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Utility and validation of biomechanical deformable image registration in low-contrast images

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Abstract

Purpose—The application of a biomechanical deformable image registration algorithm has been demonstrated to overcome the potential limitations in the use of intensity-based algorithms on low-contrast images that lack prominent features. Because validation of deformable registration is particularly challenging on such images, the dose distribution predicted via a biomechanical algorithm was evaluated using the measured dose from a deformable dosimeter.

Methods and materials—A biomechanical model-based image registration algorithm registered computed tomographic (CT) images of an elastic radiochromic dosimeter between its undeformed and deformed positions. The algorithm aligns the external boundaries of the dosimeter, created from CT contours, and the internal displacements are solved by modeling the physical material properties of the dosimeter. The dosimeter was planned and irradiated in its deformed position, and subsequently, the delivered dose was measured with optical CT in the undeformed position. The predicted dose distribution, created by applying the deformable registration displacement map to the planned distribution, was then compared with the measured optical CT distribution.

Results—Compared with the optical CT distribution, biomechanical image registration predicted the position and size of the deformed dose fields with mean errors of 1 mm (maximum, 3 mm). The accuracy did not differ between cross sections with a greater or lesser deformation magnitude despite the homogenous CT intensities throughout the dosimeter. The overall 3-dimensional voxel passing rate of the predicted distribution was $\gamma_{3\%/3\text{mm}} = 91\%$ compared with optical CT.

Conclusions—Biomechanical registration accurately predicted the deformed dose distribution measured in a deformable dosimeter, whereas previously, evaluations of a commercial intensity-

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based algorithm demonstrated substantial errors. The addition of biomechanical algorithms to the collection of adaptive radiation therapy tools would be valuable for dose accumulation, particularly in feature-poor images such as cone beam CT and organs such as the liver.

Introduction

Deformable image registration (DIR) algorithms have been used recently in clinical trials of adaptive radiation therapy to facilitate replanning.¹ In applications for which the initial planning contours are propagated onto repeat imaging, qualitative DIR evaluation can be based on the need for manual contour adjustments. Retrospective studies using DIR-based dose accumulation, a more complex application, have demonstrated significant dose differences between planning and delivery.² For adaptive radiation therapy to use accumulated doses during replanning, rigorous volumetric and dosimetric validation is required to understand the scale and complexity of DIR uncertainties that may be introduced into the treatment process.

Particular difficulty occurs with low-contrast images, for which point-based and geometric volume–overlap measures only provide limited information for DIR evaluation. To study DIR inconsistencies with various contrast levels, Kirby et al³ developed a 2-dimensional patient-derived virtual computed tomography (CT) pelvic phantom with an equivalent physical phantom. The registration errors from a commercial intensity-based DIR notably increased with deformation magnitude in low-contrast areas.⁴ It is unclear whether DIR accuracy evaluated on high-contrast, diagnostic-quality imaging is valid for other modalities, such as cone beam CT.

For dose accumulation, DIR evaluations should ideally relate to physical dose measurements. Deformable dosimeters have been investigated to track deformed dose distributions in 3 dimensions (3D) for this application. Using a radiochromic gel dosimeter, Yeo et al⁵ reported that 11 intensity-based DIR algorithms had widely varying accuracies, with a trend toward poorer performance for larger deformations. Juang et al⁶ demonstrated that a commercial intensity-based algorithm, previously validated on high-contrast images, performed poorly with the low-contrast images of an elastic polyurethane dosimeter.

All DIR algorithms attempt to account for physiological patient motion that occurs between images; however, most are not physical models of deformation. Biomechanical-based DIR has been investigated to model the forces acting on deforming tissues independent of the contrast in the images.^{7,8} Niu et al⁹ irradiated a deformable gel dosimeter under respiratory-like motion conditions. Biomechanical DIR of the 4-dimensional (4D) CT images modeled the contact and forces between the surfaces of the piston device and the gel, which enabled an accurate 4D dose distribution to be predicted compared with dose measured with magnetic resonance imaging (MRI). Further evaluations with wider and more complex dose distributions would provide a better understanding of the spatial distribution of biomechanical DIR errors. The requirement to directly model the forces that induce the deformations also requires further study, because this would not be routinely feasible on clinical imaging.

