

# Keratoconus: an inflammatory disorder?

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REVIEW

## Abstract

Keratoconus has been classically defined as a progressive, non-inflammatory condition, which produces a thinning and steepening of the cornea. Its pathophysiological mechanisms have been investigated for a long time. Both genetic and environmental factors have been associated with the disease. Recent studies have shown a significant role of proteolytic enzymes, cytokines, and free radicals; therefore, although keratoconus does not meet all the classic criteria for an inflammatory disease, the lack of inflammation has been questioned. The majority of studies in the tears of patients with keratoconus have found increased levels of interleukin-6 (IL-6), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), and matrix metalloproteinase (MMP)-9. Eye rubbing, a proven risk factor for keratoconus, has been also shown recently to increase the tear levels of MMP-13, IL-6, and TNF- $\alpha$ . In the tear fluid of patients with ocular rosacea, IL-1 $\alpha$  and MMP-9 have been reported to be significantly elevated, and cases of inferior corneal thinning, resembling keratoconus, have been reported. We performed a literature review of published biochemical changes in keratoconus that would support that this could be, at least in part, an inflammatory condition.

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## Introduction

Keratoconus is a clinical term used to describe a state of the cornea derived from its focal thinning and protrusion, which eventually may lead to a conical shape. It is a bilateral and asymmetric condition frequently characterized by a progressive evolution. Keratoconus has been classically defined as a non-inflammatory disorder due to the lack of neovascularization and cellular infiltration;<sup>1,2</sup> however, as Davidson *et al*<sup>3</sup> mentioned in a recent review the etiology of keratoconus is not still completely understood. Association between atopy and

keratoconus has been identified for more than 50 years, but multiple studies have shown conflicting results.<sup>4–8</sup> Higher levels of serum immunoglobulin E was found in 59% of keratoconus patients in studies performed around 30 years ago.<sup>9,10</sup>

However, as many of the patients with ocular allergic diseases rub their eyes excessively, it remained unclear whether atopy itself or eye rubbing was the factor related to keratoconus. Harrison *et al*<sup>6</sup> found that in atopic keratoconus patients, the disease occurred more frequently on the side of the dominant hand. More recently, in 2000, Bawazeer *et al*<sup>11</sup> published their results of a case–control study, which showed in the univariate associations that there was an association between keratoconus and atopy, as well as eye rubbing and family history of keratoconus. However, in the multivariate analysis, they found that only eye rubbing was still a significant predictor of keratoconus. They concluded that atopy may contribute to keratoconus but most probably via eye rubbing associated with the irritation of atopy.<sup>11</sup>

More than 20 years ago, enzymatic alterations<sup>12–14</sup> and alterations in interleukin (IL)-1 receptors density were detected.<sup>15,16</sup> Pouliquen *et al*<sup>17</sup> in 1996 had suggested that modulation of the degradation of the extracellular matrix might involve inflammatory cytokines and enzymes by either primary or secondary mechanisms. More recent studies have proved significant action of inflammatory mediators and a possible effect of oxidative stress, thus questioning the non-inflammatory status of the disease.<sup>3,18–25</sup>

In 2002, Tachibana *et al*<sup>26,27</sup> reported that they established an inbred line of spontaneous mutant mice with keratoconus-affected corneas (SKC mice) resembling corneas of human eyes with keratoconus, but which were often associated with a red punctum at the apex. They found that the red puncta seen in the center of the corneas were due to vascular infiltration and extravasations of blood cells, and the corneas showed lymphocyte infiltration. They suggested

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that inflammation could be also related at least with some subtype of human keratoconus. Vascular infiltration of the keratoconic cornea of a patient with a mutation of the *VSX1* gene has been reported.<sup>28</sup>

In keratoconus, genetic factors appear to be multifactorial and have been considered fundamental to the etiology and progression of keratoconus, but does not explain a vast majority of the cases. Environmental factors, such as eye rubbing and rigid contact lens wear, have been linked with the condition. Recently, keratoconic corneas and tears from patients with the disease have been found to have elevated levels of pro-inflammatory cytokines, accumulation of cytotoxic byproducts from the nitric oxide (NO) and lipid peroxidation pathways, abnormal antioxidant enzymes, and increased levels of mitochondrial DNA damage.

We will review the different aspects of this complex disease, for which etiopathogenesis remains a mystery for the most part.

### Epidemiology

Published epidemiologic reports document a wide prevalence range, probably explained by differences in geographical situation, populations studied, and diagnostic criteria used in the investigations. Rates as low as 0.3 per 100 000 people (0.0003%) in Russia and as high as 2.3% in India, 2.34% in Israel, and 2500 per 100 000 (2.5%) in Iran<sup>8,29–31</sup> have been published. In the Indian study, the criterion for keratoconus diagnosis was a corneal refractive power  $\geq 48$  D, using keratometry, which most probably diminished the specificity of the research.<sup>30</sup> However, recently another group of researchers from Iran, using clinical examination (retinoscopy and slit lamp examination) and topography (TMS-4 and Orbscan II) reported a similar prevalence of keratoconus among college students (2500 per 100 000, ie, 2.5%), which supports the possibility of a very high prevalence in some Asiatic countries.<sup>31</sup>

The prevalence cited by most of the studies was determined by Kennedy *et al*<sup>32</sup> in Minnesota, USA, and is equal to 54.5 per 100 000 population. That study retrospectively analyzed medical charts of patients diagnosed during the period 1935 through 1982 by ophthalmologists, using keratometry (irregular mires) and retinoscopy (irregular light reflexes).<sup>32</sup> Currently, it is known that many mild keratoconus cases will not show the typical findings of irregularity of the light reflexes in retinoscopy or irregularity in keratoscopic mires, and moreover many of those patients with mild keratoconus may never visit an ophthalmologist, all of which would cause that the prevalence determined by Kennedy *et al*<sup>32</sup> most probably underestimated the frequency of the condition. As previously indicated, much higher

prevalences have been recently reported in Asian populations.<sup>30,31</sup>

The disease has been shown to be more prevalent also in patients searching for refractive surgery, as a consequence of self-selection bias, due to their refractive error.<sup>33–37</sup> A study in Colombia reported 3.9% prevalence in a group of patients that underwent an ophthalmic evaluation in a refractive surgery center.<sup>37</sup> In Yemen, prevalences of 15.5% of keratoconus and 9.4% of forme fruste keratoconus were found among refractive surgery candidates.<sup>33</sup> In a study in the United States, 25.5% of eyes evaluated for refractive surgery met the Rabinowitz criteria for keratoconus suspect.<sup>34</sup>

A vast majority of the studies state that the age of onset of the disease is between 20 and 30 years. Some studies report a higher prevalence in male patients, whereas others indicate that there is no significant difference between genders.<sup>32,38</sup> Race, on the other hand, seems to be an important risk factor as previously indicated. Asians (people from India, Pakistan, and Bangladesh) have prevalence 4.4–7.5 higher than Caucasians.<sup>39,40</sup> Others authors have suggested that differences in exposition to ultraviolet light, according to latitude in the terrestrial globe could explain differences in prevalence according to geographical localization.<sup>41</sup>

