Analysis of DNA Methylation in Plasma for Monitoring Hepatocarcinogenesis

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Aim: To explore whether the aberrant DNA methylation status in plasma could be used as a biomarker for hepatocellular carcinoma (HCC) screening among high-risk individuals. *Methods:* The promoter methylation status of *ELF*, *RASSF1A*, *p16*, and *GSTP1* was investigated by methylation-specific polymerase chain reaction (PCR) in 34 paired HCC and nontumor liver tissue from HCC patients and 10 tissues from patients with liver cirrhosis (LC). Plasma samples from 31 HCC patients, 10 LC patients as well as 7 patients with benign hepatic conditions were also collected and characterized using the same method. *Results:* Among liver specimens, HCC tissues displayed a significantly higher methylation frequency of each gene compared with nontumor tissue $(p<0.05)$. Moreover, the frequency was much higher in tumor tissues than in nontumor tissue, when the data from two or three genes were combined ($p = 0.001$ and $p < 0.001$, respectively). Among plasma samples, either the frequency of at least one methylated gene ($p < 0.001$) or the average number of methylated genes ($p < 0.05$) demonstrated a stepwise increase in patients with benign lesions, LC, and HCC. Furthermore, when positive results, that is, plasma methylation status of at least one gene were combined with the elevated $\rm{APF_{400}}$ level (serum alpha-fetoprotein [AFP] level at a cutoff of 400 ng/mL), the diagnostic sensitivity of HCC could increase to 93.55%. *Conclusions:* These results suggested that the methylation of tumor suppressor genes may participate in the development and progression of HCC. Additionally, it may be useful to combine the plasma DNA methylation status of a panel of gene markers and the serum AFP for HCC screening.

Introduction

PRIMARY HEPATOCELLULAR CARCINOMA (HCC), one of the most common malignant tumors around the world, is regarded as the third leading cause of cancer-related deaths due to its poor prognosis (Jemal *et al.*, 2011; Siegel *et al.*, 2013). Similar to the multistep carcinogenesis of other cancers, most HCCs in china have undergone a progression from HBV hepatitis, then liver cirrhosis (LC), and finally to carcinoma (Robinson, 1992; Merican *et al.*, 2000).

Despite the unclear molecular pathogenesis of HCC, it has been confirmed that epigenetic aberrance, especially DNA methylation, plays an important role in the progression of carcinoma (Chen *et al.*, 2013; Anwar and Lehmann, 2014; Dong and Wang, 2014). The silence of many tumor suppressor genes (TSGs), caused by the aberrant CpG island methylation of gene promoter, is considered as an early event for HCC pathogenesis (Chang *et al.*, 2008; Nishida *et al.*, 2008; Moribe *et al.*, 2009). TSGs in tissues generally show an increased

methylation frequency and different methylation status during the multistep carcinogenesis, which includes normal liver tissues, chronic hepatitis, LC, and HCC (Lee *et al.*, 2003).

Delayed diagnosis was a fatal obstacle to timely and successful treatment, leading to the low survival rate of HCC patients (Singal *et al.*, 2013). Therefore, early diagnosis of HCC is particularly important. Nowadays, serum alpha-fetoprotein (AFP) and ultrasonography (US) are clinically adopted for HCC screening (Masuzaki *et al.*, 2012; Zhu *et al.*, 2013). Nevertheless, serum AFP in about 40% of patients always remains at a low level (< 400 ng/mL) (Farinati *et al.*, 2006; Zhang *et al.*, 2010), and US also has limitations in further detection of the features of liver nodules (Ward and Robinson, 2002).

Given that an ideal biomarker should be noninvasively detectable in the early stage of the disease, some studies have been working on the DNA epigenetic abnormalities in peripheral blood plasma or serum (Zhang *et al.*, 2007; Chan *et al.*, 2008; Iyer *et al.*, 2010). As for HCC, during each step of carcinogenesis, the systemic information about the gene

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methylation status in serum/plasma remains largely unclear. Considering that the liver biopsy, although a gold standard for HCC diagnosis, brings relatively severe trauma and risks (Bongiovanni and Casana, 2008), some more noninvasive markers with high sensitivity for HCC screening are in urgent demand.

