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Can we observe epigenetic effects on human brain function?

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Abstract

Imaging genetics has identified many contributions of DNA sequence variation to individual differences in brain function, behavior, and risk for psychopathology. Recent studies have extended this work beyond the genome by mapping epigenetic differences, specifically gene methylation in peripherally assessed DNA, onto variability in behaviorally and clinically relevant brain function. These data have generated understandable enthusiasm for the potential of such research to illuminate biological mechanisms of risk. Here, we use our research on effects of genetic and epigenetic variation in the human serotonin transporter on brain function to generate a guardedly optimistic opinion that available data encourages continued research in this direction, and suggest strategies to promote faster progress moving forward.

Imaging Genetics

Nearly two decades since its introduction [1–3], the field of imaging genetics has provided **novel** insights into the fundamental nature through which variation in our DNA code shapes brain function and, consequently, our behavior [4]. By leveraging the common language of DNA, imaging genetics has further facilitated rapid translational discoveries across preclinical animal models and clinical human research [5]. Simultaneously, by identifying readily accessible genetic markers that reliably predict variability in behaviorally and clinically relevant brain function [6], imaging genetics has encouraged the development of fast and affordable methods for identifying specific mechanisms of risk for psychopathology positioned to inform novel strategies for targeted intervention and, possibly, prevention [4,7,8].

One example of how imaging genetics can drive the interdisciplinary research described above comes from studies of an association between common alleles of the serotonin transporter linked polymorphic region (5-HTTLPR) and variability in threat-related amygdala activity [3,9], which represents a risk phenotype for stress-related psychopathology including depression, anxiety, and posttraumatic stress disorder [10,11].

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Specifically, we and others have demonstrated that carriers of the 5-HTTLPR short (S) allele typically express relatively increased threat-related amygdala activity in comparison to individuals homozygous for the long (L) allele [3,12]. This observed association in human imaging genetics studies has been remarkably convergent with findings not only from human pharmacological and multimodal neuroimaging research but also with studies of orthologous genetic variation non-human primate or genetic manipulation in rodent models [13]. Collectively, this research suggests that a relatively decreased capacity for serotonin (5-hydroxytryptamine, 5-HT) reuptake is associated with heightened neural and behavioral responding to threat. These basic mechanistic findings, in turn, align with epidemiologic data, which, despite some initial inconsistencies [14], suggests the S allele is associated with increased risk for psychopathology subsequent to stress exposure [15,16]. Thus, the 5- HTTLPR may usefully contribute to the search for biomarkers in precision medicine [17].

The association between 5-HTTLPR genotype and amygdala activity, although reliable, has remained frustratingly small ultimately explaining a meager 1–5% of inter-individual variability in this neural phenotype [18]. Although small effects of individual common polymorphisms are to be expected when examining complex biological and behavioral phenotypes, inconsistent methods for assessing amygdala activity [18] as well as unmeasured epistatic interactions [19], and environmental effects [20,21], may all obscure possibly greater contributions of the 5-HTTLPR to variability in amygdala activity. Accordingly, consideration of these factors, in addition to utilizing larger samples to minimize false positives and better characterize relatively small anticipated or observed effects [12], has been advanced as a future direction for studies of the 5-HTTLPR specifically and imaging genetics broadly [22,23]. In addition to these factors, however, there is increasing recognition that variability in the functional properties of the human genome beyond the DNA sequence likely contributes to individual differences in behaviorally and clinically relevant brain function.

The Epigenome

Amongst non-sequence based sources of variability in the genome, epigenetic modifications have been of specific interest. Broadly, epigenetic modifications encompass conformational changes in DNA and/or chromatin that do not alter the underlying nucleotide sequence, but regulate the intricate molecular machinery through which the spatiotemporal dynamics of gene expression are regulated (Box 1). Thus, unlike the basic DNA sequence, epigenetic "marks" not only vary among cell and tissue types, but also are often part of the very mechanism that drives cell and tissue differentiation. Within the mammalian genome, DNA methylation, or the chemical addition of a methyl group at the 5-carbon position of cytosine, most frequently within the context of a cytosine-guanine dinucleotide or CpG site (Box 2), is a stable and well-characterized form of epigenetic modification [24,25].

