

Aerobactin, but Not Yersiniabactin, Salmochelin, or Enterobactin, Enables the Growth/Survival of Hypervirulent (Hypermucoviscous) *Klebsiella pneumoniae* *Ex Vivo* and *In Vivo*

Thomas A. Russo,^{a,b,c,f} Ruth Olson,^{a,b} Ulrike MacDonald,^{a,b} Janet Beanan,^{a,b} Bruce A. Davidson^{a,d,e,f}

Veterans Administration Western New York Healthcare System, Buffalo, New York, USA^a; Department of Medicine,^b Department of Microbiology and Immunology,^c Department of Anesthesiology,^d Department of Pathology and Anatomical Sciences,^e and The Witebsky Center for Microbial Pathogenesis,^f University at Buffalo—State University of New York, Buffalo, New York, USA

The siderophore aerobactin is the dominant siderophore produced by hypervirulent *Klebsiella pneumoniae* (hvKP) and was previously shown to be a major virulence factor in systemic infection. However, strains of hvKP commonly produce the additional siderophores yersiniabactin, salmochelin, and enterobactin. The roles of these siderophores in hvKP infection have not been optimally defined. To that end, site-specific gene disruptions were created in hvKP1 (wild type), resulting in the generation of hvKP1 Δ *iucA* (aerobactin deficient), hvKP1 Δ *iroB* (salmochelin deficient), hvKP1 Δ *entB* (enterobactin and salmochelin deficient), hvKP1 Δ *irp2* (yersiniabactin deficient), and hvKP1 Δ *entB* Δ *irp2* (enterobactin, salmochelin, and yersiniabactin deficient). The growth/survival of these constructs was compared to that of their wild-type parent hvKP1 *ex vivo* in human ascites fluid, human serum, and human urine and *in vivo* in mouse systemic infection and pulmonary challenge models. Interestingly, in contrast to aerobactin, the inability to produce enterobactin, salmochelin, or yersiniabactin individually or in combination did not decrease the *ex vivo* growth/survival in human ascites or serum or decrease virulence in the *in vivo* infection models. Surprisingly, none of the siderophores increased growth in human urine. In human ascites fluid supplemented with exogenous siderophores, siderophores increased the growth of hvKP1 Δ *iucA*, with the relative activity being enterobactin > aerobactin > yersiniabactin > salmochelin, suggesting that the contribution of aerobactin to virulence is dependent on both innate biologic activity and quantity produced. Taken together, these data confirm and extend a role for aerobactin as a critical virulence factor for hvKP. Since it appears that aerobactin production is a defining trait of hvKP strains, this factor is a potential antivirulence target.

In the ongoing chess match between microbial pathogens and the human host, the pathogens as of late seem to be gaining the upper hand. *Klebsiella pneumoniae* is proving to be especially problematic and has evolved into two distinct epidemiologically and clinically defined pathotypes.

The first and best-known pathotype, best termed “classical” *K. pneumoniae* (cKP), is presently responsible for the majority of *K. pneumoniae* infections in Western countries, which primarily occur in hospitals and long-term-care facilities (1). Importantly, cKP strains have received increased notoriety due to their propensity for acquiring antimicrobial resistance determinants, primarily carbapenemases, that make treatment challenging. The spread of New Delhi metallo- β -lactamase (NDM-1)-containing strains from India that are associated with medical tourism and, more recently, the extremely drug-resistant *K. pneumoniae* (XDR-KP) outbreak at the Clinical Center Hospital on the NIH campus have captured the attention of physicians, scientists, and the press (2, 3). XDR-KP is spreading globally and is primarily responsible for the increase in infections due to carbapenem-resistant bacteria in the United States (4).

The second pathotype, which has been termed hypervirulent *K. pneumoniae* (hvKP) and is less appreciated, has taken a different direction and is undergoing global dissemination from the Asian Pacific Rim (5, 6). In contrast to the usual health care-associated venue for cKP infections in the West, hvKP causes serious life- and organ-threatening infections in young, healthy individuals from the community (5, 7–9). Although hvKP was initially described as causing pyogenic liver abscess in the absence

of biliary tract disease, this represents just one of many primary infection syndromes (6, 10). A defining characteristic of hvKP compared to cKP and other members of the *Enterobacteriaceae* is the capacity for metastatic spread from a site of infection in the immunocompetent host with devastating sequelae (e.g., endophthalmitis and meningitis) (5, 7, 9). To date, the majority of hvKP strains have been relatively antimicrobial sensitive. However, the combination of the antimicrobial-resistant cKP and hypervirulent hvKP phenotypes appears to have already begun, with recent studies reporting that strains of hvKP have acquired extended-spectrum β -lactamases (ESBLs) and carbapenemases (11, 12). Even more disturbing is a 2014 report that described the successful conjugal transfer of a *K. pneumoniae* carbapenemase (KPC)-producing plasmid into an hvKP strain without a loss in biofitness (13).

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Address correspondence to Thomas A. Russo, trusso@acsu.buffalo.edu.

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The prospect of a hypervirulent pathogen that can cause severe infections in healthy, ambulatory individuals is concerning enough; the widespread evolutionary confluence of the phenotypic features of hvKP that confer its hypervirulence with acquisition of drug resistance from cKP is a frightening prospect that calls for the immediate development of novel therapies directed against hvKP strains. Understanding the virulence factors responsible for conferring hvKP with their phenotype is a critical first step in this process.

The majority of studies that have focused on the pathogenesis of hvKP have focused on surface polysaccharides. A distinguishing factor of many hvKP strains is its hypermucoviscous phenotype (14). This phenotype has been established to be due to increased capsular polysaccharide (e.g., K1, K2 et al.) production (15–17). Although K1 and K2 capsular serotypes have been shown to contribute to the virulence of cKP strains in a mouse IP challenge model (18), increased expression of the K2 capsule (hypermucoviscous phenotype) has been shown to add to the virulence of hvKP in a mouse IP challenge model (15).

Recent studies by our group have established that iron acquisition is also a critical virulence factor for hvKP. It has long been known that bacteria require micromolar concentrations of iron for growth and that their ability to procure iron is requisite for growth and survival (19). To accomplish this goal, most bacteria produce chemically diverse siderophores (20), small-molecule chelators with remarkably high association constants for Fe³⁺, that enable them to acquire iron in iron-depleted environments, such as the human host. Interestingly, we have shown that hvKP is characterized by a 6- to 10-fold increase in siderophore activity compared to cKP strains (21, 22). Further, and surprisingly, aerobactin accounted for >90% of the siderophore activity despite the ability of hvKP to produce multiple siderophores (21). Lastly, and most importantly, aerobactin was a critical factor requisite for optimal growth in human ascites *ex vivo* and for virulence in an outbred mouse subcutaneous challenge model of systemic infection (21).