In this study, nested methylation-specific PCR (MSP) was performed among plasma and tissue specimens in HCC and LC patients to detect the promoter methylation status of genes, including embryonic liver fodrin (*ELF*), *RASSF1A*, *p16*, and *GSTP1*. *ELF*, a β-spectrin, is a stem cell adaptor protein that was recently found to play a pivotal role in TGF- β signaling and markedly decreased in tumor tissues of HCC (Kitisin *et al.*, 2007). While the hypermethylation of the TSGs like *RASSF1A*, *p16*, and *GSTP1* have already been reported in HCC (Lee *et al.*, 2003; Kimura *et al.*, 2009; Jain *et al.*, 2014), no studies about *ELF* promoter methylation have ever been reported. This study aimed to investigate the DNA methylation status during multistep hepatocarcinogenesis among tissues and plasma samples, and to seek for noninvasive biomarkers with high sensitivity for HCC screening among high-risk individuals.

Materials and Methods

Clinical samples

With informed consent, 82 surgically resected liver specimens and 48 peripheral plasma samples were collected in West China Hospital, Sichuan University, between November 2008 and May 2009. The tissue specimens comprised 34 paired HCC and nontumor liver tissue from HCC patients (mean age, 47.94 years; 28 males and 6 females; 33 HBVpositive and 16 serum AFP > 400 ng/mL), 10 from LC patients without concurrent HCC (mean age, 45.40 years; 7 males and 3 females; 8 HBV-positive but serum AFP < 400 ng/mL), and 4 from healthy individuals who died of sudden accidents. The diagnosis of all specimens was pathologically confirmed. Plasma samples were obtained from 31 HCC patients (mean age, 45.90 years; 25 males and 6 females; 29 HBV-positive and 15 serum AFP > 400 ng/mL), 10 LC patients without concurrent HCC (mean age, 46.50 years; 7 males and 3 females; 7 HBV-positive but serum AFP < 400 ng/mL), and 7 patients with benign lesions (6 with liver angioma and 1 with lipid metabolic disorder). Among these specimens, 34 plasma samples were matched with the liver tissues (26 from HCC patients and 8 from LC patients).

Cell line preparation

In this study, cell lines, including Huh-7, T47D, and MCF-7, which have been confirmed to contain promoter hypermethylation of CpG island of *RASSF1A*, *p16*, and *GSTP1*, respectively, were chosen as positive controls (Yang *et al.*, 2001; Di Gioia *et al.*, 2006). Cell lines of MCF-7 and Huh-7 were cultured in PRMI1640, while T47D was cultured in DMEM. Both media contained 10% fetal bovine serum. Wellgrown passages were collected for genomic DNA extraction.

DNA extraction

According to the manufacturer's instruction, DNA was extracted from tissues and cell lines with the TIANamp kit and dissolved in $150 \mu L$ Tris-EDTA buffer (TE). Likewise, plasma DNA was isolated from $400 \mu L$ sample using the Body Fluid Viral DNA/RNA Miniprep Kit (Axygen) and then dissolved in $50 \mu L$ TE.

Bisulfite conversion of DNA and MSP

The bisulfite modification was carried out as described previously (Herman *et al.*, 1996; Wang *et al.*, 2006). Nested-MSP was performed to investigate the methylation status of CpG islands of *ELF*, *RASSF1A*, *p16*, and *GSTP1*. The nested-MSP primers of *ELF* were designed using MethPrimer and the other three were as described previously (Table 1) (Guo *et al.*, 2006; Kawamoto *et al.*, 2007). During the first round of polymerase chain reaction (PCR), the regions containing short CpG-rich stretches flanked by the universal primers (PNA) were amplified. The $25 \mu L$ volume reaction system consisted of 50 ng bisulfate-modified DNA, $1 \times$ PCR buffer, $0.2 \text{ mM of each deoxynucleotide triphosphates, } 0.4 \mu \text{M of }$ each primer, $2 \text{ mM } MgCl₂$, and 1.5 U of Taq DNA polymerase (TaKaRa). Amplification was carried out as follows: initial denaturation at 95 \degree C for 5 min, 35 cycles of 95 \degree C for 30 s, annealing for 30 s and 72° C for 30 s, with a final extension of 10 min at 72°C. One microliter of diluted (1:50) sample of the first round of PCR products was then used as the template for the second round of MSP, while the other $5 \mu L$ for unmethylation-specific PCR (USP). The PCR condition was as follows: denaturation at 95° C for 5 min, 30 cycles of 95 \degree C for 30 s, annealing for 30 s and 72 \degree C for 30 s, with a final extension of 5 min at 72° C. Finally, the obtained MSP and USP PCR products were electrophoresed on 20 g/L agarose gels, stained with ethidium bromide, and visualized under UV illumination.

