

High-capacity electrode materials for rechargeable lithium batteries: Li_3NbO_4 -based system with cation-disordered rocksalt structure

Naoaki Yabuuchi^{a,1}, Mitsue Takeuchi^b, Masanobu Nakayama^{c,d}, Hiromasa Shiiba^c, Masahiro Ogawa^e, Keisuke Nakayama^e, Toshiaki Ohta^e, Daisuke Endo^f, Tetsuya Ozaki^f, Tokuo Inamasu^f, Kei Sato^a, and Shinichi Komaba^{b,1}

^aDepartment of Green and Sustainable Chemistry, Tokyo Denki University, Adachi, Tokyo 120-8551, Japan; ^bDepartment of Applied Chemistry, Tokyo University of Science, Shinjuku, Tokyo 162-8601, Japan; ^cDepartment of Materials Science and Engineering, Nagoya Institute of Technology, Nagoya, Aichi 466-8555, Japan; ^dPrecursory Research for Embryonic Science and Technology, Japan Science and Technology Agency, 4-1-8 Honcho Kawaguchi, Saitama 332-0012, Japan; ^eSynchrotron Radiation Center, Ritsumeikan University, Kusatsu, Shiga 525-8577, Japan; and ^fR&D Center, GS Yuasa International Ltd., Minami-ku, Kyoto 601-8520, Japan

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Rechargeable lithium batteries have rapidly risen to prominence as fundamental devices for green and sustainable energy development. Lithium batteries are now used as power sources for electric vehicles. However, materials innovations are still needed to satisfy the growing demand for increasing energy density of lithium batteries. In the past decade, lithium-excess compounds, Li_2MeO_3 (Me = Mn^{4+} , Ru^{4+} , etc.), have been extensively studied as high-capacity positive electrode materials. Although the origin as the high reversible capacity has been a debatable subject for a long time, recently it has been confirmed that charge compensation is partly achieved by solid-state redox of nonmetal anions (i.e., oxide ions), coupled with solid-state redox of transition metals, which is the basic theory used for classic lithium insertion materials, such as LiMeO_2 (Me = Co^{3+} , Ni^{3+} , etc.). Herein, as a compound with further excess lithium contents, a cation-ordered rocksalt phase with lithium and pentavalent niobium ions, Li_3NbO_4 , is first examined as the host structure of a new series of high-capacity positive electrode materials for rechargeable lithium batteries. Approximately $300 \text{ mAh}\cdot\text{g}^{-1}$ of high-reversible capacity at 50°C is experimentally observed, which partly originates from charge compensation by solid-state redox of oxide ions. It is proposed that such a charge compensation process by oxide ions is effectively stabilized by the presence of electrochemically inactive niobium ions. These results will contribute to the development of a new class of high-capacity electrode materials, potentially with further lithium enrichment (and fewer transition metals) in the close-packed framework structure with oxide ions.

battery | lithium | anion redox | positive electrode

To realize sustainable energy development in the future, it is widely admitted that the substitution of energy sources for fossil fuels must be considered. An efficient energy storage system using an electrochemical method, such as rechargeable lithium batteries (Li-ion batteries, LIBs), potentially provides the solution to meet these tough challenges. Now, electric vehicles equipped with an electric motor and LIB have been launched in the market, and LIBs are starting to substitute for fossil fuels as power sources in the transportation system using the technology of internal combustion engines. Since their first appearance as power sources for portable electronic devices in 1991, the technology of LIBs has now become sufficiently sophisticated. Nevertheless, the demands for a further increase in energy density are still growing to extend the driving distance for electric vehicles.

In 1980, LiCoO_2 with a cation-ordered rocksalt structure (layered type) was first proposed as a positive electrode material for LIBs (1) and is still widely used for high-energy mobile applications. After this finding, lithium insertion materials with cation-ordered rocksalt-type structures, LiMeO_2 (Me = Co^{3+} , Ni^{3+} , etc.) have been extensively studied as electrode materials. In the past decade, Li-enriched materials, Li_2MeO_3 -type layered

materials (Me = Mn^{4+} , Ru^{4+} , etc.), which are also classified as having cation-ordered rocksalt-type structures (2), have been extensively studied as potential high-capacity electrode materials, especially for the Mn^{4+} system (Li_2MnO_3) (3–7). Li_2MnO_3 had been originally thought to be electrochemically inactive because oxidation of Mn ions beyond the tetravalent state is difficult. However, the fact is that Li_2MnO_3 is electrochemically active, presumably because of the contribution of oxide ions for redox reaction. Although the oxidation of oxide ions in Li_2MnO_3 results in partial oxygen loss with irreversible structural changes (5, 6), solid-state redox reaction of oxide ions is effectively stabilized in the $\text{Li}_2\text{Ru}_{1-x}\text{Sn}_x\text{O}_3$ system (8). Nearly 1.6 mol of Li^+ are reversibly extracted from $\text{Li}_2\text{Ru}_{0.75}\text{Sn}_{0.25}\text{O}_3$ with excellent capacity retention, indicating that unfavorable phase transition is effectively suppressed in this system.

