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Cognitive Impairment in Acquired Brain Injury: A Predictor of Rehabilitation Outcomes and an Opportunity for Novel Interventions

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Abstract

Cognitive impairment is a common sequela in acquired brain injury and one that predicts rehabilitation outcomes. There is emerging evidence that impairments in cognitive functions can be manipulated by both pharmacologic and nonpharmacologic interventions to improve rehabilitation outcomes. By using stroke as a model for acquired brain injury, we review the evidence that links cognitive impairment to poor rehabilitation outcomes and discuss possible mechanisms to explain this association. Furthermore, we examine nascent promising research that suggests that interventions that target cognitive impairments can lead to better rehabilitation outcomes.

Introduction

Acquired brain injury, which includes both stroke and traumatic brain injury, is a leading cause of disability in the United States and worldwide. Fifteen to 30% of adult stroke survivors in the United States are permanently disabled, and 20% require institutional care at 3 months after stroke [1], whereas traumatic brain injury results in severe disability in 150–

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200 per million people annually worldwide [2]. To combat this growing public health burden, it is imperative to develop interventions to increase the success of postinjury rehabilitation. The steps of such an endeavor would begin with identifying risk factors for poor rehabilitation outcomes. Risk factors precede the outcome of interest and divide a patient population into 2 groups: those with a high risk and those with a low risk of experiencing a particular outcome. The presence of a risk factor only increases the likelihood of a particular outcome; it does not guarantee or cause the outcome [3]. Risk factors can be considered markers or biomarkers that, in the spirit of “rehabilomics,” not only predict rehabilitation outcomes but also may be targets that can be manipulated through interventions to improve rehabilitation outcomes.

There is evidence that cognitive function is an important marker that predicts rehabilitation outcomes after acquired brain injury and, more importantly, may be modified through targeted interventions and lead to improve rehabilitation outcomes. Clearly, cognitive function is not a unitary construct, and, therefore, care must be given to specifying which aspects of cognition may be useful as “rehabilomics” biomarkers. In this review, we will use stroke as a model for acquired brain injury to review the evidence that supports the association of impairment of specific aspects of cognitive function with poor rehabilitation outcomes and, in particular, will discuss how impairments in specific cognitive domains may moderate, or interfere with, the 2 pathways to recovery: remediation and adaptation. We also will discuss how impairment of or interference with implicit learning, an often overlooked cognitive function, may diminish recovery. Finally, we will describe nascent research investigating novel interventions that target impaired cognitive functions as a means to improve stroke rehabilitation outcomes.

Cognitive Impairment and Functional Recovery after Stroke

Cognitive impairment is very common after stroke. Most studies have examined the prevalence of impairment at 3 months after stroke, because it is assumed that, by this point, any element of transient changes in cognition due to factors other than neurologic damage (eg, delirium or encephalopathy) have resolved. Among mixed-aged stroke survivors, 32%–56% of the population demonstrates cognitive impairment 3 months after stroke [4, 5, 6, 7, 8 and 9], whereas, among elderly stroke patients, almost all perform worse on most cognitive tests 3 months after stroke compared with nonstroke controls [8]. These 3-month estimates likely parallel the percentage of patients with cognitive impairment soon after stroke. These studies point to the dearth of research on cognition in the acute poststroke period and the poor understanding of the natural history of recovery of cognition in general or of specific cognitive domains over time. The cognitive functions most commonly impaired after stroke are attention and/or working memory, processing speed, and the executive functions [7, 10, 11 and 12]. Furthermore, the presence of impairments in executive functions best discriminated between stroke patients with any cognitive impairment (related to cerebrovascular disease) and those without cognitive impairment, whereas deficits in attention and concentration best discriminated patients with dementia from those with less-severe deficits [7].

