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## Biocompatible optically transparent MEMS for micromechanical stimulation and multimodal imaging of living cells

Raffaella Fior<sup>1,5</sup>, Jeanie Kwok<sup>2,3</sup>, Francesca Malfatti<sup>4</sup>, Orfeo Sbaizero<sup>5</sup>, and Ratnesh Lal<sup>1,2,3,\*</sup>

<sup>1</sup>Department of Bioengineering, University of California, San Diego, La Jolla, 92093, USA

<sup>2</sup>Department of Aerospace and Mechanical Engineering, University of California, San Diego, La Jolla, 92093, USA

<sup>3</sup>Materials Science and Engineering Program, University of California, San Diego, La Jolla, 92093, USA

<sup>4</sup>Scripps Institution of Oceanography, University of California, San Diego, La Jolla, 92093, USA

<sup>5</sup>Department of Engineering and Architecture, University of Trieste, Trieste, 31400, Italy

### Abstract

Cells and tissues in our body are continuously subjected to mechanical stress. Mechanical stimuli, such as tensile and contractile forces, and shear stress, elicit cellular responses, including gene and protein alterations that determine key behaviors, including proliferation, differentiation, migration, and adhesion. Several tools and techniques have been developed to study these mechanobiological phenomena, including micro-electro-mechanical systems (MEMS). MEMS provide a platform for nano-to-microscale mechanical stimulation of biological samples and quantitative analysis of their biomechanical responses. However, current devices are limited in their capability to perform single cell micromechanical stimulations as well as correlating their structural phenotype by imaging techniques simultaneously. In this study, a biocompatible and optically transparent MEMS for single cell mechanobiological studies is reported. A silicon nitride microfabricated device is designed to perform uniaxial tensile deformation of single cells and tissue. Optical transparency and open architecture of the device allows coupling of the MEMS to structural and biophysical assays, including optical microscopy techniques and atomic force microscopy (AFM). We demonstrate the design, fabrication, testing, biocompatibility and multimodal imaging with optical and AFM techniques, providing a proof-of-concept for a multimodal MEMS. The integrated multimodal system would allow simultaneous controlled mechanical stimulation of single cells and correlate cellular response.

### KEY TERMS

MICROELECTROMECHANICAL SYSTEMS; MICROFABRICATION; ATOMIC FORCE MICROSCOPY; CELL STRETCHING; MECHANOSENSITIVE ION CHANNELS

## INTRODUCTION

Biomechanical forces play an important role in key physiological processes, including embryogenesis,<sup>1</sup> wound healing,<sup>2</sup> and tumorigenesis.<sup>3</sup> Cells interact with the extracellular matrix by sensing exogenous forces or exerting endogenous forces within their surroundings to regulate important cellular responses such as gene expression, proliferation, differentiation, and migration. However, the mechanistic roles of biomechanical forces are not well understood due to limited tools and methods that can simultaneously perform controlled mechanical stimulation and biological characterization at the molecular level. A more detailed understanding of mechanobiology is underway with the development of tools and techniques of modern biotechnology including microfabricated devices termed microelectromechanical systems (MEMS).

MEMS are a class of miniaturized devices fabricated using silicon micromachining techniques to create micro-to-nanoscale mechanical and electrical components to be used as sensors and/or actuators. MEMS sensors, such as microcantilever sensors,<sup>4–6</sup> microelectrode and micropost arrays,<sup>7–9</sup> are used to measure forces generated by biological systems with high sensitive. Microactuators, such as linear actuators, pressure transducers,<sup>10</sup> micropumps and microvalves<sup>11</sup>, demonstrate controlled mechanical and electrical functions using highly precise actuation systems such as comb drive motors,<sup>12–14</sup> voice coil actuators,<sup>15</sup> thermoelectric and piezoelectric actuators.<sup>16–19</sup> MEMS provide a versatile platform for mechanobiological studies due to their integrated electromechanical capabilities at the micro-to-nanoscale, the length scale at which cells and tissue operate. MEMS sensors and actuators have been successfully used to simulate *in vivo* biomechanical stimuli in *in vitro* cell culture.<sup>20, 21</sup>

At the focus of mechanobiology, tensile stretch is one of the most important biomechanical stimuli for tissue morphogenesis, differentiation, and homeostasis. Cells and tissue are continuously subjected to mechanical stretch during membrane deformation. Several tools and techniques have been designed to apply forces to living cells and tissue. For example, flexible substrates have been used to study mechanical stretching of large cell populations and tissue, but not single cells.<sup>22</sup> Techniques used to apply forces to single cells include micropipette aspiration,<sup>23</sup> magnetic and optical tweezers,<sup>24, 25</sup> and MEMS. MEMS provide the highest accuracy and control over sensing and manipulation within the physiological range of biomechanical forces.<sup>20,21,26</sup> MEMS stretching devices, such as comb drive actuators<sup>14</sup> and piezoelectric nanoribbons,<sup>19</sup> apply tensile deformation to the entire basal membrane of adherent cells, which enable the investigation of cell-matrix interactions. While these devices encompass highly sensitive mechanical capabilities, electrical issues still pertain when operating in liquid and physiological environments such as cell culture.