However, the majority of hvKP strains, including the model pathogen used in these studies, hvKP1, possess genes for the production of additional siderophores, namely, enterobactin, salmochelin, and yersiniabactin. Although these siderophores in aggregate accounted for less than 10% of the total siderophore production in hvKP1 and in two other hvKP strains assessed, their role if any in the pathogenesis of hvKP infection remains incompletely defined (21). Therefore, the goal of this study was to assess whether yersiniabactin, enterobactin, and salmochelin contributed to the virulence of hvKP *ex vivo* in clinically relevant human body fluids and *in vivo* in two mouse infection models.

MATERIALS AND METHODS

Strain description. hvKP1 (ST86, K2 serotype, ampicillin resistant) was isolated from the blood and a liver abscess aspirate in a previously healthy 24-year-old male from Buffalo, NY, USA, with community-acquired pyogenic liver abscess and metastatic spread to the spleen (23). A bioinformatics analysis of the hvKP1 genome established the presence of the biosynthetic genes and their cognate receptors for the siderophores aerobactin, salmochelin, enterobactin, and yersiniabactin (24). Transcription of these genes under the iron-limiting conditions of M9 minimal medium and human ascites fluid *ex vivo* was confirmed by RT-qPCR. hvKP1 derivatives deficient in aerobactin (hvKP1Δ*iucA*, kanamycin resistant), salmochelin (hvKP1Δ*iroB*, kanamycin resistant), enterobactin and salmochelin (hvKP1Δ*entB*, kanamycin resistant), yersiniabactin

(hvKP1Δ*irp2*, kanamycin resistant), and enterobactin, salmochelin, and yersiniabactin (hvKP1Δ*entB*Δ*irp2*, kanamycin and hygromycin resistant) were generated by allelic exchange of the majority of the target gene with an antibiotic resistance gene as described previously (25). Since salmochelin is a glycosylated derivative of enterobactin, hvKP1Δ*entB* is unable to produce enterobactin and salmochelin. Constructs were confirmed by sequence analysis of PCR-generated amplicons using primers outside the gene in question: *iucA* (plus, 5'-ATAAGGCAGGCAATCCAG-3'; minus, 5'-TAACGGCGATAAACCTCG-3'); *iroB* (plus, 5'-TGTGTGCTGTGGG TGAAAGC-3'; minus, 5'-ATGTTTCGGTGAGATTCCGCCAGT-3'); *entB* (plus, 5'-GCACCCATAACGATTACGA-3'; minus, 5'-ACCACAATCTC CCAGCTCT-3'); and *irp2* (plus, 5'-GCATTTTCCGTATCGCTCT-3'; minus, 5'-GCTTCATAACCTGCCTGATG-3'). Polar effects had been previously excluded for hvKP1Δ*iucA* by a combination of downstream transcript identification and complementation as described previously (21). hvKP1Δ*iroB*, hvKP1Δ*entB*, and hvKP1Δ*irp2* did not require complementation, because the inability to produce enterobactin, yersiniabactin, or salmochelin did not decrease *ex vivo* growth/survival in human ascites fluid, serum, or urine, nor did it decrease virulence in *in vivo* infection models. Polar effects were excluded by identification of the expected transcript for the gene immediately downstream of each biosynthetic operon by RT-PCR (data not shown). For the enterobactin-biosynthetic operon, a putative carbon starvation protein (peg2489, accession no. AOIZ00000000) is immediately downstream whose transcript was identified by the primer pair 5'-ACTGGTTAAAGAGGAGATGGG-3' (plus) and 5'-CTTTCACCACAATCATCGCC-3' (minus), which generates a 107-nucleotide (nt) amplicon; for the yersiniabactin-biosynthetic operon, a putative hypothetical protein (peg4364) is immediately downstream whose transcript was identified by the primer pair 5'-TGAGTGG CAACATCCTACTAT-3' (plus) and 5'-GGTCTCCCTCAAATGCC-3' (minus), which generates a 105-nt amplicon, and for the salmochelin-biosynthetic operon, a putative hypothetical protein (peg344) is immediately downstream whose transcript was identified by the primer pair 5'-CTGTTTTGCTGGAGTTTTTGG-3' (plus) and 5'-CAATTAGAGGGAA GATGAGAAATAC-3' (minus), which generates a 101-nt amplicon. Unlike in some hvKP strains (e.g., NTUH-K2044), only a single copy of *iroB* is present in hvKP1; therefore, only a single allelic exchange was needed for the construction of hvKP1Δ*iroB*. All strains were maintained at -80°C in 50% LB broth and 50% glycerol prior to use.

Media. The procedures for obtaining human ascites fluid, serum, and urine were reviewed and approved by the Western New York Veterans Administration or the University at Buffalo-SUNY Institutional Review Board. Serum and urine were collected from healthy volunteers. Serum was used on the day of collection or stored at -80°C prior to use. Urine was used on the day of collection or stored at 4°C prior to use. Ascites fluid was collected from deidentified patients who were undergoing therapeutic paracentesis for symptoms due to abdominal distension. These individuals were not being treated with antimicrobials and were not infected with human immunodeficiency, hepatitis B, or hepatitis C virus. The ascites fluid was cultured to confirm sterility, divided into aliquots, and stored at -80°C. Each batch was obtained from a different patient and was designated by the date of removal. Ascites fluid batch 08/04/08 was used for the growth studies reported in Fig. 2. For various *in vitro* growth studies, ascites fluid, serum, or urine (90% fluid, 10% 1× phosphate-buffered saline (PBS) [pH 7.4]) was used. In some experiments, ascites fluid was supplemented with various concentrations of exogenous, purified siderophores (40 nM to 1.28 μM). Enterobactin, yersiniabactin, and salmochelin were obtained from a commercial source (Sigma-Aldrich), and aerobactin was purified from hvKP1-generated iron-poor conditioned minimal medium as described previously (21). To exclude the possibility that viable bacteria were present in ascites fluid that could not be cultured by traditional culture methods, FeCl₃, Fe(NO₃)₃, or FeSO₄ at 0.1 mM, 1.28 μM purified yersiniabactin or salmochelin, or a 1.28 μM concentration of a mixture of enterobactin, salmochelin, yersiniabactin (5% of total), and aerobactin (95% of total) was added to 1 ml

of human ascites fluid, which was incubated overnight at 37°C. The next day, concentrated ascites fluid was plated on Todd-Hewitt plus 5% sheep blood agar plates. No growth was observed. Although we cannot exclude the possibility that noncultivable bacteria were present in the ascites fluid used in our experiments, they were not cultivated under the conditions utilized for the reported experiments, which in turn minimizes/excludes the consideration that such bacteria may play a role as confounders of the reported results.

Development of conditioned medium for use in siderophore assays.