The primary purpose of this study was to evaluate the accuracy of biomechanical DIR using acquired imaging data from a novel 3D deformable dosimetry system from Duke University.⁶ Although previous evaluations of intensity-based DIR have resulted in lower accuracy than desired ($\gamma_{3\%/3\text{mm}} = 60\%$),⁶ it was hypothesized that biomechanical DIR could accurately register CT images of this homogenous dosimeter. A secondary aim was to investigate the DIR parameter sensitivity to changes in the material properties and complexity of how the deformation is modeled.

Methods

Dosimeter data

A novel 3D deformable dosimeter developed by Juang et al⁶ is summarized here. It consists of an elastic 60×47.5 mm cylinder with a transparent polyurethane matrix doped with a light-absorbing radiochromic dye. The mechanical properties are similar to biological tissue with a Young's modulus (E) of 13.5 to 887 kPa and a Poisson ratio (ν) of 0.475, which represent stiffness and compressibility, respectively. The dosimeter is water equivalent and exhibits a linear change in optical density with absorbed dose. After irradiation, the 3D dose distribution is reconstructed with a 1-mm isotropic resolution with use of an optical CT system.¹⁰

CT images of the dosimeter were acquired in its original cylindrical state, after a 16-mm (27%) lateral compression between 2 plates. A checkerboard radiation pattern composed of 29.5×5 mm² fields was planned on the CT image in the compressed geometry (Eclipse, Varian Medical Systems, Palo Alto, California). The dose grid resolution was $1 \times 1 \times 1.25$ mm³, and the maximum dose was 33.8 Gy. The dosimeter was then irradiated while remaining compressed. After the plates were removed, the dosimeter returned to its uncompressed geometry, and the actual delivered dose distribution, now deformed, was reconstructed with optical CT.⁹ The experimental setup and data for the current investigation are shown in Fig 1. The CT and optical CT images were acquired for a previous investigation⁶ and were used again for the present study.

Biomechanical DIR

For the present investigation, the CT of the uncompressed dosimeter was deformed into the CT of the compressed dosimeter using Morfeus. This biomechanical model-based DIR algorithm has an accuracy of ~ 2 mm.⁷ A volumetric model, consisting of 7.8×10^4 tetrahedral elements, was generated from the contoured uncompressed dosimeter. Each element was composed of 4 connected nodes and had an average volume of 2 mm³. Correspondence between images was established with automated guided-surface projections between surfaces of contoured structures (eg, organs). This described displacements of the surface nodes and served as boundary conditions (ie, constraints) for the DIR. Finite element analysis used the boundary conditions and the linear elastic material properties assigned to the elements that constitute the dosimeter to solve the displacements at the nodes of the internal elements. Because this DIR does not directly rely on the intensity of the voxels, its accuracy is independent of the image contrast and presence of anatomic features. Potential inaccuracies in the use of biomechanical DIR clinically are the uncertainties in the material

properties modeled and the use of guided-surface projections for the boundary conditions. The later approximation is performed between clinical images to avoid the difficulty in modeling the forces that are acting directly on the organ, although they may not accurately reflect the true tissue biomechanics at the surfaces. Using the DIR model described above, hereafter called DIR-_{SurfProj}, surface projections were applied between the uncompressed and compressed dosimeter contours. This technique has been used to describe deformation in the head and neck, lung, liver, female pelvic anatomy, prostate, and rectum.^{7,8,11-13} Registration was also repeated with various material properties, and the internal node-by-node dosimeter displacements between registrations were compared.

Because of the relatively simple experimental setup (Fig 1B), it was also possible to directly model the physical interactions of the plate with the dosimeter for the boundary conditions, instead of the guided-surface projections. A modified DIR model, hereafter called DIR-_{Plates}, was constructed that consisted of the uncompressed dosimeter atop a baseplate between 2 rigid side plates. The side plates were then moved inward into their final position on the compressed CT, which deformed the dosimeter according to the modeled forces exerted by the plates and the assigned material properties. Contact surfaces at the dosimeter-plate interfaces allowed small sliding.⁸

To investigate whether the results from using the simpler guided-surface projections were affected by the magnitude of deformation, DIR-_{SurfProj} was compared to 3 known deformations generated by DIR-_{Plates}. These included modeling the original dosimeter compressed by the full amount with the plates (equal to the actual physical compression used in the experiment), then by two-thirds of the full compression, and finally by one-third of the full compression. DIR-_{SurfProj} was then performed using the original surface of the uncompressed dosimeter and each deformed surface generated by DIR-_{Plates} (full, two-thirds, or one-third compression). The node-by-node displacements throughout the entire dosimeter between DIR-_{SurfProj} and DIR-_{Plates} were compared directly for each compression level. These comparisons only indicated a relative difference between the 2 variations in DIR. Only the full compression can be validated quantitatively.