Importantly, cognitive impairment is a risk factor of poor rehabilitation outcomes. Cognitive impairment presents immediately after stroke has been associated, with decreased likelihood of both being discharged to home and living at home 6 months later [13]. Indeed, results of numerous studies have demonstrated that cognitive impairment predicts poor functional outcomes after stroke, [14, 15 and 16], even after controlling for the level of physical impairment [4 and 17]. In particular, impairments in the executive functions [5, 18, 19 and 20], attention [21], and processing speed [7], which are the most common cognitive impairments after stroke, predict dependency in activities of daily living and instrumental activities of daily living. In elderly patients 3 months after stroke, impairments in attention and information processing speed have been associated with limitations in basic self-care (eg, dressing, toileting), whereas impairments in executive functions have been associated with impaired instrumental activities of daily living (eg, shopping, housework) [15].

Impact of Cognitive Impairment on Pathways of Recovery

The effects of rehabilitation on recovery can be divided into adaptation and remediation [22]. Adaptation describes a person's ability to compensate for functional impairment and can be further divided into internal adaptation (eg, a person learns and internalizes cognitive strategies to account for lost abilities when performing daily activities) and external adaptation (eg, a person uses a paging system to remember to take medications at scheduled times to account for a memory impairment). Remediation is the actual regaining of a lost ability, for example, through intense repetitive practice, an individual demonstrates improvements in selected abilities (selective attention, limb function). Both of these approaches require learning (or relearning); therefore, both pathways may be inhibited by cognitive impairments.

Adaptation

Cognitive impairments can inhibit therapy efforts that would promote adaptation. Adaptation describes a person's ability to compensate for residual impairments and hence is an important part of promoting functional outcomes. However, a person can adapt his or her environment and response only if he or she recognizes that there is a problem, conceptualizes the key issues that led to the problem, generates an array of potential solutions, tests the potential solutions, and continues to execute the most effective solution. Clearly, this process requires cognitive abilities in several different domains, including, but not limited to, attention, working memory, episodic memory, and executive functions.

Remediation

Remediation, for patients with cortical and/or subcortical stroke, is based on the complex and not yet fully understood process of neuroplasticity [23, 24 and 25] through which functional ability is regained. For remediation to occur, the stroke survivor must engage in rehabilitation activities. The most robust stimulus of neural plasticity is experience [26 and 27]. Hence, rehabilitation tasks that promote remediation are based on repeated, patient-initiated, targeted skill-building activities. Although neuroplasticity appears to start soon after initiating rehabilitation, continued practice over days, weeks, and months is needed to consolidate the new neuronal pathways and ensure stability of the recovery [28]. Thus, there

is evidence that patients are more likely to regain lost ability and sustain favorable recovery by engaging in massed practice (ie, high-frequency repetitions in a concentrated period of time) of targeted activities [29 and 30] and by establishing routines that encourage patients to continue to engage in cognitively challenging activities on a regular basis after formal rehabilitation has concluded [31].

Possible Pathways that Link Cognitive Function with Rehabilitation Outcomes

There are several possible explanations as to why cognitive impairment is associated with poor rehabilitation outcomes when considering both the adaptation and remediation pathways. One possible explanation involves rehabilitation participation. The extent to which patients fully engage or participate in rehabilitation therapies predict functional outcome at the conclusion of inpatient rehabilitation [32, 33, 34, 35, 36 and 37]. Persons with cognitive impairment are less likely to robustly engage in rehabilitation therapies [32]. In particular, impairment of executive functions after stroke is associated with poor engagement in rehabilitation activities [38]. Individuals with impaired executive functions may have difficulty initiating activities, maintaining consistency of response, and generalizing instructions to other tasks, and hence may have difficulty in fully participating in rehabilitation. In addition, persons with impairment in executive functions may have difficulty inhibiting impulsive behaviors; for these individuals, therapeutic activities may be limited due to safety concerns. The association of other cognitive domains with rehabilitation participation has not been investigated.

