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## Analysis of the Plasma Proteome in COPD: Novel Low Abundance Proteins Reflect the Severity of Lung Remodeling

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## Abstract

The search for COPD biomarkers has largely employed a targeted approach that focuses on plasma proteins involved in the systemic inflammatory response and in lung injury and repair. This proof of concept study was designed to test the idea that an open, unbiased, in-depth proteomics approach could identify novel, low abundance plasma proteins i.e., ng/mL concentration, which could serve as potential biomarkers. Differentially expressed proteins were identified in a discovery group with severe COPD (FEV1 <45% predicted; n = 10). Subjects with normal lung function matched for age, sex, ethnicity and smoking history served as controls (n =10). Pooled plasma from each group was exhaustively immunodepleted of abundant proteins, d separated by 1-D gel electrophoresis and extensively fractionated prior to LC-tandem mass spectroscopy (GeLC-MS). Thirty one differentially expressed proteins were identified in the discovery group including markers of lung defense against oxidant stress, alveolar macrophage activation, and lung tissue injury and repair. Four of the 31 proteins (i.e., GRP78, soluble CD163, IL1AP and MSPT9) were measured in a separate verification group of 80 subjects with varying COPD severity by immunoassay. All 4 were significantly altered in COPD and 2 (GRP78 and soluble CD163) correlated with both FEV1 and the extent of emphysema. In-depth, plasma proteomic analysis identified a group of novel, differentially expressed, low abundance proteins that reflect known pathogenic mechanisms and the severity of lung remodeling in COPD. These proteins may also prove useful as COPD biomarkers.

## Keywords

biomarkers; emphysema; COPDgene®

#### **Declaration of Interest Statement**

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## Introduction

Chronic obstructive pulmonary disease (COPD), a disease characterized by lung inflammation and remodeling but which also has systemic effects (1–3), is an increasingly important public health concern in the United States and worldwide (4,5). In the United States, COPD is the third-leading cause of death (6,7) Epidemiological studies indicate that the disease affects 24 million Americans of whom one half remain undiagnosed (4,5).

Recently, considerable efforts have been made to identify biomarkers that can be used to identify pathogenetic mechanisms; serve as surrogate markers for treatment responses; and to distinguish COPD phenotypes (8–12). Studies to date have generally utilized a targeted approach in which proteins of interest have been assayed based on their putative role in the disease using antibody based assays either as individual candidates i.e., ELISA or as commercially available multiplex arrays (9–11,13,14). In general, cytokines, chemokines, metallo-proteinases, tissue inhibitors of metallo-proteinases (TIMPs), etc., have been measured and found to differentiate COPD from controls (11,13,15,16). In addition, highly abundant, acute phase reactants produced by the liver which reflect the systemic inflammatory component of the disease e.g., C-reactive protein (CRP), fibrinogen, haptoglobin, etc., differentiate COPD from controls (14,17–21).

The directed approach has several advantages including ease of measurement and the ability to screen large numbers of subjects i.e., high throughput. However, it suffers from the fact that proteins not previously suspected of involvement in the disease are not identified. As a result, it has been suggested recently that more "open," non-biased approaches which examine the entire proteome using mass spectroscopy are required to elucidate novel differentially expressed proteins (13,2,23).

Study of the plasma proteome by mass spectroscopy to identifydisease biomarkers is extremely challenging, however, because 99% of the total protein mass is accounted for by a small number of highly abundant proteins (i.e., albumin, acute phase reactants, coagulation factors, etc.) (24,25). Moreover, the remaining 1% of relatively low abundance proteins among which organ-specific, disease-specific markers may be detected, span a concentration range of more than 7 orders of magnitude (26–28).

To date, a limited number of studies of the serum or plasma proteome in COPD have been performed using mass spectroscopy (21,29–31). These have utilized sample fractionation methods which essentially identify only high abundance plasma proteins like acute phase reactants, coagulation factors, and complement components, etc. most of which are synthesized in the liver not the lung (23). Accordingly, low abundance, disease-specific proteins which are likely to reflect the pathogenic mechanisms operative in the lung require alternative approaches.