### Genetics

There is wide evidence of the genetic component of the condition, which might explain its bilateralism, its association with diseases such as Down syndrome, Apert syndrome, Crouzon syndrome, Angelman syndrome, Noonan syndrome, Leber's congenital amaurosis, Ehlers–Danlos syndrome, Granular corneal dystrophy, Osteogenesis imperfecta, and Mitral valve prolapse.<sup>42–44</sup> Genetics would also explain the apparent autosomal dominant pattern of inheritance found in certain families, the higher prevalence for the disease in families with one affected individual, and the high concordance among monozygotic twins.<sup>45–48</sup>

Burdon *et al*<sup>48</sup> found a Mendelian inheritance pattern in an Australian family. On the other hand, consanguinity have been associated with a higher risk of development of keratoconus.<sup>39,49</sup> Recently, Kriszt *et al*<sup>50</sup> performed a segregation analysis of 60 unrelated sporadic keratoconus families and suggested that this type of keratoconus is a complex non-Mendelian disease. However, genetics does not seem to be the only factor in keratoconus, and as many researchers have suggested it is very probable that the vast majority of cases are the result of patients exhibiting genetic predisposition triggered by environmental factors.<sup>3</sup>

An important number of locations for genes on chromosomes (loci), 17 of them until now, have been

related to keratoconus, thus showing genetic heterogeneity rather than a single major gene effect responsible for development and progression of the disease.<sup>51,52</sup> Some of the genes with reported mutations are *SOD1* (locus 21q22.11), *VSX1* (locus 20p11.2), and *DOCK9* (locus 13q32), which regulate the expression of superoxide dismutase (SOD), photoreceptor cells, and G protein, respectively.<sup>53–55</sup> Recently, mutations in *MIR184* have been identified as an uncommon cause of keratoconus.<sup>55,56</sup> *LOX* (locus 5q23.2), the gene encoding lysyl oxidase (LOX) enzyme, which is involved in collagen and elastin cross-linking, have also been related to keratoconus.<sup>57</sup>

Association between single-nucleotide polymorphisms in the hepatocyte growth factor (*HGF*) gene and keratoconus has been found.<sup>58,59</sup>

Multiple studies have reported a statistically significant risk of developing keratoconus in patients with polymorphism of the gene in charge of the *IL-1 $\beta$*  coding (*IL-1B-31 T>C* and *IL-1B-511 C>T*),<sup>60,61</sup> nevertheless, a recent study in a different population found no clear relation between the *IL-1B* gene polymorphism and the receptor antagonist *IL-1 (ILRN VNTR)* with the possibility of keratoconus development.<sup>62</sup>

*In vitro* studies have found that cultured keratoconus corneal fibroblasts exhibit increased basal generation of reactive oxygen species (ROS) and reactive nitrogen species (RNS). In addition, they were more susceptible to oxidative challenges than normal fibroblasts. The accumulation of ROS/RNS can initiate a vicious cycle of damage to the mitochondrial DNA (mtDNA), which eventually will cause dysfunction of those organelles, decreased oxidative phosphorylation and additional increase of ROS/RNS production. Normally, 4%–5% of the consumed mitochondrial oxygen is transformed to superoxides and H<sub>2</sub>O<sub>2</sub>, which are usually eliminated by antioxidant enzymes. However, in keratoconic corneas many of those antioxidant enzymes are abnormal. Thus, mitochondria may have a dual role as a major source and a target for ROS/RNS. Recent studies have shown that keratoconic corneas have increased levels of mtDNA mutations compared with controls.<sup>63–66</sup> In addition, it has been also established that the mean relative mtDNA content is higher in patients with the disease.<sup>67</sup>

Genetics of keratoconus has been recently extensively reviewed by Abu-Amero *et al.*<sup>52</sup>

### Perspectives

As cited by Grzybowski and McGhee,<sup>68</sup> in 1859 Nottingham published a detailed description of keratoconus and various classical characteristics of it in his piece 'Practical observations on the conical cornea and on the short sight and other defects of the vision

connected with it', based on the cases he had observed with a conical cornea; however, during the last 150 years a complete understanding of this disease has not been reached and its origin remains as an unsolved issue to the present time (i.e., 2014).<sup>3,69</sup> According to scientific evidence collected in recent decades, the condition is likely to be a multifactorial, multigenic disorder with complex inheritance patterns, probably triggered by environmental factors: a 'two-hit' hypothesis. As many proinflammatory mediators have been associated with keratoconus during the last two decades, a genetic predisposition to abnormalities of any of those inflammatory components initiated by external factors (eye rubbing, contact lenses, or exposure to ultraviolet light) may constitute the origin of the disease, as suggested by Kenney *et al.*<sup>73</sup>, and then for several other researchers. As recently proposed by McMonnies<sup>71</sup>, in concordance with that hypothesis, epigenetics (heritable traits not caused by changes in the DNA sequence, but in gene expression) seems to have an important role in this complex disease etiology.<sup>3,70–73</sup>

Considering all these concepts, the inflammatory nature of the condition (suggested by the findings of proinflammatory cytokines increase and disturbance in oxygen reactive species elimination by scavenging system) may be plausible.

### Materials and methods

For this review, we searched PubMed database without restriction of language, for articles related to keratoconus. Using the 'Advanced search builder' tool, the terms 'keratoconus', 'corneal ectasia', and 'pellucid marginal degeneration' were combined with the terms 'aetiology', 'pathophysiology', 'antioxidants', 'extracellular matrix enzymes', 'oxidative stress', and 'inflammation' included in the title or the abstract of the articles. Papers published up to December 2014 were included. There were no restrictions on the basis of date or language of publication.

All articles were carefully reviewed, to select those that reported findings on pathophysiology of the condition. References cited by these papers were also retrieved and analyzed.

In total, we found 342 articles, but finally we selected from them and their list of references, 166 articles.

In addition, we used a web search engine (Google) using the following terms: 'keratoconus', 'corneal ectasia', and 'pellucid marginal degeneration' combined with the terms 'aetiology', 'pathophysiology', 'antioxidants', 'extracellular matrix enzymes', 'oxidative stress', and 'inflammation', to find other publications (books or chapters) on the topic. We reviewed four chapters of two recently published books.

### Pathophysiology

Keratoconus is a complex disorder. Recent technological advances have enabled more sophisticated molecular studies of the keratoconic condition, which changed some of the previously conceived theories for its pathogenesis.<sup>3,24,25,73–76</sup>

Pathophysiologic components of keratoconus can be largely classified into the following: alterations of the stroma composition, imbalance of pro-inflammatory and anti-inflammatory molecules, imbalance of the enzymes that cause extracellular matrix degradation and their corresponding inhibitors, oxidative stress, and cellular hypersensitivity. These events occur simultaneously and may present positive feedback between one another. Even though there is causality between them, it is yet unclear which precedes the other and which events are necessary for the evolution of the disease.