Geometric and dosimetric evaluation

The deformed CT images were first compared to the actual CT, both in the compressed geometry. The DIR-predicted and actual dosimeter contours were compared by use of distance-to-agreement (DTA), and the volume of overlap was quantified with the Dice similarity coefficient (DSC):

$$DSC = \frac{2(\text{predicted contour} \cap \text{actual contour})}{\text{predicted contour} + \text{actual contour}} \quad (1)$$

DIR-predicted deformed dose distributions in the uncompressed geometry of the dosimeter were created by applying the deformation map to the dose grid calculated on the CT in the compressed geometry. The DIR-predicted distributions were rigidly registered to the measured distribution of optical density changes (a surrogate for dose) on optical CT, and all volumes were cropped to exclude a 4-mm outer ring to avoid the edge artifacts inherent with

optical CT.^{14,15} The optical CT data were converted to dose as reported previously and normalized to the global mean dose of each DIR-predicted distribution before comparison.⁶

Relative dose differences were evaluated by autosegmenting each field at cross sections that corresponded to depths of 12, 23 (depth at maximum compression), and 34 mm relative to the incident radiation beams (Fig 2). The centroid positions, length, and width of the deformed fields were compared between the DIR-predicted and optical CT distributions. Absolute dose differences were evaluated by use of voxel-by-voxel dose differences and the gamma (γ) index. The $\gamma_{3\%/3\text{mm}}$ compares each voxel between the DIR-predicted and optical CT distributions, and the overall 3D passing rate was determined by use of the common 3% dose difference and 3-mm DTA criteria (Computational Environment for Radiotherapy Research, Washington University, St Louis, Missouri).¹⁶ Without DIR, the original planning dose grid (compressed geometry) was rigidly registered to the optical CT distribution (uncompressed geometry), which resulted in a $\gamma_{3\%/3\text{mm}} = 58\%$. The accuracy of the 3D dosimetry system was established previously with a nondeformed control dosimeter as $\gamma_{3\%/3\text{mm}} = 96\%$.⁶

Results

DIR parameter sensitivity

For either DIR model, varying the E assigned had no effect on the displacements of the nodes because the dosimeter was modeled as a homogenous material. Varying the ν from 0.17 to 0.499 changed the average absolute 3D displacements of the nodes by 0.6 mm for DIR_{SurfProj}, which indicates the model was insensitive to the exact ν applied, and by 1.4 mm for DIR_{Plates}. Hereafter, the results for DIR_{SurfProj} are reported with $E = 0.95$ kPa and $\nu = 0.480$. For DIR_{Plates}, $\nu = 0.499$ was used to maximize the agreement of the external contour of the dosimeter in the uncompressed geometry between the DIR prediction and CT.

The displacements generated by DIR_{Plates} and DIR_{SurfProj} were compared at various magnitudes of dosimeter deformation (Fig 3). The node-by-node differences were 1.5 ± 0.8 mm (mean \pm standard deviation) for the full 16 mm of compression used in the physical experiment, and <1 mm for simulated compressions of 11 or 5 mm in magnitude. There were no trends in displacement differences in the central versus outer nodes.

Geometric accuracy

The external contour of the dosimeter between the DIR-predicted and the actual CT image were compared. The DSC was 0.994 for DIR_{SurfProj} and 0.988 for DIR_{Plates} (DSC = 1.0 indicates perfect overlap). The mean (maximum) residual surface DTA after DIR was 0.3 (1.9) mm for DIR_{SurfProj} and 0.8 (2.7) mm for DIR_{Plates}. High DSC and low DTA values indicate excellent registrations of the external boundary of the dosimeter. This was expected for DIR_{SurfProj} because a surface alignment is prescribed by the technique. For DIR_{Plates}, this indicates that modeling the forces exerted by the plates successfully generated an accurate deformed dosimeter position.

