

ATG16L1: A multifunctional susceptibility factor in Crohn disease

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Keywords: ATG16L1, autophagy, bacterial clearance, Crohn disease, endoplasmic reticulum stress, NOD2

Abbreviations: ATG16L1, autophagy-related 16-like 1 (*S. cerevisiae*); BCL2, B-cell CLL/lymphoma 2; DCs, dendritic cells; ER, endoplasmic reticulum; IBD, inflammatory bowel disease; GWAS, genome-wide association studies; MDP, muramyl dipeptide; MTOR, mechanistic target of rapamycin; NFκB, nuclear factor of kappa light polypeptide gene enhancer in B-cells; NOD2, nucleotide-binding oligomerization domain containing 2; RIPK2, receptor-interacting serine-threonine kinase 2; SNP, single-nucleotide polymorphism; T300A, threonine-to-alanine substitution at amino acid position 300; TNF/TNF-α, tumor necrosis factor; UC, ulcerative colitis; ULK1, unc-51 like autophagy-activating kinase 1; XBP1, X-box binding protein 1.

Genetic variations in the autophagic pathway influence genetic predispositions to Crohn disease. Autophagy, the major lysosomal pathway for degrading and recycling cytoplasmic material, constitutes an important homeostatic cellular process. Of interest, single-nucleotide polymorphisms in *ATG16L1* (autophagy-related 16-like 1 [*S. cerevisiae*]), a key component in the autophagic response to invading pathogens, have been associated with an increased risk of developing Crohn disease. The most common and well-studied genetic variant of *ATG16L1* (rs2241880; leading to a T300A conversion) exhibits a strong association with risk for developing Crohn disease. The rs2241880 variant plays a crucial role in pathogen clearance, resulting in imbalanced cytokine production, and is linked to other biological processes, such as the endoplasmic reticulum stress/unfolded protein response. In this review, we focus on the importance of *ATG16L1* and its genetic variant (T300A) within the elementary biological processes linked to Crohn disease.

Introduction

The chronic relapsing intestinal disorders, ulcerative colitis (UC) and Crohn disease, constitute the 2 most common inflammatory bowel disease (IBD) subtypes. The inflammation in UC is continuous and restricted to the mucosal layer of the colon, whereas Crohn disease is characterized by segmental transmural lesions that can affect any part of the gastrointestinal tract.¹ Accumulating evidence indicates that these inflammatory conditions occur due to dysfunctional antimicrobial responses combined with genetic susceptibilities.² Thus, identifying the complex host-microbe interactions and the underlying signaling cascades is of particular importance in revealing the pathogenesis of IBD.

During the past decade, genome-wide association studies (GWAS) and subsequent meta-analyses have been used with great success to identify novel IBD-associated genetic loci. More than 160 IBD-associated loci have been identified thus far,³ often including regions that regulate gene expression in immune cells and the intestinal epithelium.⁴ The majority of loci are shared by both Crohn disease and UC, although some loci are specific for each of the 2 different disease phenotypes.³

Several single-nucleotide polymorphisms (SNPs) linked to the pathogenesis of Crohn disease are located in genes involved in the pathway of autophagy,⁵ an important homeostatic cellular process that plays various key roles in both the innate and adaptive immune systems.⁶ SNPs in *ATG16L1* (autophagy-related 16-like 1 [*S. cerevisiae*]), an essential component of the autophagic pathway, have been associated with an increased risk of Crohn disease. The most common disease-associated *ATG16L1* SNP, rs2241880, encodes a missense variant resulting in a threonine-to-alanine substitution at amino acid position 300 (T300A).⁷ The T300A variant is the most prevalent of all *ATG16L1* variants, representing approximately 55% of the alleles in the European population and 20–40% of alleles in other populations.⁷ Properly functioning *ATG16L1* is reported to be required for bacterial clearance and the generation of antigen-specific T-cell responses.^{8,9} Additionally, crosstalk between *ATG16L1* and the cytosolic pathogen receptor NOD2 (nucleotide-binding oligomerization domain-containing 2), which initiates autophagy in response to invading pathogens, suggests the importance of these pathways in the pathogenesis of Crohn disease.^{10,11} Consequently, there is growing interest in revealing the association between autophagy and this disorder.

The aim of this review is to present an overview of the general mechanisms of autophagy with an emphasis on *ATG16L1*. Furthermore, we wish to present the available evidence of the role of *ATG16L1*-mediated responses in the host defense against microorganisms and inflammatory responses in Crohn disease. In summary, targeting autophagy in combination with other pathways might lead to new strategies for the rational management of Crohn disease.