Box 1

Epigenetic Mechanisms

The term epigenetics (from Greek: epi- over, outside of, around) refers to the study of chemical processes that regulate gene expression by modifying DNA or its associated

proteins, but without altering the underlying DNA sequence. The epigenetic landscape can be dynamic, capturing genetic, environmental, and cell lineage effects, and has been shown to be at least partially heritable [41]. Epigenetic processes can be broadly divided into three groups, comprising DNA methylation, histone modifications, and the actions of non-coding RNA molecules.

Histone modifications

In the nucleus of a eukaryotic cell, DNA is typically organized into chromatin, a multimolecular complex whose basic unit is a nucleosome. Within a nucleosome, a stretch of DNA (146 base pairs) is wrapped around an octamer comprised of two molecules each of four core histone proteins. Nucleosomes are further organized into higher-order chromatin structures [82]. Chemical and physical interactions between DNA and histones, as well as among histones, determine how accessible a stretch of DNA is to transcription-regulating molecules and thus how likely a particular gene is to be transcribed at any moment. The more tightly a stretch of DNA is bound to histones, the less likely it is that genes in this stretch are transcriptionally active. Histones have amino acid tails that "stick out" beyond the compact nucleosome structure and can be modified post-translationally by the addition of one or more chemical groups. There are more than 100 different histone modifications which can alter interactions among histones, between histones and DNA, or facilitate the recruitment of additional chromatin-modifying proteins [83].

Non-coding RNAs

Functional RNA molecules whose structure is encoded in DNA, but which are not translated into protein are referred to as non-coding RNAs. Depending on each molecule's unique properties, non-coding RNAs can participate in epigenetic regulation by recruiting DNA-or chromatin-modifying enzymes, or directly modifying other RNA molecules or RNA-protein complexes [84–86]. Accumulating research in animal models suggests that both histone modifications and non-coding RNAs in the brain play an important role in memory formation and other forms of normal or pathological neuroplasticity [58,87–89]. However, due to the highly dynamic and cell-specific [84,90,91] nature of these epigenetic processes, relatively little is known about their specific role in human brain function and behavior.

DNA methylation

As detailed in Box 2, methylation of DNA is the best understood epigenetic modification.

Box 2

DNA Methylation

DNA methylation refers to the covalent addition of a methyl $(-CH_3)$ group to the fifth position carbon in the cytosine carbon ring, usually in the context of a CG dinucleotide (i.e., CpG site), to form 5-methylcytosine (5-mC). 5-mC can be further hydroxylated at select locations to form 5-hydroxymethylcytosine (5-hmC) [92]. Non-CpG methylation also occurs in the mammalian genome [93] and may be particularly common in the brain

Most of the human genome is relatively depleted of CG content, with the majority of CpG sites being methylated [94]. However, CG content is enriched in distinct stretches of DNA called CpG islands, which tend to occur near transcriptional start sites of genes and which are generally hypomethylated in differentiated cells. Notably, the majority of known gene promoters have an associated CpG island [95,96]. Methylation of promoterassociated CpG islands tends to have an inhibitory effect on transcription initiation and thus correlates with reduced gene expression ([24], Figure I). However, studies suggest that methylation within gene bodies may have the opposite or no effect on gene expression [24].

It has been demonstrated that DNA methylation can directly impede the binding of transcription factors to recognition sequences containing CpG sites [97,98]. However, DNA methylation can also impact gene expression by recruiting methyl-CpG binding domain proteins (MBDs), which can in turn facilitate chromatin remodeling [99].

DNA methylation patterns across the genome are erased and re-established during gametogenesis and shortly after fertilization, but are otherwise maintained throughout development [100]. The enzyme DNA (cytosine-5)-methyltransferase 1 (DNMT1) is responsible for methylation maintenance during cell division, ensuring that methylation patterns are replicated between the two daughter cells [101]. The enzymes DNA (cytosine-5)-methyltransferase 3a and 3b (DNMT3A and DNMT3B) are responsible for de novo methylation, particularly early in development [102]. The removal of methylation marks can happen passively, when DNMT1 is absent or inhibited and methylation marks are not copied during cell division. However, given the dynamic and flexible nature of demethylation, it is more likely that the removal of methylation marks is enzymatically catalyzed. Since large amounts of energy are required to break the C-C bond within 5mC, there are likely several lower-energy steps likely involving 5-hmC as an intermediate product [92] in the demethylation process (See [103] for an extensive review).