Dosimetric accuracy

Figure 4 demonstrates good visual agreement between the DIR-predicted dose distributions each compared with the distribution measured with optical CT. The overall errors in the deformed checkerboard field's centroid location, length, and width were ± 1 mm for each DIR model (Table 1). It was not possible to measure these errors for 8 of the 29 fields because of their partial beam incidence and the necessary optical CT cropping, which left 63 points (21 per cross section) for evaluation. Errors of similar magnitude were observed between the cross section that corresponded to the plane of maximum deformation/compression and the remaining cross sections. For DIR_{SurfProj}, the maximum field centroid error was 2.4 mm, and errors ± 2 mm occurred in 4 of 63 of the measurements (6%; 1 of these 4 errors occurred in the same location as the largest error for DIR_{Plates}). For DIR_{Plates}, the maximum field centroid error was 1.7 mm, which occurred in 4 of 63 of the measurements (6%). The field size errors for DIR_{SurfProj} ranged from 3 mm smaller to 3 mm larger, and for DIR_{Plates}, they ranged from 2 mm smaller to 2 mm larger.

Figure 5 demonstrates good overall agreement in the dose profiles among the 3 distributions. The largest voxel-by-voxel dose differences between the DIR-predicted and optical CT distributions occurred throughout the whole dosimeter along the field edges where the dose gradients were steepest. The voxel-by-voxel dose differences (predicted minus measured) were a mean \pm SD of 0.1 ± 4.2 Gy ($0 \pm 12\%$) for DIR_{SurfProj} and 0.2 ± 4.7 Gy ($0 \pm 14\%$) for DIR_{Plates} (where the percentage is a function of the maximum planned dose of 33.8 Gy). The $\gamma_{3\%/3\text{mm}}$ passing rate over the entire volume was 91% for DIR_{SurfProj} and 90% for DIR_{Plates}.

Discussion

Progress on validation of biomechanical DIR was achieved by use of data from a deformable dosimeter and high-resolution optical CT system, complementing a previous study that used MRI-based deformable gel dosimeters.⁹ DIR validation with clinical data has been challenging because of reliance on the identification of anatomic or other imaging features that are often sparse or nonexistent on images of homogenous structures. By measuring the deformed 3D dose distribution, direct dosimetric evaluation was possible over all voxels in the current study. Compared with the measured dose distribution, biomechanical DIR predicted the deformed dose fields with a mean error of ± 1 mm, and more than 90% of all the voxels passed standard acceptance criteria. Compared with previous evaluations of this phantom with commercial intensity-based DIR, high dosimetric accuracy was achieved throughout the homogenous dosimeter, which makes biomechanical DIR a promising tool for dose accumulation and adaptive radiation therapy.

Two biomechanical DIR models were evaluated with either simple or more complex boundary conditions. Both had mean errors compared with the ground truth optical CT data of ± 1 mm when the dosimeter was physically compressed by 16 mm (27%). For registration of organs such as the liver, which rarely deform as extremely,¹⁷ DIR_{SurfProj} therefore appears suitable for clinical application, in which it is often impractical to directly model the forces acting on an organ's surface. The simpler DIR-_{SurfProj} model was also insensitive to variations within a range of plausible material properties; however, sliding interfaces (eg, as

in DIR_{Plates}) have demonstrated utility in the thorax for accurate registration of both the lung parenchyma and adjacent chest wall.⁸

Both techniques predicted the measured dose distribution well overall. The shifts in both DIR-predicted dose profiles of 1 to 2 mm shown in Fig 5 (corresponding to voxels 10–30) are likely a result of DIR errors. Another mismatch occurred at the dosimeter edge (corresponding to voxel 56 in Fig 5), where the optical CT peak dose was 27% lower than predicted by DIR. This likely resulted from optical CT edge artifacts or a field that was only partially incident on the dosimeter (ie, indicating a small translational setup error during irradiation). Overall, both DIR models predicted the measured 3D dose distribution with a $\gamma_{3\%/3\text{mm}}$ of at least 90%, which indicates good agreement for this challenging scenario. The physical experiment was an end-to-end test. Additional geometric uncertainties of 1 to 2 mm can be expected from the linear accelerator and repositioning the dosimeter without image localization. Multileaf collimator positioning errors of 1 mm can result in field size differences of 30% to 40% for small fields.¹⁸ Dosimetric errors from the treatment planning system can be up to 12% for the small field sizes of $5 \times 5 \text{ mm}^2$ used.^{19,20}