Furthermore, analysis of the systems provides mostly quantitative measurements of the forces experienced by the cells. Further biological characterization at the cellular and molecular level is limited due to inaccessibility for direct, real-time, and simultaneous observation of cells. Integration of MEMS with optical imaging and complementary investigational modalities would enhance biological applications, but will require a transparent substrate and open interface architecture of the device.

Here we describe the design and fabrication of a biocompatible and optically transparent MEMS, providing a proof-of-concept device for single cell and tissue mechanobiological studies. The silicon nitride microfabricated device employs a mechanical spring platform that can be actuated by an off-chip micromanipulator. Actuation of the device can apply to biological samples a wide range of forces from milli-to-nanoscale, which exceeds the force limitations of existing MEMS devices. Optical transparency of the device allows for simultaneously imaging by optical and fluorescence microscopy in real-time. The open architecture of the device allows for the coupling of other biophysical techniques such as atomic force microscopy (AFM) to monitor changes in structural and biophysical properties. The novelty of this device lies in its inherent versatility with open-ended applications in facilitating the study of mechanobiology.

## MATERIALS AND METHODS

### Microfabrication process

The device was fabricated using silicon-based microfabrication techniques (Fig. 1). To create a fully transparent device, borosilicate glass wafers (Pyrex 7740; Corning, NY) were used as the substrate and a transparent active form of silicon nitride was used to realize the structures of the device. Silicon nitride is known to have favorable material properties that promote biocompatibility.<sup>27</sup>

Prior to fabrication, the wafers were cleaned using Piranha solution ( $\text{H}_2\text{SO}_4$ :  $\text{H}_2\text{O}_2$  = 4:1) to remove all organic contaminants. A 2  $\mu\text{m}$  thick sacrificial layer of amorphous silicon ( $\alpha$ -Si) was then deposited onto the wafer using RF-sputtering (Discovery 18, Denton Vacuum, Moorestown, NJ) (Fig. 1B). This sacrificial layer was used to form the mold for the dimples and the anchoring points, which were patterned by photolithography via a Microposit S1813 soda lime mask and the Karl Suss MA6 Mask Aligner (Fig. 1C, E). The mold was then etched away using the Oxford Plasmalab P100 (Oxford Instruments, Frankfurt, Germany) (Fig. 1D, F). The photoresist was removed using acetone and the wafer was deep cleaned with Piranha solution.

A transparent active layer  $\text{SiN}_x$  was subsequently deposited at a thickness of 2  $\mu\text{m}$  by plasma enhanced chemical vapor deposition (PECVD) using the Oxford Plasmalab system (Oxford Instruments, Frankfurt, Germany) (Fig. 1G). This layer which is the active layer of the device, was patterned using a 100 nm evaporated chromium mask (BJD 1800, Temescal, CA) and a liquid chromium etchant solution ( $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{COOH}$  and  $(\text{NH}_4)_2\text{Ce}(\text{NO}_3)_6$ ) (Fig. 1H). Finally, the structures were released in a single layer using dry etching by xenon difluoride (Xetch e1Series™, Xactix, Inc., Pittsburgh, PA), which dissolved all the residual  $\alpha$ -Si beneath the devices (Fig. 1I). The dimples on the single layer device prevent static friction during actuation while the anchors fix the suspended silicon nitride structures to the glass substrate at isolated points (Fig. 1J).

### Cell culture for device loading

NIH/3T3 mouse embryonic fibroblast cell line was obtained from ATCC and maintained in accordance to their recommended protocol. The cells were cultured in Dulbecco Eagle

modified medium (DMEM) (Gibco® Life Technologies, USA) supplemented with 10% fetal bovine serum (FBS, Gibco® Life Technologies, USA) and 1% penicillin-streptomycin (Gibco® Life Technologies, USA) at 37°C and 5% CO<sub>2</sub>. Upon partial confluency (~70%), the cells were removed from culture and transferred to the devices. First, the cells were rinsed with phosphate buffered saline (PBS, Gibco® Life Technologies, Carlsbad, CA) to remove traces of serum components, then incubated in 0.05% Trypsin-EDTA (1x) (Gibco® Life Technologies, Carlsbad, CA) for 2 to 3 minutes and neutralized in culture medium. The cells were then centrifuged into pellet form and resuspended in fresh culture medium. A small volume (400 µL) of this solution was directly pipetted onto the device, where the cells were allowed to adhere on the platform. Once the cells adhered, more culture medium was added to submerge the devices as they continued to be cultured in 5% CO<sub>2</sub> and 37°C. Cells and system were visualized with an Olympus inverted microscope. The system was actuated using a micromanipulator such as the Cell Tram vario-TransferMan NK2 Eppendorf system (Hamburg, Germany).

