When we restricted the analysis to histologically confirmed diagnoses, we observed a similar significant decrease for KS ($p = 0.004$) and increases in liver cancer ($p = 0.003$) and prostate cancer ($p = 0.02$), but the associations with NHL ($p = 0.28$), stomach ($p = 0.15$) and breast ($p = 0.12$) were no longer statistically significant. We also observed significant decreases in incidence of ICC and lung cancer (Table 3).

Discussion

To our knowledge, this study is the first to assess the impact of ART on cancer incidence using ecological data in sSA. We found that ADMs contribute significantly to the cancer burden in Uganda, and that the rates of these malignancies have not decreased substantially in the era of increased ART coverage.

Increasing ART coverage was associated with a decrease in KS in Uganda, but the change was modest compared to that observed in US and Europe¹¹. The smaller impact of ART on KS incidence in Uganda may be explained by several factors: 1) ART was generally initiated at a lower CD4 count cut-off (CD4 < 200) in Uganda than in the US²⁹, perhaps resulting in poorer immune recovery and less effective immune surveillance against Kaposi Sarcoma herpesvirus and early KS; 2) Overall ART coverage in Uganda was lower than the US, resulting in a larger proportion of HIV-infected Ugandans remaining at risk for developing KS before starting ART^{17, 21–23}; 3) the use of different ART regimens in Uganda, including the less frequent use of protease inhibitors, which may have a differential impact on HIV suppression and KS development^{17, 24}; 4) differences in ART adherence, although estimated rate of adherence to ART in Uganda is 97% compared to 72% in the US^{30, 31}; and 5) inherent differences in the biology and epidemiology of KS in Uganda, where KS was endemic in the population before the advent of HIV². The low incidence of endemic KS (ASR 2.1 per 100,000 person years) in Kyadondo County in the pre-HIV era⁷, suggests that endemic KS accounted for about 8.8% of KS cases in our study comparable to findings in Mozambique³². Although KS incidence had been declining with decreasing HIV prevalence in Uganda up to 2001, HIV prevalence remained relatively stable throughout the study period, when ART became available in Uganda³³. Therefore, the association between

increased ART coverage and decreased incidence of KS we observed is unlikely to be a reflection of temporal trends in KS incidence related to HIV prevalence.

In contrast to the decrease seen in US and Europe^{10, 11}, we observed a slight increase in NHL incidence with increasing ART availability in Uganda. The majority of NHL cases were endemic Burkitt lymphoma (BL) and account for the low median age of NHL patients in our study population. BL is the commonest NHL subtype among children in Uganda and has not been shown to be associated with HIV/AIDS. After excluding BL cases in children under 15 years of age in our cohort, the observed increases in NHL incidence did not change (data not shown). When limited to histologically confirmed NHL cases, the observed association between NHL and increasing ART was attenuated, suggesting no statistically significant change in NHL incidence over the period of ART scale-up. Increasing ART coverage had no impact on overall ICC incidence, similar to observations in other regions of the world^{10, 11}, but there was a marginal decrease in histologically confirmed cases. However, the observation period in our study was probably too short to expect to detect a change in incidence of ICC, which develops over a much longer period. More likely, prevalent cases of irreversible microscopic malignant changes in the cervix at the advent of ART in Uganda continue to contribute to clinically overt ICC cases in the ART era. Also, the high burden of ICC in the non-HIV infected population in Uganda may have limited any change in ICC incidence with widespread use of ART^{1, 2}. In the long term, ART uptake may not change the incidence of ICC given the uncertainty of whether treatment with ART impacts HPV clearance and premalignant lesions in the cervix among HIV-infected women³⁴.

Among the NADMs, we found that increasing ART coverage was associated with an increase in breast cancer incidence, prostate cancer and liver cancer, and a decrease in stomach cancer incidence. The increase in breast and prostate cancers may be attributed to improved survival among HIV-infected individuals treated with ART^{22–24}, or alternatively increased awareness and ascertainment of these cancers leading to more common diagnoses in both HIV-positive and HIV-negative women. A cancer linkage study in Uganda during the pre-ART era found that HIV-infected women had a 90% increase in incidence of breast cancer compared to the general population³⁵, suggesting either a biologic relationship between HIV and breast cancer or an increase in breast cancer detection among women more actively engaged with the healthcare system. The finding that stomach cancer incidence decreased among Ugandans was unexpected and likely driven by cancer incidence in 2008. Most stomach cancers are attributed to infection with *Helicobacter pylori*, with a small percentage related to infection with EBV. CD4+ T-lymphocytes may be important in the pathogenesis of stomach cancer³⁶, and it could be expected that T-cell repletion with immune reconstitution in HIV-positive individuals on ART predisposes to a higher risk of stomach cancer. The changing incidence of many other NADMs has been reported among HIV-infected individuals across studies in Europe and US^{9–14}. The most consistent increase has been reported for HD. The lack of change in HD incidence with increasing ART use in Uganda may be due to under reporting or misdiagnosis as NHL in the Ugandan setting.