More recent proteomic approaches to identify low abundance plasma proteins have utilized robust immunodepletion to remove the most abundant plasma proteins which exert a "masking" effect and extensive multi-modality sample fractionation prior to mass spectroscopy (MS) (24,26–28,32). In particular, one-dimensional SDS-PAGE with extensive fractionation (10 fractions) prior to LC-MS/MS, a method termed GeLC-MS/MS, provides

extensive proteome coverage with high depth of analysis (32). In fact, GeLC-MS/MS identifies proteins in the picogram/mL concentration range and has been used successfully to identify low abundance proteins some of which serve as cancer biomarkers (32–34).

Accordingly, this proof of concept study was designed to test the ability of an open, unbiased proteomics approach using robust immunodepletion followed by extensive fractionation (15 fractions) to identify novel, low abundance plasma proteins which reflect the remodeling processes in the lung in subjects with COPD. The lung remodeling process in COPD was assessed from the FEV<sub>1</sub> and the chest CT scan.

## Methods

#### Study population

A subset of subjects enrolled in the NIH COPDGene<sup>®</sup> project, a multi-center, genome-wide association study designed to elucidate the genetic basis for COPD, served as the study group. The plasma proteome is affected by cigarette smoking, age, sex and ethnicity (16,35–37). Accordingly the study population was selected so as to control these several confounding variables and thus, reduce the environmental and biological "noise," which might obscure the COPD-related "signal."

Specifically, ex-smokers (i.e., cessation for >2 years) of a single ethnicity (i.e., non-Hispanic Caucasians) and sex (male) with airflow obstruction (i.e.,  $FEV_1 < 80\%$  predicted and  $FEV_1/FVC < 0.70$ ) were chosen to comprise the COPD group (n = 50 subjects). A matched population of ex-smokers of the same age, sex and ethnicity without airflow obstruction (i.e., FEV1 > 80% predicted and  $FEV_1/FVC > 0.70$ ) served as controls (n = 30 subjects). The severity of COPD was assessed by spirometry performed at the time of study enrollment and subjects classified according to the 2007 Global Initiative for Obstructive Lung Disease (GOLD) guidelines (5). The severity of emphysema at the time of study entry was quantitated on chest CT scan as the number ofl lung voxels with an attenuation value of less than –950 houndsfield units using the Slicer software package (http://www.slicer.org) as described previously (38).

All subjects were clinically stable at the time of study. This research protocol was approved by the institutional review board at each institution and all participants provided written informed consent.

#### Sample collection and quality control

Plasma samples (7–8 mL of whole blood) were collected at the time of enrollment in the COPDGene<sup>®</sup> project in a vacutainer blood collection system containing a protease inhibitor cocktail specifically made for proteomic studies (Beckton Dickenson, P100, Franklin Lakes, NJ). Blood was centrifuged at room temperature within 30 minutes of collection. The separated plasma was ali-quoted into sterile freezer vials (500  $\mu$ L each) and stored at –80°C until used.

Initial analysis performed in the discovery group (see Supplementary Table 1) assessed the degree of hemolysis and proteolysis of the plasma sample. No detectable hemolysis was

present in any sample as determined spectrometrically. No detectable proteolysis was observed as assessed from the intensity of the <10 kD protein bands on a 1-D gel indicated (Supplementary Figure S1).

#### Immunodepletion of high-abundance proteins

Plasma samples in the discovery group were stringently immunodepleted to remove as many of the highly abundant proteins as possible with a tandem, antibody-affinity resin column approach [Seppro Human IgY14 resin and Human Supermix resin system, Sigma-Aldrich Inc., St. Louis, MO] (24,25,33). This approach removed the 14 most abundant proteins and ~50 moderately abundant proteins. Following tandem antibody-affinity resin column immunodepletion, individual samples from the discovery groups were then pooled to form two samples in preparation for GeLC-MS/MS.

#### GeLC-MS/MS

GeLC-MS, a highly robust, label-free method which we have shown is capable of detecting low abundance proteins is described in detail in supplementary methods (33,39).

#### Western blot / ELISA analysis

Selected differentially expressed proteins identified by GelC- MS in the discovery group were measured by immunoassay (Western blotting or ELISA) using commercially available antibodies in all 80 subjects as described in detail in supplementary methods.