A previous report demonstrated mean dose differences of $3 \pm 14\%$ between a biomechanical DIR-predicted distribution and the 4D dose accumulated with a gel dosimeter.¹⁶ The mean dose differences of $0.1 \pm 4.2 \text{ Gy}$ ($0 \pm 12\%$) in the current study may result from the complicated checkerboard field pattern, in which any potential registration errors would be amplified by the steep dose gradients at the field edges. The 3D voxel passing rate ($\gamma_{3\%/3\text{mm}} = 91\%$) is comparable to the study by Niu et al ($\gamma_{4.7\%/3\text{mm}} = 97\%$), which used more relaxed acceptance criteria to reflect the higher level of uncertainty in measurement accuracy of the gel-MRI dosimetry system.⁹ These 2 studies demonstrate that biomechanical DIR is potentially well suited for interfraction dose accumulation when low-contrast images or organs require registration. For example, liver tumors are often indistinguishable from normal liver tissue when intravenous contrast is not used routinely (eg, during the cone beam CT guidance used in stereotactic radiation therapy).

Juang et al⁶ evaluated a commercially available intensity-based DIR algorithm based on a B-spline model and mutual information using the same imaging data as the present study. The external dosimeter (ie, the high-contrast region) was registered with submillimeter accuracy. Compared with the optical CT dose distribution, however, the mean (maximum) error of the centroid of the internal deformed dose fields was 4.2 (9.0) mm, the field size errors ranged from -6 to 14 mm, and the $\gamma_{3\%/3\text{mm}}$ was only 60%.⁶ In the current evaluation, even simple rigid registration resulted in a $\gamma_{3\%/3\text{mm}}$ of 58%; however, both results were substantially worse than either biomechanical DIR model. The same intensity-based algorithm was reported to have a geometric accuracy of 1 to 3 mm with patient-derived CT quite unlike the CT of the deformable dosimeter.^{3,21} Interpreted together, these results should serve as a strong caution when one extrapolates the accuracy of intensity-based DIRs validated on feature-rich imaging to images or regions that lack prominent features. Unlike intensity-based DIR, the accuracy of biomechanical DIR is largely independent of the image intensities.

Currently, there is no consensus on the DIR accuracy required for clinical implementation of dose accumulation or the method of evaluation. Deformable dosimeters have demonstrated their potential to precisely track 3D distributions, including accumulation over multiple time points.^{6,9,22} Anthropomorphic phantoms should allow for evaluation in more clinically plausible scenarios, although further research is required to model organs for which density and mass are not conserved (eg, lungs and stomach).

Conclusions

Biomechanical-based DIR was used to reconstruct the delivered dose distribution via registration between CT images of a homogenous, deformable dosimeter. Compared with the measured dose distribution, the mean errors in the predicted dose field locations and sizes were 1 mm, and this did not differ between 2 DIR models that used different boundary conditions. This validation supports the addition of biomechanical-based DIR algorithms to the collection of tools being used clinically for deformable dose accumulation and adaptive radiation therapy, particularly in feature-poor images or anatomic sites.

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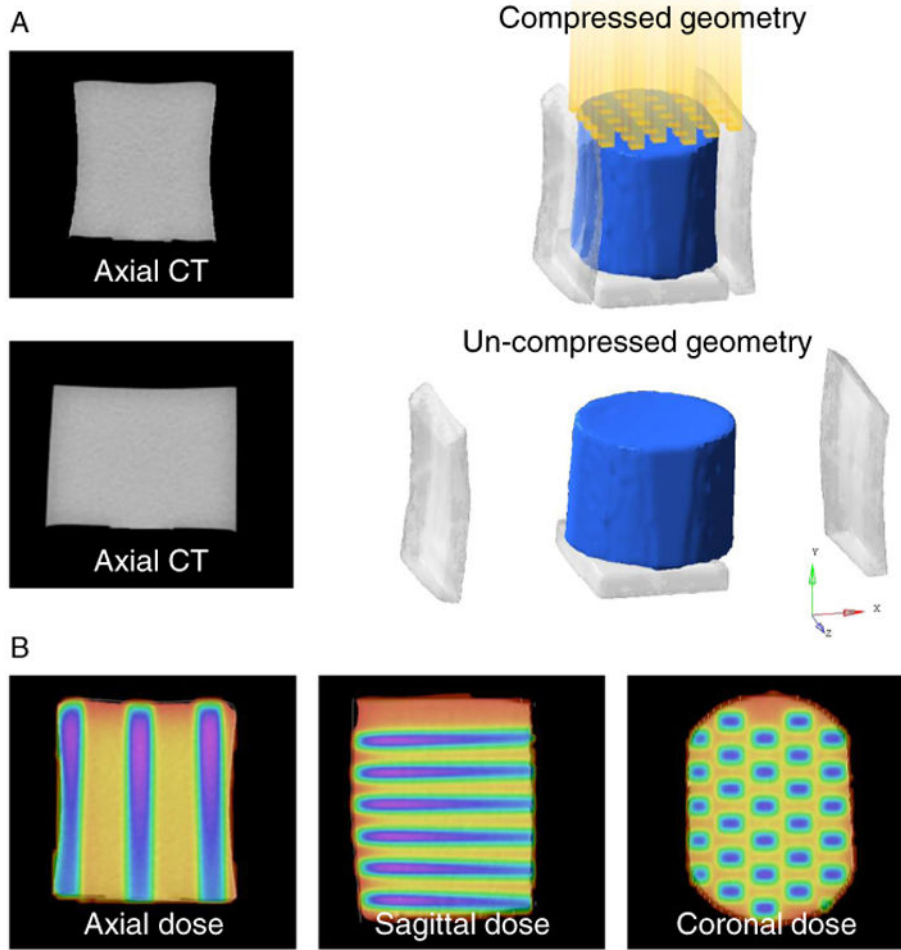