Our findings may be limited by inability to control for factors known to impact cancer risk such as parity, tobacco use, and improved access to cancer diagnosis facilities that may have

contributed to the changes in cancer incidence. It is possible that there may be secular trends, but given the relatively short observation period, we feel those are unlikely to have substantial impacts on our findings. Also, we lacked ART coverage information specific for Kyadondo County and HIV status information for the cancer cases in the KCR; consequently direct assessment for changes in cancer incidence in HIV-infected individuals could not be performed. When we restricted the analysis to cases with histological confirmation we observed similar results for KS, liver, and prostate cancer, but associations with NHL, breast, and stomach were attenuated probably because of the smaller number of cases included.

Despite these limitations, our study demonstrated changes in incidence of several cancers over a ten-year period in which ART coverage among HIV-infected persons increased substantially. However, these changes in cancer incidence were modest, suggesting that ADMs are likely to continue to represent a significant public health burden in sSA even as ART availability increases. The impact of ART on ADM incidence in sSA may be greater if recommendations by WHO to begin HIV infected persons on ART at higher CD4 counts are widely implemented. However, people living with HIV/AIDS in sSA are likely to remain at much higher risk for ADMs compared to other parts in the world, given the high seroprevalence of many oncogenic viruses in this population and the high incidence of several cancers prior the HIV pandemic. Therefore additional interventions, such as screening and vaccination, should be evaluated in HIV-infected people since they represent a high risk group. Further studies of individual-level data in cancer incidence in HIV infected individuals on ART in sSA are needed to corroborate these findings.

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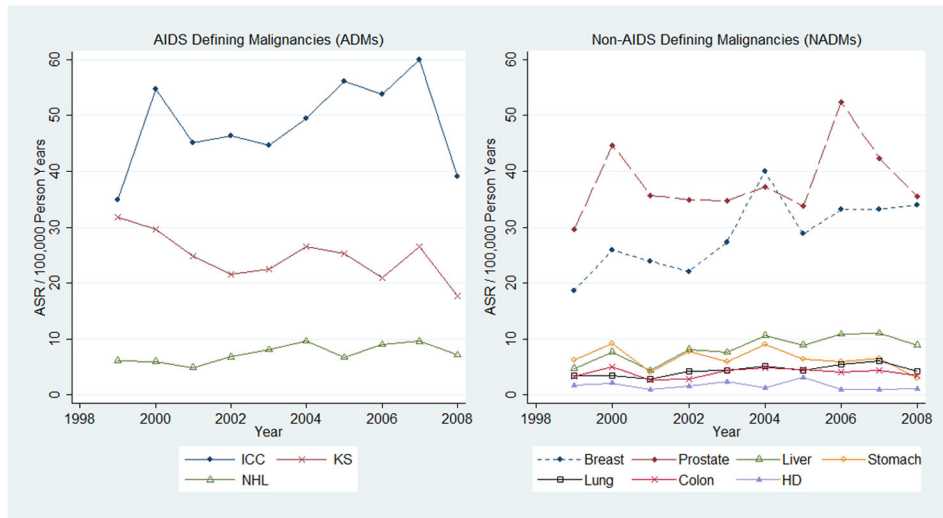


Fig. 1. Age Standardized Incidence Rate (ASR) per 100,000 person-years for individual cancers by year (1999–2008) in Uganda
 ICC – Invasive cervical cancer; KS – Kaposi sarcoma; NHL – non-Hodgkin lymphoma; HD – Hodgkin disease