#### Statistics

The statistical significance of differences in identified proteins between study groups was assessed by ANOVA. Post hoc testing was performed by Neuman-Keuls or nonparametric Mann–Whitney U-tests. Receiver operating characteristics (ROC) were constructed for validated, differentially expressed proteins based on logistic regression, and the area under the ROC curve (AUC) was calculated (40). The relationship of validated proteins to the FEV<sub>1</sub> or chest CT score was assessed by univariate or multivariate linear regression.

## Results

#### Characteristics of the study population

By design, subjects in all groups were Caucasian males of similar age and smoking history (n = 80 subjects; Table 1). Also by design, the FEV<sub>1</sub> and FEV<sub>1</sub>/FVC, differed across study groups (Table 1). For example, FEV<sub>1</sub> was  $29 \pm 2\%$  SE predicted;  $64 \pm 2\%$  predicted; and  $94 \pm 2\%$  predicted in GOLD 3–4, GOLD 2 and controls, respectively (p < 0.01 by ANOVA). In addition, the extent of emphysema differed significantly across the three study groups. The area of emphysema was  $25 \pm 3\%$  SE;  $16 \pm 3\%$ ; and  $3 \pm 1\%$  in GOLD 3–4, GOLD 2 and control subjects, respectively (p < 0.01 by ANOVA).

Body weight and BMI were significantly different across groups (p < 0.05 by ANOVA) and less in GOLD 2 and 3–4 groups than controls (p < 0.05 for both comparisons). The incidence of common co-morbidities such as diabetes, cancer, hypertension, coronary artery

disease and rheumatoid arthritis was similar across COPD and control groups (p = NS) (Table 1).

#### GeLC-MS assessment of differentially expressed plasma proteins in the discovery group

GeLC-MS was performed on a discovery subgroup of 10 subjects with severe COPD i.e., FEV<sub>1</sub> < 45% predicted (GOLD 3–4) and 10 controls i.e., FEV1 > 80% predicted and FEV<sub>1</sub>/FVC >0.70. Characteristics of the discovery sub-group are shown in Supplementary Table S1.

Over 712 unique plasma proteins were identified in the two groups with concentrations that varied by at least 7 orders of magnitude (550 picograms/ml to 2 milligram/ml) based on reported plasma concentrations (24).

COPD plasma demonstrated 31 differentially expressed proteins compared with controls (Table 2 and Supplementary Table 2). Six proteins were increased (1.95-fold to 4.15-fold), and 7 proteins were decreased (0.69-fold to 0.28-fold) in the COPD samples. Seven proteins were identified only in the COPD group, and 11 proteins were identified only in the control group (Table 2).

The differentially expressed proteins in the COPD group included proteins previously identified by others including coagulation factors (e.g., fibrinogen); acute phase reactants (e.g., c-reactive protein); metalloproteinase inhibitors (i.e., TIMP1 and 2); and adhesion molecules (e.g., VCAM1) (13,14,19).

Of importance, a number of novel proteins were also identified in the COPD group. These proteins are involved in oxidant defense [e.g., glucose regulated protein of 78 kD (GRP78) and peroxiredoxin]; macrophage activation [e.g., soluble CD163 (sCD163), macrophage stimulating factor 9 (MSTP9) and, interleukin 1 receptor accessory protein (IL1AP]; antimicrobial defense [e.g., cathelicidin, dermacidin and MUC18]; and tissue inflammation and repair [e.g., proteoglycan 4, procollagen endopeptidase enhancer 1 (PCOC1), fetuin, S-100-A6 and CD115]. Of considerable interest, two of the identified proteins have no known function (e.g., lethal malignant brain tumor protein and GPR 25).

#### Validation of selected differentially expressed proteins

The expression of GRP78, IL1AP, sCD163 and MSPT9 was then examined by immunoassay in the entire group of 80 subjects (Figure 1). These 4 proteins were chosen based on their potential importance in the pathogenesis of COPD. The immunoassay results for GRP78, MSTP9, sCD163, and IL1AP were in agreement with results on the pooled samples in the discovery group. That is, GRP78, IL1AP and MSTP9 levels were significantly increased in all COPD groups compared to controls (p < 0.01 by ANOVA for each comparison), while sCD163 levels were significantly decreased in all COPD groups (p < 0.01 by ANOVA).