Figure 1. (A) Three-dimensional dosimeter models were generated with and without compression from corresponding computed tomography (CT) images (the plates were digitally removed). The yellow columns show the incident radiation beams. (B) The dose distribution was planned in the dosimeter's compressed geometry. (Color version available online at www.practicalradonc.org).

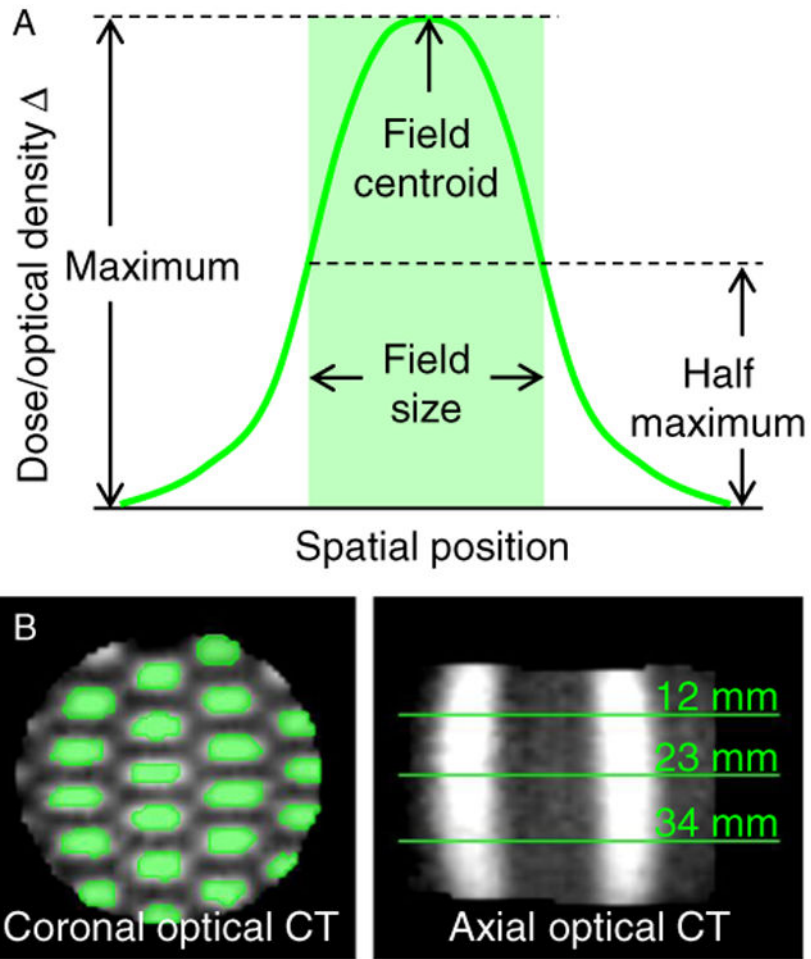


Figure 2. (A) Each deformed field was autosegmented at half of its maximum intensity value, and the field's length and width were measured. (B) The segmented fields (green regions in the coronal view) were analyzed at 3 cross-section depths from the incident beams (green lines in the axial view). CT, computed tomography. (Color version available online at www.practicalradonc.org).