Although the effects of DNA methylation on gene expression are complex and may vary depending on genomic location [24], numerous studies suggest that methylation occurring within CpG-rich regions near the transcription start site of a gene (i.e., CpG islands) tends to have a repressive effect on gene expression across tissues [24,26]. Furthermore, the existence of variability in DNA methylation independent of the underlying nucleotide sequence [27,28], suggests that epigenetic modifications can moderate the impact of genetic variation (i.e., genotypes) on biological processes including brain function. Thus, it is not just reasonable but imperative to consider the possibility that unaccounted for differences in DNA methylation or other epigenetic marks may contribute to unexplained variability within genotype groups in imaging genetics studies. Conversely, the consideration of epigenetic mechanisms offers a valuable opportunity to account for previously unexplained variability in neural phenotypes, and shed much needed additional light on the precise

molecular mechanisms that may drive the emergence of inter-individual variability in brain function.

Epigenetics of Human Brain Function

We recently demonstrated that individual differences in the methylation of the proximal promoter of the serotonin transporter gene (*SLC6A4*) accounts for 6.7%–10.4% of variability in threat-related amygdala activity across two independent cohorts [29]. This association was independent of the 5-HTTLPR, which is near but not overlapping with the proximal promoter, and present across windows of development (i.e., adolescence and young adulthood). Moreover, the association was robust to assessment of DNA methylation from two different peripheral tissues (i.e., blood and saliva). We further demonstrated that methylation of the CpG site with the strongest association with amygdala activity was negatively correlated with serotonin transporter mRNA concentrations in postmortem amygdala tissue, providing a potential molecular mechanism that may drive the observed *in vivo* associations.

Other studies from the emergent field of imaging epigenetics [30], both prior to and following our report, have similarly focused on methylation within or near candidate genes that have been the target of previous imaging and psychiatric genetic studies (Table 1). Results from these studies have largely confirmed the notion that increased methylation, particularly within or near the promoter of a gene, is associated with reduced gene expression, and downstream neural phenotypes consistent therewith. As has been generally true in cutting edge research, results from these early epigenetics studies raise more questions than they answer. For example, where and how do these methylation patterns originate, and how do methylation patterns in peripheral tissues map onto patterns in brain? Although prior studies have provided partial answers to these questions (e.g., [31,32]), below we outline specific strategies that may be particularly useful in advancing this burgeoning field further, while accounting for specific limitations.

With regards to the origin of variable methylation, it is generally accepted that epigenetic marks are at least partially heritable [25]. However, animal studies have demonstrated that they can change in response to environmental factors particularly early in development [33]. Correlational studies suggest this may be the case in humans as well. Specifically, it has been shown that methylation patterns, within and near *SLC6A4* [34–36], as well as across the genome [37], differ as a function of early or recent life stress or trauma. Perhaps more intriguingly, recent studies in animals [38] and humans [39,40] have raised the possibility that methylation patterns in offspring may partially reflect differences in the experiences of the parents [41]. Finally, as evidenced by studies in monozygotic twin pairs of different ages, ordinary life experiences and normal aging may steadily alter genome-wide methylation patterns in the absence of severe stress or trauma [28].

Taken together, these studies suggest that even if DNA methylation patterns do not reflect personal or parental traumatic experiences, they are likely to at least partially capture the impact of an individual's unique environment on the functional properties of the genome. Thus, it stands to reason that taking DNA methylation into account will help explain more

variability in brain function than DNA sequence-based variation alone. In many ways, explicitly considering the impact of epigenetics is a logical next step in imaging genetics, which has quickly embraced the importance of environmental factors in the emergences of associations between sequence variants and brain function [23]. More tellingly, epigenetic changes have long been postulated to constitute a crucial part of the mechanism through which experience gets "under the skin" [42–44].