#### Relationship of validated proteins to disease severity

The ability of the four validated differentially expressed proteins, i.e., GRP78, IL1AP, MSTP9 and sCD163, to reflect the severity of COPD in the 80 subjects was assessed in two

ways. First, we determined their ability to distinguish COPD per se from controls based on GOLD categorization as assessed by receiver operating curves (ROC) (Figure 2). Specifically, ROCs for the separation between GOLD class 2, 3–4 from controls demonstrated mean AUCs of >0.74 for GRP78, IL1AP, or CD163 assessed individually. The AUC of MSTP9 individually was somewhat lower at 0.67 (95% CI 0.533 to 0.800). Of interest but not surprisingly, the combination of all 4 proteins performed better with an AUC of 0.89 (95% CI 0.807 to 0.967).

Second, we assessed the relationship of each of the 4 proteins to either the FEV<sub>1</sub> or the percentage of emphysema determined by CT scan (Table 3). For FEV<sub>1</sub> % predicted, GRP78, sCD163, and MSTP9 each assessed individually correlated significantly (p < 0.02 for each one); GRP78 and sCD163 had the closest association (r = 0.40 and 0.31, respectively) (Table 3). In contrast, IL1AP did not correlate significantly with FEV<sub>1</sub>. Moreover, the combination of GRP78 and sCD163 performed better than each alone (r = 0.47, p < 0.001). Addition of IL1AP or MSTP9 individually or together did not significantly improve the correlation of GRP78 and sCD163 to the FEV1.

For the percentage of emphysema, GRP78 and sCD163 correlated significantly individually (r = 0.35 and 0.31, respectively; p < 0.01 for both) (Table 3) and the combination performed even better (r = 0.40, p = 0.008). In general, however, the association of each of these proteins with emphysema was less than for the FEV<sub>1</sub>.

## Discussion

In general, COPD biomarkers have been identified using a directed approach based on knowledge of lung physiology/pathophysiology and, in particular, the fact that immune/ inflammatory responses are activated in the lung and blood (9, 11, 12). In fact, existing plasma biomarkers for COPD are acute phase reactants (e.g., CRP, fibrinogen, etc.); a variety of Th1 and Th2 cytokines and chemokines; tissue growth factors; coagulation factors; proteases/protease inhibitors (e.g., MMP-9, SERPINA3, TIMP 1 and 2); or lung specific proteins (e.g., surfactant proteins A and D and PARC/CCL-18) (10,11,13,14, 17–19,41,42).

In contrast, this study used an "open," non-biased approach which scanned the plasma proteome of subjects with advanced COPD to identify low abundance proteins which may reflect lung damage and, hence, may potentially serve as novel COPD biomarkers. Considerable attention was paid to study design and methodology. First, to minimize biological or environmental "noise" related to age, gender, ethnicity and cigarette smoking history on the plasma proteome, a highly select group of subjects formed the discovery population. Specifically, the discovery group comprised Caucasian male, ex-smokers with advanced COPD (FEV<sub>1</sub> <45% predicted; GOLD 3–4) while age-, sex- and ethnicity - matched, ex-smokers without airflow obstruction served as controls. Of note, the cigarette exposure history i.e., pack-years, was similar in the COPD and control subjects. The larger validation group differed only in that it included subjects with milder disease.

explain our results.

Co-morbidities may have confounding effects on the plasma proteome especially those characterized by an underlying inflammatory state. To assess this possibility, we compared the incidence of several common co-morbidities like diabetes, coronary artery disease, rheumatoid arthritis and hypertension. Of importance for the interpretation of our data, the COPD and control groups demonstrated a similar incidence of these co-morbidities suggesting that the presence of other common inflammatory and metabolic diseases did not

Second, to minimize "noise" related to sample quality, i.e., protein degradation or hemolysis, special proteomics collection tubes (P100 tubes) were used. Third, since immunodepletion of highly abundant plasma proteins increases mass spectroscopy sensitivity and the ability to detect low abundance proteins, we used an extremely robust, double affinity column, which we have shown previously removes most high or moderately abundant proteins which comprise >90% of the mass of plasma proteins (24). Next, 1 dimensional gel electrophoresis separation of the intact proteins followed by reversed-phase HPLC fractionation of the tryptic peptides generated from each gel slice was used to further enhance detection of unique proteins prior to mass spectrometry identification (39).