### Fluorescence imaging

Cell viability assay was carried out on the cells to test the biocompatibility of the device. Briefly, the cells were washed free of serum components with PBS, incubated in Calcein AM (Life Technologies) for 30 minutes, and then rinsed with Hank's balanced salt solution (HBSS). Live cells were distinguished by an intense uniform green fluorescence with excitation wavelengths of ~495 nm and emission of ~515 nm. The green fluorescence results from the hydrolysis of acetoxymethyl (AM) ester by ubiquitous intracellular esterase activity. Cells were imaged in an onstage incubator system (20/20 Technologies, Wilmington, NC) mounted on an Olympus IX71 equipped with FITC filter set (EX/EM: 480/536 nm) (Semrock, Rochester, NY).

Fluo-4 NW calcium assay (Life Technologies) was also carried out on the cells to detect intracellular calcium. Briefly, the culture medium was replaced with the dye loading solution and incubated for 30 minutes at 37°C and then for an additional 30 minutes at room temperature.

### AFM imaging

Topographic imaging of cells by atomic force microscopy (AFM) was performed with either MFP-3D BIO (Asylum Research, Santa Barbara, CA) or BioScope SZ AFM (Bruker, Santa Barbara, CA). The MFP-3D BIO is integrated on an Olympus IX51 inverted microscope and the BioScope SZ on an Olympus IX71 (Shinjuku, Tokyo, Japan). Silicon nitride triangular cantilevers with a nominal spring constant of 0.02 N/m were used (TRP400PSA; Asylum Research, Santa Barbara, CA). Live cells were imaged in 2 mL of HBSS diluted in 20 mM of HEPES buffer at room temperature. Height and deflection images were acquired in contact mode scanning over 30 µm × 30 µm to 50 µm × 50 µm regions at scan rates between 0.2 and 0.5 Hz. Fixed cells were subsequently imaged by AFM. To fix the cells, the devices were incubated in 4% paraformaldehyde diluted in PBS for 20 minutes at room temperature and then replenished with PBS. Imaging of fixed cells was carried out with similar AFM scan parameters as live cells.

AFM image analysis was performed using the NanoScope Analysis Version 1.40r51 software (Santa Barbara, CA). Images were processed by low-pass filtering and flattening to remove noise. Simultaneous optical and AFM images were also obtained of the cell-loaded devices using the AFM-inverted microscopes.

## RESULTS

### Device design and actuation

A two-stage testing platform operated by spring-based actuation system was designed to apply controlled mechanical stretch to a single cell, small cell populations or tissue sections (Fig. 2). The testing platform ( $250\ \mu\text{m} \times 200\ \mu\text{m}$ ), where samples of tissue and cells are to be placed, consists of a fixed stage anchored to the substrate and, adjacent to it, a suspended stage connected to a pair of asymmetric springs (Fig. 2A). Linear displacement of the suspended stage applies uniaxial tensile stretch to the adherent sample. This actuation is applied at a separate platform, which is connected to the platform-springs via a transmission shaft (Fig. 2B–C). This design of a two-stage platform coupled with spring-based actuation, excluding the transmission shaft, was previously developed.<sup>28, 29</sup> The transmission shaft was incorporated here to extend the length of the device for ease of interfacing the device with AFM. The transmission shaft incorporates three pairs of repositioning springs, each fixed by two single anchor points (see Fig. 2) that limit the stretch of the springs. The repositioning springs are also designed to retract by themselves therefore enabling both linear displacement as well as cyclic strain. The device was fabricated using silicon-based microfabrication techniques as outlined in Fig. 1.

The device is actuated with an off-chip micromanipulator to reposition the actuation platform. A micromanipulator was used to approach the actuation platform using the tip of a pulled glass capillary tube ( $\sim 50\ \mu\text{m}$  diameter), to mechanically displace it by controlled distances at micron scale. As the device is actuated, the repositioning springs are stretched, which in turn displace the suspended platform thereby applying mechanical stretch to the sample. Cyclic strain can be applied to the sample using a cyclic input (e.g. square wave) from an external manipulator. This proof-of-concept of device actuation was recorded in real-time with optical microscopy at 10x magnification (Fig. 3).

