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The Biological Basis of Osteoarthritis: State of the Evidence

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Abstract

Purpose of Review—Evidence accumulated since 2010 indicates that human osteoarthritis should now be reclassified as a systemic musculoskeletal disease rather than a focal disorder of synovial joints.

Recent Findings—Inflammation was seen as the key component promoting synovitis as well as progression of cartilage and bone destruction in osteoarthritis. Thus, metabolic-triggered inflammation involving cytokines, adipokines, abnormal metabolites, acute phase reactants and even complement, all appear to play major roles in OA pathophysiology. Immune-mediated inflammation involving T- and B-cells as well as macrophages is now considered a common finding in OA synovial tissue. Many experimental and clinical analyses showed that the pro-inflammatory cytokines which stimulate matrix metalloproteinase and a disintegrin and metalloproteinase with thrombospondin motif gene transcription in normal and OA human chondrocyte cultures are also present at significantly elevated levels in the synovial fluid of OA patients compared to non-arthritic synovial fluids.

Summary—Human osteoarthritis is a systemic musculoskeletal disorder involving activation of innate and adaptive immune systems accompanied by inflammation exemplified by the elevated production of pro-inflammatory cytokines which play a significant role in the progression of the disease. The future of novel therapies for osteoarthritis should consider developing drug development strategies designed to inhibit pro-inflammatory cytokine-induced signal transduction. These strategies have been successful in the development of drugs for the treatment of rheumatoid arthritis.

Keywords

A disintegrin and metalloproteinase with thrombospondin motif; adipokines; cytokines; innate and adaptive immunity; matrix metalloproteinases

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Conflicts of Interest: None

Introduction

The pathogenesis of human osteoarthritis (OA) was, for most of the 20th century, characterized merely as a “wear-and-tear” mechanically-driven focal musculoskeletal disorder associated with the ageing process [1] for which no medical intervention besides joint replacement surgery would “cure.” It was only a little more than 10 years ago when Pelletier, Martel-Pelletier and Abramson “re-conceptualized” human OA as an inflammatory disease of diarthrodial synovial joints for which the inflammation characterized as “non-classical” [2]. Thus, they Pelletier et al. [2] contended that “non-classical” inflammation contributed to OA synovitis [3–6] and its pathology, but more importantly inflammation was viewed as the key component in promoting the progression of OA cartilage and bone destruction. In fact, Berenbaum noted [7] that, in addition to inflammatory changes which clearly alter articular cartilage homeostasis, subchondral bone may also play substantial role in the OA process, not only by acting to dampen mechanical responses but also serving as a primary source and reservoir for inflammatory mediators implicated in both the pain pathways associated with OA as well as in the degradation of the deep layer of articular cartilage.

More recently, Cicuttini and Wluka [8] proposed that OA also be reclassified as a systemic disease rather than a focal disease of the joints. Thus metabolic-triggered inflammation involving cytokines, adipokines, abnormal metabolites, acute phase reactants, Vitamin D deficiency and dysregulated microRNA metabolism all appear to play major roles in OA pathophysiology [9].

As we enter the middle of the second decade of the 21st century, this novel and seminal contra point to reclassify OA as an inflammatory, systemic disease with abnormal metabolic overtones has been confirmed through many systematic analyses of sera, synovial tissue, synovial fluid, articular cartilage and subchondral bone. Even more than inflammation simply contributing to OA, OA also appears to involve altered innate and adaptive immunity which was totally unforeseen until activated T-cells were immunolocalized to the synovial tissue of human OA joint specimens [10]. In fact, immune-mediated inflammation is now considered to be a common finding in OA synovial tissue where immune cell infiltration and cytokine secretion is a prominent finding [11].

Recently, Haseeb and Haqqi [12] proposed a model of OA pathogenesis in which multiple aberrations in genetic, homeostatic and/or mechanical factors described by earlier investigators were incorporated. These abnormalities could include genetic mutations, a skewed balance between anabolic and catabolic cytokines and growth factors as well as evidence for subtle anatomic joint dysplasias contributing to the mechanical abnormalities associated with OA. Taken together these factors would then cause cartilage injury and as a result destroy the integrity of articular cartilage that would potentially expose sequestered cartilage-specific autoantigens to the immune system. Thus, newly produced cartilage autoantigens would then have the capacity to promote immune activation resulting in the migration, adhesion and retention of T-cells, B- cells and macrophages in joint synovial tissue. In this fashion, cytokines and chemokines would be secreted from activated immune cells which, in all likelihood, also involve activated complement components as well.