In fact, the GeLC-MS/MS method allowed greater coverage of the plasma proteome in COPD than prior studies which utilized 2-D gel electrophoresis or strong cation exchange chromatography (21,29–31). Specifically, we detected over 700 unique proteins which included several differentially expressed proteins whose concentrations are in the ng/mL range e.g., GRP78, sCD163, MSTP9, IL1AP, TIMP1, ICAM1, proteoglycan 4, etc. (43). In contrast, prior mass spectroscopy studies have observed differences in proteins whose concentration is in the mg/mL range, e.g., haptoglobin, fibrinogen, hemopexin, ceruloplasmin, complement components, etc. (21,29–31).

Of note, several of the 31 differentially expressed proteins in the COPD discovery group have been reported previously using either immunoassay or mass spectroscopy methods (13,15,19,21,31). Specifically, we observed increases in COPD of acute phase response proteins e.g., CRP, fibrinogen; coagulation factors e.g., coagulation factor V; adhesion molecules e.g., VCAM-1; and decreases in molecules involved in protease-induced lung tissue injury and repair e.g., TIMP-1 and TIMP-2 (13,15,19,21,31).

To our knowledge, however, 25 of the 31 differentially expressed proteins have not been reported previously as altered in COPD. Of these 25 novel proteins, 4 were chosen for immunoassay validation [i.e., GRP78, IL1AP, MSTP9 and sCD163].

Results obtained by immunoassay of all 80 subjects confirmed findings in the discovery group for all 4 proteins. That is, GRP78, IL1AP, MSTP9, sCD163 were all significantly different in the COPD groups compared to controls and all changed in the same direction as in the discovery group. The AUCs of ROC curves for distinguishing subjects with COPD from subjects without the disease using individual proteins ranged from 0.666 to 0.775 and increased to 0.887 (95% CI 0.807 to 0.967) using the combination of all 4 proteins.

That a combination of several markers performed better in predicting disease is not surprising given recent studies which demonstrate that groups of markers generally

outperform single markers (13,15,20,44). Of interest, the AUC of these individual proteins to distinguish COPD from normals approximates that of putative biomarkers like SP-A, SP-D, MMP-9 and TIMP-1 and the combination of all 4 proteins exceeds that of the best single predictor, SP-A whose AUC was 0.842 [95% CI 0.785 to 0.8990] (16). Finally, changes in the levels of GRP78 and sCD163 individually (and more so in combination) correlated significantly with the severity of the lung remodeling process in COPD as reflected in the FEV<sub>1</sub> and the extent of emphysema. In fact, individual correlation coefficients for GRP78, sCD163 and MSTP9 against FEV<sub>1</sub> (r > 0.30) were considerably greater than any one of the 84 protein markers of inflammation and tissue repair/remodeling assayed by multiplex array reported previously (13).

The 4 proteins chosen for validation reflect a diversity of biological processes potentially involved in the pathogenesis of COPD including lung structural cell survival and the intensity of the lung inflammatory response (3,45). In particular, they play a role in oxidant stress, protein metabolism and alveolar macrophage activation.

Specifically, GRP78, glucose regulated protein of 78 kD/BIP a multi-functional member of the heat shock 70 chaperone family, is an endoplasmic reticulum (ER) chaperone which regulates responses to cell stress and cell protein homeostasis (46). Moreover, GRP78 is a hallmark effector of the compensatory response to ER stress, a condition in which misfolded, non-functional proteins accumulate in the ER (46) In fact, heightened ER stress promotes lung cell inflammation and induces lung cell apoptosis (47). Increased expression of GRP78 as part of the unfolded protein response improves ER protein folding capacity and inhibits ER stress-induced apoptosis and lung inflammation (46). Of particular interest, ER stress is present in the lungs of chronic cigarette smokers and in subjects with COPD (48,49). Increases in GRP78 in the plasma in COPD in proportion to the severity of airway obstruction and emphysema, therefore, may be an indicator of the severity of ER stress in the lung in COPD.