Conditioned medium was generated as described previously (22) with the following modifications. For urine, all strains were initially grown overnight in LB medium. The next day, bacteria were washed in 1× PBS twice, diluted in urine to an A_{600} of 0.08, and then grown for 24 h. For ascites fluid, all strains were initially grown overnight in ascites fluid that had been heated at 56°C for 30 min ($\Delta 56^\circ$) prior to use to inactivate complement so that the growth between strains would be similar. The next day, the overnight growth medium was removed, and strains were diluted in fresh $\Delta 56^\circ$ ascites fluid to an A_{600} of 0.2 and then grown for 24 h. For serum, all strains were initially grown overnight in M9 minimal medium supplemented with iron-chelated Casamino Acids (0.3%). The next day, the overnight growth medium was removed, and strains were diluted in 90% $\Delta 56^\circ$ serum–10% 1× PBS to an A_{600} of 0.13 to 0.16 and then grown for 24 h. Pilot experiments have demonstrated that these growth regimens result in maximum siderophore production. After the 24-h growth period in ascites fluid, serum, and urine, the supernatant was harvested and then filtered through a 0.2- μ m syringe filter (Corning). Next, for ascites fluid and serum, the bacterium-free supernatant was processed through a 10-kDa centrifugal filter unit (Millipore) to remove residual color. For urine, a 3-kDa centrifugal filter unit was used.

Quantitative siderophore assay. Quantitative siderophore assays were performed as described previously (21). For each strain grown in ascites fluid and urine, 5 independently generated conditioned media were assayed, whereas for serum, 3 independently generated conditioned media were tested. Two measurements were performed on each conditioned medium, and a mean value was generated.

In vitro growth in ascites fluid, serum, and urine. Growth in these media was performed as described previously (26). For ascites fluid and serum, aliquots were removed for bacterial enumeration at various times, whereas for urine, A_{600} was measured.

Mouse SQ challenge and pneumonia models. The mouse subcutaneous (SQ) challenge and pneumonia models have been described (21, 22, 27). Animal studies were reviewed and approved by the University at Buffalo—SUNY and Veterans Administration Institutional Animal Care Committee. This study was carried out in strict accordance with the recommendations in the *Guide for the Care and Use of Laboratory Animals* of the National Institutes of Health (28), and all efforts were made to minimize suffering. In brief, in the subcutaneous (SQ) challenge model outbred male CD1 mice (18 to 22 g) were injected SQ with various titers of the bacterial strain being assessed. Two independent experiments were performed in which groups of five mice were challenged by each of the six strains being evaluated (hvKP1, hvKP1 $\Delta iucA$, hvKP1 $\Delta iroB$, hvKP1 $\Delta entB$, hvKP1 $\Delta irp2$, and hvKP1 $\Delta entB\Delta irp2$). Four different challenge titers at log intervals ($3 \times 10^{2-5}$ to $5 \times 10^{2-5}$) were used per strain, resulting in a total of 10 animals per strain per titer. Data are presented as averages of the two titers for a given strain and log titer. In the pneumonia model, oropharyngeal aspiration in anesthetized CD1 mice (18 to 22 g) was used for intrapulmonary instillation of a single titer for hvKP1 or a mutant derivative ranging from 1.4×10^5 to 2.5×10^5 CFU. A total for 10 animals were challenged with each of the six strains in a single experiment. Animals were followed for 14 days, with an *in extremis* state or death being used as the study endpoint.

Statistical analyses. Data are presented as means and standard errors of the means (SEM). *P* values of $<0.05/n$ (*n* is the number of comparisons) are considered statistically significant based on the Bonferroni correction for multiple comparisons. Two-tailed unpaired *t*

tests were used for comparison of quantitative siderophore data (Fig. 1). To normalize *ex vivo* growth/survival data (for Fig. 2 through 4), \log_{10} -transformed values were utilized, the area under each curve was calculated, and these areas were compared using two-tailed unpaired *t* tests (Prism 4 for Macintosh; GraphPad Software Inc.). A log-rank (Mantel-Cox) test was used for the analysis of the Kaplan-Meier plot (for Fig. 5 and 6) (Prism 4 for Macintosh; GraphPad Software Inc.).

RESULTS

Disruption of aerobactin synthesis results in the largest reduction of siderophore production. The isogenic derivatives deficient in aerobactin production (hvKP1 $\Delta iucA$), salmochelin production (hvKP1 $\Delta iroB$), enterobactin and salmochelin production (hvKP1 $\Delta entB$), yersiniabactin production (hvKP1 $\Delta irp2$), or enterobactin, salmochelin, and yersiniabactin production (hvKP1 $\Delta entB\Delta irp2$) were generated by site-directed mutagenesis as described in Materials and Methods (see “Strain description”). Total siderophore production was measured in these strains in 3 clinically relevant human biologic fluids *ex vivo*: ascites fluid, urine, and serum (Fig. 1). As previously described in part (ascites fluid) (21), compared to the wild-type parent (hvKP1), disruption of *iucA* resulted in significant 95%, 94%, and 100% reductions in total siderophore production in ascites fluid, serum, and urine, respectively. The effects of disrupting *entB*, *irp2*, or *iroB* and the combination of *entB* and *irp2* were quantitatively less and more variable. Disruption of *entB* resulted in a significant 18% reduction in total siderophore production in ascites fluid and a significant 27% reduction in serum. Disruption of *irp2* resulted in a significant 45% reduction in total siderophore production only in ascites fluid. Disruption of the combination of *entB* and *irp2* resulted in a significant 62% reduction in total siderophore production only in ascites fluid. Disruption of *iroB* did not have a significant effect on siderophore production. Taken together, these results demonstrate that the quantitatively greatest and only consistent reduction in total siderophore production was observed with the loss of aerobactin production.

Disruption of aerobactin synthesis, but not enterobactin, salmochelin, or yersiniabactin synthesis, decreases growth/survival in human ascites fluid and serum *ex vivo*. The growth/survival of hvKP1 (wild-type), hvKP1 $\Delta iucA$ (aerobactin deficient), hvKP1 $\Delta iroB$ (salmochelin deficient), hvKP1 $\Delta entB$ (enterobactin and salmochelin deficient), hvKP1 $\Delta irp2$ (yersiniabactin deficient), and hvKP1 $\Delta entB\Delta irp2$ (enterobactin, salmochelin, and yersiniabactin deficient) was assessed in human ascites fluid and serum *ex vivo*. When strains were cultured in ascites fluid, the growth/survival of hvKP1, hvKP1 $\Delta iroB$, hvKP1 $\Delta entB$, hvKP1 $\Delta irp2$, and hvKP1 $\Delta entB\Delta irp2$ as determined by the area under the curve (AUC) were similar (Fig. 2). In contrast, the growth/survival of hvKP1 $\Delta iucA$ was significantly decreased compared to that of its wild-type parent, as previously described ($P < 0.05/5$, two-tailed unpaired *t* test) (21). When strains were grown in serum, the AUC growth/survival for hvKP1 $\Delta iroB$, hvKP1 $\Delta entB$, and hvKP1 $\Delta irp2$ was significantly increased ($P < 0.05/5$, two-tailed unpaired *t* test) (not decreased) and that of hvKP1 $\Delta entB\Delta irp2$ was similar to that of hvKP1 (Fig. 3). In contrast, the AUC growth/survival of hvKP1 $\Delta iucA$ was significantly decreased compared to that of its wild-type parent hvKP1 ($P < 0.05/5$, two-tailed unpaired *t* test) (Fig. 3). These data strongly support a role for aerobactin but not enterobactin, salmochelin, or yers-