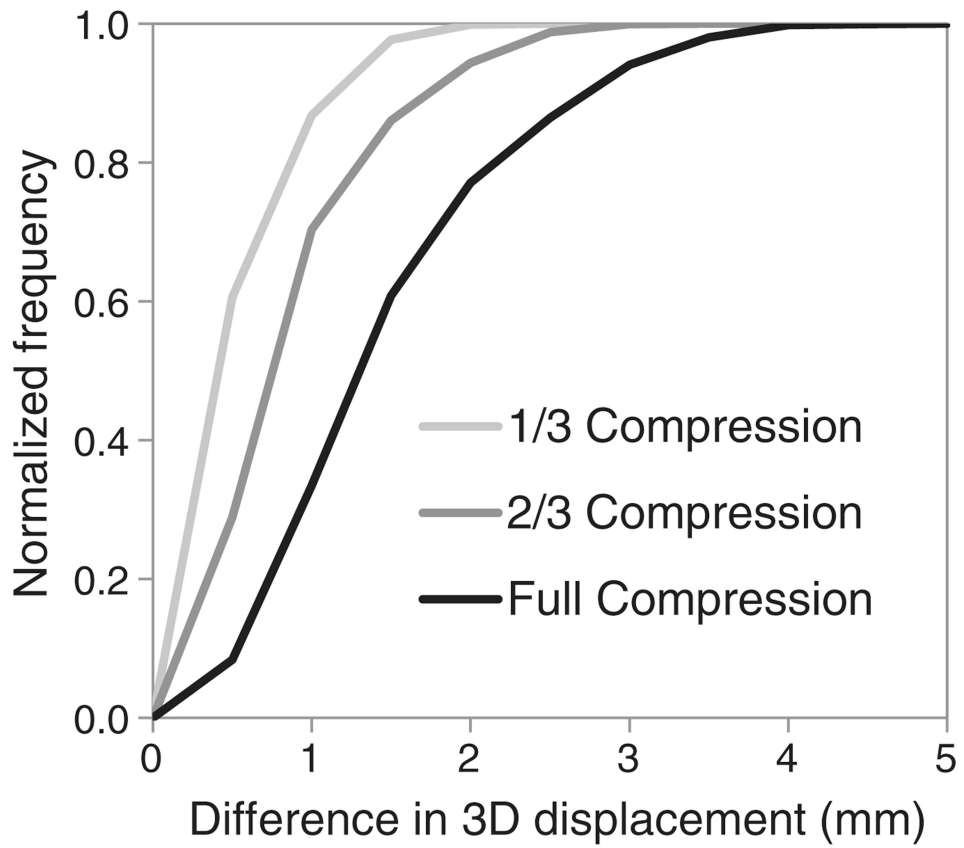


Figure 3. Cumulative frequency distribution of the node-by-node displacement differences between 2 deformable image registration models, DIR_{SurfProj} and DIR_{Plates}. 3D, 3-dimensional.