### Corneal stroma composition

Collagen is the main component of cornea. Variations in quantity, disposition, or morphology of this protein will drastically alter the cornea's architecture. There are over 21 types of collagen in the human body; however, type I corresponds to 75% of the amount present in the cornea,<sup>77</sup> although cornea also contains collagen types III, V, and VI regularly interwoven into lamellae, and collagen type XII in epithelium basement membrane and sub-epithelial stroma.<sup>74,78</sup> Although the reduction in the number of lamellae within the affected region could correspond simply to a redistribution of the collagen within the cornea by slippage between the lamellae, as suggested by Polack<sup>79</sup> almost 40 years ago, this explanation by itself does not seem to be enough to account for the stromal thinning, especially in light of more recent studies showing that the collagen lamellae in keratoconus corneas exhibit a significant decrease in number compared with controls,<sup>80</sup> and that keratoconus diminishes the amount of types I, III, V, and XII collagen, as well as lumican and keratocan proteins, as determined using highly sensitive mass spectrometric analysis.<sup>78</sup> Additional researchers have reported the reduction of the interfibrillar distance of collagen sheets and the increase of proteoglycans with abnormalities in their configuration as the condition evolves. These changes allow more contact between the collagen sheets and the proteoglycans, thus altering the stroma organization where alterations in interlamellar proteoglycans might contribute to slippage of the lamellae.<sup>81</sup> Meek *et al*,<sup>82</sup> using synchrotron X-ray scattering patterns, confirmed that a gross rearrangement of vertical and horizontal collagen lamellae occurs in keratoconus. Tissue degradation alone does not account for that systematic realignment of fibrils, and so the

authors suggested that both slippage and remodeling have a role. Morishige *et al*,<sup>83</sup> using second-harmonic imaging, identified less lamellar interweaving and a marked reduction or loss of lamellae inserting into Bowman's layer in corneas with keratoconus.

In addition, fibronectin and tenascin, were detected in the anterior portion of keratoconic corneas, while those glycoprotein were not found in the anterior portion of normal or scarred corneas.<sup>84</sup> There is also a report of an increase in type IX collagen and a dysregulation in type XVIII collagen expression posterior to the healing process in patients with keratoconus.<sup>85</sup>

Cheung *et al*,<sup>74,86</sup> analyzing *ex vivo* the modulation of the healing process of corneas with keratoconus and of non-keratoconic corneas, found that fibroblast growth factor 2 (FGF-2), platelet-derived growth factor (PDGF), and epidermal growth factor (EGF) were found to be upregulated in keratoconic corneas in comparison with normal uninjured corneas, but following an *ex vivo* secondary injury EGF, FGF-2, and PDGF were found to be downregulated in both non-keratoconic and keratoconic corneas, but they were decreased further in the later ones (FGF-2 was reduced to undetectable levels). According to those results, the authors concluded that dysregulation of reparative pathways in keratoconus causes on the one hand keratoconic corneas to appear in a perpetually injured state, but on the other hand they also produce a weakened repair response to a secondary lesion (eg, eye rubbing or contact lens wear and oxidative damage), which further supported what the authors had previously postulated: that underlying abnormalities in stromal repair and activities linked to oxygen reactive species along with the interaction between these phenomena were implicated in the development of keratoconus.<sup>74,86</sup>

### Inflammation

ILs are secreted proteins and signaling molecules (cytokines), which function as important mediators of immune responses, cell proliferation, and inflammatory reactions.<sup>87,88</sup> As early as 1991, Girard *et al*<sup>89</sup> found that two cytokines, transforming growth factor- $\beta$  (TGF $\beta$ ) and IL-1, modulated the expression of metalloproteinases by cultured corneal stromal cells. In corneal buttons using immunofluorescence staining, Zhou *et al*<sup>90</sup> found that the expression of TGF $\beta$ , IL-1, vimentin, and tenascin was enhanced in keratoconus corneas.

According to the findings of an experimental study on cell cultures of keratocytes from normal corneas and from corneas with keratoconus, Pouliquen *et al*<sup>17</sup> suggested that cytokines, IL-1, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), TGF $\beta$ , IL-6, IL-8, and PDGF, might regulate a protease cascade involving the plasmin system (including: tissue plasminogen activator (t-PA), urokinase-type

plasminogen activator (u-PA), and plasminogen activator inhibitor), cyclooxygenase, and metalloproteinases, which eventually would lead to the observed changes in the extracellular matrix of the cornea with keratoconus. These researchers found that the kinetics of cyclooxygenase, which converts arachidonic acid into prostaglandin E2 (PGE2) was significantly increased in keratocytes from keratoconus (a 10-fold increase in the maximum reaction rate ( $V_{max}$ )), and that the basal PGE2 production was 10 times greater than in keratocytes from normal corneas. In addition, the keratoconic cells exhibited a very strong effect of t-PA and u-PA on the secretion of PGE2 (Pouliquen *et al*<sup>17</sup>). PGE2 has been related to antifibrotic effects via inhibition of major pathobiologic functions of effector fibroblasts including chemotaxis, proliferation, collagen synthesis, and differentiation to myofibroblasts.<sup>91</sup>

Dogru *et al*<sup>92</sup> found that corneal sensitivity was significantly lower in keratoconus patients compared with controls, especially in patients with severe keratoconus. They also found tear film break-up time values shorter in moderate and severe keratoconus, and fluorescein and rose bengal scores significantly higher in keratoconus patients. Using conjunctival impression cytology, they found prominent squamous metaplasia and goblet cell loss in keratoconus patients. The authors proposed that keratoconus might have, at least in part, an epithelial origin, which would explain the concurrent conjunctival involvement.<sup>92</sup>

More recently, it has been proved *in vivo*, studying proteins present in tears, that there is an abnormal production of ILs in keratoconus, as reported by several research groups. Lema *et al*<sup>21</sup> published that the tear film in keratoconus showed increased levels of pro-inflammatory cytokines IL-6, TNF- $\alpha$ , and also higher levels of matrix metalloproteinase 9 (MMP-9). The same group of authors reported in 2009 that in patients with unilateral keratoconus and the contralateral eye having subclinical disease, IL-6 and TNF- $\alpha$  levels were increased in both eyes but only TNF- $\alpha$  was significantly higher in the keratoconic eye. Increased MMP-9 levels were found in keratoconic eyes only.<sup>22</sup>

Lema *et al*<sup>20</sup> found that intercellular adhesion molecule 1 (ICAM-1), vascular adhesion molecule 1 (VCAM-1), IL-6, and MMP-9 were overexpressed by 2–40 times, whereas anti-inflammatory marker IL-10 was expressed 8 times less in keratoconic patients who wore contact lenses compared with normal myopic subjects. ICAM-1 has been shown to participate in corneal inflammation.<sup>74</sup> Lema *et al*<sup>20</sup> concluded that a higher level of inflammatory response to contact lens wearing was present in keratoconus in comparison with normal corneas.