Beyond participation, cognitive impairments may lead to inferior rehabilitation outcomes through interference with rehabilitation practices. For example, stroke patients with impaired episodic memory and impaired executive functions are less able to achieve improvements in selected motor recovery outcomes than individuals without these impairments [39]. It is not difficult to imagine how impairments in episodic memory can limit a patient's ability to remember the content of therapy instructions, thereby limiting "carry-over" from one therapy session to another. Although other specific cognitive domains have not been examined, we can imagine that attention and working memory can limit a patient's ability to learn new information and perform exercises.

Another possible pathway through which cognitive impairment after stroke could lead to poor rehabilitation outcomes involves the disruption of implicit learning. Functional gains obtained through rehabilitation interventions (including both adaptation and remediation) ultimately reflect the creation of new neuronal connections, for example, the process of neuroplasticity. Although neuroplasticity is complex and not yet fully understood, the process through which it occurs likely includes implicit learning [40, 41 and 42], as well as explicit learning. Implicit learning is poorly operationalized, and there is no agreed upon definition [43]. Implicit learning involves learning new information or skills without awareness of the rules that govern performance or how it is being learned. Types of implicit learning include acquiring motor skills (procedural learning) as well as the ability to recognize perceptual features or categories. Learning to ride a bicycle is a prototypical example of procedural learning, which highlights some of the key features of implicit

learning: the learning occurs gradually and automatically through repeated performance, and, unlike explicit learning, it does not require explicit recall of declarative knowledge [32]. Both remediation and adaptation interventions involve both implicit and explicit learning. Furthermore, there is evidence that implicit learning process [41] may benefit from optimally timed and dosed external and/or explicit feedback [44].

Although important clinically, the process of implicit learning is not typically assessed in clinical practice. In the research setting, a variety of behavioral tasks have been developed to study the different forms of implicit learning. One of the most commonly used tasks is the serial reaction time task [45]. In this task, individuals are asked to press 1 of 4 buttons as fast and accurately as they can in response to a sequence of asterisks that appear on the screen in 4 different locations. The subjects are not told ahead of time that the location of the asterisks follows a repeating pattern for most of the trials. Then, unbeknownst to the subject, the order of the asterisks is changed. Typically, despite not knowing that there was a sequence, the subjects perform with progressively greater accuracy and speed, until the order is changed, and then their performance drops. This drop in performance is a measure of implicit “sequence” learning, and, in this case, is distinguished from procedural “motor” learning. The motor learning component in this task is the nonspecific improvement in performance at pressing the buttons, which would occur with time when performing the task, even if the order were always random. There are other implicit learning tasks used experimentally, including artificial grammar learning [46] and probabilistic classification learning [47] as well as others. In the setting of stroke research, assessment of implicit learning in a person with motor impairment can be challenging, due to the co-occurrence of cognitive and motor control impairments. However, these tasks could be modified by allowing the individual to use the nonaffected side or by requiring a nonmotor (eg, verbal or ocular) response in place of the motor response, to permit assessment of the nonmotor aspects of implicit learning.

Because implicit learning is associated with motor recovery, impairment of or interference with implicit learning is likely associated with poor rehabilitation outcomes. In stroke, most evidence indicates that implicit learning ability remains intact with mild stroke but that implicit learning decreases as stroke severity increases [48]. In addition, there is evidence that implicit learning may be impeded during rehabilitation and thereby result in poor rehabilitation outcomes. For example, the provision of explicit instructions (eg, making an implicit process more explicit) as part of stroke rehabilitation may hinder procedural (implicit) learning [49], and reliance on explicit knowledge of a movement may inhibit performance (50–52). The extent of interference of explicit feedback may be dependent, in part, on both the content of and schedule of delivery for this information [53]. Furthermore, the presence of cognitive impairment may moderate the impact of explicit instructions. For example, persons with impaired episodic memory or executive functions do not benefit from receiving explicit information on how to perform a specific motor task, and their motor recovery may actually be hindered by such explicit information [39].