Bisulfite-modified DNA from cell lines with methylated genes mentioned above served as positive controls of *RASSF1A*, *p16*, and *GSTP1*, respectively; positive control of *ELF* utilized the completely methylated plasmid DNA containing promoter of ELF (ELF pro^m). In addition, bisulfitetreated DNA from healthy liver tissues served as negative control.

Statistical analyses

The differences and associations of the promoter methylation status between varied tissue specimens and plasma samples were analyzed by the Pearson's χ^2 test or Fisher's exact test according to the absolute numbers included in the analysis. The concordances of methylation status for each gene between tissues and matched plasma samples were evaluated using the simple kappa coefficient. Associations between clinicopathologic variables and promoter methylation status were analyzed using Fisher's exact test. One-way ANOVA was used to evaluate the significance of the differences observed between three or more means. A value of *p* < 0.05 (two sided) was considered significant. Statistical analyses were carried out with SPSS software (version 13.0).

Results

Methylation frequency of individual TSG and cumulative methylation patterns in HCC, Nontumor, and LC tissues

In this study, the methylation status of the CpG islands of *ELF*, *RASSF1A*, *p16*, and *GSTP1* in different tissue

TABLE 1. PRIMER SEQUENCES AND POLYMERASE CHAIN REACTION CONDITIONS FOR MSP ANALYSIS Table 1. Primer Sequences and Polymerase Chain Reaction Conditions for MSP Analysis

^aPNA, the first universal primer.
MSP, methylation-specific PCR; USP, unmethylation-specific polymerase chain reaction. MSP, methylation-specific PCR; USP, unmethylation-specific polymerase chain reaction.

FIG. 1. MSP analysis of *ELF*, *RASSF1A*, *p16*, and *GSTP1* in liver tissue and plasma samples of hepatocellular carcinoma (HCC) and liver cirrhosis (LC) patients. (A) Representative examples of tumor, nontumor tissue, and corresponding plasma samples from two HCC patients. (B) Methylation status in cirrhosis and corresponding plasma from two LC patients and one normal liver tissue and one plasma sample from patients without liver disease. Bisulfite-treated DNA was amplified with primers specific to the methylated (m) or the unmethylated (u) CpG islands of each gene. MSP products were stained with ethidium bromide after 2.0% agarose gel electrophoresis. C, cirrhosis; M, molecular weight standard; MSP, methylationspecific PCR; m, methylated; W, water contamination control; Pos, MSP product from positive plasma or cell line DNA used as positive control (*ELF* pro^m for *ELF*, Huh-7 for *RASSF1A*, T47D for *p*16, and MCF-7 for *GSTP1*); Neg, unmethylated product of normal liver DNA as negative control; Non, nontumor; N, normal cases; P, plasma samples; PCR, polymerase chain reaction; T, tumor; Tis, tissue; u, unmethylated.

specimens is shown in Figure 1. The detailed specific MSP results of each specimen are given in Figure 2. As shown in Table 2, the methylation frequency of each TSG was significantly higher in tumor than in nontumor tissue ($p < 0.05$, γ^2 test), whereas no aberrant methylation was found in the healthy liver tissues.

Moreover, to investigate whether accumulation of epigenetic aberrance is involved in the carcinogenesis of HCC, cumulative methylation patterns of the four TSGs were analyzed (Table 3). We discovered that, with the combination of at least two ($p = 0.001$, χ^2 test) or three genes, the methylation frequency was even higher in tumor than in nontumor $(p < 0.001, \chi^2 \text{ test}).$

Intriguingly, when comparing the average number of aberrantly methylated genes in each step of the multistep carcinogenesis, we found that the average number showed a stepwise increase during the progression of the lesion, that is, 0 for healthy liver tissues, 2.03 for nontumor tissues, and 3.00 for HCC tissues, and the difference between each step was statistically significant ($p < 0.001$, one-way ANOVA test). However, the average number of methylated genes for LC tissues (2.40) did not show any significant difference compared with either tumor or nontumor tissue.