Using antibody-based techniques, Balasubramanian *et al* in 2012<sup>18</sup> reported findings consistent with those

shown by Lema *et al*<sup>20</sup> with increased tear expression of MMP-1, -3, -7, and -13, IL-4, -5, -6, and -8, and TNF- $\alpha$  and  $\beta$  in keratoconus.<sup>10</sup> Previously Pannebaker *et al*<sup>93</sup> had also found increased levels of active MMP-1 in keratoconus patients and Seppälä *et al*<sup>94</sup> have reported that MMP-1 were upregulated in keratoconic corneal specimens.

Although Balasubramanian *et al*<sup>18</sup> found higher levels of MMP-9 in tears from keratoconic eyes, the difference was not statistically significant. They indicated that the mismatch between their results and the ones obtained by Lema *et al*,<sup>21</sup> who reported increased levels of MMP-9, might be explained, because they used antibodies to the active MMP-9, while Lema *et al* did not. Recently, Balasubramanian *et al*<sup>95</sup> used an electrophoretic device to partition tear proteins and enrich for the low mass (<25 kDa) proteins and peptides present in low-volume complex samples, followed by linear ion trap quadrupole Fourier transform mass spectrometry, and used spectral counting for the quantitative comparison of proteins between tears from eyes with keratoconus and normal subjects. They found a relative increase in the abundance levels of cathepsin B and decreased levels of polymeric immunoglobulin receptor,  $\alpha$ -fibrinogen, cystatin SN, and cystatin S in the tears of subjects with keratoconus, meaning that tear proteins differentially expressed in keratoconus included increased proteases and decreased protease inhibitors.<sup>95</sup> The findings of a lower level of cystatins by Balasubramanian *et al*<sup>95</sup> confirmed the ones previously reported in 2011 by Acera *et al*,<sup>96</sup> who compared tears from 12 normal subjects and 12 patients with keratoconus, using two-dimensional gel electrophoresis and liquid chromatography-mass spectrometry, and found a significant decrease in the levels of members of the cystatin family in keratoconus patients. Cystatins are inhibitors of cysteine proteases, also known as thiol proteases, which are enzymes that degrade proteins. Cysteine proteases are commonly encountered in fruits (papaya, fig, kiwifruit, and among others) and latices of different plant families are known to contain those enzymes.<sup>97</sup> In fact, they are the active ingredients of meat tenderizers.<sup>98</sup> Cystatins, inhibitors of those proteolytic enzymes, are divided into type 1 known as intracellular (A and B), type 2 known as extracellular (C, D, E/M, F, G, S, SN, and SA) and type 3 known as intravascular (L- and H-kininogen).<sup>99,100</sup> The decreased levels of cystatins found in tears from eyes with keratoconus could be a sign of an increase in the degradation of tear proteins, which would be correlated with the decrease in the concentration of total protein in tears from keratoconus patients found by Acera *et al*.<sup>96</sup>

In concordance with findings by Balasubramanian *et al*<sup>95</sup> in tears, lysosomal cathepsin B and cathepsin G have been found to be elevated in keratocytes of keratoconic corneas, localized beneath compromised

regions of Bowman's layer and the stroma of morphologically compromised regions, compared with normal tissue.<sup>101</sup>

Jun *et al*<sup>103</sup> evaluated Type-1 helper T cell cytokines (IL-12, IFN- $\gamma$ , and TNF- $\alpha$ ), Type-2 helper T cell cytokines (IL-4, IL-10, and IL-13), and T-helper 17 cell cytokines (IL-17) in serum and tears of patients with keratoconus, to determine whether an altered inflammatory response can contribute to the keratoconus etiology or not. There were low levels of cytokines in blood, with no significant differences between the groups, thus suggesting a dissociation between the condition and systemic inflammation. In agreement with previous results Jun *et al*<sup>103</sup> found higher levels of IL-6 in the tear film samples of keratoconus patients along with significant decreases in IL-12. IL-17 has been implicated in corneal inflammation and stimulates stromal cells to produce other pro-inflammatory cytokines.<sup>102</sup> They could not detect by multiplex immuno-bead assay the levels IL-17 in tears of keratoconus patients, but they found an increase by conventional ELISA on a limited number of pooled tear samples. In addition, they found lower levels of TNF- $\alpha$ , an observation that disagrees with reports from Lema *et al*<sup>20,21,22</sup> and Balasubramanian *et al*.<sup>18</sup> The possible reasons for this disparity could be either artefactual differences in the antibody-based assays or real differences between patient populations.<sup>103</sup>

Whether the altered protein composition of tears in eyes suffering from keratoconus is an effect of events in the corneal epithelium or has a direct influence in the development of the disease remains to be elucidated.<sup>96</sup>

Cheung *et al*<sup>86</sup> recently analyzed *ex vivo* the modulation of the healing process of corneas with keratoconus and of non-keratoconic corneas. The affected corneas had higher levels of IL-1 $\alpha$ , IGF-1 (insulin-like growth factor 1), TNF- $\alpha$ , and TGF- $\beta$ 1 (TGF $\beta$ -1) than normal corneas, and lower levels of HGF and B-NGF ( $\beta$ -nerve growth factor). It is known that IL-1 $\alpha$  and TNF- $\alpha$  triggers apoptosis of keratocytes, and additionally those cytokines may enhance collagen turnover.

Neutrophil-to-lymphocyte ratio (NLR), which is the total count of neutrophils divided by those of lymphocytes, is a new potential predictor of systemic inflammation in several diseases. Karaca *et al*<sup>104</sup> have just reported the results of a cross-sectional observational study, which included 54 patients with keratoconus and 25 age- and sex-matched control subjects. They found that there was a positive correlation between NLR and progression of the disease, and consequently concluded that their results supported the relationship between the progression of keratoconus and increased systemic inflammatory response.<sup>104</sup>

Kolozsvári *et al*<sup>105</sup> evaluated the effect of the corneal cross-linking procedure on different tear biomarkers.