Cognitive Impairment as a Marker for an Altered Neurochemical Milieu

As discussed above, aspects of cognitive impairment may negatively impact the process of adaptation and remediation. It is hoped that altering the cognitive impairment may improve

the processes of remediation and adaptation. However, an alternate hypothesis must be considered; namely, that cognitive impairment is a proxy marker that indicates that the neurochemical milieu is not favorable for functional recovery. As an example, the neurotransmitter acetylcholine is thought to be involved in cognitive function as well as neuroplasticity of the motor cortex. Cognitive impairment in cerebrovascular disease is thought to be, in part, due to diminution of activity of the cholinergic system [54, 55, 56, 57, 58 and 59]. Similarly, although many neurotransmitters are involved in neuroplasticity [60], results of animal studies suggest that the nucleus basalis and its cholinergic projections to the sensorimotor cortex are critical to experience-dependent plasticity, that is, the formation of new neuronal networks in response to rehabilitation activities [61]. Specifically, experience-dependent plasticity can be completely blocked by lesioning the cholinergic neurons in the nucleus basalis of Meynert with a selective immunotoxin in a rat model [62]. This finding parallels studies that suggest that anticholinergic drugs impair learning in humans [63, 64 and 65]. The exact mechanism by which acetylcholine is involved in experience-dependent plasticity under normal circumstances is unclear. It is hypothesized that acetylcholine potentiates cortical activity provoked by sensory stimulation [66 and 67]. By depleting cholinergic cortical stimulation, presynaptic and postsynaptic cortical activity could be altered to such an extent that n-methyl d-aspartate (NMDA) receptor dependent modification of synapses at the motor cortex cannot occur [62]. Hence, persons with cognitive impairment after stroke, especially involving memory or other functions supported by acetylcholine, may have a predilection for poor motor recovery due to a reduction of acetylcholine innervation of the motor cortex.

Future Research Directions

We have described several ways through which impairment of specific cognitive functions impact rehabilitation from stroke. More importantly, specific cognitive functions may serve as a point of intervention to enhance recovery after stroke. Below, we provide several examples of recent and ongoing research studies to illustrate how cognitive interventions may be used in the future to promote rehabilitation.

One possible intervention would be to view cognitive functions as a “rehabiliomic” marker that could be manipulated or enhanced to promote rehabilitation outcomes. Possible future interventions may focus on helping patients adapt in light of their cognitive impairment. For example, meta-cognitive strategy training may help patients with cognitive impairments, especially impairments of executive functions, actively engage in and even “steer” their rehabilitation programs [68 and 69] by teaching patients a strategy that can be used to increase awareness of impaired skills or processes (through self-assessment and self-monitoring), develop goals and plans to address areas of disability, and improve their ability to perform desired activities (thus reducing disability). Skidmore et al [72] recently reported a case study in which a stroke survivor with significant impairment in executive functions was able to use one such strategy, CO-OP (“cognitive orientation to daily occupational performance”) [69, 70 and 71] while undergoing inpatient rehabilitation. Through CO-OP, this patient learned a process that helped him identify, set, and address his own goals, and also provided skills to facilitate self-monitoring and self-directed learning during rehabilitation, despite impairments in executive functions.

Another possible intervention to enhance cognitive functions would be through the use of targeted pharmacotherapy. For example, we previously conducted a pilot study in which the results suggested that the drug donepezil, an acetylcholinesterase inhibitor approved for the treatment of Alzheimer dementia when paired with poststroke rehabilitation improved functional outcomes in older stroke patients who were cognitively impaired [73], and we are currently conducting a randomized controlled trial study to confirm this preliminary finding. There is evidence that in both impaired and normal subjects [74, 75, 76 and 77], acetylcholine esterase inhibitors can result in enhancement of cognitive function, even after a single dose [77]. We hypothesize that the use of acetylcholine esterase inhibitors, in the context of rehabilitation, modify cognition impairments (particularly impairments in working memory and/or executive functions and episodic memory), which leads to increased participation in rehabilitation therapies and, therefore, improved rehabilitation outcomes.