Now we know that in addition to T-cells, B-cells and macrophages, the degradation of the articular cartilage extracellular matrix proteins in OA is brought about by the concerted activity of several matrix metalloproteinases (MMPs), but most prominently, MMP-1 (collagenase-1), MMP-3 (stromelysin-1), MMP-2, (72kDa gelatinase), MMP-9 (92kDa gelatinase) and MMP-13 (collagenase-3) [13, 14] as well as another class of enzymes, a disintegrin and metalloproteinase (ADAMS) and a disintegrin and metalloproteinase with thrombospondin motif (ADAMTS) [15] of which ADAMTS-4 and ADAMTS-5 appear to be the most critical of the ADAMTS family for initiating the degradation of the large aggregating proteoglycan, aggrecan, in early OA [16, 17].

Importantly results from many experimental and clinically-based studies demonstrated that the pro-inflammatory cytokines which were shown to stimulate MMP and ADAMTS gene transcription in normal and OA human chondrocyte cultures [18, 19] were also found at significantly elevated levels in the synovial fluid of patients with OA compared synovial fluids from to non-arthritic patients. Thus, these studies also provided compelling evidence that the pro- and anti-inflammatory cytokines, tumor necrosis factor- α (TNF- α), interleukin-1 (IL-1), IL-4, IL-6, IL-15, IL-10, IL-13, IL-15, IL-17, IL-18, IL-21, as well as chemokines, such as monocyte chemoattractant protein-1 (MCP-1), fractalkine and IL-8, transcription factors, such as NF- κ B and NFAT1, members of the bone morphogenetic protein family including the *BMP*, *WNT*, *GREM1*, *FRZB* and *DKK1* genes, growth factors, such as transforming growth factor- β , vascular endothelial growth factor, and epidermal growth factor [20, 21], leukemia inhibitory factor [22, 23], hormonally-stimulated pain pathways, and OA susceptibility genes, such as *GDF5*, all appear to be vital components germane to the OA process [24–38]. Thus, it is likely that further understanding of how a balance between the pro-inflammatory and anti-inflammatory cytokine repertoire is maintained will not only reveal the complexity inherent in regulating the destruction of synovial joints in OA, but will also be instructive for designing interventional strategies that would have been completely ignored 20 or more years ago. These interventional strategies should now include ways to therapeutically limit synovial tissue inflammation and therefore the joint destruction of OA whilst also potentially stimulating articular cartilage repair.

The cytokine repertoire in synovial fluid and serum reflects their presumed role in OA

The cytokine repertoire in serum and synovial fluid from OA patients has been employed to determine the extent to which they reflect their role in OA [39]. In that regard, the results from a few studies indicated that that increased levels of serum IL-6 and CRP were consistently higher in individuals diagnosed with radiographic knee OA than in a control group [40]. In addition, Mokhtar et al [41] found that 57.1% of the cartilages obtained from OA patients who underwent total knee replacement contained elevated levels of IL-6 compared to normal cartilage. Furthermore, serum levels of IL-6 and TNF- α were associated with cartilage loss (measured by joint space narrowing) in older adults with radiographic evidence of OA [42]. However, whereas baseline serum levels of IL-6 predicted loss of medial and lateral tibial plateau cartilage volume, this finding was independent of TNF- α . Most importantly, the TNF- α concentration in knee synovial tissue from OA subjects did not correlate with Kellgren-Lawrence (K-L) grading score, whereas IL-6 had a significantly negative relationship with K-L scores [43].

Whilst differences were detected in IL-6 and TNF- α using the Western Ontario and McMaster Universities Arthritis Index (WOMAC) an instrument to measure pain, stiffness and physical function in OA, this finding suggested that IL-6 and TNF- α played a role in synovial inflammation in OA, but in opposite directions; thus increased levels of TNF- α levels were associated with pain, whereas increased IL-6 levels were associated with joint function. Of note, Abe et al [44] concluded from their recently published study that elevated levels of IL-6 and TNF- α were highly predictive of the rate of ongoing joint destruction in OA.