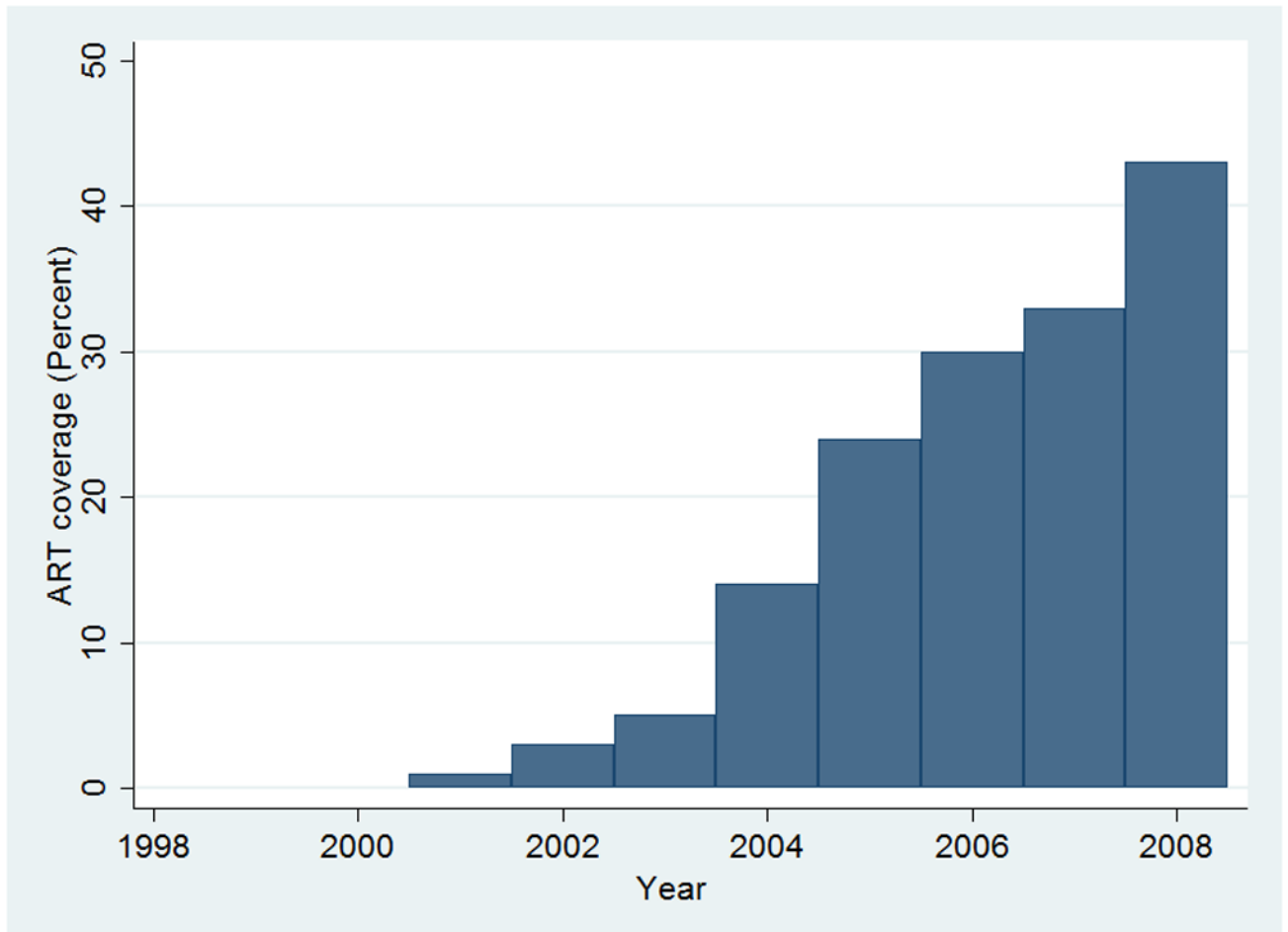


Fig. 2. Antiretroviral Coverage, by year (1999–2008), in Uganda
ART-Antiretroviral therapy

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Table 1
 Characteristics of cancer cases recorded in Kyadondo County, Uganda (1999 – 2008)