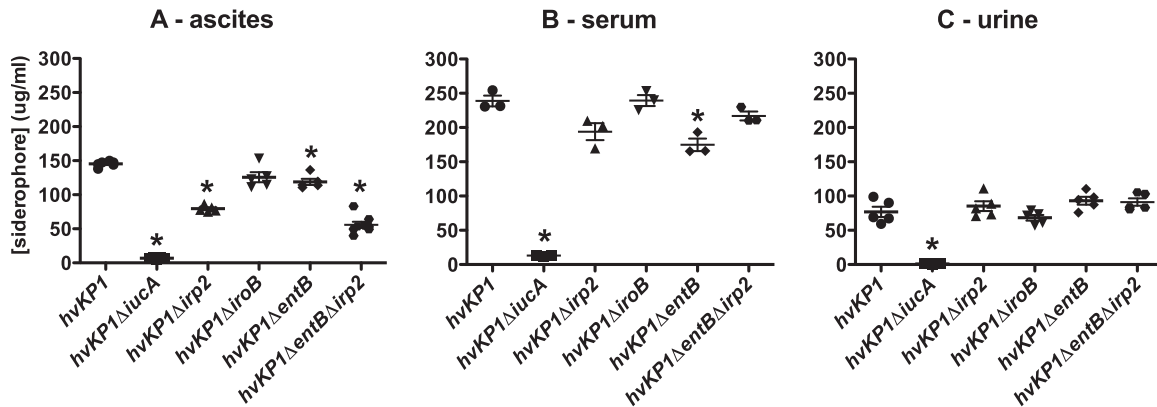


FIG 1 Quantitative measurement of siderophores (SP) in hvKP1 (wild type), hvKP1 Δ iucA (aerobactin deficient), hvKP1 Δ iroB (salmochelin deficient), hvKP1 Δ irp2 (yersiniabactin deficient), hvKP1 Δ entB (enterobactin and salmochelin deficient), and hvKP1 Δ entB Δ irp2 (enterobactin, salmochelin, and yersiniabactin deficient) grown in human ascites fluid (A), human serum (B), and human urine (C). Quantitative SP measurements were performed on bacterium-free supernatants harvested after overnight growth. The median SP concentrations for hvKP1 Δ iucA, hvKP1 Δ iroB, hvKP1 Δ irp2, hvKP1 Δ entB, and hvKP1 Δ entB Δ irp2 were compared to that of hvKP1. Each symbol represents the mean concentration from an independent conditioned medium measured in duplicate. (A) The mean concentration for hvKP1 Δ iucA, hvKP1 Δ irp2, hvKP1 Δ entB, and hvKP1 Δ entB Δ irp2 was significantly decreased compared to that for hvKP1. (B) The mean concentration for hvKP1 Δ iucA and hvKP1 Δ entB was significantly decreased compared to that for hvKP1. (C) The mean concentration for hvKP1 Δ iucA was significantly increased compared to that for hvKP1 (*, $P < 0.05/5$, two-tailed unpaired t test).

iniabactin in increasing the growth/survival of hvKP in these clinically relevant environments.

Disruption of aerobactin, enterobactin, salmochelin, or yersiniabactin synthesis does not decrease growth/survival in human urine *ex vivo*. The growth of hvKP1 (wild type), hvKP1 Δ iucA (aerobactin deficient), hvKP1 Δ iroB (salmochelin deficient), hvKP1 Δ entB (enterobactin and salmochelin deficient), hvKP1 Δ irp2 (yersiniabactin deficient), and hvKP1 Δ entB Δ irp2 (enterobactin, salmochelin, and yersiniabactin deficient) was assessed in three different human urine samples *ex vivo*. The growth/survival of hvKP1 Δ iucA, hvKP1 Δ iroB, hvKP1 Δ entB, hvKP1 Δ irp2, and hvKP1 Δ entB Δ irp2 as determined by the AUC was variably increased (not decreased) in each of the three urine samples compared to that of hvKP1 ($P < 0.05/5$, two-tailed unpaired t test) (Fig. 4). These data do not support a role for aerobactin, enterobactin, salmochelin, or yersiniabactin in increasing the growth/survival of hvKP in human urine.

Disruption of aerobactin synthesis, but not enterobactin, salmochelin, or yersiniabactin synthesis, decreases virulence in a mouse SQ challenge infection model. It has been previously demonstrated that aerobactin was an important factor in conferring virulence in an outbred mouse SQ challenge model (21). SQ challenge results in metastatic spread, making this model clinically relevant. This model was used to determine whether enterobactin, salmochelin, or yersiniabactin contributed to the hypervirulent phenotype of hvKP1 in this clinical setting. Four challenge inocula were used, ranging from 3.4×10^2 to 3.6×10^5 CFU, 3.6×10^2 to 3.6×10^5 CFU, 3.7×10^2 to 3.7×10^5 CFU, 4.0×10^2 to 4.0×10^5 CFU, and 5.6×10^2 to 5.6×10^5 CFU in approximate \log_{10} intervals for hvKP1 (wild-type), hvKP1 Δ iroB (salmochelin deficient), hvKP1 Δ entB (enterobactin and salmochelin deficient), hvKP1 Δ irp2 (yersiniabactin deficient), and hvKP1 Δ entB Δ irp2 (enterobactin, salmochelin, and yersiniabactin deficient), respectively. Previously published data on hvKP1 Δ iucA were included for comparative purposes (21). The mortality of mice challenged with hvKP1 Δ iroB, hvKP1 Δ entB, hvKP1 Δ irp2, and hvKP1 Δ entB Δ irp2 was not decreased compared to that of mice challenged with hvKP1, as was

previously observed with hvKP1 Δ iucA (Fig. 5). These data do not support a role for salmochelin or yersiniabactin individually or the combination of enterobactin and salmochelin or of enterobactin, salmochelin, and yersiniabactin in increasing the virulence of hvKP1 in this systemic infection model.

Disruption of aerobactin synthesis, but not enterobactin, salmochelin, or yersiniabactin synthesis, decreases virulence in a mouse pneumonia model. A mouse pulmonary challenge model was used to determine whether aerobactin, enterobactin, salmochelin, or yersiniabactin contributed to the hypervirulent phenotype of hvKP1 in this clinical setting. Outbred CD1 mice were challenged with 2.1×10^5 CFU (hvKP1, wild type), 2.5×10^5 CFU (hvKP1 Δ iucA, aerobactin deficient), 1.8×10^5 CFU (hvKP1 Δ iroB, salmochelin deficient), 2.5×10^5 CFU (hvKP1 Δ entB, enterobactin and salmochelin deficient), 2.0×10^5 CFU (hvKP1 Δ irp2, yersiniabactin deficient), and 1.4×10^5 CFU of hvKP1 Δ entB Δ irp2 (enterobactin, salmochelin, and yersiniabactin deficient). The survival of mice challenged with hvKP1 Δ iucA was significantly ($P < 0.05/5$, log rank [Mantel-Cox] test) increased compared to those challenged with hvKP1 (Fig. 6). In contrast, survival of mice challenged with hvKP1 Δ iroB, hvKP1 Δ entB, hvKP1 Δ irp2, and hvKP1 Δ entB Δ irp2 was similar to survival of those challenged with hvKP1 (Fig. 6). These data demonstrate that aerobactin increases the virulence of hvKP1 after pulmonary challenge. However, it does not support a role for enterobactin, salmochelin, or yersiniabactin in this setting.