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Submitted: 07/28/2014; Revised: 12/02/2014; Accepted: 01/12/2015
<http://dx.doi.org/10.1080/15548627.2015.1017187>

The Process and Regulation of Autophagy

The term autophagy, or “self-eating,” refers primarily to an intracellular lysosomal degradative process for the recycling of long-lived and damaged proteins in the maintenance of normal cellular homeostasis. Autophagy is a pivotal process for cell survival that acts by degrading proteins and membrane lipids, thus providing amino acids and free fatty acids to be used for both protein synthesis and ATP production. The first morphological description of autophagy in mammalian cells was reported in the 1950s.^{12–14} However, a more detailed understanding of autophagy was accelerated in the 1990s after the identification of autophagy-related (*ATG*) genes in the yeast *S. cerevisiae*.¹⁵ Since then, several related *ATGs* have been identified and are highly conserved in various species. Moreover, autophagy is involved in several human diseases (e.g., cancer, neurodegenerative diseases).^{16,17} Although its precise role is often ambiguous, context-specific, and still under intense investigation, accumulating evidence links autophagy to various cellular processes, including cell maintenance, oxidative cell stress, bacterial handling, and cell death.¹⁸

Autophagy is characterized by the formation of double-membrane sequestering compartments, phagophores, which engulf part of the cytoplasm. Upon completion, these form autophagosomes that function to allow the degradation of organelles or cytosolic proteins after fusion with lysosomes. The autophagic process, including the formation of autophagosomes, progresses through several distinct phases: initiation, phagophore elongation, closure, autophagosome maturation, and degradation (Fig. 1). The initiation of autophagy requires an efficient inducer. For instance, nutrient-rich conditions (e.g., amino acids and glucose) suppress autophagy following the activation of negative autophagic regulators, including MTOR (mechanistic target of rapamycin).¹⁹ MTOR is in many ways a key hub for autophagy regulation, integrating the signaling of several different pathways capable of controlling autophagy, including the phosphoinositide 3-kinase (PI3K)-AKT pathway. PI3K-AKT-MTOR can be activated by various growth factors and promotes cell growth and differentiation as well as inhibition of apoptosis signaling through MTOR activation.²⁰ Inhibition of MTOR, e.g., through nutrient starvation or rapamycin treatment (an inhibitor of MTOR complex 1, also known as sirolimus), thus promotes autophagy.²¹ The kinase MTOR is a critical regulator of a downstream protein complex that contains the autophagic protein ULK1 (unc-51 like autophagy activating kinase 1), which interacts with ATG13, ATG101, and RB1CC1/FIP200 (RB1-inducible coiled-coil 1; an Atg17 ortholog).^{22–24} The ULK1 complex is, however, negatively regulated by MTOR.^{23,25} In energy-depleting conditions, activation of AMP-activated protein kinase (AMPK) at high AMP levels phosphorylates ULK1 and blocks the inhibitory effect of MTOR.^{23,26} Activation of the ULK1 complex results in the translocation of the BECN1-containing class III phosphatidylinositol 3-kinase (PtdIns3K) complex to the assembly site of a phagophore²⁷ to promote the generation of phosphatidylinositol-3-phosphate (PtdIns3P), which is involved in recruiting a number of ATG proteins.^{28,29} There are multiple

PtdIns3K complexes that, in addition to BECN1, include combinations of the proteins ATG14, SH3GLB1/Bif-1 (SH3-domain GRB2-like endophilin B1), UVRAG (UV radiation resistance associated), and AMBRA1 (autophagy/Beclin 1 regulator 1), as well as the negative regulator KIAA0226/Rubicon; BECN1 can also be prevented from binding the complex due to its interaction with BCL2 (B-cell CLL/lymphoma 2).^{30–34}

The elongation and expansion of the phagophore involves 2 ubiquitin-like conjugation systems, the ATG12–ATG5–ATG16L1 conjugation system, and the microtubule-associated protein 1 light chain 3 (LC3; Atg8 homolog) conjugation system. The ubiquitin-activating enzyme homolog ATG7, along with the ubiquitin conjugating enzyme ortholog ATG10, mediates conjugation of ATG12 to ATG5. Similarly, ATG4B (a cysteine protease), ATG7, and another conjugating enzyme, ATG3, are involved in the processing of pro-LC3 to form LC3-I followed by modification with the lipid phosphatidylethanolamine (PE) to generate the membrane-associated LC3-II; the latter protein is associated with the membrane of the growing phagophore.^{35–37} It is important to mention that LC3 is most likely not the only substrate required for autophagy as LC3 β (an isoform of LC3) knockout mice only shows minimal phenotypes³⁸ and additionally in humans at least 7 ATG8 orthologs have been identified, suggesting at least partial redundancy.³⁹ Next, the expansion of the phagophore may depend on the trafficking of ATG9- and/or ATG16L1-containing vesicles from multiple membrane sources.^{40–42} Moreover, the ATG12–ATG5–ATG16L1 conjugate facilitates the lipidation of LC3-I.⁴³ Upon autophagosome closure, the LC3-II on the outer surface of the autophagosome is cleaved from PE and released back into the cytosol, along with the other ATG proteins; the LC3-II that is present on the concave surface remains associated with the completed autophagosome.^{44–46} As a consequence, LC3-II has widely been used as an autophagic marker.^{47,48} The final stage of autophagy is initiated by autophagosome-lysosome fusion, a process recently shown to involve interaction between STX17 (syntaxin 17) as an autophagosomal soluble *N*-ethylmaleimide-sensitive factor attachment protein receptor (SNARE) with its targets SNAP29 and VAMP8, which drives the fusion between the outer autophagosomal membrane and the lysosomal membrane.^{49,50} The intermediate filaments of the cytoskeleton, e.g., the adaptor proteins (YWHA/14-3-3 and VIM/vimentin) that maintain the cell shape and stabilize the cellular organelles, enable the autophagosome to fuse with lysosomes, stabilizing autolysosome formation.⁵¹ Fusion with lysosomes creates an acidic environment that results in the degradation of the autophagosome’s contents (Fig. 1).