In a similar vein, one could view implicit learning as a “rehabiliomic” marker that could be manipulated or enhanced to promote rehabilitation outcomes. One potential way to promote implicit learning is through the use of errorless learning with a concomitant reduction in the use of explicit learning. Currently, explicit techniques are frequently used in stroke rehabilitation. However, there is evidence that providing explicit instructions as part of stroke rehabilitation may hinder remediation [49] and that overreliance on explicit knowledge of a movement inhibits performance [50,51 and 52]. Hence, motor skills training may be enhanced by minimizing explicit learning and by promoting implicit learning. This can be accomplished through errorless learning strategies in which task training begins with a very easy condition (with little chance of error) to gradually involve more difficult conditions as the person masters the easier conditions [50]. By using an example provided by Orrell et al [50], current rehabilitation practices are explicit in nature, because therapists “teach” a person to stand from a sitting position by “talking them through” the task. However, to enhance implicit learning, the task presented to the patient may not be to stand from the sitting position but to reach for an object on a table. Over trials, the object would be placed farther and farther from the patient, which eventually necessitates the patient to stand to complete the reaching task. By starting with the object placed close to the patient at a distance that was achievable and by increasing the distance slowly, the patient would not have the opportunity to fail (or make an error) in the task.

Another potential way to maximize implicit learning is through pharmacologic augmentation of neurotransmitters thought to be important to implicit learning. Both dopamine [78] and acetylcholine [79] have been implicated in implicit learning. Dopamine augmentation (through use of levodopa) has been shown to promote experience-dependent encoding of simple motor memories in chronic stroke patients [80, 81 and 82]. More recently, levodopa augmentation was demonstrated to improve procedural learning in chronic stroke patients [83]. For acetylcholine, results of one study suggested that acetylcholinesterase inhibitors (such as donepezil, which increase the availability of acetylcholine) improve both implicit and explicit learning in Alzheimer disease [84], a disease characterized by loss of acetylcholine-producing neurons. Furthermore, although not designed to test a hypothesis regarding implicit learning, it is noteworthy that a small randomized controlled trial in which participants with significant residual upper-limb paresis

one year after stroke demonstrated a trend toward superior motor improvement when constraint-induced therapy was combined with donepezil, an acetylcholinesterase inhibitor [85]. At this time, the use of pharmacologic interventions to promote implicit learning per se is in its infancy. Many questions are unanswered and require further investigation, including the following: can a range of implicit learning aptitude among patients be reliably measured, does aptitude for implicit learning correlate with rehabilitation outcomes, can implicit learning aptitude be increased through intervention, and, finally, does such an intervention lead to improved rehabilitation outcomes?

One word of caution regarding the study of dopamergic or cholinergic agents in rehabilitation is that, in addition to implicit learning, dopamine and acetylcholine play an important role in neuroplasticity at the sensorimotor cortex. Hence, the use of dopamine or acetylcholine augmentation may promote neuroplasticity during experience-dependent learning directly at the level of the motor cortex and separately from improving the process of implicit learning. Dopamine is believed to have an important role in neuroplasticity at the sensorimotor cortex by strengthening experience-dependent synapses while suppressing experience irrelevant synapses [83]. Similarly, acetylcholine potentiates cortical activity provoked by sensory stimulation [66 and 67].

Summary

In this report, we have provided an overview of the impact of cognitive impairment on rehabilitation outcomes in acquired brain injury. We propose that impairments of cognitive functions can be operationalized as “rehabiliomic” markers that predict rehabilitation outcomes after stroke and, more importantly, may be modified through targeted interventions to lead to improved rehabilitation outcomes. Although we have focused on stroke, these concepts also may be applicable to neurorehabilitation after traumatic brain injury. Future research is needed to elucidate the relationship of specific domains of cognitive impairment with specific aspects of rehabilitation outcomes and to investigate unique novel interventions, including biologics, to enhance rehabilitation outcomes after acquired brain injury.

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