With respect to other cytokines involved in the OA process, Barker et al [45] showed that evidence of early knee OA as measured by radiography, pain and muscle weakness parameters was associated with increased serum levels of TNF- α as expected, but also these patients had increased levels of IL-5, IL-6, IL-12, IL-13 compared to patients with late OA. This was also the case for serum and synovial fluid levels of IL-17 which was higher in OA patients compared with controls where synovial fluid IL-17 was increased in association with the higher K-L grade and the Lequesne index [46], the latter a scoring system for determining the severity of hip OA which has also been employed to assess the effectiveness of therapies for hip OA.

Studies which measured the concentration of IL-2, IL-5, MCP-1 and macrophage inhibitory protein-1 (MIF-1) in OA synovial fluid also showed a marked elevation in these parameters compared to synovial fluid from those subjects with little or no arthritis, indicative that IL-5 and these other cytokines were likely to play a role in OA progression [47]. Moreover, elevated levels of MIF-1 in the serum and synovial of OA patients with a K-L cartilage grade of 4 showed that MIF-1 levels were associated with the radiographic severity of OA [48].

IL-1 β levels are generally elevated in OA synovial fluid. Thus IL-1 β was considered to be an important component in OA pathogenesis and progression [49]. However, the median synovial fluid concentration of IL-1 α was shown to be increased when subjects with “mild” OA were compared to subjects with “moderate” OA, which was not the case with IL-1 β [50]. However, this finding was re-examined by Tsuchida et al [51] who found that IL-1 α and IL-1 β was markedly higher in OA cartilage than in OA synovial fluid. In addition, IL-6, IL-13, interferon- α and OSM levels in synovial fluid were higher in subjects with cartilage pathology compared to non-pathologic synovial fluids. Thus, the overall cytokine repertoire, including IL-1 β , of OA synovial fluid was primarily dependent on the extent of OA pathology. Importantly, human chondrocytes cultures derived from these pathologic cartilage samples produced a cytokine repertoire reminiscent of the very same cytokines that are elevated in OA synovial fluid.

Adipokines are a family of cytokines with a prominent role in OA

Adiponectin, a member of the adipokine family was shown to be a mediator of cartilage extracellular matrix protein degradation through its capacity to increase MMP and inducible nitric oxide synthase-II (iNOS-II) gene expression [52, 53]. Induction of MMP and iNOS-II by adiponectin also required activation of human chondrocyte adenosine monophosphate protein kinase and c-Jun-amino-terminal kinase signaling [53].

Other analyses of adipokine-induced changes associated with OA showed that 1) although adipokine levels were higher in sera from OA subjects compared to sera from non-OA subjects, there was no association between adipokine levels in OA sera and damage to articular cartilage determined by proteoglycan content and histochemical analysis of cartilage specimens [54]. However, a weak, but positive correlation, between adiponectin (as well as IL-1 β) was found with respect to the inflammatory state of OA synovial tissue; 2) Resistin, another member of the adipokine family, was found at elevated levels in OA synovial fluid [55]. Furthermore, resistin levels in OA synovial fluid correlated with the level of IL-6, MMP-1 and MMP-3. Furthermore, resistin levels in OA plasma were positively correlated with resistin levels in OA synovial fluid; 3) Nefastin-1, another member of the adipokine family, was elevated in the sera of patients with OA of the knee, but nefastin-1 levels in OA sera exceeded that of OA synovial fluid [56]. Of note, serum nefastin-1 levels were positively correlated with high-sensitivity CRP and IL-18, suggesting a relationship between nefastin-1, inflammation and OA pathology; 4) Visfatin/Nampt, another member of the adipokine family, was found to be released into the culture medium by OA synovial tissue *ex vivo* [57]. Moreover, visfatin significantly increased IL-6, keratinocyte chemoattraction factor, and MCP-1 levels by cultured chondrocytes and osteoblasts. All 3 of these molecules were decreased by adding APO866 (Daporinad) an inhibitor of Nampt enzyme activity to the culture medium. Thus, Nampt activity appeared to be involved in chondrocyte and osteoblast activation and, as such, Nampt may be another suitable target for OA by determining the extent to which inhibition of Nampt enzyme activity alters OA progression. Additional weight was added to this possibility because Yang et al [58] had previously shown that Nampt protein increased MMP-1, -12 and -13 as well as hypoxia-inducible factor-1 α mRNA in articular chondrocytes and in OA cartilage explants *in vitro*, suggesting that Nampt was likely a strong catabolic factor in determining the extent of OA cartilage damage.