ATG16L1 and Susceptibility to Crohn Disease

The association between SNPs in autophagy and the pathogenesis of Crohn disease has been widely studied. Numerous GWAS reports have identified several SNPs that regulate or communicate with the autophagic pathways and represent risk factors for Crohn disease.^{7,52–58} For instance, the SNPs in *NOD2* are the most prominent risk-associated SNPs and can lead to early

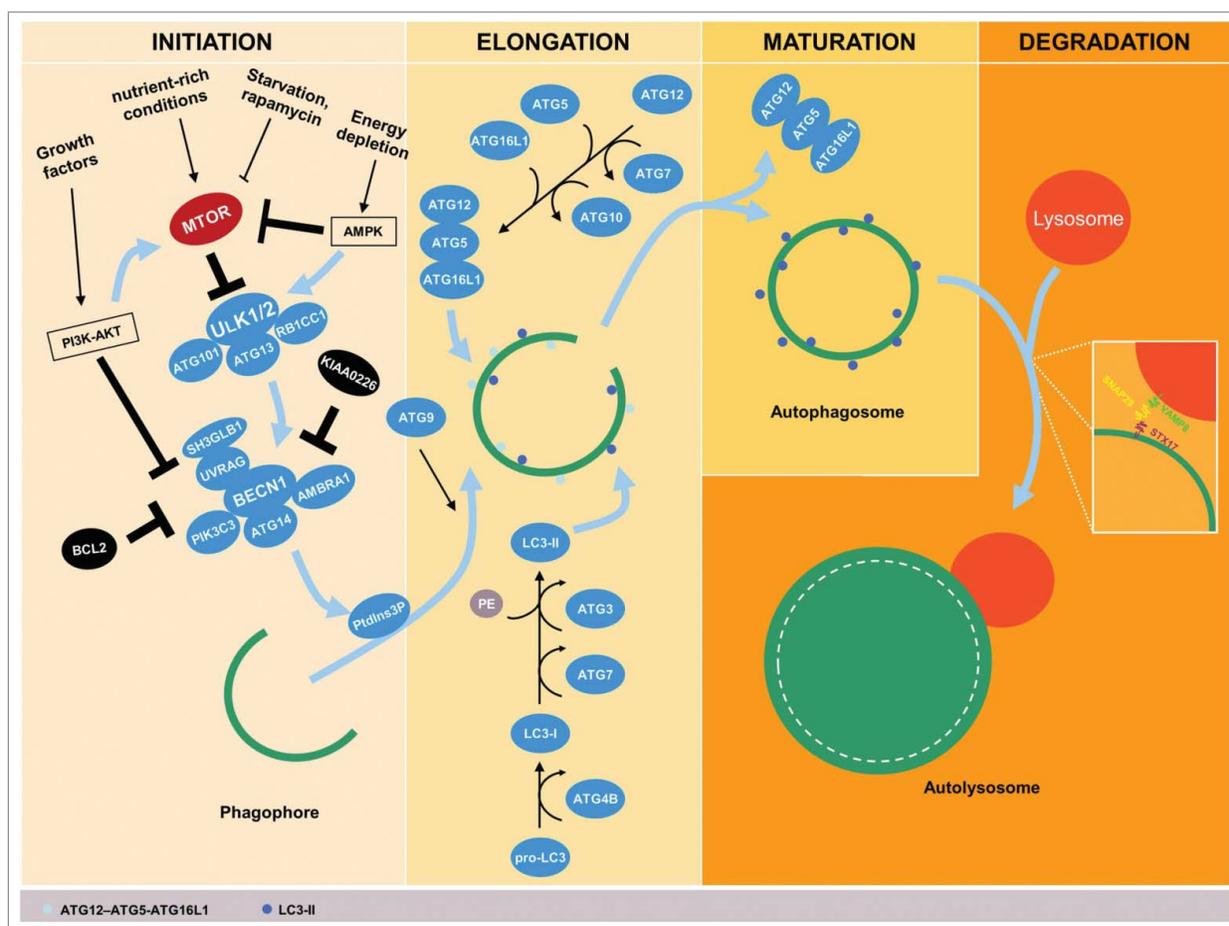


Figure 1. Schematic stages of the autophagic pathway. The process of autophagy progresses through several stages, including initiation, elongation, maturation, and degradation. Several stimuli are implicated in activation and inhibition of autophagy where the negative autophagic regulator; MTOR, is directly involved. Inhibition of MTOR, e.g., through nutrient starvation, rapamycin, or activation of AMP-activated protein kinase (AMPK) in energy-depleting conditions promotes autophagy. Activated AMPK inhibits also the MTOR downstream protein complex; the autophagic protein ULK1, which interacts with ATG13, ATG101 and RB1CC1. Conversely, nutrient-rich conditions deactivate MTOR and thereby suppress autophagy. Additionally, induction of the PI3K-AKT pathway by growth factors inhibits autophagy by activating MTOR and suppressing the BECN1-containing class III PtdIns3K complex. Activation of the ULK1 complex results in the translocation of the BECN1 complex to the assembly site of a phagophore and generates phosphatidylinositol-3-phosphate (PtdIns3P), which is involved in recruiting a number of ATG proteins. The BECN1 complex is activated by ATG14, SH3GLB1, UVRAG, and AMBRA1, and is suppressed by KIAA0226/Rubicon or BCL2. Elongation of the phagophore requires 2 ubiquitin-like conjugation systems, the ATG12-ATG5-ATG16L1 conjugation system and the microtubule-associated protein 1 light chain 3 (LC3) conjugation system. These conjugation systems require participation a range of proteases and ligases such as ATG3, ATG4B, ATG7, and ATG10 to trigger oligomerization on the outside of the membrane of the growing autophagosome. ATG9 and/or ATG16L1-positive vesicles are involved in membrane trafficking and formation of these autophagosomes. Under conditions of autophagosomal maturation, the ATG proteins are released back into the cytosol, and the final stages of autophagy can then be initiated by autophagosome-lysosome fusion using, for example, interaction between STX17, as an autophagosomal SNARE and its partners SNAP29 and VAMP8.