### Principle of operation

The device can operate as both a sensor and actuator, resolving forces according to Hooke's law ( $F = -kx$ ), where the spring constant, 'k,' is determined via finite element modeling of the springs ( $k = 0.0166\ \text{N/m}$ ) and the displacement, 'x,' of the platform is measured.<sup>28</sup> The measured displacement is determined by reading a micro-scale reference ruler positioned alongside the suspended stage (Fig. 2A). At its resting position, the two-stage platform lays  $2\ \mu\text{m}$  apart. The maximum displacement of the device is limited by the distance between the edge of the repositioning springs ( $k = 1.03\ \text{N/m}$ ) and the anchor point, which is  $75\ \mu\text{m}$  (Fig. 2C).<sup>28</sup> The device was designed to measure forces between 800 to 1300 nN, which is well within the range of physiological biomechanical responses.<sup>26</sup> The accuracy of the measurements taken on this device depends on two main factors: i) the resolution of the

microscope objective and ii) the actual thickness of the silicon nitride ( $2.00 \pm 0.01 \mu\text{m}$ ). The predicted tolerance of the device using a 20X objective is within  $\pm 33 \text{ nN}$ .

### Single cell stretching and contraction

Fibroblast cells were successfully cultured on the device at a low cell density which allowed single cells to attach on the testing platform. A single fibroblast cell was stretched by displacing the testing platform by 2, 6, and 10  $\mu\text{m}$  using a micromanipulator (Fig. 4A–D). By releasing control of the micromanipulator, the cell was allowed to contract as the testing platform returned to its resting position (Fig. 4E). This demonstrates the proof-of-concept of the device for single cell micromanipulation.

### Analysis of biocompatibility and optical transparency

Biocompatibility of the device was demonstrated using fibroblast cells, which are known to be mechanosensitive, expressing stretch-activated ion channels that regulate calcium transients.<sup>30</sup> Fibroblast cells were cultured onto the devices and appeared to attach to the surface of the platform with a well-spread morphology similar to that of healthy fibroblast cells plated on a regular petri dish surface. Surface functionalization of the device is not necessary for cell adhesion. There was also no observation of cell death or other significant cytotoxic effects, which was further examined by a fluorescence-based cell viability assay. This showed a uniform distribution of green fluorescence of the cells across the device, shown in Fig. 5, also demonstrating the optical imaging capacity of the transparent device. We conclude that the silicon nitride device is biocompatible and suitable substrate for the fabrication of transparent devices for biomedical and bioimaging applications. Fibroblast cells loaded were also treated with a fluorescence-based calcium assay, Fluo-4 NW, which detected the presence of intracellular calcium simultaneously (Fig. 6).

### Simultaneous optical and AFM imaging of cell-loaded device

The transparent device was coupled to a multimodal imaging system, incorporating bright field and fluorescence microscopy as well as AFM (Fig. 7). AFM is widely used to study mechanobiology, that when coupled with MEMS, can be used to examine cell morphology and mechanical properties such as elasticity and stiffness.<sup>31–39</sup> Herein, live fibroblast cells loaded on the device were imaged by AFM (Fig. 8A–B). AFM imaging of the fixed cells also revealed pronounced cellular features including cell protrusions (Fig. 8C).

## DISCUSSION

We present the design, fabrication, and testing of a biocompatible, optically transparent and open design device for mechanobiological studies of single cells and tissues. The design of the testing platform enables both force sensing and manipulation at the milli-to-nanoscale, exceeding the force limitations of existing systems (e.g. silicone-based micropost array<sup>8–9</sup> and microtissue force gauge,<sup>40</sup> microcantilever-based force sensors,<sup>4–6,41</sup> comb drive actuators,<sup>12,19</sup> and piezoelectric nanoribbons<sup>19</sup>). In silicone-based microsystems, force measurements are limited by the elastic moduli of the silicone material (1.32–2.97 MPa).<sup>42</sup> This range is ideal for measuring forces at a much smaller resolution (e.g. cellular focal

adhesions<sup>8-9</sup>). On the other hand, our device enables force sensitivity of 800 nN to 1500 nN as theoretically determined by finite element modeling that was previously published.<sup>28</sup>

Herein, device actuation was demonstrated by an off-chip micromanipulator excluding the use of any internal on-chip electrical components to avoid electrical issues that pertain when operating in liquid. By actuating the device, we have shown single cell micromanipulation of stretching and contraction. Future work should develop a cell patterning technique to seed cells only on the testing platform to prevent cells from attaching on other parts of the device. This will improve the functionality of both the sensor and actuation mechanism of the device.