Methylation frequency of individual TSG and cumulative methylation patterns in plasma samples

To study whether plasma DNA methylation status during the multistep carcinogenesis could be monitored, the plasma samples from 31 HCC patients, 10 LC patients, and 7 patients with benign lesions were analyzed (Fig. 1). Details of each sample are illustrated in Figure 2. The aberrant plasma DNA methylation of the four TSGs was detected in patients with HCC and LC (Table 2), whereas no significant results were found in patients with benign lesions.

In our study, 26 HCC tissue specimens along with their matched plasma samples were set up, and the methylation profiles are shown in Figure 2. In these cases, aberrant *RASSF1A* methylation occurred in all those tumor tissues and 16 plasma samples. Among 22 patients with *ELF* methylation in HCC, an identical epigenetic alteration was detected in plasma of 82% (18 of 22) patients in association $(p<0.001$, Fisher's exact test) and in concordance $(\kappa = 0.761)$ with tumor methylation status, respectively. Similarly, when estimate the consistence of TSG methylation between plasma and tissue, 11 of 19 for p16 methylation showed that the frequency in plasma was associated ($p = 0.01$, Fisher's exact test) and in concordance with that in tumor tissues (κ = 0.425); Also, aberrant *GSTP1* methylation was detected in 18 tumor tissues and 10 plasma samples, with significant association ($p = 0.009$, Fisher's exact test) and concordance $(\kappa = 0.435)$ between tissues and plasma samples.

To evaluate whether or not the cumulative methylation pattern of the four TSGs could be applied in HCC screening among populations of high risk, all plasma samples were reanalyzed on the basis of the combination of these aberrantly methylated genes (Table 4). The plasma DNA methylation frequency with at least one methylated gene showed a stepwise increase during the progression of the lesions, that is, 0 of 7 for patients with benign lesions, 6 of 10 for LC patients, and 29 of 31 for HCC, and the differences between each step were statistically significant $(p<0.05$, Fisher's exact test). Accordingly, the plasma status with at least one of these TSGs being methylated could be considered as a biomarker for HCC screening among populations of high risk, for its high sensitivity of 93.55% in HCC patients. Moreover, the average number of methylated genes in plasma samples from patients with benign lesions (0) , LC (1.10) , and HCC (1.90) also showed a stepwise increase ($p < 0.05$, one-way ANOVA test).

FIG. 2. Summary of methylation analysis of *ELF*, *RASSF1A*, *p16*, and *GSTP1* in liver tissue and corresponding plasma samples. *Filled boxes* indicate the presence of methylation and *open boxes* indicate the absence of methylation. (A) MSP in tumor, nontumor, and plasma samples of HCC patients. (B) MSP in cirrhosis and corresponding plasma samples of LC patients. (C) MSP in normal liver tissues and plasma samples from patients with benign lesions. T, tumor tissue; N, paired nontumorous liver tissue; No., case number; No.*, number of genes methylated; nd., not detected.

Analysis of diagnostic sensitivity of HCC with combination of serum AFP level and plasma DNA methylation status

Among the 31 HCC plasma samples, it was of great importance to discover that when referring to the plasma DNA methylation frequency with at least one gene being methylated, the diagnostic sensitivity became significantly higher than the well-known biomarker AFP₄₀₀ (93.55% vs. 48.39%, p < 0.001, χ^2 test). Furthermore, the diagnostic sensitivity of HCC could increase to 93.55% with the combination of these two markers.

p-Value, analyzed by χ^2 test.

HCC, hepatocellular carcinoma; LC, liver cirrhosis.

Diagnosis	No. of cases	No. of cases methylated for at least one gene $(\%)^{\text{a}}$	No. of cases methylated for at least two genes $(\%)^a$	No. of cases methylated for at least three genes $(\%)^a$	Average no. of methylated gene
Tumor tissue	34	34 (100)	33 $(97.06)^{\circ}$	27 $(79.41)^d$	3.00 ± 0.74 ^e
Nontumor tissue	34	32 (94.12)	22 $(64.71)^c$	12 $(35.30)^{d}$	2.03 ± 1.17^e
LC	10	10(100)	8 (80)	5(50)	2.40 ± 0.97
Normal liver					

TABLE 3. THE FREQUENCY OF CPG ISLAND HYPERMETHYLATION IN TUMOR AND NONTUMOROUS LIVER SAMPLES from HCC, Cirrhotic Liver Samples Without Concurrent HCC, and Normal Liver Samples

p-Value results for other tests are not significant.