As the DNA sequence is the same in every cell within an individual, with rare exceptions [45], there is little question that DNA derived from easily sampled peripheral tissues can serve as a reliable proxy for DNA in the human brain. In contrast, epigenetic marks are expected to be different between cell types and tissues. Thus, it has been questioned whether methylation patterns readily measurable in DNA derived from peripheral tissues such as blood or saliva are veritable proxies for methylation patterns in the brain. Although the methylation status of a number of CpG sites has indeed been shown to differ substantially among tissues [46], some studies report blood-brain correlations in DNA methylation of up to 0.90 [47,48]. Notably, the largest methylation differences occur within or near genes involved in tissue differentiation, including neurogenesis and hematopoiesis [46].

Additional convergence has been reported between methylation patterns in blood and saliva, with some comparisons further suggesting that patterns in DNA derived from saliva rather than blood better map onto variability in brain function [49]. Although much remains unknown about the exact mapping of the entire methylomes of the brain and peripheral tissues (see also Box 3), significant cross-tissue convergence in methylation patterns has been observed for CG-rich promoters across genes [46]. In light of this promising, albeit partial, convergence between blood and brain methylomes, we argue that even in the absence of a full cross-tissue molecular characterization of a particular DNA methylation mark, a careful selection of well-matched epigenetic and phenotypic measures, especially when coupled with replication in independent samples, could reasonably safeguard against false positive associations.

Box 3. Outstanding Questions

What are the mechanisms through which methylation changes in the brain may be mirrored in the periphery and vice versa? Does this cross-tissue convergence reflect the actions of DNMT1 (Box 2) through multiple cell divisions, ultimately demonstrating the shared developmental origin of cells, or does it at least partially reflect experiences in adulthood?

What is the temporal stability of DNA methylation markers and, perhaps more importantly, the correlation between the brain and the blood methylomes? Does this correlation change as a function of development or experience, and how can such change be leveraged to study the effects of environmental factors on brain function, behavior, and risk for psychopathology?

Which peripheral tissue is the best proxy for DNA methylation in the brain? Most neuroimaging epigenetics studies have assessed peripheral methylation in DNA extracted

from blood. However, saliva is easier to collect and research suggests convergence between DNA methylation patterns in blood and saliva [56,104].

How can knowledge of regionally specific DNA methylation in the brain [46] be leveraged to design more specific hypotheses for imaging epigenetics studies?

What is the impact of relatively small-scale inter-individual variability (e.g., 0–5%) in DNA methylation, particularly within otherwise hypomethylated CpG islands, on gene expression across tissues, and are currently available methylation assays capable of accurately capturing variability on such small scale?

Are there epigenetic marks other than DNA methylation (e.g., histone modifications, small non-coding RNAs) that correlate between brain and peripheral tissues and have meaningful effects on brain function?

Despite these signposts, relatively few studies to date have ventured to combine neuroimaging and epigenetics, and those that have, have been rightfully cautious in selecting only DNA methylation marks which have been extensively functionally characterized in concurrent or prior molecular work (Table 1). Given recent advances in our understanding of the brain and blood methylomes, we argue that enough evidence has accumulated to warrant the adoption of less conservative approaches moving forward. This could in turn give the field a much-needed initial push and begin a constructive dialogue of mutual critique and conceptual guidance between the fields of neuroimaging and basic molecular epigenetics.

Challenges and Future Directions

Candidate Genes and Genomic Targets

As in imaging genetics, to ensure solid hypothesis construction, early imaging epigenetics research would be best served by investigating well-understood biological systems (e.g., focus on dopaminergic genes when studying reward-related brain function [50]). By the same token, a focus on genomic regions where the impact of DNA methylation is best understood, such as CG-rich gene promoters, will also benefit the research. Conversely, it would be wise to eschew a focus on markers known to be involved in tissue differentiation and neurodevelopment, which are most likely to be differentially methylated across the brain and peripheral tissues [46]. Employing *in vivo* molecular imaging such as positron emission tomography (PET), which provides more direct links to brain chemistry [such as [51,52]] or multimodal PET/fMRI may be particularly fruitful by establishing intermediate molecular phenotypes (e.g., differences in serotonin transporter levels) that may bridge DNA methylation and systems-level phenotypes of brain function.