The growth/survival of hvKP1 Δ iucA (aerobactin deficient) in human ascites fluid is maximized by chemical complementation with aerobactin and enterobactin. The total amount of siderophore production by hvKP1 Δ iucA in ascites fluid is 5% of the amount produced by its wild-type parent, hvKP1 (Fig. 1). This enabled us to test the effect of supplementing human ascites fluid with exogenous siderophores (40 nM to 1.28 μ M) on the growth/survival of hvKP1 Δ iucA. At a starting inoculum between 3.0×10^3 and 5.4×10^3 CFU/ml, the growth/survival of hvKP1 Δ iucA is limited (Fig. 7). The addition of exogenous salmochelin had no effect on the growth/survival of hvKP1 Δ iucA (Fig. 7B). The addi-

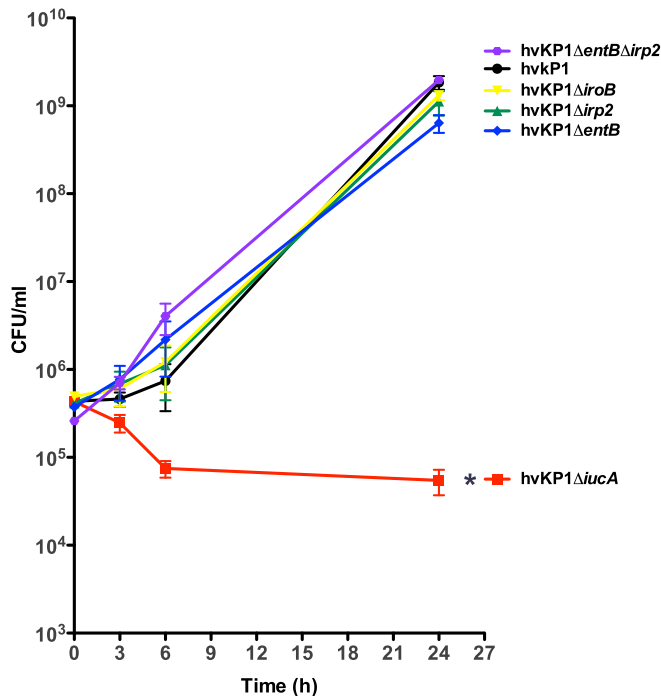


FIG 2 Growth/survival of hvKP1 (wild-type), hvKP1Δ*iucA* (aerobactin deficient), hvKP1Δ*iroB* (salmochelin deficient), hvKP1Δ*irp2* (yersiniabactin deficient), hvKP1Δ*entB* (enterobactin and salmochelin deficient), and hvKP1Δ*entBΔirp2* (enterobactin, salmochelin, and yersiniabactin deficient) in human ascites fluid. The growth/survival of hvKP1, hvKP1Δ*iucA*, hvKP1Δ*iroB*, hvKP1Δ*irp2*, hvKP1Δ*entB*, and hvKP1Δ*entBΔirp2* was assessed by measurement of CFU at 0, 3, 6, and 24 h in 10% human ascites fluid–10% 1× PBS (pH 7.4). Data are means ± SEM; five to seven independent growth curves were performed for each strain. The growth/survival of hvKP1Δ*iucA* was significantly decreased compared to that of hvKP1 (*, $P < 0.05/5$, two-tailed unpaired *t* test).

tion of 1.28 μM yersiniabactin significantly increased the growth/survival of hvKP1Δ*iucA*; however, a plateau density of only 2.0×10^6 CFU/ml was achieved (Fig. 7C). In contrast, the addition of 640 nM and 1.28 μM aerobactin and 80 nM, 160 nM, 320 nM, 640 nM, and 1.28 μM enterobactin significantly increased the growth/survival of hvKP1Δ*iucA*, with plateau densities of 1.1×10^8 to 2.5×10^8 CFU/ml and 3.6×10^8 to 6.1×10^8 CFU/ml achieved, respectively (Fig. 7A and D). These data support the concept that in human ascites fluid, the effect on growth/survival for these siderophores is not equivalent, with the relative biologic activity being enterobactin > aerobactin > yersiniabactin > salmochelin.

DISCUSSION

To date, increased capsule production and the siderophore aerobactin, which is produced at high levels, have been established as factors that increase the virulence of hvKP compared to cKP strains (15, 21). In this study, we extended previous observations on the importance of aerobactin in hvKP by demonstrating its importance for growth/survival in human serum *ex vivo* (Fig. 3) and its contribution to virulence in a mouse pulmonary challenge model of infection (Fig. 6). We also assessed whether the other siderophores produced in hvKP (enterobactin, yersiniabactin, and salmochelin) enhanced virulence. Interestingly, in contrast to aerobactin, the inability to produce enterobactin, salmochelin, or yersiniabactin individually or in combination did not decrease the

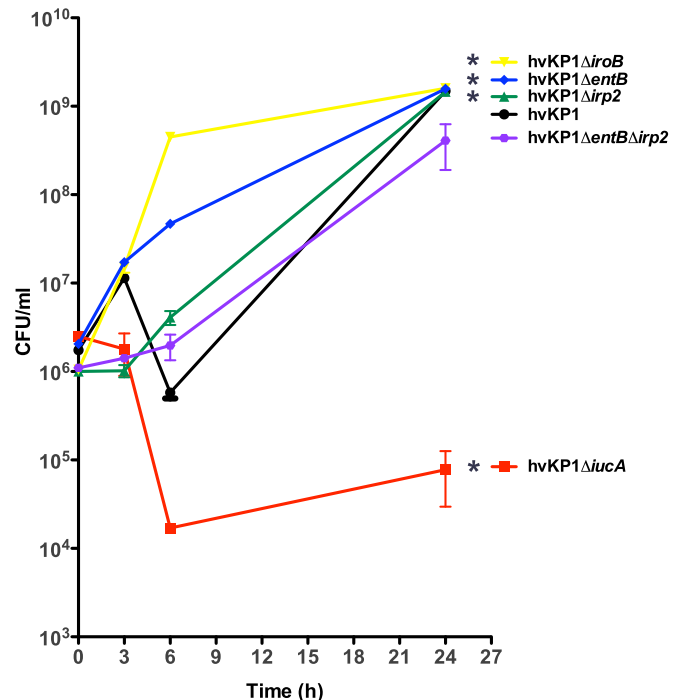


FIG 3 Growth/survival of hvKP1 (wild type), hvKP1Δ*iucA* (aerobactin deficient), hvKP1Δ*iroB* (salmochelin deficient), hvKP1Δ*irp2* (yersiniabactin deficient), hvKP1Δ*entB* (enterobactin and salmochelin deficient), and hvKP1Δ*entBΔirp2* (enterobactin, salmochelin, and yersiniabactin deficient) in human serum. The growth/survival of hvKP1, hvKP1Δ*iucA*, hvKP1Δ*iroB*, hvKP1Δ*irp2*, hvKP1Δ*entB*, and hvKP1Δ*entBΔirp2* was assessed by measurement of CFU at 0, 3, 6, and 24 h in 90% human serum–10% 1× PBS (pH 7.4). Data are means ± SEM; four independent growth curves were performed for each strain. The growth/survival of hvKP1Δ*iucA* was significantly decreased and the growth/survival of hvKP1Δ*iroB*, hvKP1Δ*irp2*, and hvKP1Δ*entB* was significantly increased compared to that of hvKP1 (*, $P < 0.05/5$, two-tailed unpaired *t* test).