Historically, such charge compensation by nonmetal anions has already been evidenced in sulfides before 1990 (9). Because sulfide ions are relatively soft and polarizable anion compared with oxide ions, oxidation of sulfide ions to persulfide ions is easier than that of oxide ions. The voltage as positive electrode materials is also much more attractive for the oxide ions [$\sim 2 \text{ V}$ vs. Li for $\text{S}^{2-}/\text{S}_2^{2-}$ (9) and more than 3 V vs. Li for $\text{O}^{2-}/\text{O}_2^{2-}$ (8)]. The possibility of charge compensation by oxide ions on lithium extraction was also discussed for late-transition metal oxides

Significance

This study describes new and promising electrode materials, Li_3NbO_4 -based electrode materials, which are used for high-energy rechargeable lithium batteries. Although its crystal structure is classified as a cation-disordered rocksalt-type structure, lithium ions quickly migrate in percolative network in bulk without a sacrifice in kinetics. Moreover, the large reversible capacity originates from the participation of oxide ions for a charge compensation process, which has been confirmed by first-principles calculations combined with X-ray absorption spectroscopy. This finding can be further expanded to the design of innovative positive electrode materials beyond the restriction of the solid-state redox reaction based on the transition metals used for the past three decades.

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¹To whom correspondence may be addressed. Email: yabuuchi@mail.dendai.ac.jp or komaba@rs.kagu.tus.ac.jp.

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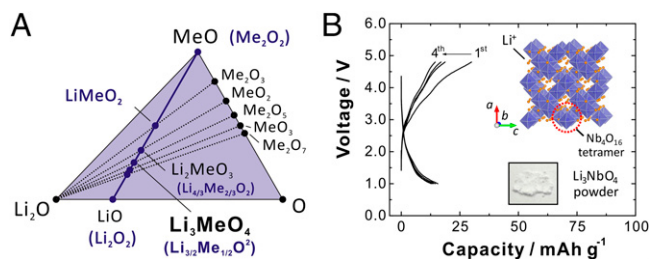


Fig. 1. (A) An expanded view of a Li-Me-O triangular phase diagram. Many cation ordered/disordered rocksalt phases (2) are located on a tie-line (solid blue line) between MeO and LiO. $\text{Li}_3\text{Nb}^{5+}\text{O}_4$ is found on the tie-line and lithium content is highly enriched compared with those of $\text{LiMe}^{3+}\text{O}_2$ and $\text{Li}_2\text{Me}^{4+}\text{O}_3$. (B) Electrode performance of Li_3NbO_4 and a schematic illustration of the crystal structure of Li_3NbO_4 . (Inset) A photograph of Li_3NbO_4 powder, which is an electrically insulating material.

before 2000 (10, 11). Similarly, such rearrangement of charge was known when metal $3d$ orbital was heavily hybridized with oxygen $2p$ orbital, for instance Fe^{4+} in SrFeO_3 (12). Nevertheless, a clear and unambiguous contribution of the oxide ions for charge compensation on “electrochemical lithium extraction” was first evidenced in 2013 on the basis of an arsenal of characterization techniques with a concept of a reductive coupling mechanism (8).

The use of oxide ion redox is an important strategy to further increase the reversible capacity of positive electrode materials for LIBs because the lithium content is potentially further enriched with a lower amount of transition metals in the framework structure. Reversible capacity as electrode materials is not limited by the absence of oxidizable transition metals as a redox center. Negatively charged oxide ions can potentially donate electrons instead of transition metals. However, oxidation without transition metals inevitably results in the release of oxygen molecules (e.g., electrochemical decomposition of Li_2O_2) (13).

Based on these considerations, we have decided to investigate the rocksalt phase with pentavalent niobium ions (i.e., Li_3NbO_4). Approximately $300 \text{ mAh}\cdot\text{g}^{-1}$ of reversible capacity at 50°C is experimentally observed for a manganese-substituted Li_3NbO_4 -based sample. Large reversible capacity is seen to partly originate from charge compensation by reversible solid-state redox of oxide ions, coupled with conventional redox reactions of transition metals. From these results, we will discuss the possibility of a new class of high-capacity electrode materials, potentially with further lithium enrichment in the close-packed framework structure with oxide ions.

Results and Discussion

Fig. 1A shows an Li-Me-O ternary phase diagram in which $\text{LiMe}^{3+}\text{O}_2$, $\text{Li}_2\text{Me}^{4+}\text{O}_3$, and $\text{Li}_3\text{Me}^{5+}\text{O}_4$ are found on a tie-line between MeO and LiO. An increase in oxidation numbers of transition metals from “trivalent to tetravalent” and “tetravalent to pentavalent” states (or even higher than pentavalent) along this tie-line allows us to enrich lithium content in the close-packed framework structure of oxide ions with fewer transition metals. Similar to Li_2MeO_3 , Li_3NbO_4 is also classified as one of the cation-ordered rocksalt structures (Fig. 1B, Inset), and consists of four edge-shared NbO_6 octahedra (Nb_4O_{16} tetramer) (14). Lithium ions are accommodated in a body-centered cubic lattice of the Nb_4O_{16} tetramers. Although Li_3NbO_4 , white powder, crystallized as the lithium-enriched rocksalt-type phase, it is electrochemically inactive because of its insulating character without electrons in a conduction band ($4d^0$ configuration for Nb^{5+}) as shown in Fig. 1B. Therefore, to induce electron conductivity in Li_3NbO_4 , transition metals are partly substituted for Nb^{5+} and Li^+ . Because $\text{Li}_3\text{Nb}^{5+}\text{O}_4$, $\text{LiMe}^{3+}\text{O}_2$, and Me^{2+}O have a structural compatibility as the rocksalt-type structures with a common cubic close-packed (ccp)