In addition, the biological data supports the biocompatibility of the device, a major hurdle in MEMS and lab-on-chip applications. Optically transparency of the device also presents a novel aspect that contributes to MEMS technology for biological applications. We show that silicon nitride can be microfabricated as a transparent substrate that transmits light for the application of optical imaging techniques. Therefore, in principle the device can be coupled with any fluorescence-based reporter systems to investigate biological characteristics at the molecular level. Moreover, the open interface of the device can be easily coupled with other biophysical techniques. The proof-of-concept MEMS described here can be applied to the study of basic physiological and biological systems as well as defining the underlying mechanisms of pathophysiology and diseases, including signaling pathways and protein activity.

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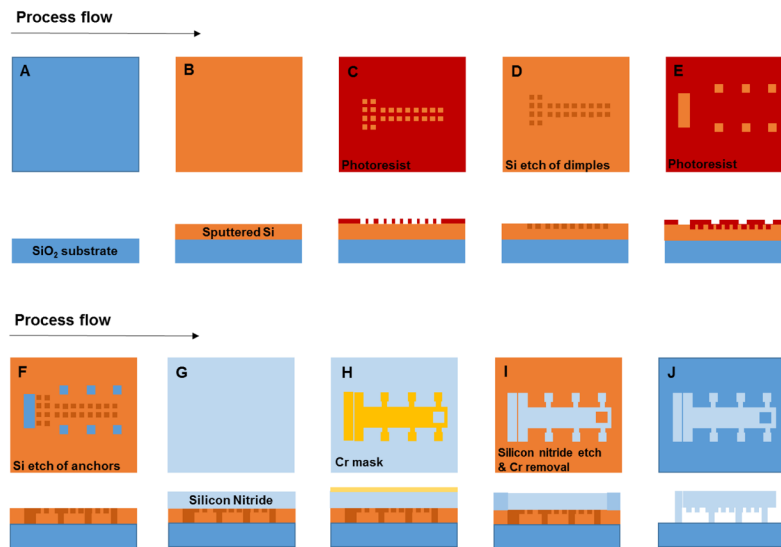
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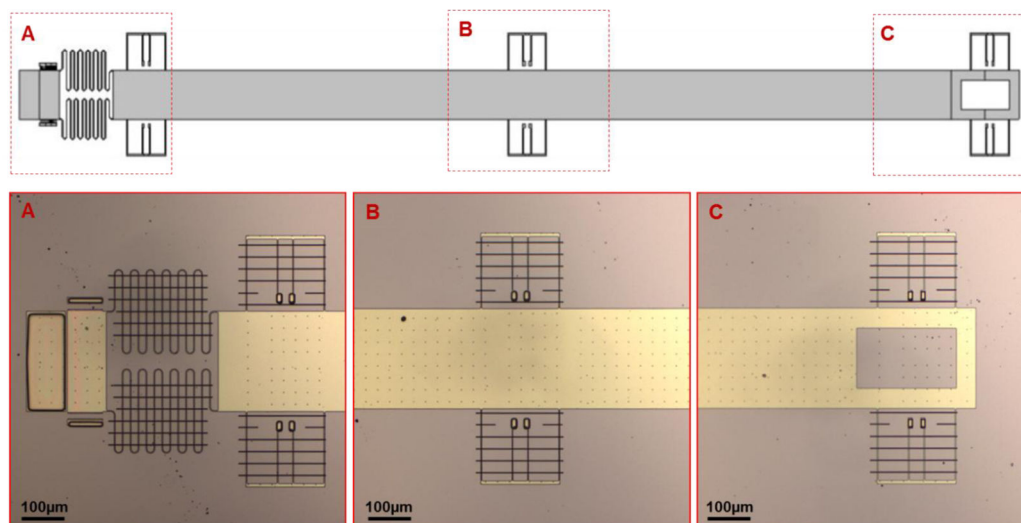