They included 23 eyes with keratoconus and 12 normal eyes used as controls. Six months after the procedure, they found a significant increase in t-PA in tear samples, wherein the level was higher at baseline in keratoconic eyes than in controls. t-PA is an enzyme that catalyzes the conversion of plasminogen to plasmin, which in turn causes fibrinolysis dissolving fibrin blood clots. However, in addition, plasmin activates collagenases and it is related to fibroblast collagen synthesis inhibition by the action of PGE2 (Bauman *et al*<sup>91</sup>). At 12 months, they observed a significant decrease in IL-6 and CXCL8 (IL-8). The levels of these two cytokines on healthy eyes used as controls in that study were also significantly lower compared with the baseline levels of keratoconic corneas; thus, the decrease of those levels might be a contributing factor in the stabilization of the disease. Their baseline findings that keratoconus index measured by Pentacam correlated negatively with Chemokine (C-C motif) ligand 5 (CCL5) was in line with Jun *et al*'s report.<sup>103</sup> Their baseline observation and the reversely significant association found by them between the thinnest corneal thickness as measured by Pentacam and CCL5, 1 year after corneal cross-linking, might indicate a role of CCL5 in the etiopathology of the condition.<sup>105</sup>

Several researchers have concluded that inadequate balance between cytokines (pro-inflammatory and anti-inflammatory) may lead to an altered corneal structure and function, triggering an increase in metalloproteinases and keratocyte apoptosis.<sup>74,103,106</sup>

Kolozsvári *et al*<sup>107</sup> also recently found a significant positive association between CCL5, MMP-13, and NGF and several topographic indices in keratoconic corneas. In addition, they found a significant negative correlation between IL-6 and Klyce/Maeda keratoconus index. Age-dependent associations were observed between IL-13, CXCL8 (IL-8), CCL5, and MMP-13 and the topographic data. Their findings suggest that some mediators might have different effects on the severity of disease in an age-dependent manner.<sup>107</sup>

Low levels of lactoferrin, IgA, ZAG (zinc- $\alpha$ 2-glycoprotein), and IGKC (immunoglobulin  $\kappa$ -chain) have also been found in tears of patients with keratoconus.<sup>19,108</sup> Lactoferrin and IgA seem to have the greatest impact on the disease because of their immunomodulatory and anti-inflammatory properties (lactoferrin inhibits IL-1, IL-2, IL-6, and TNF- $\alpha$ ; IgA inhibits the immune response through Fc $\alpha$ RI receptor).<sup>109,110</sup>

In 1961, Ridley<sup>115</sup> related eye rubbing with keratoconus, and many researchers have later confirmed that finding.<sup>72,111–118</sup> Bawazeer *et al*<sup>11</sup> published the results of a case-control study, which showed, using multivariate analysis, that eye rubbing was a significant predictor of keratoconus. The mechanical factor may have a role, causing an increase in both corneal temperature

(secondary to friction with the conjunctiva) and intraocular pressure as McMonnies *et al*<sup>112,119</sup> has pointed out. In addition, effects of the rubbing at molecular level have been identified as well. In 2013, Balasubramanian *et al*<sup>120</sup> found that eye rubbing increased the level of tear MMP-13, IL-6, and TNF- $\alpha$  in normal eyes and in keratoconus. Accordingly, persistent eye rubbing might cause an even greater and sustained increase in the levels and activity of these cytokines, and thus those inflammatory molecules may be causal links between eye rubbing and keratoconus.

As Mcmonnies<sup>25</sup> recently highlighted, another argument supporting the role of proinflammatory chemokines in corneal thinning is that in ocular rosacea several cases of pseudo-keratoconus have been reported.<sup>121,122</sup> Afonso *et al*<sup>123</sup> found higher concentration of IL-1  $\alpha$  and greater activity of MMP-9 in the tear fluid of patients with ocular rosacea than in control subjects.

Table 1 summarizes the most relevant studies on this topic.

An important limitation of all these studies, relating a disbalance in cytokines (pro-inflammatory and anti-inflammatory), is that they cannot exclude the possibility of other mediators being involved in the etiopathogenesis of keratoconus, and that the identification of the source and activity of the mediators and the expression of the different receptors are topics still to be solved.

### Enzymatic imbalance

MMPs, a group of zinc-dependent endopeptidases that include gelatinases (MMP-2 and -9), collagenases (MMP-1, -8, and -13), stromelysins (MMP-3 and -10), and matrilysins (MMP-7 and -26) synthesized by corneal epithelial cells and stromal cells, have long been suspected of having a significant role in keratoconus. Kao *et al*,<sup>124</sup> as early as 1982, determined that the amount of collagen decreased and solubility of collagen increased in keratoconic corneas, and that they possessed substantially more collagenase and gelatinase activities than normal corneas. Nelidova and Sherwin<sup>75</sup> in a book chapter and Balasubramanian *et al*<sup>125</sup> in an article have published very complete reviews on the topic. Degradation of corneal stroma in keratoconus involves the expression of inflammatory mediators, including proinflammatory cytokines and cell adhesion molecules, which modulate MMP activity and are themselves modulated by it. MMPs, cytokines, and cathepsin S (CATS) have been found to exhibit complex interactions with each other. IL-1b, IL-6, or TNF- $\alpha$  (A) can stimulate the production of several MMPs (-1, -2, -3, -7, -9, and -13) and CATS. Levels of MMP-1, -2, -3, and -9 can inactivate IL-1 $\beta$  and IL-10 has an inhibitory effect on CATS.<sup>18</sup> All of these findings

support the role of chronic inflammatory events in the pathogenesis of keratoconus.<sup>22,106</sup>

Another factor could be enhanced MMP-2 activity through overproduction of this protein, whose proenzyme (proMMP-2) is overexpressed in keratoconic corneas.<sup>126–128</sup>

Production of MMPs is regulated by IL; IL-1, IL-6, IL-7, and TNF- $\alpha$  increase MMP-1, MMP-3, MMP-9, and MMP-13, whereas IL-10 diminishes cathepsins. The enzymatic activity is a result of the balance with its inhibitors (tissue inhibitor of MMP (TIMP)); therefore, its function may increase not only because of a higher IL level but also because of lower level of inhibitors.<sup>129–131</sup>

One study found higher concentrations of C-terminal telopeptide (type I collagen degradation products) in tears of patients with keratoconus than in controls.<sup>132</sup> This behavior is due to the increase of activity and number of enzymes that remodel the ECM; the most prominent changes in keratoconic cornea were observed in collagenase MMP-13, and in particular, in cathepsin K and human trypsin-2, which were strongly expressed in keratoconus, suggesting a role in intra- and extracellular pathological collagen destruction, respectively.<sup>23</sup> A similar report documented 1.9 times more proteolytic activity and gelatinases/collagenases expression (MMP-1, MMP-3, MMP-7, and MMP-13, as well as IL-4, IL-6, and TNF- $\alpha$  that increase the production and activity of the former).<sup>18</sup> Table 1 lists studies on MMP levels in keratoconus.