^a Analyzed by χ^2 test or Fisher's exact test, as appropriate.

Analyzed by one-way ANOVA test.

 $c_p = 0.001$, tumor versus nontumorous tissue.

 $p < 0.001$, tumor versus nontumorous tissue.

 \rm{p} \rm{p} < 0.001, tumor versus nontumorous tissue, nontumorous versus normal liver tissue, LC versus normal liver tissue.

ANOVA, analysis of variance.

Association between TSG methylation profile and clinicopathological features of HCC

Based on the number of methylated genes, the 34 HCC patients were categorized into group 1 (more methylated genes in tumor than in ANLT) and group 2 (equal number of methylated genes in tumor and ANLT). Among these cases, 24 belonged to group 1, while 10 belonged to the other. The clinicopathological features of HCC, including patients' age, gender, HBsAg, status of LC, serum AFP level, tumor size, tumor quantity, grade of differentiation, portal vein embolus, metastasis and recurrence, 1-year postoperative mortality, were analyzed in association with the methylation of individual TSG or the cumulative patterns. Portal vein embolus and paracirrhosis occurred more frequently in group 1 ($p = 0.015$) and $p = 0.031$, respectively, Fisher's exact test). No significant association was observed between the TSG methylation profile and the other clinicopathological features of HCC.

Discussion

Current evidence indicates that a number of major pathways are implicated in HCC, including the TGF- β - Smad pathway, RAF-MKK1-MAPK, Pl3K–AKT1–mTO, WNT– catenin β -1, IGF-I, hepatocyte growth factor–c-MET, and

Table 4. The Frequency of CpG Island Hypermethylation in Plasma Samples from HCC and LC Patients

Diagnosis of patients	No. of cases	No. of cases methylated for at least one gene $(\%)^a$	Average no. of methylated gene ^b
HCC LC Benign lesions ^e	31 10	29 $(93.55)^{\circ}$ $6(60)^c$	1.90 ± 1.08 ^d 1.10 ± 1.20 ^d

^aAnalyzed by χ^2 test or Fisher's exact test, as appropriate.

^bAnalyzed by one-way ANOVA test.

 $c_p = 0.024$, HCC plasma versus LC plasma; $p = 0.035$, LC plasma versus normal plasma.

 $p = 0.037$, HCC plasma versus LC plasma; $p = 0.035$, LC plasma versus normal plasma.

Benign lesions, patients with benign lesions.

growth-factor-regulated angiogenic signaling. These pathways are involved in the progression of hepatocarcinogenesis and development, such as epithelial mesenchymal transition, self-renewal of liver cancer stem cell, and tumor invasion and metastasis, and in regulation of general cellular process such as proliferation, differentiation, and apoptosis (Majumdar *et al.*, 2012; Gedaly *et al.*, 2014). Effectively, many TSGs play important roles in controlling the pathways to prevent hepatocarcinogenesis. Unfortunately, epigenetic silencing of TSGs by CpG island hypermethylation commonly occurs in HCCs (Neumann *et al.*, 2012; Nishida *et al.*, 2014).

For instance, *RASSF1A*, a number of the Ras association domain family, as a candidate of TSG plays a crucial function in cell cycle regulation, apoptosis, and microtubule stability by regulating the Raf-Ras pathway; p16, as an inhibitor of cyclin-dependent CDK4 and CDK6, arrests cell cycle by preserving activation of Rb. In addition, *GSTP1*, a type of GSTs that catalyze the conjugation of carcinogens, natural toxins, and exogenous drugs, is involved in attenuating hepatocarcinogenesis due to exposure to toxins (e.g., alcohol or aflatoxin), although it does not interfere with the pathways. It has been reported that hypermethylation of each of the three TSGs is an early event in HCC (Wong *et al.*, 1999; Wang *et al.*, 2006; Chan *et al.*, 2008).