disease onset and a more complicated clinical course of Crohn disease, including fibrostenosis and fistulization.¹¹ These genetic variations also exhibit a marked reduction in bacterial autophagy due to the fact that NOD2 recruits ATG16L1 to the site of bacterial entry,¹⁰ as described in more detail below. Genetic associations with Crohn disease have also been reported in the following other autophagy-related genes: *IRGM* (immunity-related GTPase family, M),⁵⁹ *PTPN2* (protein tyrosine phosphatase, non-receptor type 2),⁶⁰ *XBPI* (X-box binding protein 1),⁶¹ *LRRK2* (leucine-rich repeat kinase 2),^{60,62} *ULK1*⁶³ and *ATG16L1*.^{7,57}

ATG16L1 exists in 3 alternative splicing isoforms (α , β and γ) and is an adaptor protein composed of an N-terminal

ATG5-binding region, and an amino-terminal coiled-coil domain (CCD; involved in self-dimerization) followed by 7 tryptophan-aspartic acid (WD40)-repeat domains (Fig. 2).³⁶ ATG16L1 binds noncovalently to the ATG12-ATG5 conjugate and forms approximately 350-kDa tetrameric complexes.³⁵

In particular, 9 genetic variants of *ATG16L1* (rs13412102, rs12471449, rs6431660, rs1441090, rs2289472, rs2241880, rs2241879, rs3792106, and rs4663396) are associated with Crohn disease, although only rs6431660 is weakly associated with UC.⁵² The variant rs3792106 is linked to sex differences due to a correlation between this gene variant and the fact that women with Crohn disease are more prone to surgical

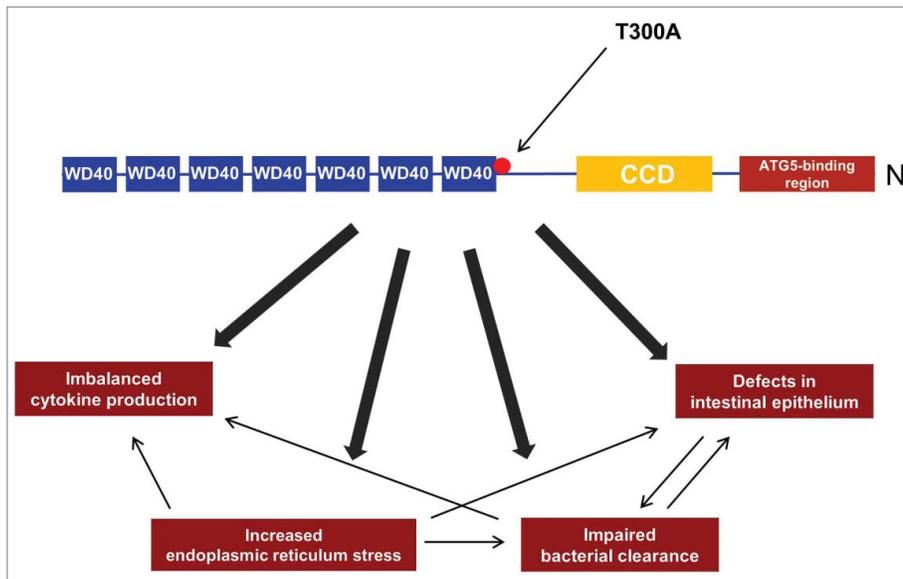


Figure 2. The involvement of the T300A risk variant in the pathogenesis of Crohn disease. The schematic structure of ATG16L1 contains an N-terminal ATG5-binding region, and an amino-terminal coiled-coil domain (CCD; involved in self-dimerization) followed by 7 tryptophan-aspartic acid (WD40)-repeat domains. In the presence of the T300A variant, several cellular processes are affected. Among other things, defects in the morphology of the intestinal epithelium in key cells, including Paneth cells and goblet cells, are reported and the removal of pathogens is largely defective. In addition the T300A variant results in elevated endoplasmic reticulum stress, which plays a crucial role in impaired pathogen clearance and leads to imbalanced pro-inflammatory cytokines.

procedures.⁶⁴ The variants rs2241879 and rs2241880 are, however, more frequent and exhibit the strongest association with this disorder.⁵² Additionally, these 2 *ATG16L1* variants were recently identified at a higher frequency among patients with the chronic inflammatory skin disease palmoplantar pustulosis.⁶⁵ The role of the remaining *ATG16L1* variants remains largely unknown in other contexts.