A study in pregnant women reported a progression of keratoconus during the pregnancy and a post-partum stabilization. Proposed theories for this event are negative regulation of estrogens on the cornea's biomechanical properties and direct influence of relaxin on the increment of MMP synthesis and decrement of its inhibitors.<sup>133–135</sup>

Recently, LOX has been the target of numerous studies given its potential for applications in ophthalmology. LOX is an amine oxidase that confers mechanical properties to the connective tissue. It oxidates peptidyl lysine and hydroxylysine residues present in collagen and lysine present in elastin, to produce peptidyl  $\alpha$ -amino adipic- $\delta$ -semialdehydes. These can spontaneously combine with neighbor aldehydes or epsilon amino groups of peptidyl lysine, to create covalent unions that stabilize collagen and elastin fibers of the EMC and make them insoluble.<sup>136</sup>

Polymorphisms of the LOX coding gene are correlated with an increased likelihood to develop keratoconus.<sup>137,138</sup> In 63% of cases of keratoconus, LOX distribution has been found to be markedly decreased and its activity to be more than 2.5 times lower than that of control cases.<sup>139,140</sup> A study in Russia has shown that the increase in pH of the tears causes biochemical changes in the periphery of the cornea that prevent the passage of

**Table 1** Studies on inflammatory mediators and collagenases in keratoconus

Authors	Country	Population (n)	Statistical significance	Findings in tears of KC patients	Conclusions	Findings in corneal cells/tissue
Acera <i>et al.</i> <sup>96</sup>	Spain	12 Patients (12 eyes) with KC/12 control patients (12 eyes)	Yes ( $P = 0.006$ )	Decreased: cystatin S, cystatin SN, cystatin SA, lipophilin C, lipophilin-A, and phospholipase A2. Increased: Lipocalin-1 Increased: MMP-13, IL-6, and TNF- $\alpha$ in the tears of subjects without keratoconus after eye rubbing.		
Balasubramanian <i>et al.</i> <sup>120</sup>	Australia	17 Patients without KC (34 eyes)	Yes ( $P < 0.05$ )	Increased: cathepsin B PIGR, $\alpha$ -fibrinogen, cystatin SN, and cystatin S Decreased: Lactoferrin and IgA		
Balasubramanian <i>et al.</i> <sup>95</sup>	Australia	36 Patients (36 eyes) with KC/18 control patients (18 eyes)	Yes ( $P < 0.0001$ )	Increased: MMP-1, -3, -7, -13; IL-4, -5, -6, -8, and TNF- $\alpha$ , - $\beta$		
Balasubramanian <i>et al.</i> <sup>108</sup>	Australia	26 Patients (52 eyes) with KC/28 control patients (56 eyes)	Yes ( $P < 0.0001$ )	Increased: IL-6, IL-17 (conventional ELISA on a limited number of pooled tear samples) Decreased: IL-12, TNF- $\alpha$ , IFN- $\gamma$ , IL-4, IL-13, and CCL5		
Balasubramanian <i>et al.</i> <sup>18</sup>	Australia	32 Patients (32 eyes) with KC/20 patients (20 eyes) with KC and CXL/28 control patients (28 eyes)	Yes ( $P < 0.05$ ). No statistical significant difference. <sup>a</sup> Active MMP-9	Increased: IL-6, IL-17 (conventional ELISA on a limited number of pooled tear samples) Decreased: IL-12, TNF- $\alpha$ , IFN- $\gamma$ , IL-4, IL-13, and CCL5		
Jun <i>et al.</i> <sup>103</sup>	USA	18 Patients (36 eyes) with KC/11 control patients (22 eyes)	Yes: IL-12 ( $P = 0.03$ ), TNF- $\alpha$ ( $P = 0.04$ ), CCL5 ( $P = 0.05$ ), IL-4 ( $P = 0.04$ ), and IFN- $\gamma$ ( $P = 0.02$ ). No IL-6	Increased: IL-6, IL-13, IL-17A, IFN- $\gamma$ , CCL5, CXCL8, MMP-9, MMP-13, TMP-1, t-PA		
Kolozsvári <i>et al.</i> <sup>105</sup>	Hungary	23 Patients with KC (26 eyes)/12 control patients (12 eyes)	Yes IL-6 ( $P = 0.01$ ), IL-13 ( $P = 0.02$ ), IL-17A ( $P = 0.003$ ), CCL5 ( $P = 0.006$ ), CXCL8 ( $P = 0.03$ ), MMP-13 ( $P = 0.001$ ). No IFN- $\gamma$ ( $P = 0.07$ ), MMP-9 ( $P = 0.33$ ), TMP-1 ( $P = 0.36$ ), t-PA ( $P = 0.15$ ). Yes ( $P < 0.05$ )	Decreased: IGKC, ZAG, and lactoferrin Increased: IL-6, TNF- $\alpha$ , and MMP-9		
Lema <i>et al.</i> <sup>19</sup>	Spain	22 Patients (44 eyes) with KC/22 control patients (44 eyes)	Yes ( $P < 0.0001$ )	Increased: IL-6 and TNF- $\alpha$ in both keratoconus and subclinical keratoconus. MMP-9 significantly increased only in keratoconus versus control group		
Lema <i>et al.</i> <sup>21</sup>	Spain	28 Patients (28 eyes) with KC/20 control patients (20 eyes)	Yes ( $P < 0.0001$ )	Increased: IL-6 and TNF- $\alpha$ in both keratoconus and subclinical keratoconus. MMP-9 significantly increased only in keratoconus versus control group		
Lema <i>et al.</i> <sup>22</sup>	Spain	30 Patients (60 eyes) with KC/20 control patients (20 eyes)	Yes ( $P < 0.0001$ )	Increased: IL-6 and TNF- $\alpha$ in both keratoconus and subclinical keratoconus. MMP-9 significantly increased only in keratoconus versus control group		
Lema <i>et al.</i> <sup>20</sup>	Spain	20 Patients with KC and wearing RGP CL/20 myopic patients without KC wearing RGP CL/28 patients with KC not wearing RGP CL/20 controls not wearing RGP CL	Yes IL-6 ( $P = 0.021$ ), ICAM-1 ( $P = 0.0001$ ), VCAM-1 ( $P = 0.001$ ), IL-10 ( $P = 0.036$ )	Increased: IL-6, ICAM-1, TNF- $\alpha$ , and VCAM-1. Decreased: IL-10. Control patients wearing RGP CL increased: TNF- $\alpha$ and MMP-9. The increase in inflammatory response associated with CL wear was much more significant in patients with keratoconus		



**Table 1.** (Continued)

Authors	Country	Population (n)	Statistical significance	Findings in tears of KC patients	Conclusions
Pannebaker <i>et al.</i> <sup>93</sup>	USA	18 Patients (36 eyes) with KC and wearing RGP CL/20 patients (40 eyes) without KC wearing RGP CL/6 patients	Yes ( $P=0.02$ )	Patients with and without RGP CLs increased: MMP-1	Findings in corneal cells/tissue
Pouliquen <i>et al.</i> <sup>17</sup>	Italy	(12 eyes) with KC not wearing RGP CL 9 Corneas with KC/20 control corneas	Yes ( $P$ not available)		In cell cultures of keratocytes: fourfold greater numbers of IL-1 receptors. Increased kinetics of cyclooxygenase (a 10-fold increase in the maximum reaction rate ( $V_{max}$ )), 10 times greater basal PGE2 production. Stronger effect of t-PA and u-PA on the secretion of PGE2 MMP-1 upregulated in keratoconic corneal specimens. High cathepsin B and cathepsin G levels within individual keratocytes in the peripheral region of the keratoconic corneas Increased: IL-1 $\alpha$ , IGF-1, TNF- $\alpha$ , and TGF- $\beta$ 1. Decreased: HGF and $\beta$ -NGF Elevated of expression of TGF- $\beta$ , IL-1, vimentin, and tenascin.
Seppälä <i>et al.</i> <sup>94</sup>	Finland	5 Corneas with KC/5 control corneas.	Yes ( $P$ not available)		
Sherwin <i>et al.</i> <sup>101</sup>	New Zealand	10 Corneas with KC/6 control corneas.	Yes ( $P<0.0025$ )		
Cheung <i>et al.</i> <sup>86</sup>	New Zealand	12 Corneas with KC/6 control corneas	Yes ( $P$ not available)		
Zhou <i>et al.</i> <sup>90</sup>	USA	25 Corneas with KC/37 control corneas	Yes ( $P$ not available)		