ex vivo growth/survival in human ascites fluid or serum or decrease virulence in the mouse SQ challenge model, which results in systemic infection, or in the mouse pulmonary challenge model. These data do not support enterobactin, salmochelin, and yersiniabactin as factors that increase the virulence of hvKP in these clinically relevant settings. However, since competition experiments were not performed using siderophore receptor mutants, which would avoid the experimental challenge of siderophore cross-feeding, we cannot exclude a minor role for the nonaerobactin siderophores at these sites of infection. Further, whether these siderophores contribute to the pathogenesis of hvKP infection in other sites of infection remains to be determined. Lastly, the focus of this study was to assess the role of siderophores in systemic infection (i.e., after colonization and entry); however, some investigators have used an oral challenge model to study hvKP infection (29). Although it is beyond the scope of this study, that model could be used to study the role of siderophores in gastrointestinal colonization.

A critical unresolved question is whether the properties of aerobactin, its absolute amount, or the combination explains the apparent singular importance of this siderophore for hvKP infection. Data presented in this report demonstrate that enterobactin and aerobactin are the most biologically active siderophores in human ascites fluid, as measured by growth enhancement of the

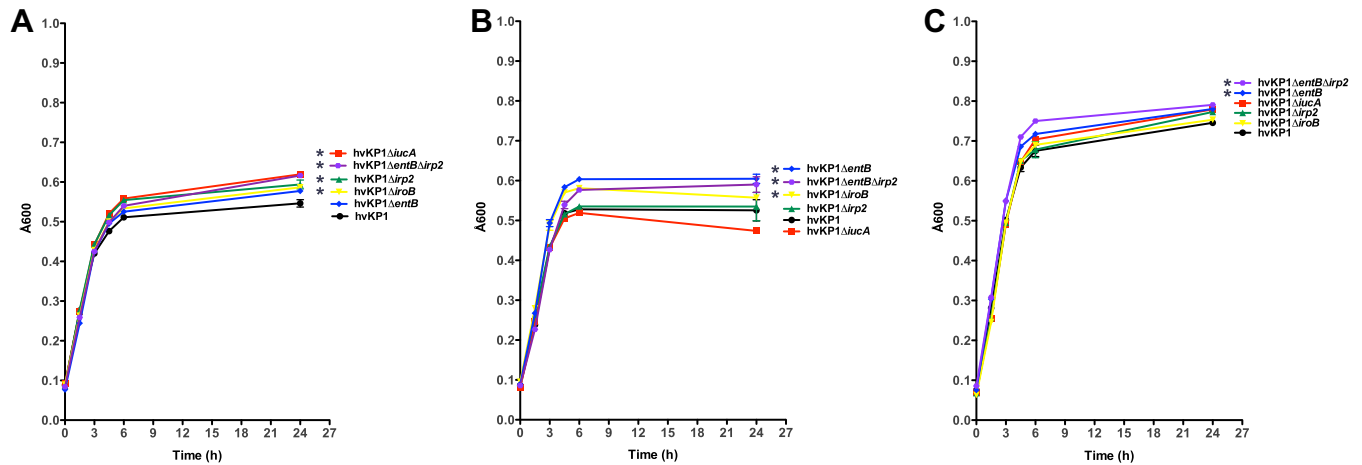


FIG 4 Growth/survival of hvKP1 (wild-type), hvKP1 $\Delta iucA$ (aerobactin deficient), hvKP1 $\Delta iroB$ (salmochelin deficient), hvKP1 $\Delta irp2$ (yersiniabactin deficient), hvKP1 $\Delta entB$ (enterobactin and salmochelin deficient), and hvKP1 $\Delta entB\Delta irp2$ (enterobactin, salmochelin, and yersiniabactin deficient) in human urine. The growth/survival of hvKP1, hvKP1 $\Delta iucA$, hvKP1 $\Delta iroB$, hvKP1 $\Delta irp2$, hvKP1 $\Delta entB$, and hvKP1 $\Delta entB\Delta irp2$ was assessed by measurement of optical density at 0, 1.5, 3, 4.5, 6, and 24 h in 90% human urine–10% $1 \times$ PBS (pH 7.4). Urine from three different individuals was used (A to C). Data are means \pm SEM; three independent growth curves were performed for each strain. (A) The growth/survival of hvKP1 $\Delta iucA$, hvKP1 $\Delta iroB$, hvKP1 $\Delta irp2$, and hvKP1 $\Delta entB$ was significantly increased compared to that of hvKP1. (B) The growth/survival of hvKP1 $\Delta iroB$, hvKP1 $\Delta entB\Delta irp2$, and hvKP1 $\Delta entB$ was significantly increased compared to that of hvKP1. (C) The growth/survival of hvKP1 $\Delta entB\Delta irp2$, and hvKP1 $\Delta entB$ was significantly increased compared to that of hvKP1 (*, $P < 0.05/5$, two-tailed unpaired t test).

aerobactin-deficient strain hvKP1 $\Delta iucA$. Limited growth enhancement was observed with the addition of exogenous yersiniabactin, and none was observed with salmochelin supplementation. These data support the concept that both the quantity and biologic activity of aerobactin contribute to its role in virulence. These data also suggest that, at least in ascites fluid, even if yersiniabactin and salmochelin were produced in hvKP at high levels similar to those of aerobactin, they would not be functionally equivalent to aerobactin in the pathogenic process. In fact, the inability to produce salmochelin or yersiniabactin in human serum and urine and perhaps in the SQ challenge model resulted in enhanced virulence compared to that of their wild-type parent. These findings are consistent with a scenario in which the savings in metabolic costs associated with the production of these siderophores outweighs their contribution to growth/survival (30). Although it might appear surprising that enterobactin production was unable to compensate for the loss of aerobactin production in hvKP1 $\Delta iucA$, some combination of its low level of production (plus the fact that a portion of enterobactin produced is converted to salmochelin) and sensitivity to lipocalin 2 (to which aerobactin is resistant) is a likely explanation (31–34). Features that may contribute to the biologic activity of aerobactin despite a lower Fe association constant ($K_f = 10^{22.9}$) (35) than enterobactin ($K_f = 10^{52}$) (35), yersiniabactin ($K_f = 10^{36}$) (36), and transferrin ($\sim 10^{30}$) (37) include the facts that aerobactin is recycled (38), it transfers Fe from transferrin more efficiently than enterobactin (39), and, in contrast to enterobactin, this transfer is not impeded by albumin or immunoglobulins (40).