oxygen lattice, synthesis of binary intermediate phases of $\text{Li}_3\text{NbO}_4\text{-Me}^{2+}\text{O}$ and $\text{Li}_3\text{NbO}_4\text{-LiMe}^{3+}\text{O}_2$ is targeted. After several trials, it is found that Co^{2+} , Ni^{2+} , Fe^{3+} , and Mn^{3+} , which have similar ionic radii (all ranges in between Li^+ , 0.76 \AA and Nb^{5+} , 0.64 \AA), can be substituted as shown in Fig. 2A. These metal substitutions influence the clustering/ordering of niobium in the ccp oxygen array, leading to the formation of cation-disordered rocksalt phases. For the binary phases, $x\text{Li}_3\text{NbO}_4\text{-(1-x)Me}^{2+}\text{O}$ and $x\text{Li}_3\text{NbO}_4\text{-(1-x)LiMe}^{3+}\text{O}_2$, all compositions ($0 \leq x \leq 1$) are prepared as single phases. (One example, the Mn^{3+} system, is shown in *SI Appendix, Fig. S1*. In this study, samples with the same lithium and transition metal contents were prepared for comparisons; $x = 0.43$ for $\text{LiMe}^{3+}\text{O}_2$ and $x = 0.62$ for Me^{2+}O systems.) The Mn^{3+} -substituted sample ($0.43\text{Li}_3\text{NbO}_4\text{-}0.57\text{LiMnO}_2$) is reformulated as $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$. Similarly, the Ni^{2+} -substituted sample ($0.62\text{Li}_3\text{NbO}_4\text{-}0.38\text{NiO}$) is reformulated as $\text{Li}_{1.3}\text{Nb}_{0.43}\text{Ni}_{0.27}\text{O}_2$. Herein, amounts of Li and the sum of Nb and Me are the same for both phases, and oxygen content is normalized to two, which is the same with the conventional layered oxides, LiMeO_2 .

Electrode performance of as-prepared samples was examined in Li cells. Although well-crystallized and several micrometer-sized samples are electrochemically active, reversible capacities are limited to $50\text{--}150 \text{ mAh}\cdot\text{g}^{-1}$ (*SI Appendix, Fig. S2*). Reversible capacities observed correspond to expected values based on redox reaction of $\text{Me}^{3+}/\text{Me}^{4+}$ ($118 \text{ mAh}\cdot\text{g}^{-1}$) and $\text{Me}^{2+}/\text{Me}^{4+}$ ($150 \text{ mAh}\cdot\text{g}^{-1}$) for $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Me}^{3+}_{0.4}\text{O}_2$ and $\text{Li}_{1.3}\text{Nb}_{0.43}\text{Me}^{2+}_{0.27}\text{O}_2$, respectively, suggesting that the reversible capacity originates mainly from the solid-state redox reaction of transition metals without contribution of oxide ions. To reduce the particle size (and surface area) and to improve the electrical conductivity as the composite electrode, as-prepared samples and carbon materials were thoroughly mixed by mechanical ball milling. The electrode performance of Li_2MnO_3 -based electrode materials is also known to depend on the primary particle size, which originates from the slow kinetics for the oxidation of oxide ion (15). Particle size of the samples is effectively reduced to submicrometer size

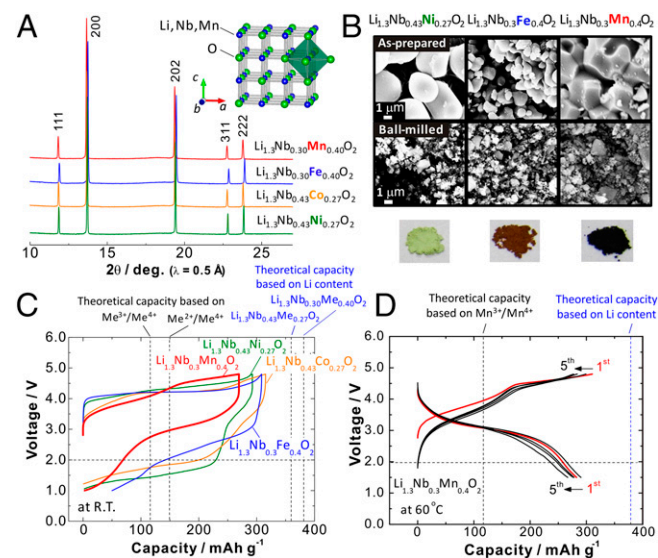


Fig. 2. Li_3NbO_4 -based electrode materials. (A) SXRD patterns. (Inset) A schematic illustration of the crystal structure. (B) Particle morphology observed by SEM, as-prepared and ball-milled samples with 20 wt% of carbon, and photographs of the sample powders with different colors. (C) Electrode performance of the ball-milled samples in Li cells at room temperature at a rate of $10 \text{ mA}\cdot\text{g}^{-1}$ in the voltage range of 1.0 and 4.8 V (capacity retention of the samples is shown in *SI Appendix, Fig. S3*). (D) Electrode performance of $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ at 60°C at a rate of $10 \text{ mA}\cdot\text{g}^{-1}$ in the voltage range of 1.5 and 4.8 V.

(Fig. 2B). Electrode performance is significantly improved by ball milling with carbon and large reversible capacities of 250–300 mAh·g⁻¹ are obtained beyond the limit of redox for transition metals. The Mn-substituted sample, Li_{1.3}Nb_{0.3}Mn_{0.4}O₂, delivers large reversible capacity with relatively high operating voltage among four samples in Fig. 2C. Electrode performance of Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ is further improved at elevated temperatures. Charge/discharge curves of Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ for first five cycles are shown in Fig. 2D. Reversible capacity observed at 60 °C reaches 300 mAh·g⁻¹ with high reversibility for the initial five cycles. Electrode performance of Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ samples are further examined in a later section.