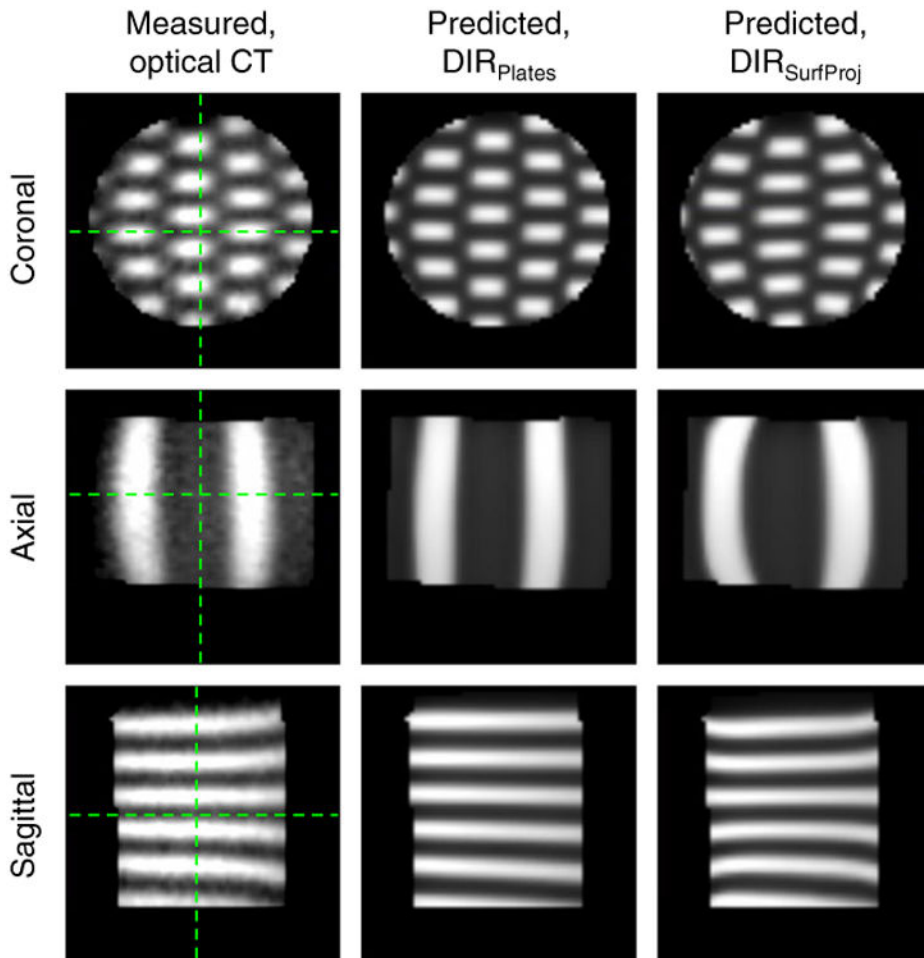


Figure 4. The deformed dose distributions in the dosimeter's uncompressed geometry measured with optical computed tomography (CT) or predicted by use of either deformable image registration model (DIR_{SurfProj} or DIR_{Plates}). Green lines indicate the orientations for corresponding planes. (See Methods for complete description of DIR models). (Color version available online at www.practicalradonc.org).

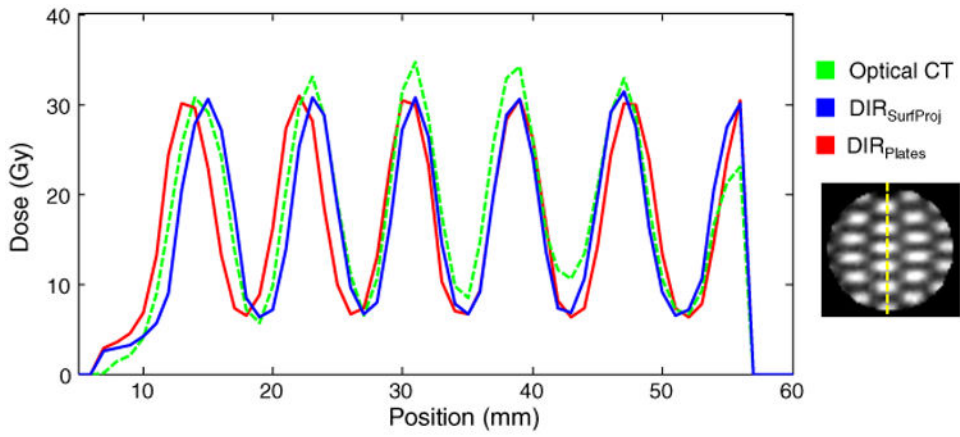


Figure 5. Dose profiles are shown in the cross section of maximum deformation/compression (depth = 23 mm) along the vertical line in the distribution shown on the right. CT, computed tomography; DIR_{SurfProj} and DIR_{Plates}, (see Methods for complete description of models). (Color version available online at www.practicalradonc.org).

Table 1
Geometric errors in the deformed fields between DIR-predicted and optical CT distributions (predicted minus measured)

Model	Field centroid	Field size	
		Length	Width
DIR _{SurfProj}	1.0 ± 0.5 (0.0-2.4)	0.2 ± 1.3 (-3 to 3)	-0.3 ± 0.7 (-2 to 1)
DIR _{Plates}	0.9 ± 0.5 (0.0-1.7)	0.0 ± 0.7 (-2 to 2)	-0.4 ± 0.7 (-2 to 1)

Values are mean ± standard deviation (range), in millimeters, combined over all cross sections.

DIR, deformable image registration; DIR_{SurfProj} and DIR_{Plates}, (see Methods for complete description of models).

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