Findings from this study and previously published data (21) continue to demonstrate the importance of aerobactin in hvKP infection. These results are in contrast to a previous study in which an aerobactin deficient derivative of the hvKP strain NTUH-K2044 had a 50% lethal dose (LD_{50}) similar to that of its wild-type parent after intraperitoneal and intragastric challenge in BALB/cByl mice, although decreased virulence was observed with an

aerobactin-salmochelin-yersiniabactin-deficient derivative (41). We believe that the observed difference is most likely related to the mouse strains used; however, we cannot exclude a role for differences in the bacterial strains.

Our inability to demonstrate a role for salmochelin and yersiniabactin in hvKP infection after SQ and pulmonary challenge could also be considered surprising. Genes that encode yersiniabactin are more prevalent in hvKP (91%) than cKP (22%) (41), and genes that encode salmochelin production are present with aerobactin biosynthesis genes on a large virulence plasmid that appears to be critical for hvKP pathogenesis (24, 42–44). Further, previous studies using a cKP strain supported a role for these factors in pulmonary infection (31, 32, 45). However, the cKP strains assessed in those studies did not produce aerobactin, and in fact, the ability to produce aerobactin in combination with increased capsule production appears to be a defining hvKP trait (6, 21). In addition, salmochelin and yersiniabactin are not major contributors to the high siderophore levels observed in hvKP strains under Fe-poor conditions (Fig. 1) (21). Our findings are consistent with results obtained with the hvKP strain NTUH-K2044 in which the absence of salmochelin or yersiniabactin did not affect LD_{50} s after intraperitoneal and intragastric challenge in BALB/cByl mice (41).

We were unable to demonstrate a role for any siderophore in increasing growth/survival in human urine. A similar result had been previously demonstrated for a cKP strain, but since that isolate did not produce aerobactin, its role was not assessed (32). Due to potential variability in urine composition, three different urine samples were evaluated. Further, increased levels of siderophores were measured in all of these urine samples (Fig. 1) compared to levels observed in an iron-replete medium (21). This is consistent with urine being an environment with low iron bioavailability in which iron acquisition is needed. Since siderophores are the dominant iron acquisition factors in *K. pneumoniae*, this finding was surprising. Potential explanations include a role for a sidero-

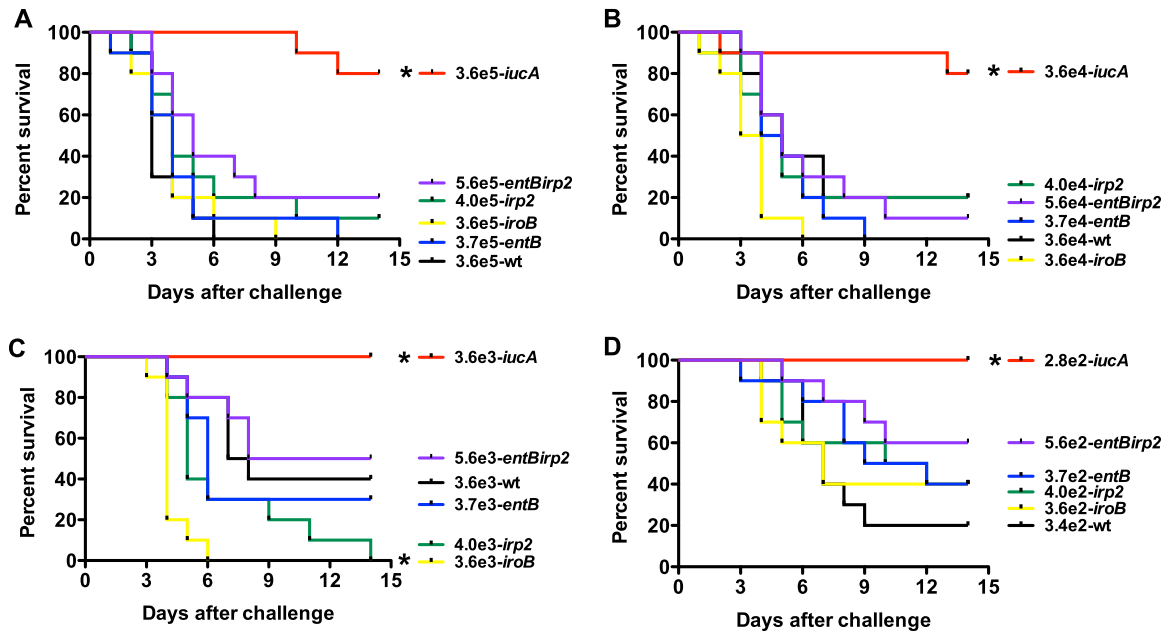


FIG 5 Survival of outbred CD1 mice after subcutaneous (SQ) challenge with (wild-type), hvKP1 Δ *iucA* (aerobactin deficient), hvKP1 Δ *iroB* (salmochelin deficient), hvKP1 Δ *irp2* (yersiniabactin deficient), hvKP1 Δ *entB* (enterobactin and salmochelin deficient), and hvKP1 Δ *entBirp2* (enterobactin, salmochelin, and yersiniabactin deficient). (A) hvKP1, 3.6×10^5 CFU; hvKP1 Δ *iucA*, 3.6×10^5 CFU; hvKP1 Δ *iroB*, 3.6×10^5 CFU; hvKP1 Δ *irp2*, 4.0×10^5 CFU; hvKP1 Δ *entB*, 3.7×10^5 CFU; hvKP1 Δ *entBirp2*, 5.6×10^5 CFU. (B) hvKP1, 3.6×10^4 CFU; hvKP1 Δ *iucA*, 3.6×10^4 CFU; hvKP1 Δ *iroB*, 3.6×10^4 CFU; hvKP1 Δ *irp2*, 4.0×10^4 CFU; hvKP1 Δ *entB*, 3.7×10^4 CFU; hvKP1 Δ *entBirp2*, 5.6×10^4 CFU. (C) hvKP1, 3.6×10^3 CFU; hvKP1 Δ *iucA*, 3.6×10^3 CFU; hvKP1 Δ *iroB*, 3.6×10^3 CFU; hvKP1 Δ *irp2*, 4.0×10^3 CFU; hvKP1 Δ *entB*, 3.7×10^3 CFU; hvKP1 Δ *entBirp2*, 5.6×10^3 CFU. (D) hvKP1, 3.4×10^2 CFU; hvKP1 Δ *iucA*, 2.8×10^2 CFU; hvKP1 Δ *entBirp2*, 5.6×10^2 CFU. Strains were grown overnight in LB medium. An *in extremis* state or death was scored as nonsurvival. The total number tested was 10 ($n = 5$ in each of 2 independent experiments) for each titer for each strain. The growth/survival of animals challenged with hvKP1 Δ *iucA* was significantly increased compared to that of animals receiving hvKP1 at all challenge inocula as previously described (21). The growth/survival of animals challenged with hvKP1 Δ *iroB* was significantly decreased compared to hvKP1 at a challenge inoculum of 3.6×10^3 CFU (C) (*, $P < 0.05/5$, log rank [Mantel-Cox] test).

phore-independent iron acquisition factors, or perhaps the functional role for siderophores in this setting is more egalitarian and the presence of just one or two siderophores at low concentrations is sufficient. Of course, growth in urine is not a complete assessment for a factor in uropathogenesis. It is possible that aerobactin or an alternative siderophore may be important for growth/survival in bladder or renal tissue or invasion of the bloodstream from these sites. Nonetheless, the loss of aerobactin production in urine does not have the same effect on the hvKP phenotype as in ascites fluid and serum. This finding supports an increasing body of data which demonstrates that selected siderophores are more important in certain settings (31, 46, 47).