On the other hand, sCD163, IL1AP and MSTP-9 all regulate macrophage responses to microorganisms and inflammatory cytokines. Their expression is affected by the level of macrophage activation. Specifically, CD163, which is expressed primarily by cells of the monocyte lineage such as alveolar macrophages, is a plasma membrane glycoprotein receptor and clearance mechanism for hemoglobin-haptoglobin complexes (50). Thus, CD163 plays an important compensatory role in the setting of oxidative stress (50). CD163 induces anti-inflammatory, anti-oxidant responses in macrophages (51).

For example, hemoglobin-haptoglobin induced activation of CD163 up-regulates heme oxygenase -1 expression by monocytes/macrophages and induces release of carbon monoxide and IL-10 (51). Soluble CD163 is released from its parent molecule, CD163, in response to pro-inflammatory stimuli and oxidant stress and, hence, is a marker of monocyte and tissue macrophage activation (50). In contrast to CD163, relatively little is known about the biological functions of sCD163. Reduction in sCD163 levels in COPD subjects is a surprising finding in this study since the number of alveolar macrophages and their activation state is heightened in the COPD lung (52).

The IL-1 receptor accessory protein [IL1AP], a member of the interleukin-1 $\beta$  receptor family, promotes IL-1 $\beta$  signaling when in its membrane bound form but enhances binding to the circulating decoy IL1R1 receptor in the soluble form (53). IL1AP expression is actively regulated and reductions in membrane bound IL1AP inhibit NF- $\kappa$ B expression and hence the pro- inflammatory response to IL-1 $\beta$  (54). In human respiratory cells, expression of inhibitors of IL-1 $\beta$  signaling such as IL1R1 decoy are stimulated in parallel with increases in IL-1 $\beta$  itself but are quantitatively greater (55). IL-1 $\beta$  expression is enhanced in the COPD lung (45,56). Accordingly, increases in plasma soluble IL1AP in COPD likely reflect the intensity of IL-1 $\beta$ -induced inflammation in the lung.

Macrophage stimulatory protein (MSTP9), which is increased in COPD, is a serum protein belonging to the plasminogen-related growth factor family (57). MSTP9 is primarily produced in the liver as a biologically inactive single-chain pro-MSP and is converted to its active form by several coagulation cascade enzymes. The specific receptor for MSTP9 is the RON (recepteur d'origine nantais) receptor tyrosine kinase - a member of the MET protooncogene family (58). Activation of RON by MSPTP9 exerts dual functions on macrophages. Stimulatory activities include the induction of macrophage spreading, migration and phagocytosis. However, MSTP9 also inhibits lipopolysaccharide (LPS)induced production of inflammatory mediators, including inducible nitric oxide, IL-12 and prostaglandins (58). Thus, MSPTP9 is believed to regulate macrophage activities during bacterial infection (59). Increases in MSTP9 may be a response to ongoing bacterial colonization or infection in the COPD lung.

This study tested the idea that an open, unbiased proteomics approach could identify novel, low abundance plasma proteins which could serve as potential biomarkers in COPD. In fact, we identified 25 differentially expressed proteins not previously recognized in COPD and validated 4. Nonetheless, the present study has several limitations which should be pointed out. First, the study group involved only male Caucasians, a limited subset of subjects with COPD. Whether the results obtained extend to females and subjects of other ethnicities requires further study. Second, the method of robust immunodepletion employed removed a large number of the most abundant plasma proteins whose mass comprises >90% of the plasma proteome (24). Accordingly, robust immunodepletion likely eliminated a variety of previously described markers that are elevated in COPD like the iron binding proteins, ceruloplasmin, hemopexin and haptoglobin (21). We also did not detect the many cytokines and chemokines which are increased in COPD (13,15). Our failure to detect these very low abundance proteins that are present in pg/mL amounts likely reflects the lower sensitivity of present day mass spectrometry than immunoassay or protein expression array (28).

Finally, of 25 novel, differentially expressed proteins identified in the discovery group, 21 were not evaluated. These included two proteins of considerable interest since their function is unknown. These are lethal malignant brain tumor-like 3 protein (LMBL3), which was detected in COPD only, and probable G protein receptor 25 (GPR25), which was detected only in controls. The lack of available antibodies for many of these proteins prevented us from studying them further. These deserve further study.

Nonetheless, the validated proteins, GRP78, sCD163, IL1AP and MSTP9, are of interest both as indicators of the presence of COPD and, in the case of GRP78 and sCD163, the severity of lung and airway remodeling in this disease. Given the function of these proteins, they also may shed new light on the molecular mechanisms that underlie this disease.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1.