In general, well-crystallized cation-disordered rocksalt phases are known to be electrochemically inactive (16). Nevertheless, Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ delivers large reversible capacity with small initial irreversible capacity at 60 °C (Fig. 2D). Recently, it has also revealed the formation of a percolating network for lithium diffusion in Li_{1.211}Mo_{0.467}Cr_{0.3}O₂ with a lithium-excess cation-disordered phase, and a lithium diffusion barrier calculated by density functional theory (DFT) also suggests that facile macroscopic diffusion in the lithium-percolating network is energetically feasible in the lithium-excess cation-disordered rocksalt-type structure (17). Additionally, Li₂VO₃, which was obtained by electrochemical reduction of LiVO₃ in lithium cells, has also been reported to be electrochemically active as lithium-enriched cation-disordered rocksalt phase (18). Similar to both samples, Li⁺ are highly mobile in the percolation network formed in Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ and large reversible capacity is, therefore, observed with the cation-disordered rocksalt-type structure. Moreover, Li amount is enriched in Li_{1.3}Nb_{0.3}Mn_{0.4}O₂, compared with Li_{1.211}Mo_{0.467}Cr_{0.3}O₂, indicating that the accessible probability of Li by the site percolation is also enhanced. According to the calculation in the literature (17), ~90% of Li in Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ can migrate throughout the particle by site percolation. Such site percolation probability is further increased by the increase in Li contents using the transition metals with higher oxidation states, potentially leading to better electrode performance with cation-disordered rocksalt phase.

To further clarify the role of oxide ions for the charge compensation, first we have theoretically analyzed by ab initio calculations in the generalized gradient approximation to density functional theory (GGA DFT). The methodology and models used for the DFT calculations is described in *SI Appendix*. Formation energies and the corresponding voltage profile of the delithiated phase of L_{(4-y)/3}Mn_{1/3}Nb_{1/3}O₂ (0 ≤ y ≤ 4) are shown in *SI Appendix, Fig. S4* by considering various Li/vacancy arrangements. The formation energies of intermediate compositions are negative, indicating solid solution reaction or formation of intermediate superstructure phase during electrochemical delithiation. The calculated voltage profile agrees well with the experimental one. To examine the oxidation mechanisms, partial density of state (PDOS) for the L_{(4-y)/3}Mn_{1/3}Nb_{1/3}O₂ at various Li composition y is shown in Fig. 3. The Mn 3d orbital formed just below the Fermi level at the composition y = 0 without significant contribution of Nb 4d and O 2p orbitals. Hence, charge compensation mainly is due to oxidation of Mn ions from +3 to +4 at the onset of Li removal from y = 0–1, which corresponds to the theoretical capacity based on Mn³⁺/Mn⁴⁺ (Fig. 2C). This is also supported by net-moment analysis as listed in *SI Appendix, Table S1*. It is clearly indicated that Mn e_g orbital at octahedral MnO₆ octahedra mainly contributes to charge compensation according to the calculated partial electron density for the top of valence band at y = 0 and the bottom of conduction band at y = 1 (Fig. 3C). However, in the composition range from y = 1–3, the uppermost level of valence bands is composed of Mn 3d and O 2p hybridized orbitals due to their similar PDOS profiles. In addition, the valence state of transition metals is identical because there are minor changes in net-moment around Nb and Mn ions (*SI Appendix, Table S1*). Accordingly, oxygen

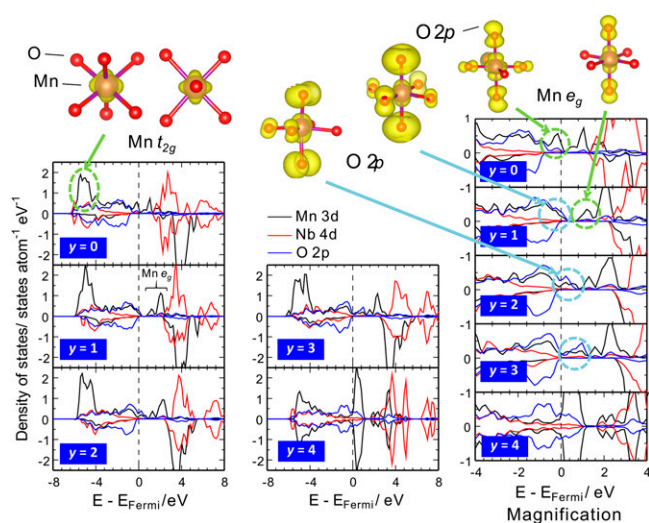


Fig. 3. Partial densities of states with electrochemical charging in L_{(4-y)/3}Mn_{1/3}Nb_{1/3}O₂ as calculated with the DFT method. The calculated partial electron density is also shown. Li removal at y ≥ 1.0 indicates oxidation of oxide ions due to the formation of unoccupied oxygen p-states above the Fermi level (E_{Fermi}) as shown in the light blue circle in the magnification.

mainly acts as the redox center for the electrochemical delithiation from y = 1–3. This fact is also supported by the partial electron density analysis, where the top of valence band at y = 1 (before delithiation) and the bottom of conduction band at y = 2 (after delithiation) are mainly composed of dumbbell-shaped O-2p orbitals, and both partial electron distributions are almost identical, as shown in Fig. 3C. The PDOS profile changes largely at y = 4, showing symmetrical configuration in terms of up and down spin states as indicated in the net-moment analyses (*SI Appendix, Table S1*). This charge may stem from empty electrons at the Mn 3d orbital, that is, the nominal charge of Mn is +7 (3d⁰ configuration). Therefore, an abrupt increase of the calculated voltage at y = 3–4 would be related to the oxidation of Mn ion accompanying electronic structural change over y > 3 (*SI Appendix, Fig. S4*).