In summary, our data confirm and extend the critical role for aerobactin in hvKP infection. In contrast, we were unable to establish a role for enterobactin, salmochelin, or yersiniabactin, at least under the *ex vivo* and *in vivo* conditions tested in this report. This appears to be due to a combination of the biologic properties of aerobactin and the high level of aerobactin production. These data have potential implications for the development of novel therapeutic strategies in the management of hvKP infection. This may be particularly important, since recent data support the idea that hvKP has the potential to acquire significant antimicrobial resistance (11), similar to what is now occurring with cKP (4). Ever-increasing antimicrobial resistance, especially in Gram-negative bacilli, has increased interest with regard to the development of antivirulence agents. The goal with this approach is not to kill bacteria

but to target the ability of the pathogen to cause disease, thereby limiting the selective pressure for resistance. Iron deprivation would be a means to accomplish this. This study begins to address the issue of whether aerobactin biosynthetic enzymes will be suitable targets for an antivirulence treatment strategy.

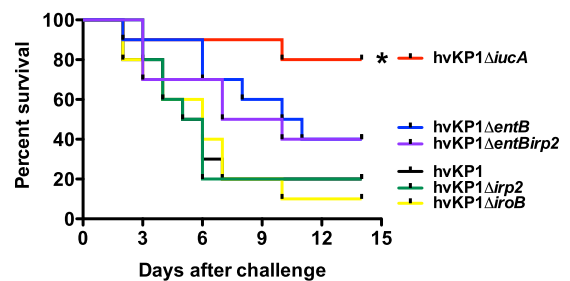


FIG 6 Survival of outbred CD1 mice after pulmonary challenge with (wild-type), hvKP1 Δ *iucA* (aerobactin deficient), hvKP1 Δ *iroB* (salmochelin deficient), hvKP1 Δ *irp2* (yersiniabactin deficient), hvKP1 Δ *entB* (enterobactin and salmochelin deficient), and hvKP1 Δ *entBirp2* (enterobactin, salmochelin, and yersiniabactin deficient). Animals underwent pulmonary challenge with either 2.1×10^5 CFU of hvKP1, 2.5×10^5 CFU of hvKP1 Δ *iucA*, 1.8×10^5 CFU of hvKP1 Δ *iroB*, 2.5×10^5 CFU of hvKP1 Δ *entB*, 2.0×10^5 CFU of hvKP1 Δ *irp2*, or 1.4×10^5 CFU of hvKP1 Δ *entBirp2*. Strains were grown overnight in LB medium. An *in extremis* state or death was scored as nonsurvival. $n = 10$ for each strain. The growth/survival of animals challenged with hvKP1 Δ *iucA* was significantly increased compared to hvKP1 (*, $P < 0.05/5$, log rank [Mantel-Cox] test).

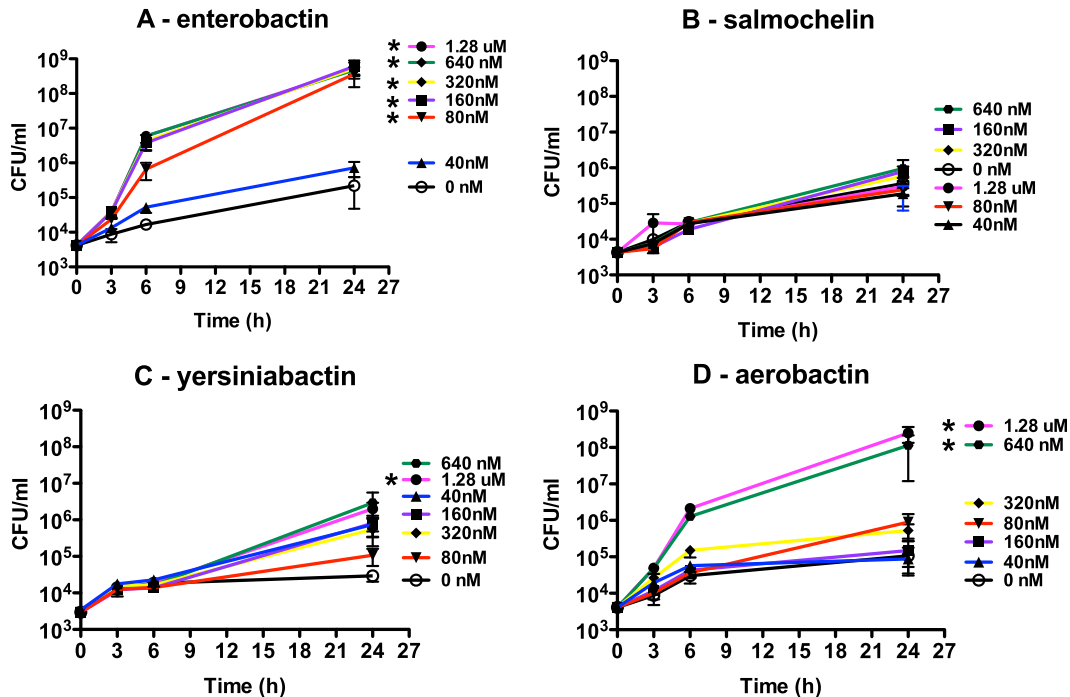


FIG 7 Growth/survival of hvKP1 Δ iucA (aerobactin deficient) in human ascites fluid with and without the addition of enterobactin, salmochelin, yersiniabactin, and aerobactin. The growth/survival of hvKP1 Δ iucA, was assessed by measurement of CFU at 0, 3, 6, and 24 h in 90% human ascites fluid–10% 1 \times PBS (pH 7.4) with or without the addition of purified siderophores at the concentrations of 0 nM, 40 nM, 80 nM, 160 nM, 320 nM, 640 nM, and 1.28 μ M. The listed order of concentrations in each panel is based on plateau density. Data are means \pm SEM; four to six independent growth curves were performed for each strain at each siderophore concentration. The growth/survival of hvKP1 Δ iucA was significantly increased with the addition of 80 nM, 160 nM, 320 nM, 640 nM, and 1.28 μ M enterobactin, with the addition of 1.28 μ M yersiniabactin, and with 640 nM and 1.28 μ M aerobactin compared to growth in the absence of exogenous siderophore (*, $P < 0.05/6$, two-tailed unpaired t test).

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