Abbreviations: CCL5, chemokine C-C motif ligand 5; CL, contact lens; CXL, crosslinking; EGF, epidermal growth factor; FGF-2, fibroblast growth factor 2; HGF, hepatocyte growth factor; ICAM-1, intercellular adhesion molecule 1; IFN- $\gamma$ , interferon- $\gamma$ ; IGF-1, insulin-like growth factor 1; JGKC, immunoglobulin  $\kappa$ -chain; IL-1 $\alpha$ , interleukin 1 $\alpha$ ; KC, keratoconus;  $\beta$ -NGF, nerve growth factor- $\beta$ ; PDGF, platelet-derived growth factor; PGE2, prostaglandin E2; PIGR, polymeric immunoglobulin receptor; RGP, rigid gas permeable; TGF- $\beta$ 1, transforming growth factor  $\beta$ 1; TIMP, tissue inhibitor of metalloproteinases; TNF $\alpha$ , tumour necrosis factor- $\alpha$ ; t-PA, tissue plasminogen activator; ZAG, zinc- $\alpha$ 2-glycoprotein; u-PA, urokinase-type plasminogen activator; VCAM-1, vascular cell adhesion molecule 1. <sup>a</sup>The use of antibodies to the active MMP-9 may explain differences with findings by Lema *et al.*<sup>21</sup>

copper to its center. Dichlorocuprate ion low concentrations inactivate LOX and could be a promoting factor of keratoconus progression.<sup>141</sup>

### *Oxidative stress*

One of the most important functions of the cornea, although usually forgotten, is to neutralize free radicals and oxidants (ROS/RNS) that are typically formed from cellular metabolism and from exposure to the ultraviolet light of the sun. To perform this function, it has powerful enzymes such as enzyme SOD, glutathione peroxidase, nicotinamide adenine dinucleotide phosphate, and catalase, and antioxidant molecules of low molecular weight (a-tocopherol, ascorbate, ferritin, and proteoglycans).<sup>142</sup>

When a shortage of these molecules is present, the levels of ROS and RNS remain high and damage the DNA and the mitochondrial respiratory chain, denaturize proteins, and cause lipid peroxidation, which further generates free radicals, thereby entering in a vicious cycle of oxidation.<sup>73</sup>

Keratoocytes are particularly susceptible to oxidative stress and it may have an important role in the development and progression of keratoconus. Keratoconus corneas have been shown to have a decreased glutathione content and total antioxidant capacity,<sup>143</sup> which can be related to increased oxidative stress that may induce oxidative damage to tissue components.<sup>106,144</sup> Thus, alterations of these antioxidant pathways may lead to accumulation of toxic byproducts, which eventually may induce apoptosis of the corneal cells.

Whether the changes observed in keratoconic corneas related to oxidative damage are linked to the effect of systemic oxidative stress is an issue still under investigation. Jun *et al*<sup>103</sup> found that in the sera of keratoconus patients, there were no statistically significant differences between them and control subjects for several cytokines tested, and suggested that the cytokine changes detected in the tear film were most likely a consequence of localized events in the eye and not of systemic changes.

However, more recently Toprak *et al*<sup>145</sup> found that serum total oxidant status and oxidative stress index values were significantly higher in patients with keratoconus compared with those of the controls, suggesting that systemic oxidative stress might be involved in the pathogenesis of the condition. The recent findings by Karaca *et al*<sup>104</sup> with regard to NLR, already mentioned, also seem to support a relationship between the progression of keratoconus and increased systemic inflammatory response.

Patients with keratoconus recorded higher concentrations of ROS, RNS, and cytotoxic aldehydes (product of lipid peroxidation), as well as a significant decrease in the total antioxidant capacity of the cornea.<sup>63,143,144,146</sup> In addition, *in vitro* studies have shown that IL-1 $\alpha$ , which is high in keratoconus, has the ability to decrease the synthesis of the SOD, whereby antioxidant defenses become insufficient.<sup>147</sup> NO is an endogenous substance, which regulates complex processes in ocular tissues.<sup>148</sup> TIMP attenuation by peroxynitrates has been well studied. *In vitro* studies have reported a reduction to zero in the activity of TIMP (TIMP-1), with a simultaneous increase in the activity of MMPs (MMP-2).<sup>149</sup>

Accordingly with the findings of an increased oxidative stress in keratoconic corneas, and as Kenney *et al*<sup>73</sup> suggested in 2003, the evidence supports that the practitioners recommend ultraviolet light protection for keratoconus patients.<sup>76</sup>

Recently, riboflavin has been evaluated experimentally *in vitro* as a therapeutic option because of its antioxidant effect, in cultured human stromal cells from keratoconic corneas by Cheung *et al*.<sup>150</sup> The results are encouraging: it was possible to increase normal formation of the ECM and significantly lower levels of ROS.<sup>150</sup>

### *Cellular hypersensitivity*

Studies performed around 20 years ago by Pouliquen *et al*<sup>17</sup>, Fabre *et al*<sup>16</sup>, Bureau *et al*<sup>15</sup> in France demonstrated that keratoocytes from eyes with keratoconus have fourfold greater numbers of IL-1 receptors, a proinflammatory cytokine, than keratoocytes from normal eyes. Wilson *et al*<sup>152</sup> hypothesized that keratoconic keratoocytes had increased sensitivity to IL-1 released from the corneal epithelial cells, leading to gradual loss of stromal mass in susceptible corneas by means of cellular death (apoptosis).<sup>151</sup> Unlike a normal cornea without injury, where keratocyte apoptosis is negligible, this process of programmed cell death is much more common in a cornea with keratoconus.<sup>106</sup> IL-1 has also additional effects on the corneal stroma: upregulation of collagenase, metalloproteinase, HGF, keratinocyte growth factor expression, and production of IL-6 in keratoocytes.<sup>89,153–155</sup> The IL-1 family comprises two proinflammatory cytokines (IL-1 $\alpha$  and IL-1 $\beta$ ) and the IL-1 receptor antagonist (IL-1 Ra). Although IL-1 $\alpha$  and IL-1 $\beta$  are expressed from separate genes, both of them mediate their effects binding the same IL-1 receptor type 1 (IL-1 R).<sup>87,88</sup> IL-1Ra regulates IL-1 $\alpha$  and IL-1 $\beta$  proinflammatory activity by competing with them for binding sites of the receptor IL-1R.