Conclusions

The role of cytokines as orchestrators of OA pathogenesis and progression has been a focus of attention by basic and clinical investigators for many years. However, despite the fact that a pro-inflammatory cytokine, such as IL-1 β , was implicated in OA pathology, OA clinical trials employing several anti-IL-1 strategies have generally been disappointing because they lacked significant clinical efficacy [49, 59–61].

Unfortunately at present there are no novel US Federal Drug Administration approved anti-cytokine therapies for OA. However, recent developments have implicated other cytokines besides IL-1 in the OA process, including IL-6. In addition, showing that IL-17, IL-18, [62], IL-15 and TNF- α correlate with OA pathology has provided the impetus for focusing some attention on these cytokines as potential targets for OA therapeutic intervention. Moreover, identifying cytokines belonging to the adipokine family of proteins such as adiponectin [52, 53] and OSM [63] as mediators of OA cartilage and subchondral bone abnormalities has also been advanced due, in part, to the role that adipokines play in promoting the expression of MMP genes by chondrocytes. These findings have implicated adipokines as playing an important role in the degradation of articular cartilage extracellular matrix proteins. This advance in our knowledge base is particularly important because MMP inhibitor strategies

exploiting paradigms of medicinal chemistry [64] which were essentially designed to directly inhibit MMP activity by articular chondrocytes in OA cartilage have been unsuccessful when these MMP inhibitors were employed in OA clinical trials [65]. Because of these failures novel approaches may have to be employed to take advantage of those signal transduction pathways that are likely to govern gene expression in OA and, therefore specifically to dampen MMP gene expression [14]. However, despite failed opportunities, new tactics to develop “selective” proteinase inhibitors for ameliorating OA progression does not seem beyond the realm of possibility [66]. Therefore, the next period of basic and clinical OA research will be forced to take into account the mounting evidence which points to a targeted genomic approach to limit pro-inflammatory cytokine-induced changes in synovial joint immune-mediated inflammation. This could also include the “fine-tuning” of the chondrocyte reactive oxygen species responses which has been shown to alter chondrocyte mitochondrial function [67].

Finally, the future of OA therapeutic drug development will likely require the use of biologic approaches designed to alter the pathologic responses and activities of chondrocytes, synoviocytes and macrophages. Thus, drug development strategies such as those employed to successfully treat RA may also have to be aligned with those strategies that analyze the effects of these biologic drugs as inhibitors of OA chondrocyte, macrophage and synoviocyte MMPs [68–75]. These agents will also have to be assessed for their ability to ameliorate OA in pre-clinical animal models and then going forward tested to determine their clinical efficacy in OA clinical trials as was shown to be the case for biologic drugs in use for the treatment of RA.

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Key Points

- OA is reclassified as a systemic rather than a focal musculoskeletal disorder of synovial joints.
- Immune-mediated inflammation is now considered a common finding in OA synovial tissue where immune cell infiltration and cytokine secretion is a prominent finding.
- In addition to the changes in synovial joints orchestrated by T-cell, B-cell and macrophage infiltration of synovial tissue in OA, the degradation of articular cartilage extracellular matrix proteins is caused by the concerted activities of MMPs, ADAMS and ADAMTS.
- The repertoire of serum and synovial fluid cytokines reflect their role in the inflammatory response in OA patients. Elevated levels of these cytokines are associated with the severity of joint cartilage and subchondral bone damage.
- Adipokines are a class of pro-inflammatory cytokines that also mediate cartilage degradation in OA.