Group mean ± SE data showing levels of GRP78, IL1AP, CD163 and MSTP9 in the 80 subject validation group as assessed by immunoassay. Panel A: GRP78 was significantly different across the 3 groups (p < 0.01 by ANOVA) and both GOLD 2 (p < 0.05) and GOLD 3–4 (p < 0.01) were significantly different than control by post-hoc testing. Panel B: sCD163 was significantly different across the 3 groups (p < 0.01 by ANOVA and GOLD 2 (p < 0.01) and GOLD 3–4 (p < 0.01) were both significantly different than control. Panel C: IL1AP was significantly different across the 3 groups (p < 0.01 by ANOVA) and both GOLD 2 (p < 0.01) and GOLD 3–4 (p < 0.01) were both significantly different than control. Panel C: IL1AP was significantly different across the 3 groups (p < 0.01 by ANOVA) and both GOLD 2 (p < 0.01) and GOLD3–4 (p < 0.05) were significantly different than control. Moreover, GOLD2 differed from GOLD 3–4 (p < 0.01). Panel D: MSTP9 was significantly different than control. Moreover, GOLD2 differed from GOLD 3–4 (p < 0.01). Panel D: MSTP9 was significantly different than control. Moreover, GOLD2 differed from GOLD 3–4 (p < 0.01) and GOLD 2 (p < 0.01) and GOLD 3–4 (p < 0.05) were significantly different than control. Moreover, GOLD2 differed from GOLD 3–4 (p < 0.01). Panel D: MSTP9 was significantly different across the 3 groups (p < 0.01 by ANOVA) and both GOLD 2 (p < 0.01) and GOLD 3–4 (p < 0.05) were significantly different the control. Symbols indicate \*\*p < 0.01 for comparison of GOLD 3–4 with control; \*p < 0.05 for comparison with control; and <sup>&&</sup> p < 0.01 for comparison with GOLD 2.



#### Figure 2.

Receiver operating curves (ROCs) for GRP78, sCD163, IL1AP and MSTP9 for separation of COPD i.e., GOLD 2, 3–4 vs controls in all 80 subjects in the validation group. ROCs were computed for each individual protein and separately for the combination of all 4 proteins. The blue area in each ROC demarcates the upper and lower 95% confidence intervals around the mean (solid line). ROC curves for GRP78, IL1AP and MSTP9 were obtained from the ROCR library in R (reference) by providing it with disease category (1, 0) and marker value for each subject in ng/ml. Since sCD163 is negatively correlated with disease category, its values were negated prior to submission to the R procedure i.e., high

values became large negative values. For the 4 markers in combination, values were submitted to logistic regression (R, glm procedure). The resulting log odds of disease as determined by the ROCR procedure was: Log(p/1-p) = 14.56 GRP78 - 0.0013 sCD163 + 3.46 IL1AP - 12.68 MSTP9 = y.

#### Table 1

## Study population (Mean $\pm 1$ SE)

	Control (n = 30)	GOLD 2 (n = 20)	GOLD 3-4 (n = 30)
Age (yrs)	$64 \pm 1$	$65 \pm 1$	$64 \pm 1$
Smoking History (pack-yrs)	$50\pm3$	$63\pm7$	$61\pm5$
Smoking Cessation (yrs)	$12\pm1$	$10\pm1$	$10\pm1$
FEV <sub>1</sub> (% pred)	$94\pm2$	$64 \pm 2^{**}$	$29\pm2^{\ast\ast,++}$
FVC (% pred)	$93\pm2$	$93\pm4$	$64\pm3^{**,++}$
FEV <sub>1</sub> / FVC (%)	$76\pm1$	$56 \pm 3^{**}$	$33\pm1^{**,++}$
Emphysema (%)	$5 \pm 1$	16 ± 3**	25 ± 3**,+
Height (cm)	$173\pm2$	$167\pm2$	$168 \pm 2$
Weight (kg)	$97\pm4$	$80\pm3^*$	$82 \pm 4*$
BMI (kg/m <sup>2</sup> )	$32\pm1$	$29\pm1^{\ast}$	$29\pm1^{\ast}$
Diabetes (%)	23	5	10
Coronary Artery Disease (%)	7	15	0
Cancer (%)	3	15	3
Hypertension (%)	57	40	47
Rheumatoid arthritis (%)	7	5	3
Osteoporosis (%)	23	25	13

p < 0.05 or p < 0.01 for comparison with Control.