Characteristic	AIDS defining malignancies N=5392(66.3%)						Non-AIDS defining malignancies N=2744(33.7%)					
	Overall	KS	ICC	NHL	Breast	Prostate	Lung	Colon	Liver	Stomach	HD	
Number of Cases	8,136	3,018	1,488	886	853	610	192	177	481	278	153	
Diagnosis, (%)												
Histology*	58.9	71.4	51.3	59.5	55.6	47.0	47.4	41.8	33.9	39.6	94.1	
Clinical	40.5	28.3	47.3	39.8	43.8	52.6	52.6	57.6	65.7	58.6	5.3	
Others**	0.63	0.3	1.3	0.7	0.6	0.3	0	0.6	0.4	1.8	0.6	
Age, med (range)	39 (0, 98)	32 (0, 90)	45 (20, 90)	18 (0, 97)	46 (19, 94)	70 (27, 98)	57 (1, 88)	58 (4, 92)	48 (1, 90)	60 (7, 93)	28 (1, 80)	
Female, (%)	58.2	46.1	100	43.9	100	–	45.8	54.2	45.1	49.3	45.8	

ICC – Invasive cervical cancer; KS – Kaposi sarcoma; NHL – non-Hodgkin lymphoma; HD – Hodgkin disease; med – median; IQR – Inter quartile range

* Includes 64 cases (24=breast, 22=NHL, KS=6 and others=12) diagnosed by cytology

** Includes autopsy report and unknown basis of diagnosis

Table 2

Changes in cancer incidence rate per 10% increase in ART coverage in Kyadondo County, Uganda (1999 – 2008)

Cancer type	Cases recorded		Unadjusted Model			Age Adjusted Model		
	1999	2008	IRR	95% CI	p-value	IRR	95% CI	p-value
KS	316	295	0.95	0.85 – 1.05	0.31	0.95	0.91 – 0.99	0.02
ICC	91	146	1.02	0.85 – 1.22	0.86	1.02	0.98 – 1.05	0.31
NHL	47	107	1.10	0.99 – 1.22	0.08	1.06	1.00 – 1.12	0.054
HD	10	20	0.93	0.79 – 1.09	0.37	0.94	0.84 – 1.05	0.28
Liver	26	55	1.16	0.95 – 1.40	0.14	1.12	1.04 – 1.21	0.002
Stomach	20	12	0.86	0.69 – 1.08	0.19	0.87	0.80 – 0.95	0.002
Colon	14	17	0.96	0.77 – 1.19	0.69	0.98	0.89 – 1.08	0.67
Prostate	40	65	1.05	0.80 – 1.37	0.75	1.05	1.00 – 1.10	0.048
Breast *	62	105	1.13	0.93 – 1.37	0.23	1.05	1.00 – 1.11	0.052
Lung	13	22	1.00	0.81 – 1.23	0.97	1.02	0.93 – 1.11	0.70

ART – antiretroviral therapy; IRR – Incidence Rate Ratio; ICC- Invasive cervical cancer; KS – Kaposi sarcoma; NHL – Non-Hodgkin lymphoma; HD – Hodgkin disease.

* In female population

Changes in cancer incidence rate per 10% increase in ART coverage for histologically confirmed diagnoses in Kyadondo County, Uganda (1999 – 2008)

Table 3

Cancer type	Cases recorded		Unadjusted Model			Age Adjusted Model		
	1999	2008	IRR	95% CI	p-value	IRR	95% CI	p-value
KS	252	237	0.93	0.84 – 1.04	0.20	0.93	0.89 – 0.98	0.004
ICC	67	97	0.96	0.79 – 1.15	0.65	0.96	0.92 – 1.00	0.045
NHL	43	66	1.02	0.91 – 1.14	0.72	0.97	0.91 – 1.03	0.28
HD	10	15	0.9	0.75 – 1.08	0.25	0.90	0.80 – 1.02	0.09
Liver	20	32	1.34	1.08 – 1.67	0.008	1.17	1.05 – 1.30	0.003
Stomach	16	6	0.91	0.72 – 1.14	0.40	0.91	0.81 – 1.03	0.15
Colon	10	10	0.93	0.74 – 1.18	0.56	0.95	0.83 – 1.09	0.46
Prostate	29	44	1.07	0.82 – 1.41	0.61	1.08	1.01 – 1.14	0.02
Breast*	54	55	1.01	0.84 – 1.23	0.89	0.95	0.89 – 1.01	0.12
Lung	11	10	0.85	0.67 – 1.07	0.17	0.85	0.75 – 0.97	0.02

ART – antiretroviral therapy; IRR – Incidence Rate Ratio; ICC- Invasive cervical cancer; KS – Kaposi sarcoma; NHL – Non-Hodgkin lymphoma; HD – Hodgkin disease.

* In female population