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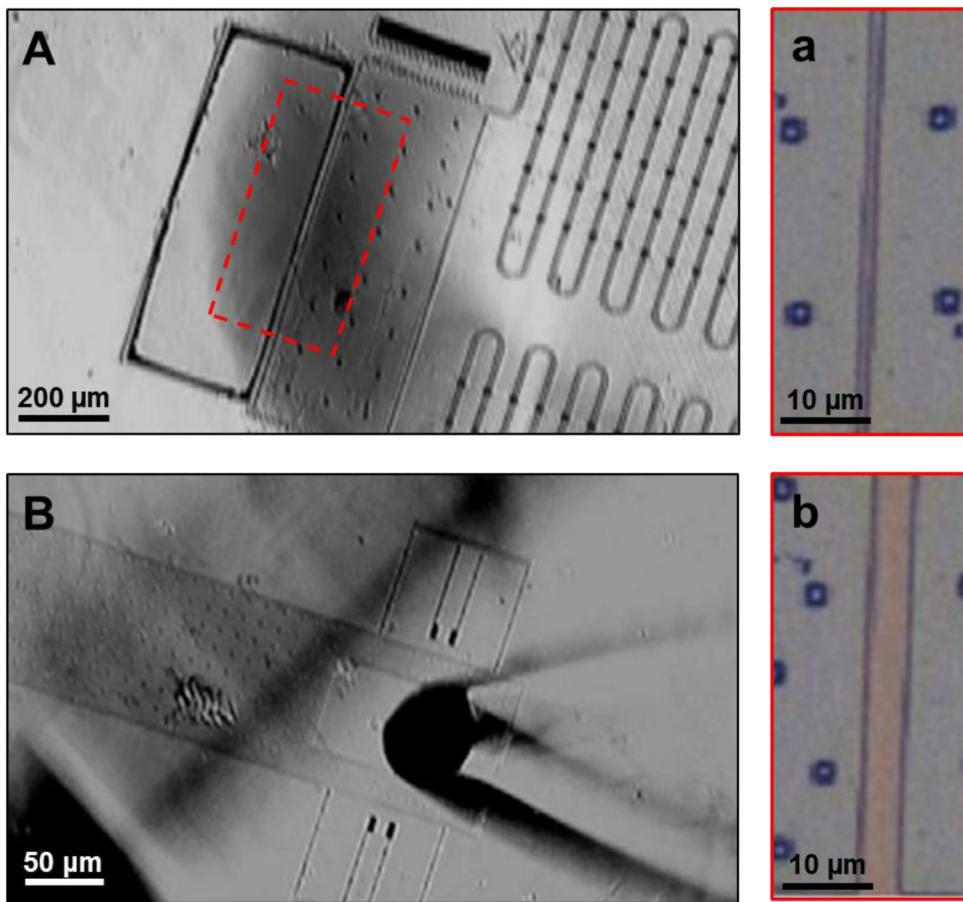
**Figure 1.**

Top view of the whole device showing integral mechanical parts (outlined in red). (A) Testing platform consists of a two-stage (1, 2) suspended platform coupled to a pair of asymmetric springs (3). Stage 1 is the fixed stage and stage 2 is the translatable stage (B) Transmission shaft, intended to extend the length of the device, connects the testing and actuation platform and consists of three pairs of repositioning springs. Maximum displacement is determined by the limit of the repositioning springs (black arrows) (C) Actuation platform can be repositioned by an off-chip micromanipulator.

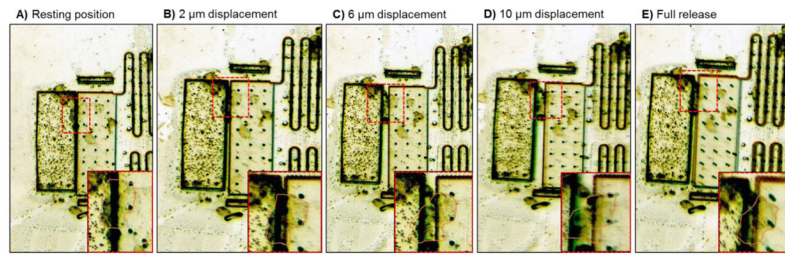


**Figure 2.**

Cross-section of the microfabrication process of the device. (A) The starting substrate is a borosilicate glass substrate (blue). (B) A 2  $\mu\text{m}$  thick  $\alpha\text{-Si}$  layer (yellow) is deposited via RF sputtering technique. (C – F) The molds for anchors and dimples are formed by photolithography and dry etch. (G) A 2  $\mu\text{m}$  thick layer of  $\text{SiN}_x$  (light blue) is deposited by PECVD. (H) Etching of the structures is realized using 100 nm evaporated chromium patterned with a liquid etchant solution. (I) Etching of  $\text{SiN}_x$  is carried out using reactive ion etching. (J) Xenon difluoride etching is used to dissolve the  $\alpha\text{-Si}$  layer leaving the structures suspended.