Although this review focuses on ATG16L1, it is worthwhile to mention that a novel isoform, ATG16L2, containing 2 alternative splicing isoforms (α and β), has recently been discovered.⁶⁶ SNPs in *ATG16L2* are also associated with Crohn disease based on data from a new GWAS conducted in a Korean population.⁶⁷ Similar to ATG16L1, ATG16L2 forms tetrameric complexes with ATG5 and ATG12. However, ATG16L2 is unable to compensate for the function of ATG16L1 in autophagosome formation,⁶⁶ indicating nonredundant roles for ATG16L1 and L2 isoforms in the process of autophagy.

Since the first GWAS identification of the common *ATG16L1* variant rs2241880 as a risk allele in Crohn disease,^{7,58,62} this association has been replicated in several independent European disease populations.^{57,60,68} Nevertheless, the described *ATG16L1* association with Crohn disease has not been observed in any Asian meta-analyses.⁶⁹⁻⁷¹ The rs2241880 variant can be observed in all isoforms of ATG16L1⁷² and comprises a nonsynonymous coding SNP (adenine (A) \rightarrow guanine (G) polymorphism) in the coiled-coil domain encoding a threonine-to-alanine substitution. Despite intensive investigation of T300A, the precise mechanism linking this allele to the reduced autophagic state is unknown.

Recently, Murthy et al. provided a compelling answer to this question.⁷² Through multiple sequence alignments of the ATG16L1 protein sequence from several species, Murthy and colleagues predicted and then demonstrated directly that the T300A variant (or the murine equivalent, T316A) is located in the cleavage site for the enzyme CASP3/caspase 3, an endoprotease that hydrolyses peptide bonds at specific sites.⁷² Moreover, the authors studied the role of CASP3 in the cleavage of the T300A variant in human cells and found that stress signals, such as starvation-induced metabolic stress, death receptor activation by TNF/TNF- α (tumor-necrosis factor) or infections with the pathogenic gut bacterium *Yersinia enterocolitica*, all result in enhanced CASP3-dependent degradation of ATG16L1^{T300A}. Finally, the data suggest that the therapeutic inhibition of pathways that lead to CASP3 activation might restore autophagy and gut homeostasis, in part by stabilizing the mutant form of ATG16L1.⁷² A recent paper has shown that ATG16L1^{T300A} might also be susceptible to CASP7 degradation.^{73,73} However, cells lacking CASP3 are completely incapable of cleaving ATG16L1 even in the presence of activated CASP7.⁷² Thus, physiologically CASP7 is not capable of cleaving ATG16L1, possibly due to its lower abundance or potency compared to CASP3. Interestingly, *ATG16L1* expression can also be affected by microRNA (miRNA) interaction via several distinct miRs, including *MIR142-3p*, *MIR106B*, and *MIR93*.⁷⁴ This opens the possibility that ATG16L1 might additionally be regulated by epigenetic factors, which implies that autophagy may be impaired in patients with normal genotypes. In fact, adherent-invasive *Escherichia coli* (AIEC) might suppress *ATG16L1* expression and subsequently autophagy and bacterial clearance through upregulation of *MIR30C* and *MIR130A*.⁷⁵

ATG16L1-Dependent Signaling in Crohn Disease

Intestinal epithelium and pathogen clearance

The rapid advances in our understanding of the roles of genetic involvement in the pathogenesis of Crohn disease have demonstrated that deficiencies in *ATG16L1* could play a crucial role in the homeostasis of the intestinal epithelium. In fact, in mice hypomorphic for expression of the ATG16L1 protein, the Paneth cells, which comprise highly specialized epithelial cells of the intestine that function in protecting the intestinal stem cell niche using, for instance, the granule exocytosis pathway to secrete antimicrobial peptides and lysozyme, exhibit notable abnormalities in granule exocytosis based on lysozyme