+p < 0.05 or  $^{++}p < 0.01$  for comparison of GOLD 2 and GOLD 3–4.

## Table 2

## Differentially expressed proteins in COPD determined by GeLC-MS/MS

Name(s)	Protein accession code	Protein ID/Gene name	Fold-change COPD vs controls
Coagulation factor V/Activated protein C co-factor	P12259	FA5/F5	2.40
MUC18/Cell surface glycoprotein/CD146	P43121	MUC18/MCAM	2.90
78 kDa glucose-regulated protein/B-cell immunoglobulin binding protein	P11021	GRP78/HSPA5	2.33
C-reactive protein	P02741	CRP	2.40
Fibrinogen-a chain	P02671	FIBA/FGA	2.10
Macrophage-Stimulating Protein 9/Hepatocyte growth factor-like	P26927	MSTP9/HGFL	2.40
CD163/Scavenger receptor cysteine-rich type 1 protein M130/	Q86VB7	C163A/CD163	0.28
Coagulation factor XIII A chain/Protein glutamine-y-glutamyl transferase A chain	P00488	F13A /F13A1	0.53
Proteoglycan 4/Lubricin	Q92954	PRG4	0.28
Fetuin-B/GUGU	Q9UGM5	FETUB	0.53
Neural cell adhesion molecule/CD56	P13591	NCAM1	0.53
Procollagen C endopeptidase enhancer 1/Procollagen carboxy terminal proteinase enhancer 1	Q15113	PCOC1/PCOLCE	0.56
Lymphocyte cytosolic protein 1/Plastin-2 OS	P13796	PLSL/LCP1	0.28

COPD only		
Name(s)	Protein accession code	Protein ID/Gene name
Interleukin-1 receptor accessory protein/Interleukin 1 receptor 3	Q9NPH3	IL1AP
Vascular cell adhesion protein 1/CD106	P19320	VCAM1
Cathelicidin antimicrobial peptide/18 kDa Cationic antimicrobial protein	P49913	CAMP
Dermcidin/Pre-proteolysin	AF144011	DCD
Lethal(3)malignant brain tumor-like 3 protein/MBT1	Q96JM7	LMBL3/L3MBTL3
Contactin-1/Glycoprotein GP135	Q12860	CNTN1
Vitamin K-dependent protein Z	P22891	PROZ

Controls only		
Name(s)	Protein Accession Code	Protein ID/Gene name
Tissue inhibitor of metalloproteinase 1/Metalloproteinase inhibitor 1/Fibroblast collagenase inhibitor	P01033	TIMP1
Tissue inhibitor of metalloproteinase 2/Metalloproteinase inhibitor 2	P16035	TIMP2
Peroxiredoxin-1/Thioredoxin peroxidase 2	Q06830	PRDX1
Macrophage colony-stimulating factor 1 receptor/CD115	P07333	CSF1R
Mannosyl-oligosaccharide 1,2-a-mannosidase IA/Mannosidase-a class 1 A member 1	P33908	MA1A1/MAN1A1
Probable G protein coupled receptor 25	O00155	GPR25
HLA class I histocompatibility antigen, B-15 alpha chain/MHC class I antigen B15	P30464	1B15/HLAB
Putative zinc $\alpha$ 2-glycoprotein like 1	A8MT79	ZAGL1
Myelin P2	P02689	MYP2/PMP2
Protein S-100-A6/Calcyclin	P06703	S10A6/ S100A6
HLA class I histocompatibility antigen, A-1 alpha chain/MHC class I antigen A1	P30443	1A01/HLA-A

Correlation coefficients for relationship of individual plasma biomarkers with FEV1 or emphysema

	GR	P78	sCD	163	IL1	AP	MS'	TP9
	r	d	r	d	r	d	r	р
FEV1 (% predicted)	0.404	0.001	0.310	0.007	0.134	0.252	0.283	0.013
Emphysema (%)	0.335	0.004	0.307	0.008	0.105	0.377	0.191	0.104