Charge compensation mechanisms of Li_{1.3}Nb_{0.3}Mn_{0.4}O₂ in Li cells were further experimentally examined by synchrotron X-ray diffraction (SXRD) combined with X-ray absorption spectroscopy (XAS) with both hard and soft X-ray. Crystal structural analysis by SXRD in Fig. 4A reveals that reversible solid-state redox reaction occurs with continuous shift of diffraction lines on charge/discharge cycles. The lattice parameter of the sample, a = 4.187 Å (after ball milling with carbon), decreases into a = 4.105 Å after charge to 4.8 V, corresponding to ~6% shrinkage of volume. For the layered materials, interlayer distance is significantly reduced when a large fraction of lithium ions is extracted from the crystal lattice. Uniform distribution of transition metals in the cation-disordered rocksalt phase is beneficial to suppress anisotropic volume shrinkage observed in layered oxides. Although some extent of peak broadening is observed after fully charged (320 mAh·g⁻¹, extraction of 1.1 mol of Li from Li_{1.3}Nb_{0.3}Mn_{0.4}O₂), peak position and profile recover to the original ones after discharge to 2.0 V. Upon further reduction (Li insertion) down to 1.0 V, an additional shoulder appears at the lower diffraction angles for all diffraction lines, suggesting that strain is induced into the crystal lattice for the fully discharged state. Note that destruction of core structures is not observed after continuous cycles as shown in a later section, suggesting that electrochemical lithiation/delithiation is highly reversible.

XAS spectra of Mn and Nb at K-edge and L-edge have been collected for the samples with different oxidation/reduction conditions to examine the redox species on oxidation/reduction

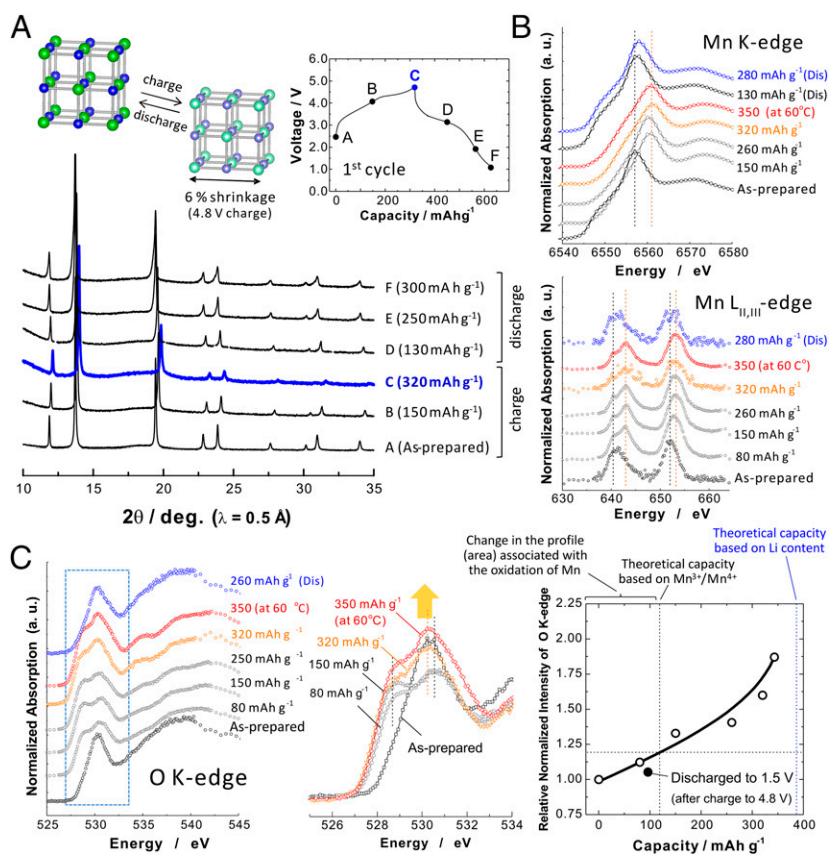


Fig. 4. Reaction mechanisms of $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ as electrode materials in Li cells. (A) Changes in the SXR D patterns on lithium extraction. (B) Soft and hard X-ray absorption spectra (XAS), Mn $L_{II,III}$ -edge and Mn K-edge, collected for the charged/discharged samples. Mn oxidation from trivalent to tetravalent is found in the early stage of charge (80 mAh g^{-1} , $x = 0.27$ in $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$) whereas no change in the spectra is found by further charge to 4.8 V at 60°C (350 mAh g^{-1} , $x = 1.19$ in $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$). (C) Changes in O K-edge XAS spectra on lithium extraction. Highlighted pre-edge peaks for the selected samples are also shown (Middle). The evolution of area for pre-edge peaks is calculated and plotted as a function of charge capacity (Right). A clear increase in the pre-edge peaks is noted as decrease in the lithium content, and the area is returned to its original area after lithium insertion (plotted as the filled circle).

process. Because soft X-ray absorption spectra were collected using the fluorescence yield mode, electronic structures of each element can be obtained from the inside of particles [in general, $>100 \text{ nm}$ from the surface (19)]. From Mn K-edge (mainly dipole transition from $1s$ core level to empty $4p$ level) and $L_{II, III}$ -edge (dipole transition from filled $2p$ to empty $3d$ level) spectra as shown in Fig. 4B, energy position and profile of spectra for the as-prepared sample are found to be consistent to those of trivalent manganese ions (20). In the early stage of Li extraction (80 mAh g^{-1} , $x = \sim 0.3$ in $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$), a clear energy shift ($\sim 4.5 \text{ eV}$) in Mn K-edge spectra is found. Similarly, a profile of Mn $L_{II, III}$ -edge spectra changes until x reaches 0.4 ($\sim 120 \text{ mAh g}^{-1}$), and no change in the spectra is found by further Li extraction, indicating that charge compensation in this region ($x > 0.4$) is not achieved by the oxidation of Mn $3d$ level. Note that manganese ions are reversibly reduced into the trivalent state after discharge. No change in oxidation state of Nb is found, as shown in *SI Appendix, Fig. S5*. From these results, including theoretical/experimental data, it is concluded that Mn are reversibly oxidized from trivalent to tetravalent state in the early stage of Li extraction ($x = \sim 0.4$), and transition metals (Mn/Nb) are not responsible for the large capacity as suggested by the DFT calculations (Fig. 3).