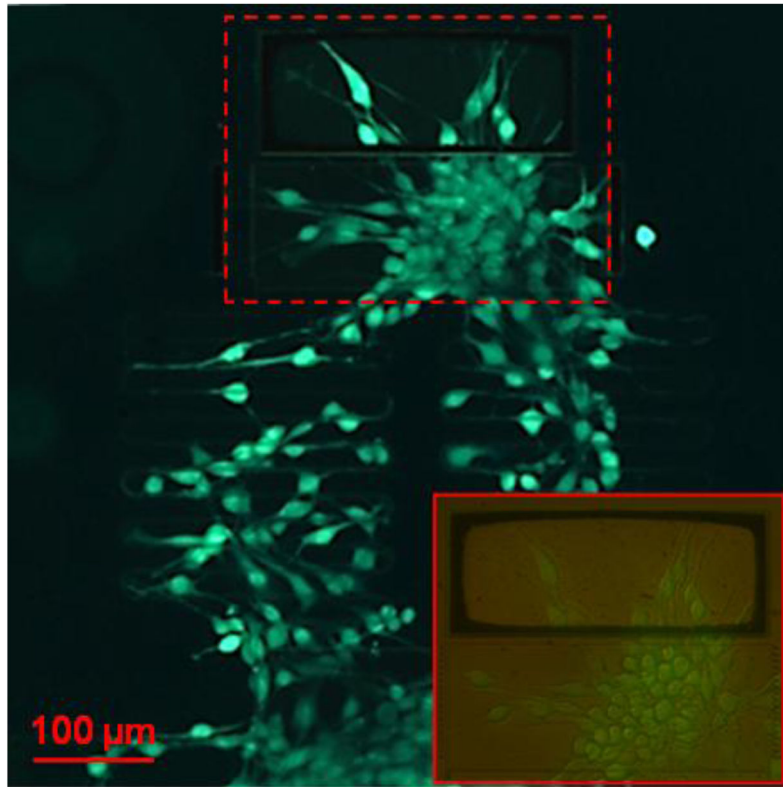


**Figure 3.** Time-lapse images of device actuation. (A) Image showing the testing platform at its starting position, which is zoomed in (a). The distance between the fixed stage (1) and the translatable stage (2) is narrow (black arrows). (B) Image (left) showing the actuation platform with a microcapillary tip in action, which is used to mechanically actuate the testing platform. (b) The distance between the fixed and movable stage has widened (black arrows).

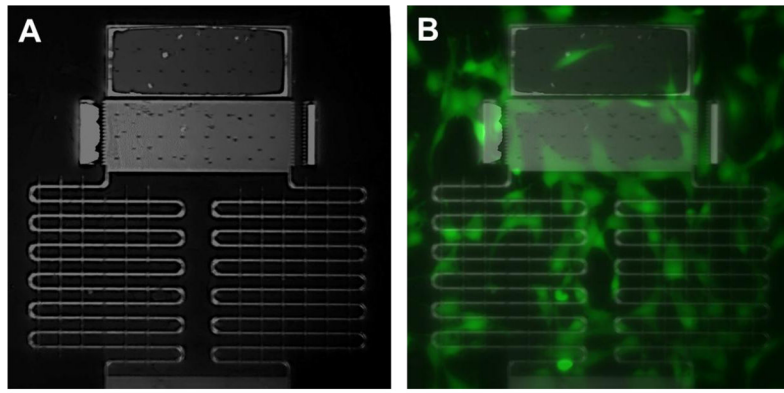


**Figure 4.**

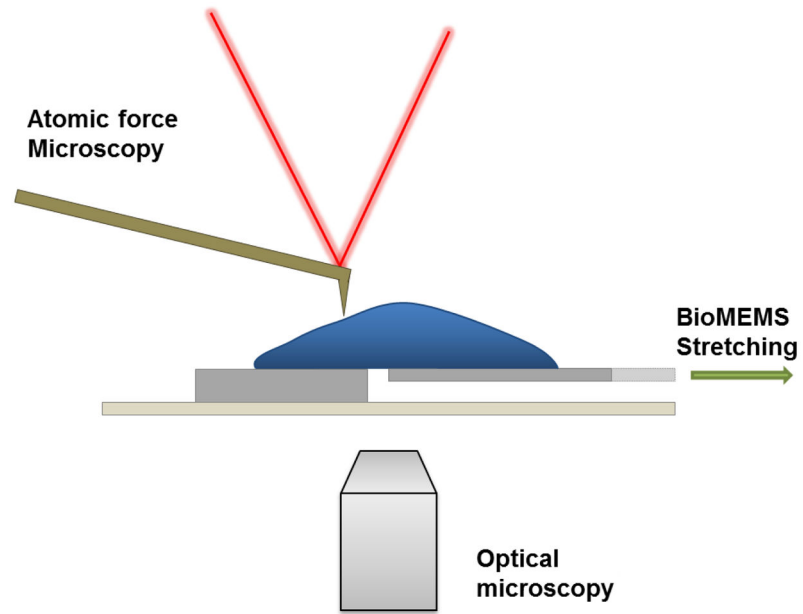
Time-lapse images of single cell stretching and contraction. (A) Image showing the testing platform at its resting position. Inset magnifies the testing platform to show a single cell (outlined in red) attached across the junction of the platform. (B–D) Images showing the testing platform being displaced by 2, 6, and 10  $\mu\text{m}$  using a micromanipulator. Inset magnifies the testing platform to show the single cell (outlined in red) being stretched by the platform. (E) Image showing the testing platform when full released by the micromanipulator allowing the single cell to contract (outlined in red in inset).