staining.⁷⁶ This confirms the importance of ATG16L1 in maintaining the integrity of the Paneth cell granule exocytosis pathway. In another study, Saitoh et al. generated chimeric mice with a deficient CCD in ATG16L1 in the haematopoietic compartment.⁷⁷ These mice are highly susceptible to dextran sulfate sodium-induced acute experimental colitis.⁷⁷ Recently, Lassen et al.⁷³ generated a knock-in mouse model expressing ATG16L1^{T300A}. Such mice do not develop spontaneous inflammation, although they exhibit morphological defects in both Paneth cells and goblet cells. Furthermore, the presence of the T300A mutation in *Atg16l1* leads to aberrant functionality of Paneth cells. Coculturing LGR5⁺ stem cells⁷⁸ with Paneth cells from *Atg16l1* T300A mice causes a reduced organoid formation, while coculturing with Paneth cells from *wild-type* mice conversely enhances organoid formation,⁷³ demonstrating a crucial role of ATG16L1 not only in the control of inflammatory immune responses but also for epithelial stem cell maintenance and function in the intestine. Furthermore, elevated secretion of the pro-inflammatory cytokines IL1B (interleukin 1 β) and IL18 can be observed after stimulation with lipopolysaccharide in ATG16L1-deficient myeloid cells.⁷⁷ Similar observations in both Paneth cells and myeloid cells have been noted in humans^{76,79} where disease-linked *NOD2* and *ATG16L1* gene variants have been shown to affect Paneth cell morphology and transcriptome, and to correlate with a more fulminant clinical course of Crohn disease.^{76,80,81}

The elevated production of IL1B in autophagy-deficient mice is associated with an increased inflammasome-mediated processing of pro-IL1B, whereas inflammasome-independent mechanisms, such as *IL1B* gene transcription, have been described in human cells.^{77,82,83} Moreover, Lee et al.⁸⁴ found that in loss-of-functionality of ATG16L1, elevated receptor protein SQSTM1/p62 levels cause increased activation of IL1B.⁸⁴ This role of SQSTM1 in IL1B signaling has not been confirmed in any other cellular contexts than murine embryonic fibroblasts;⁸⁴ thus, additional evidence in cell types of relevance for intestinal biology might be useful. Although many of the results are based on knockdown of *Atg16l1*, and thus may not be representative of the conditions in T300A *ATG16L1* Crohn patients, the combined findings from mice and humans indicate that IL1B signaling is increased in ATG16L1-deficient conditions through both transcriptional and post-transcriptional mechanisms resulting in a hyper-inflammatory state. However, results on expression of another cytokine, TNF, have been inconsistent with these findings concerning IL1B,⁸³ and a more complex picture of how ATG16L1 deficiency affects the cytokine microenvironment of the intestinal mucosa in Crohn disease might therefore evolve in the future.

Several studies have reported that autophagy is crucial for the degradation and elimination of intracellular pathogens.⁸⁵ Thus, a strong association between defective autophagy and impaired properties counteracting bacterial infections has been reported by numerous studies.^{73,86-89} However, a recent study has revealed a protective role of *Atg16l1* deficiency against intestinal disease induced by the bacterial pathogen model, *Citrobacter rodentium*. This immunosuppressive role of ATG16L1 deficiency is

dependent on the presence of NOD2⁹⁰ and adds to the complexity of the role of ATG16L1 in bacterial clearance.

Of interest, the ATG16L1 deficiency abolishes the ability of cells to form autophagosomes,⁷⁷ which leads to the disruption of antigen uptake⁹¹ and an insufficient enteric bacterial clearance along with a hyper-inflammatory state of increased secretion of IL1B and IL6.⁸ This deficiency may affect the composition of the microbiota favoring the growth of *AIEC* and *Y. enterocolica* during flares in patients with ileal Crohn disease.⁷⁶ Studies of the common risk-associated *ATG16L1* variant, i.e. T300A, have reported a strong impact on bacterial handling and the generation of antigen-specific CD4⁺ T-cell responses due to impaired innate immune function.⁹ For example, studies in human intestinal epithelial cells have demonstrated that the T300A variant leads to dysfunctional bacterial handling, and the efficacy of *Salmonella* clearance by autophagy is markedly decreased in the presence of the T300A variant relative to the wild-type *ATG16L1*.⁹² Muramyl dipeptide (MDP) is a constituent of the bacterial cell wall and the minimal molecular motif capable of activating the NOD2 pathway; ATG16L1^{T300A} blocks MDP-enhanced *Salmonella* killing in epithelial cells.⁹³ Furthermore, the dendritic cells (DCs) of pediatric patients with Crohn disease carrying the T300A allele reveal a marked impairment in the uptake and processing of bacterial particles from *E. coli*, which might lead to defects in the interaction between the DCs and intestinal epithelium.⁹¹ Inhibition of *ATG16L1* by siRNA has further been shown to decrease IL10 secretion and to impair the maturation of dendritic cells.⁹⁴ The altered function of ATG16L1-underexpressing dendritic cells causes stabilization of the interaction between dendritic cells and T cells, leading to an increased activation of T cells and T helper 17 cell responses characterizing the adaptive immune response in Crohn disease.⁹⁵ Loss-of-function of ATG16L1 or other autophagic proteins leads to the increased intramacrophagic replication of *E. coli* and to elevated secretion of pro-inflammatory cytokines, such as IL6 and TNF.⁹⁶ Moreover, in a recent study monocytes from patients with Crohn disease show enhanced phagocytosis associated with the presence of *ATG16L1* and *NOD2* variants,⁹⁷ which might give rise to an accumulation of bacterial products and an increased inflammatory reaction. ATG16L1-mediated autophagy impairment has also been investigated in 2 separate cohorts, which demonstrated a significant association between Crohn disease and the increased susceptibility to *Helicobacter pylori* infection.⁹⁸ In another study on T300A Crohn disease patients, a significantly increased presence of *AIEC* was observed in Paneth cells.⁹⁹ Therefore, as a result of this deficiency in bacterial clearance, the *ATG16L1* risk variant has been associated with shifts in the microbial compositions of the intestine¹⁰⁰ and, additionally, has been related to the presence of bacterial DNA and an increased formation of antibacterial antibodies in patients with Crohn disease.^{101,102} Of interest, bacterial DNA has been correlated with higher disease activity/flares and elevated levels of TNF.¹⁰¹ Thus, this condition might require more aggressive therapeutic approaches to reduce the extent of inflammation and the risk of relapse in Crohn disease patients carrying the T300A variant.