To examine the contribution of the oxide ions for the charge compensation, oxygen K-edge spectra have been, therefore, collected. Fig. 4C compares normalized O K-edge (dipole transition from $1s$ core-level to empty $2p$ level) spectra with different charge/discharge conditions. As shown in Fig. 4C, a clear change

in the profile of O K-edge spectra is found with the increase in the lithium extraction amount, especially for the low-energy-region ranges from 527 to 533 eV (a pre-edge region). Highlighted O K-edge spectra in the pre-edge region are also plotted in Fig. 4C. The change in the profile is found after charge to 80 mAh g^{-1} ($x = \sim 0.3$); a single absorption peak centered at 530.3 eV for the as-prepared sample splits into two peaks centered at 528.7 and 530.6 eV after charge (black dotted line in Fig. 4C). This change would be expected because of the influence of the oxidation of Mn. Indeed, a single absorption peak at 530 eV is again observed after full discharge with Mn^{3+} . The change in the area of normalized absorption spectra in the pre-edge region is also plotted in Fig. 4C. Normalized area slightly increases ($\sim 12\%$) after charge to 80 mAh g^{-1} with the charge in the profile of spectra. After charge from 80 to 350 mAh g^{-1} of charge capacity, a profile of the spectra is not changed. However, a clear increase in the area of absorption spectra is found with the increase in the amount of lithium extraction. The area of spectra in O K-edge (pre-edge region) is enlarged by $>40\%$ on charge from 150 to 350 mAh g^{-1} , whereas no change is found in this region in Mn $L_{II, III}$ -edge spectra. This fact suggests that a new component formed by oxidation appears at around 530.2 eV (red dotted line in Fig. 4C), and its fraction increases as a function of charge capacity. Additionally, the peak at around 530 eV is in good agreement with that of Li_2O_2 (21). Such systematic change in O K-edge spectra was not found in conventional lithium insertion materials [e.g., $\text{LiNi}_{1/2}\text{Mn}_{1/2}\text{O}_2$ (22) and $\text{LiCo}_{1/3}\text{Ni}_{1/3}\text{Mn}_{1/3}\text{O}_2$ (23)], and more pronounced changes are observed for $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ in comparison with

$\text{Li}_{1.16}\text{Ni}_{0.15}\text{Co}_{0.19}\text{Mn}_{0.50}\text{O}_2$ in the fluorescence yield mode (24). In the literature for these layered materials, the changes in the spectra were mainly found in the early stage of charge, and these changes can be partly contributed by the oxidation of transition metals, as also observed in this study. Similar to this observation by XAS, clear and reversible change in oxygen 1s spectra by XPS has been also reported for $\text{Li}_2\text{Ru}_{1-y}\text{Mn}_y\text{O}_3(7)$ and $\text{Li}_2\text{Ru}_{1-y}\text{Sn}_y\text{O}_3(8)$. From these results, it is concluded that large reversible capacity of $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ originates from the reversible compensation of charge by oxide ions. When it is assumed that all charges (except the redox of $\text{Mn}^{3+}/\text{Mn}^{4+}$) are consumed by oxide ions, formal oxidation state of $\text{Li}_{1.3}\text{Nb}^{5+}_{0.3}\text{Mn}^{3+}_{0.4}\text{O}^{2-}_2$ changes into $\text{Li}_{0.25}\text{Nb}^{5+}_{0.3}\text{Mn}^{4+}_{0.4}\text{O}^{1.675-}_2$ (4.8 V charge at 60 °C).

To further evaluate the feasibility of the concept, solid-state redox reaction of oxide ions as electrode materials, electrochemical properties of $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ were examined for a modified sample (Fig. 5). Synthesis and characterization of the modified samples are described in *SI Appendix, Figs. S6 and S7*. Electrode performance of modified $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ is shown in Fig. 5A in the voltage range of 1.5–4.8 V at 50 °C. Although a lesser amount of carbon (see *SI Appendix, Fig. S6*) and a lower operating temperature is used compared with the sample in Fig. 2D, polarization for charge/discharge is clearly reduced with the modified sample, leading to an increase in the energy density. The energy density of the sample, estimated from initial discharge capacity and operating voltage, reaches $950 \text{ mWh}\cdot\text{g}^{-1}$. Cyclability as electrode materials with galvanostatic charge/discharge is, however, insufficient as electrode materials, as shown in Fig. 5A.