**Figure 5.** Fluorescence images of cell viability assay showing fibroblast cell viability and device compatibility. Inset magnifies the testing platform (outlined in red) by 20X to show individual cells stretched across the platform.

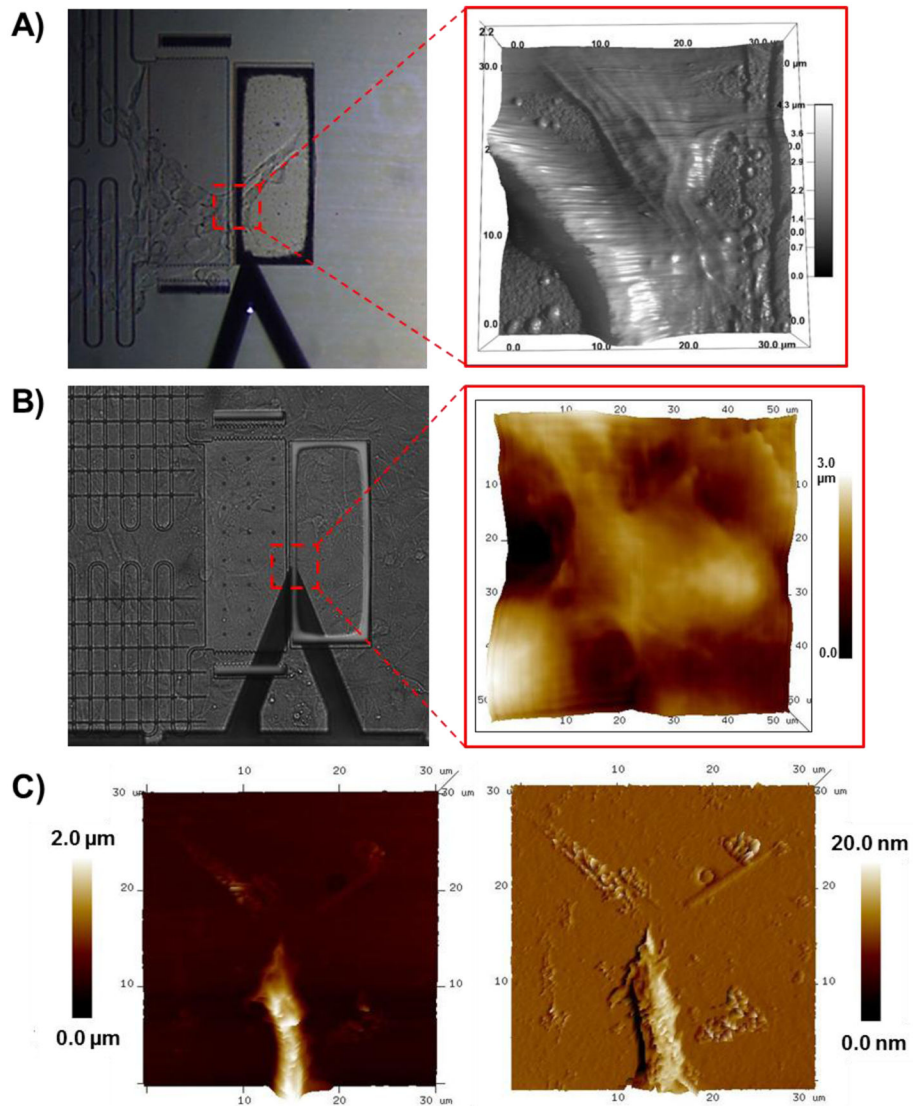


**Figure 6.** Fluorescence images of calcium assay (Fluo-4) of live fibroblast cells loaded on the device. (A) Image shows the testing platform in detail. (B) Image of fluorescence overlay (taken at a wavelength of 498 nm) showing intracellular calcium in fibroblast cells.



**Figure 7.** Schematic of the integrated MEMS incorporating optical and AFM imaging. The device can be placed on top of a stage to allow for optical and AFM imaging.





**Figure 8.** Phase contrast images (left) of the testing platform showing AFM cantilever approach and the corresponding scan area (outlined in red) of AFM height images (right) of (A) a live fibroblast cell and (B-C) fixed cells.