The most interesting TSG is *ELF*, which is a crucial modulator of TGF- β signaling. *ELF*, as an adaptor of Smad3/ 4, associates with TGFR, facilitating the complex translocation to the nucleus. Recent study has shown that *ELF* expresses in the normal human liver progenitor cells but not in STAT3/Oct4-positive cancer stem cells of HCC, implying the inhibition effect of *ELF* on hepatocarcinogenesis (Lin *et al.*, 2009). However, what leads *ELF* to lose expression in HCC stem cells is not yet understood in detail. Thus, we supposed that *ELF* might be silenced by its promoter methylation similar to the inactivation mechanism of most TSGs.

Since silence of *ELF*, *RASSF1A*, *p16*, and *GSTP1* is intimately implicated in hepatocarcinogenesis, we selected promoter methylation of the four genes as a biomarker for screening HCC among high-risk populations.

To the best of our knowledge, this was the first study to demonstrate that a high frequency of DNA methylation of *ELF* gene promoter was detected in HCC, which gave a new sight to study the mechanisms of *ELF* involving in the pathogenesis of HCC and its application in prognosis. In the

meantime, the present study confirmed that the methylation frequency of *ELF*, *RASSF1A*, *p16*, and *GSTP1*, the average number of methylated genes or methylation frequencies with combination of at least two or three genes in HCC tissues were significantly higher than in nontumor, which is in consistence with previous reports (Lee *et al.*, 2003; Yang *et al.*, 2003; Wang *et al.*, 2006; Li *et al.*, 2010). All these results suggest that events of DNA methylation had taken place even early in the liver injury stages, and a trend of increase of methylated genes occurred during progression of HCC.

In our experiment, the aberrant hypermethylation of four genes *ELF*, *RASSF1A*, *p16*, and *GSTP1* was observed in plasma samples with the positive results of the matched HCC tissues, which is concordant with the previous study regarding *p16* and *GSTP1* (Wong *et al.*, 1999, 2000, 2003; Wang *et al.*, 2006), indicating the refection of the epigenetic changes of corresponding tumors. It should be noted that *p16* and *GSTP1* were found to be methylated in the plasma sample of one LC case, but not in the matched liver lesion. A possible explanation for the discordance is that the methylation of a given gene might occur in some nodules, which were missed being collected and analyzed.

The combination between aberrant plasma DNA methylation status and serum AFP may offer a promising approach to improve the sensitivity of the noninvasive diagnosis of early HCC, since in our study, the plasma status with at least one methylated gene and the serum \rm{AFP}_{400} were taken into account together, and the diagnostic sensitivity in HCCs could improve to 93.55%. These results imply that once studies with a larger sample size confirm our idea, a better way of screening the high-risk population will come into use, benefiting further the monitoring, diagnosis, and treatment of early HCC in LC patients.

It has been shown that methylation of TSGs is intimately involved in the development and progression of tumor (Zhang, 2015). In this study, the clinicopathological features of HCC patients were analyzed in association with methylation of either an individual gene or cumulative patterns, respectively. Portal vein embolus was found to be more frequent in group 1 (with more methylated genes in tumor than nontumor) than in group 2 (with equal number of methylated genes in tumor and nontumor). In the previous study, the portal vein embolus was a major determinant of the outcome of HCC patients, and the survival rate was lower for those with portal vein embolus (Shirabe *et al.*, 2009). In addition, it was reported that HCC patients with methylation of *GSTP1* or *E-cadherin* showed a poorer survival than those without (Lee *et al.*, 2003). In view of the follow-up data of only 1 year in our study, this could be a limitation for the prognosis evaluation, which prompted us to investigate more TSGs and to collect more follow-up data in further studies.

In conclusion, this study revealed human methylation status of *ELF*, *RASSF1A*, *p16*, and *GSTP1* in both plasma and liver tissues along the progression of hepatocarcinogenesis, including benign lesion, LC, and HCC. We demonstrated that the methylation of TSGs tend to accumulate during a multistep hepatocarcinogenesis. Our present data also showed that the combination between plasma methylation of a panel of gene markers and the serum AFP may be useful for HCC screening for high-risk individuals and for the monitoring of LC. However, further large studies are still required to confirm our current findings and to identify new noninvasive biomarkers with higher accuracy for screening populations of high risk and for the diagnosis of HCC.

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Author Disclosure Statement

No competing financial interests exist.

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