Improvement of cyclability as electrode materials is realized by charge with a constant capacity mode. Charge capacities were stepwisely increased from 100 to $250 \text{ mAh}\cdot\text{g}^{-1}$ by $50 \text{ mAh}\cdot\text{g}^{-1}$ after each five cycles. Typical discharge curves at $25 \text{ mA}\cdot\text{g}^{-1}$ with different charge capacities are shown in Fig. 5B. Good capacity retention is observed even at $250 \text{ mAh}\cdot\text{g}^{-1}$, and the reversible

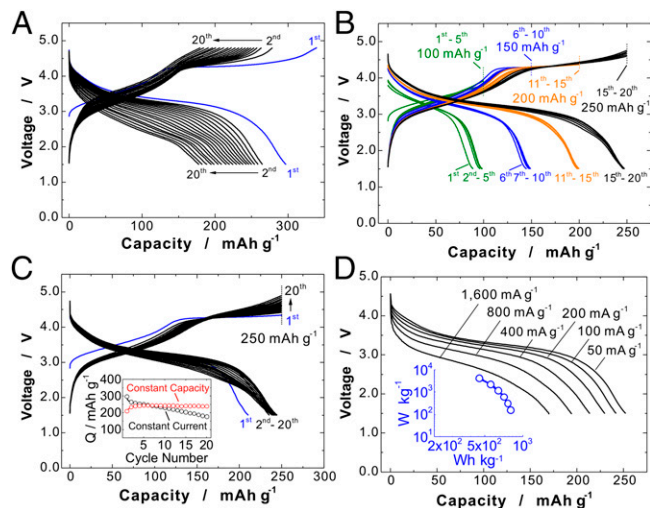


Fig. 5. Electrode performance of modified $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ in Li cells at 50 °C. (A) Galvanostatic charge/discharge curves in the range of 1.5–4.8 V, initial cycle at a rate of $10 \text{ mA}\cdot\text{g}^{-1}$ and cycles 2–20 at a rate of $25 \text{ mA}\cdot\text{g}^{-1}$. (B) Charge/discharge curves with constant charge capacity (100, 150, 200, and $250 \text{ mAh}\cdot\text{g}^{-1}$). (C) Charge/discharge curves with constant charge capacity of $250 \text{ mAh}\cdot\text{g}^{-1}$ at a rate of $25 \text{ mA}\cdot\text{g}^{-1}$. (Inset) Discharge capacity retention with constant current and constant charge capacity ($250 \text{ mAh}\cdot\text{g}^{-1}$) is compared. (D) Rate capability of the sample; the cell was charged to 4.6 V at $50 \text{ mA}\cdot\text{g}^{-1}$ and held at 4.6 V for 90 min and then discharged to 1.5 V at different rates, $50 \text{ mA}\cdot\text{g}^{-1}$ to $1.6 \text{ A}\cdot\text{g}^{-1}$. (Inset) A Ragone plot of the sample. The sample loading used was $2.8 \text{ mg}\cdot\text{cm}^{-2}$.

limit of $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ as electrode materials is estimated to be $\sim 250 \text{ mAh}\cdot\text{g}^{-1}$ in this experimental condition. Therefore, a continuous cycle test was conducted by charge with constant capacity of $250 \text{ mAh}\cdot\text{g}^{-1}$. As shown in Fig. 5C, cyclability is significantly improved by constant capacity charge. The sample delivers $\sim 245 \text{ mAh}\cdot\text{g}^{-1}$ of reversible capacity (98% of coulombic efficiency) for 20 continuous cycles (Fig. 5C, *Inset*) even though initial discharge capacity is slightly low ($210 \text{ mAh}\cdot\text{g}^{-1}$) and a gradual increase in the polarization is observed. To examine the possibility of damage to bulk crystal structure, an XRD pattern was collected for the electrode after 20 cycles with the constant capacity charge condition ($250 \text{ mAh}\cdot\text{g}^{-1}$). As shown in *SI Appendix, Fig. S8*, an XRD pattern is observed similar to that in Fig. 3A, indicating that good structural reversibility on continuous cycles.

Finally, rate capability of the sample, which influences power density as electrode materials, was examined. As shown in Fig. 5D, $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ with the cation-disordered rocksalt phase surprisingly shows good rate capability in Li cells at 50 °C even though slow kinetics for lithium migration in percolation network was expected. Discharge capacity reaches $\sim 200 \text{ mAh}\cdot\text{g}^{-1}$ even at $800 \text{ mA}\cdot\text{g}^{-1}$, which is clearly larger than that of the reversible capacity based on $\text{Mn}^{3+}/\text{Mn}^{4+}$ redox. A Ragone plot of the sample is also shown in the inset, and the plot clearly shows that the rate capability of solid-state redox reaction of oxide ions is acceptable as electrode materials for rechargeable lithium batteries. We have used the ball-milling process to reduce primary particle size and prepare carbon composite samples. Note that ball milling with carbon is not a necessary condition to use the redox reaction of oxide ions. A well-crystallized and micrometer-sized sample without ball milling shows high reversible capacity ($>250 \text{ mAh}\cdot\text{g}^{-1}$), as shown in *SI Appendix, Fig. S9*. Further increase in the reversible capacity and energy density with acceptable capacity retention for Li_3NbO_4 -based electrode materials is anticipated through systematic studies in relation to the optimization of particle morphology (surface area), chemical compositions, surface coating of the particle, and so on.

Conclusions

In this study, Nb^{5+} ions have been chosen to stabilize the redox reaction of oxide ions, and high-reversible capacities of $250\text{--}300 \text{ mAh}\cdot\text{g}^{-1}$ for $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ have been achieved at 50 °C. Large reversible capacity originates from the solid-state redox of oxide ions coupled with transition metal redox. Although the host structure is classified as the cation-disordered rock-salt phase, fast kinetics for Li migration in the percolation network has been experimentally evidenced. Moreover, this concept would be extended to other elements with high oxidation states. Many oxides containing Li^+ , which had been originally thought to be electrochemically inactive, such as Li_3NbO_4 , can potentially be used as the new host structures for high-capacity electrode materials. Recently, Copod Li_2O prepared by ball milling has been proposed as electrode materials with the concept of oxide ion redox even though the practical reversible capacity is limited to $200 \text{ mAh}\cdot\text{g}^{-1}$ (25). We believe that our finding will lead to material innovations on positive electrode materials for rechargeable batteries, beyond the restriction of the solid-state redox reaction based on the transition metals used for the past three decades.