Crosstalk between ATG16L1 and NOD2

As mentioned previously, the ATG16L1-NOD2 interaction plays an interesting role in linking autophagy with other pathogen-associated recognition patterns in response to bacterial clearance.¹⁰ A recent major finding has suggested that NOD2 (as well as its homolog, NOD1) might activate autophagy by directly interacting with and recruiting ATG16L1 to the cell membrane at the site of bacterial entry.¹⁰ In addition, NOD2 activation by binding MDP has been identified as an effective inducer of autophagy in DCs that is required for both bacterial degradation and the generation of major histocompatibility complex class II antigen-specific CD4⁺ T-cells.⁹ The interaction between these 2 disease-associated risk factors underlines the importance of autophagy in the pathogenesis of this disease. However, an unanticipated regulatory role of ATG16L1 in NOD1- and NOD2-mediated inflammatory responses has recently been identified.¹⁰³ In an autophagy-independent manner, ATG16L1 downregulates NOD-driven inflammatory responses by interfering with and recruiting the central downstream NOD-kinase, RIPK2 (receptor-interacting serine-threonine-kinase 2), into large signaling complexes.¹⁰³ Interestingly, the disease-associated gene variant, T300A, has also been revealed to possess an altered capacity to downregulate NOD-dependent pro-inflammatory signaling.¹⁰³ Of note, it is important to realize that these (inflammatory) effects of genetic variation in *ATG16L1* may be highly cell-specific, and consequently they should be further explored in different cell type-specific experimental models. Nevertheless, this study, together with other recent data, suggests that defective *ATG16L1*^{T300A} alleles might cause intestinal inflammation in Crohn disease via autophagy-dependent and autophagy-independent immune processes. For a more detailed overview on the biology of NOD proteins and their interplay with ATG16L1, the reader is referred to published reviews.^{104,105}

Endoplasmic reticulum (ER) stress and unfolded protein response

The ER is a crucial cellular compartment with a key role in the secretory pathway, facilitating the synthesis, modification, and delivery of proteins to the cellular membranes and extracellular environment. Various stresses and pathological stimuli can alter the function of the ER and may result in the accumulation of unfolded or misfolded proteins (a condition called “ER stress”), which subsequently activates a regulatory signaling network known as the unfolded protein response¹⁰⁶ to restore homeostasis. ER stress alters the function of specialized intestinal epithelial cells, named goblet cells, which are responsible for the production of mucin (the key component of the mucus barrier in the gut).¹⁰⁷ Moreover, a recent study reported a crucial interaction between ER stress and autophagy among the inflamed Paneth cells of the intestinal epithelium.¹⁰⁸ Impairment of the unfolded protein response by deleting its key transcription factor, *Xbp1*, or secondary to deficiency in the autophagic functions due to the genetic removal of *Atg16l1* or *Atg7* in intestinal epithelial cells, results in antagonistic compensatory engagement;¹⁰⁸ the induction of ER stress in *Xbp1* knockout mice triggers the activation of a compensatory autophagic response and the formation

of autophagosomes in Paneth cells. Accordingly, failure to remove ER stress-induced responses in mice with genetic deficiencies in either *Atg16l1* or *Atg7* causes a severe spontaneous Crohn disease-like transmural ileitis through NFκB (nuclear factor of kappa light polypeptide gene enhancer in B-cells 1) activation and TNF signaling.¹⁰⁸ It should be noted, however, that pharmacological autophagy inhibition (e.g., by 3-methyladenine) could not always confirm the above-described autophagy-mediated effects, with 3-methyladenine being reported to both induce and decrease toll-like receptor-mediated TNF secretion.^{83,109} These conflicting data should encourage more careful investigations using both genetic and pharmacological inhibition, as discrepancies may result from off-target or at least autophagy-independent inhibitory effects linked to the chosen inhibition strategy.

Consistent with the genetic impairment of *Atg16l1* and *Atg7*, Deuring et al.⁹⁹ illustrated that markers of ER stress are significantly elevated in the Paneth cells of patients suffering from Crohn disease with homozygosity or heterozygosity for the *ATG16L1* risk allele, even during quiescent disease stages. Further, ileal biopsy samples from Crohn disease patients with ER-stressed Paneth cells exhibit a higher incidence of *AIEC* and an increased risk for ileal disease, fistulizing disease, and the need for intestinal surgery.⁹⁹ Thus, both ER stress responses and autophagy seem to be crucial regulatory mechanisms in intestinal homeostasis and pathogenic inflammation in Crohn disease. Recently, other genes related to ER stress were found to be associated with Crohn disease, which further highlights the importance of this pathophysiological mechanism for IBD.⁵

Linking the T300A Variant to a Clinical Phenotype

As discussed above, genetic variations in autophagy-associated genes have been implicated in the clinical manifestations of Crohn disease. The most frequently described genetic variations are those in *NOD2*, which are strongly associated with early onset and ileal involvement, indicating a more complicated clinical course of the disease due to fibrostenosis and fistulization.^{11,110} The contribution of the *ATG16L1* variant T300A to the clinical phenotype is not well established, and more investigations are warranted.