It should be noted that this candidate gene/system approach would be open to the same criticisms and limitations as traditional candidate gene studies [53,54]. However, within this context, suitable candidate genes and systems can also be those identified on the basis of prior GWAS, particularly if buttressed by additional biological evidence. Moreover, an early focus on biological targets of "convenience" may provide important validation of prior genetic associations, as well as hold additional proof-of-concept value for the field of

imaging epigenetics, while ultimately setting the stage for epigenome-wide approaches, as the field matures.

Identifying Mechanisms

Whenever possible, additional experiments should be carried out to evaluate the precise molecular mechanisms that may explain any association between a peripheral DNA methylation mark and brain function. Fortunately, this research is inherently interdisciplinary and fosters, if not necessitates, collaboration among labs with unique but complementary expertise. Importantly, although research in neuroimaging epigenetics can and should be informed by basic research in molecular epigenetics, the opposite may be true as well. Given the decreasing cost of DNA methylation assays and the ease with which these can be conducted in DNA derived from blood or saliva, neuroimaging epigenetics studies can be easily implemented in large archival imaging genetics datasets (e.g, [10,55,56]). Biologically plausible correlations between a peripheral DNA methylation mark and a welldefined neural phenotype, especially if replicated across independent cohorts, may then be used as rationale for potentially more costly follow-up molecular experiments. Notably, results from the nascent field of imaging epigenetics have already raised potentially interesting methodological and conceptual questions for the field of basic molecular epigenetics (Box 3).

Mining Public Databases

The need for mechanistic follow-up experiments or independent molecular work can be reduced, though not necessarily obviated, by the increasing availability of shared electronic resources. Whenever possible, information regarding the cross-tissue correlation or functionality of a particular methylation mark can and should be obtained from publically available epigenomics databases. Most notably, the Human Epigenome Roadmap project has recently compiled and analyzed a total of 127 reference human epigenomes [57]. Raw data including basic DNA sequence, DNA methylation, and mRNA levels, assayed in peripheral blood and brain, among other tissue types, can be accessed freely through designated web portals [\(http://www.roadmapepigenomics.org](http://www.roadmapepigenomics.org) and [http://compbio.mit.edu/](http://compbio.mit.edu/roadmap) [roadmap\)](http://compbio.mit.edu/roadmap). Additional epigenomic data are available on the website of the International Human Epigenome Consortium (IHEC) (<http://epigenomesportal.ca/ihec/index.html>). Yet another resource that could be particularly useful in developmental studies is Braincloud [\(http://braincloud.jhmi.edu\)](http://braincloud.jhmi.edu), a database containing genomic, epigenomic, and transcriptomic data sampled across stages of development currently available for the prefrontal cortex [50; 51] but with plans to extend the database to additional brain regions.

Longitudinal Studies and Trans-Generational Effects

Given the dynamic nature of the epigenome, neuroimaging epigenetics studies that track concurrent changes in peripheral DNA methylation and brain function, especially in developmental populations, are particularly important. Prospective longitudinal designs in normally developing populations can serve as references for subsequent cross-sectional studies. Longitudinal studies in at-risk populations (e.g., those with a positive family history for disorder) can foster insight into the neuroepigenetic signatures of experience or development associated with specific pathophysiologic mechanisms. The epigenetic

correlates of development and experience, as distinct from those associated with sequence variation, would be particularly likely to emerge in prospective longitudinal studies of monozygotic twin pairs who share a common DNA sequence, but accumulate DNA methylation differences over time [28]. Another intriguing, albeit more remote, prospect for the field of imaging epigenetics lies in the possibility to uncover the molecular mechanisms of any potential trans-generational transmission of non-genetic psychiatric disorder risk. Although methodologically challenging, recording epigenetic and phenotypic information from parents and their children, especially in a longitudinal context, may help shed light on some of the epigenetic mechanisms mediating parent-to-offspring transmission of risk. However, extensive follow-up studies in animal models will likely be needed to lend additional empirical support to any emergent findings [58].

Epigenetic Biomarkers

Despite the potential of imaging epigenetics studies to identify molecular mechanisms of risk, it is important to note that the establishment of reliable associations between peripherally assessed DNA methylation marks and neuroimaging phenotypes can be useful even in the absence of explicit knowledge about their precise underlying molecular mechanisms. Just as sequence variation can serve as a readily accessible biomarker of brain function and associated risk, peripherally assessed DNA methylation patterns could be leveraged to index neural endophenotypes. Although the dynamic nature of the epigenome introduces greater potential intra-individual variability in DNA methylation patterns, such state-dependency could also be used to the field's advantage, especially as the impact of various environmental factors on DNA methylation becomes better understood.