Materials and Methods

Synthesis of Materials. Li_3NbO_4 was prepared by a solid-state reaction from stoichiometric amounts of Li_2CO_3 ($>98.5\%$; Kanto Kagaku) and Nb_2O_5 (99.9%; Wako Pure Chemical Industries) at 950 °C for 24 h in air. $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Me}_{0.4}\text{O}_2$ ($\text{Me} = \text{Mn}^{3+}$ and Fe^{3+}) and $\text{Li}_{1.3}\text{Nb}_{0.43}\text{Me}_{0.27}\text{O}_2$ ($\text{Me} = \text{Co}^{2+}$ and Ni^{2+}) were also prepared from Li_2CO_3 , Nb_2O_5 , and precursors containing each transition metal: Mn_2O_3 , Fe_2O_3 (99.9%; Wako Pure Chemical Industries), CoO (Wako Pure Chemical Industries), and $\text{Ni}_4\text{CO}_3(\text{OH})_6(\text{H}_2\text{O})_4$ (Kishida Chemical). Mn_2O_3 was obtained by heating of MnCO_3 (Kishida Chemical) at 850 °C for 5 h. The precursors were thoroughly mixed by wet mechanical ball milling and then dried in air. Thus obtained mixtures of the samples were pressed into

pellets. The pellets were heated at 950 °C for 24 h in air (Fe^{3+} and Ni^{2+}) or inert atmosphere (Mn^{3+} and Co^{2+}). The samples were stored in an Ar-filled glove box until use.

Electrochemistry. Electrode performance of the samples was examined for as-prepared samples and the carbon composite samples prepared by ball milling. As-prepared samples (80 wt%) were mixed using a mortar and pestle with 10 wt% acetylene black and 10 wt% poly(vinylidene fluoride) and pasted on aluminum foil as a current collector. Additionally, as-prepared Li_3NbO_4 , $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Me}_{0.4}\text{O}_2$ (Me = Mn^{3+} and Fe^{3+}), and $\text{Li}_{1.3}\text{Nb}_{0.43}\text{Me}_{0.27}\text{O}_2$ (Me = Co^{2+} and Ni^{2+}) samples were mixed with acetylene black (samples: AB = 80: 20 wt%) by using a planetary ball mill (PULVERISETTE 7; FRITSCH) at 300 rpm with a zirconia container and balls. Composite positive electrodes consisted of 72 wt% active materials, 18 wt% acetylene black, and 10 wt% poly(vinylidene fluoride), pasted on aluminum foil as a current collector. The electrodes were dried at 80 °C in vacuum. Metallic lithium (Honjo Metal) was used as a negative electrode. The electrolyte solution used was 1.0 mol·dm⁻³ LiPF₆ dissolved in ethylene carbonate: dimethyl carbonate (1:1 by volume) (Kishida Chemical). A polyolefin microporous membrane was used as a separator. R2032-type coin cells were assembled in the Ar-filled glove box. The coin cells were cycled in the voltage ranges of 1.0–4.8 V or 1.5–4.8 V at a rate of 10 mA·g⁻¹ at room temperature or 60 °C.

Materials Characterization. SXRD patterns were collected at beam line BL02B2, SPring-8 in Japan, equipped with a large Debye–Scherrer camera (26). $\text{Li}_{1.3-x}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ samples were electrochemically prepared in the coin cells at a rate of 5 mA·g⁻¹. The composite electrodes were rinsed with dimethyl carbonate to remove excess electrolyte and then dried at room temperature in the Ar-filled glove box. The composites were separated from the aluminum current collectors, crushed into powders, and then poured into glass capillaries (diameter 0.5 mm). The glass capillaries were sealed using a resin in the glove box to eliminate sample exposure to air. To minimize the effect of X-ray absorption by the samples, the wavelength of incident X-ray beam was set to 0.5 Å using a silicon monochromator, which was calibrated to 0.5001(1) Å with a CeO₂ standard. Structural analysis was carried out using RIETAN-FP (27). Schematic illustrations of the crystal structures of Li_3NbO_4 -based samples were drawn using the program VESTA (28).

Hard X-ray absorption spectroscopy at Mn K-edge and Nb K-edge was performed at beam line BL-7C of the Photon Factory Synchrotron Source in Japan. Hard X-ray absorption spectra were collected with a silicon monochromator in a transmission mode. The intensity of incident and transmitted X-ray was measured using an ionization chamber at room temperature. Li_3NbO_4 -based composite samples were prepared using the coin cells at a rate of 12 mA·g⁻¹. The composite electrodes were rinsed with dimethyl carbonate and sealed in a water-resistant polymer film in the Ar-filled glove box. Normalization of the XAS spectra was carried out using the program code IFFFIT (29). The postedge background was determined using a cubic spline procedure.

Soft X-ray absorption spectra were collected at BL-2 (O K-edge and Mn L_{II}, III-edge) and BL-10 (Nb L_{III}-edge) in the synchrotron facility of Ritsumeikan University (Synchrotron Radiation Center) (30). A grazing incidence grating monochromator is equipped in BL-2 with Monk–Gillieson-type optics and the Golovchenko-type double crystal monochromator is equipped in BL-10. The absorption spectra were collected with the fluorescence yield mode. Similar to the measurements for hard X-ray absorption spectroscopy, the samples were prepared in the Ar-filled glove box, and thus prepared samples were set on the spectrometer using a laboratory-made transfer vessel without air exposure.

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