Although the association of T300A with the onset of Crohn disease has been controversial,^{111,112} a clear correlation of the T300A gene variant with the clinical course of ileal disease has been reported.^{57,112} In a recent study, patients with this disorder homozygous for T300A exhibit a trend toward switching to a stricturing phenotype during the course of the disease, as compared with patients homozygous for the wild-type allele or heterozygous at T300A. In addition, homozygosity for T300A is associated with a major recurrence of clinical relapse and the earlier introduction of immunomodulators (thiopurines and methotrexate).¹¹³ These findings are consistent with those of another study describing an association between the presence of bacterial DNA in blood samples and the disease activity of Crohn disease patients possessing the T300A gene variant.¹⁰¹ Therefore, the

present results might suggest a more aggressive therapeutic strategy to reduce the extent of inflammation and the risk of relapse among these patients.¹⁰¹

In this respect, the targeting of autophagy has been investigated as a potential treatment for Crohn disease. The induction of autophagy might be a potential approach to increase bacterial killing and to reduce chronic inflammation in this disease. DCs isolated from T300A Crohn disease patients treated with the MTOR inhibitor sirolimus are able to effectively reverse the ability to degrade disease-associated bacteria.⁹ Thus, a case report on severe refractory colonic and perianal Crohn disease described that the administration of sirolimus caused marked and sustained improvements in disease symptoms as well as in inflammation and endoscopic appearance.¹¹⁴ Similar observations were also made during a recently conducted study of murine experimental colitis in which treatment with sirolimus resulted in a significant histological improvement and protection against mucosal ulcerations.¹¹⁵ Sirolimus treatment also suppressed the mRNA expression of the pro-inflammatory cytokines IL6, TNF and IL17A in addition to enhancing the anti-inflammatory cytokines IL4 and TGF β (transforming growth factor, β).¹¹⁵ A similar drug to sirolimus, everolimus, is also an inhibitor of MTOR and has previously been demonstrated to produce promising results in murine colitis.¹¹⁶ However, everolimus treatment failed to produce a significant difference in a randomized, controlled clinical trial for Crohn disease that was prematurely terminated after only 96 patients were evaluated (36 of whom received everolimus), likely due to the influence of disease-related factors on pharmacokinetics and pharmacodynamics or on the dosage regimens applied.¹¹⁷ Nevertheless, the successful use of sirolimus in a so far underpowered clinical setting suggests that the efficacy of MTOR-based pharmaceutical strategies should be evaluated in randomized clinical trials among patients with Crohn disease refractory to conventional treatment, i.e., immunomodulators and biologics. It might be important to investigate such potential autophagy-targeting agents based on the fact that ATG16L1 plays considerable roles in the regulation of IL1B production and NOD-mediated inflammatory responses. Preserving these activities would consequently require a therapy that induces autophagy and regulates bacterial-mediated responses. Moreover, the clinical efficiency of autophagy-targeting therapies among subgroups of Crohn disease patients with specific genotypes is warranted.

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Conclusions

Numerous GWAS reports have confirmed that an enhanced risk for Crohn disease is associated with several genetic variations in the autophagic pathways, including the genetic variant of *ATG16L1*, T300A. Previous investigations, both in vitro and in vivo, have focused on the major role of ATG16L1 in sensing and degrading intracellular pathogens. Lately, novel functions of ATG16L1 have been reported, including the suppression of NOD-mediated inflammatory responses, the regulation of pro-inflammatory cytokines, and ER stress. In addition, several studies have reported the involvement of the T300A variant in disease onset and the clinical course of Crohn disease. Nonetheless, it must be kept in mind that these *ATG16L1* SNPs are also common genetic variants in healthy individuals; as such, these SNPs alone are not sufficient to induce Crohn disease. Therefore, further efforts in revealing the exact triggers of the inflammatory processes of Crohn disease in combination with an improved understanding of the autophagy pathway should be advantageous. Promising results from experimental colitis models and a few published case reports do, however, highlight the potential for the pharmaceutical targeting of autophagy to optimize the clinical management of Crohn disease. Indeed, the current failure of everolimus in a randomized, controlled clinical trial stresses the need for further clinical investigations to optimize and validate autophagy targeting as a potential strategy for the management of Crohn disease, potentially in combination with the management of other pathways, such as IL1B and NOD1/2, or in subsets of patients with specific genotypes using a pharmacogenomic approach.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Funding

This paper was supported by grants from P.A. Messerschmidt, and the Hustrus Foundation, the Axel Muusfeldts Foundation, Aase og Ejnar Danielsens Foundation, and Beckett-fonden.

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