Finally, it is increasingly recognized that individual differences in the vast majority of brain endophenotypes likely reflect the simultaneous impact of multiple sequence variants, as well as the effects of an individual's unique experiences [23,59]. The polygenic nature of complex biological traits is likely to be mirrored on the level of epigenetics. As new data accumulates, leveraging multilocus genetic and epigenetic analytic strategies to simultaneously capture diverse genetic and environmental contributions to brain function, in a hypothesis-driven or theory-free manner, may ultimately offer a more powerful way to account for variability in disorder risk and perhaps even make predictions about differential vulnerability at the individual level. Furthermore, because DNA methylation patterns, unlike genotypes, can be altered pharmacologically [60], such findings may also give us greater hope for successful individualized treatment and prevention than studies focusing solely on sequence based markers.

Unified Strategy

In Figure 1, we outline a possible strategy for the field of imaging epigenetics wherein prior or concurrent cross-tissue molecular characterization of functional impact, carried out through collaboration or obtained via publically available databases, provides biological rationale or validation of a particular imaging epigenetics association. Given the increasing ease with which methylation assays can be conducted in DNA extracted following standard protocols, as well as the accumulation of large and diverse imaging genetics datasets (e.g., [61–63]), imaging epigenetics is poised to capitalize on existing resources at little additional

cost. This is particularly important, given that large sample sizes would likely be necessary to adequately capture the potentially larger variability in DNA methylation relative to structural variation, while also properly accounting for the diverse range of environmental experiences and ancestral backgrounds [64] represented in a specific sample and, ultimately, the general population. Results from such imaging epigenetics studies could subsequently fuel additional, and potentially more costly, mechanistic follow-up efforts.

Concluding Remarks

Imaging genetics has established useful links between peripherally accessible genetic markers and variability in brain function associated with individual differences in behavior and relative risk for psychopathology. Going beyond the genome and elucidating the role of epigenetic modifications on these and other associations represents a next frontier. Promising initial studies have already begun to establish links between behaviorally and clinically relevant brain function and peripherally assessed DNA methylation. Despite methodological concerns regarding tissue-specific functional characterization of DNA methylation patterns, we believe enough encouraging initial data has accumulated for the field of neuroimaging epigenetics to move forward with confidence. The establishment of reliable associations between peripherally assessed DNA methylation, varying experiential and environmental factors, and brain function has the capacity to shed additional light on the molecular mechanisms of risk and pave new roads for not only identifying vulnerable individuals but also providing them with precision medicine.

Glossary

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Highlights

Gene methylation can be readily assessed in DNA derived from peripheral tissues

Differential DNA methylation predicts brain function

Epigenetic variability in brain function can identify novel mechanisms of risk

Figure 1.

Proposed strategy for the emergent field of imaging epigenetics. Although concurrent measurements of DNA methylation, gene expression patterns, and brain function are extremely difficult, if not impossible, to obtain in the same individuals, correlations between peripherally assessed DNA methylation and brain function can be corroborated by more extensive cross-tissue functional characterization of methylation-related molecular phenotypes derived from postmortem human brain tissue and animal models, some of which are already summarized in publically available databases. In turn, any biologically plausible associations emerging from imaging epigenetics studies, especially if replicated, could serve as motivation for more costly mechanistic follow-up efforts.

Figure I.

Methylation of CpG islands near gene promoters is typically associated with reduced transcription initiation. Although hypomethylated (A) and hypermethylated (C) CpG islands are generally associated with normal and repressed gene expression, respectively, emergent imaging epigenetic work suggests smaller inter-individual variability in the relative methylation level of otherwise hypomethylated CpG islands may also result in phenotypically relevant differences in gene expression (B).

Table 1

Summary of imaging epigenetics findings to date.

BDNF = brain derived neurotrophic factor

COMT = catechol-O-methyltransferase

FKBP5 = FK506 binding protein 5

MAOA = monoamine oxidase A

NR3C1 = glucocorticoid receptor

OXTR = oxytocin receptor

PET = positron emission tomography