Kim *et al*<sup>160</sup> published a genetic study in Korean patients, which suggested that two single-nucleotide

polymorphisms in IL-1 $\beta$  gene were related to keratoconus predisposition, and recently Mikami *et al*<sup>61</sup> published a similar relation in Japanese patients. However, a recent study in a Turkish population by Palamar *et al*<sup>62</sup> found no clear relation between the IL-1 $\beta$  gene polymorphism and the receptor antagonist IL-1 (ILRN VNTR) with the possibility of keratoconus development.

*In vitro* studies have shown that in oxidative stress environments with low pH, fibroblasts tend to increase the activation of the caspases (caspase-9 and -12), suffer mitochondrial dysfunction, and undergo DNA damage more often than controls.<sup>156</sup> A factor influencing the increased gelatinase activity and apoptosis of keratoconus could be the decreased TIMP-1 activity. It has been proposed that TIMP-1 restrains the activity of MMP-2, the major protease of the corneal stroma.<sup>157–159</sup> Matthews *et al*<sup>159</sup> showed that overexpression of TIMP-3 induced apoptosis in corneal stromal cell cultures, and that upregulated TIMP-1 production or the addition of exogenous TIMP-1 protein prevented stromal cell overgrowth, changed stromal cell morphology, and reduced the extent of TIMP-3-induced apoptosis. These unique characteristics are important in cases of keratoconus considering the imbalances in the levels of these modulators and the increased sensitivity of cells to them.

One study on protein expression of cytokines related to stromal wound healing and the effect of an induced secondary injury on stromal cells from *ex vivo* human keratoconus and control corneas found that wounded keratoconic corneas may be less capable of showing a normal reparative response.<sup>74</sup>

A significant reduction of the  $\beta$ -actin gene expression in the corneal stroma and, using immunofluorescence detection, a complete loss of this protein in the corneal fibroblast in keratoconus, have been found. It has been suggested that the absence of  $\beta$ -actin may induce destabilization of the cytoskeleton of keratocytes and apoptosis.<sup>160</sup>

## Conclusion

Developing an understanding of the pathophysiological mechanisms of keratoconus will certainly allow the formulation and implementation of new, more effective, and safe therapeutic procedures, to provide novel treatments for our patients.

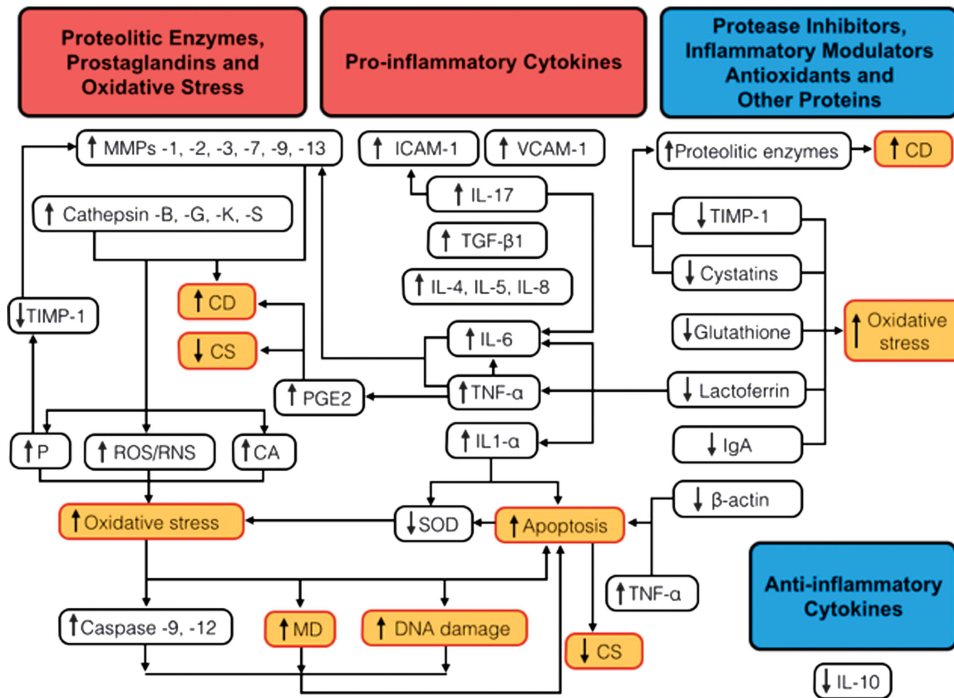
Scientific evidence has shown that keratoconus is a multifactorial disease involving complex interaction of both genetic and environmental factors, which allows a 'two-hit hypothesis', that is, a genetic predisposition to the corneal disease and a second hit that may induce abnormalities of any of the inflammatory components discussed (Figure 1). Eye rubbing is a proven factor that

triggers the onset and progression of the disease, through several effects including stimulation of inflammation.<sup>112,113,119,120</sup> Increasing evidence supports the fact that thinning and ectasia of the cornea are related to a degraded extracellular matrix involving inflammatory events (mainly increased levels of MMP-9, IL-6, and TNF- $\alpha$ )<sup>10,12–14,67,91</sup> and increased oxidative stress.<sup>143–146</sup> However, the precise role of each of the identified molecular factors still needs to be defined in further studies.

As its official description by Nottingham, more than 150 years ago, until today, keratoconus has been defined as a non-inflammatory disease based on the absence of neovascularization and lack of marked cellular infiltration; nevertheless, the definition of inflammation should not necessarily be limited to these two conditions. In addition, Kenney *et al*,<sup>161</sup> using immunohistochemistry, found that keratoconus corneas showed increased numbers of glycoprotein cluster of differentiation 68 (CD68)-positive cells within the stroma, and that the epithelial basement membrane in keratoconus corneas stained with that antibody. As CD68 is expressed on macrophages and their precursors, monocytes, and is also present to a lesser extent on dendritic cells and peripheral blood granulocytes, those findings could be a suggestion of at least some degree of cellular infiltration with inflammatory cells in the keratoconic cornea, which would support the role of inflammation in keratoconus pathophysiology.<sup>161</sup> However, in contrast with that investigation, two studies did not find positive results for antibody markers for CD68 in keratoconus.<sup>162,163</sup> Sykakis *et al*<sup>164</sup> reported in 2012 that they identified both isolated and aggregated nuclei at sites of Bowman's breaks in keratoconic corneas, for which the origin could not be identified (they did not look for the immunohistochemical expression of anti-CD68 antibody).

Following the suggestion of Lema *et al*<sup>21</sup> and the opinion of several other researchers (McMonnies<sup>112</sup>, Solomon<sup>165</sup>, Koložsvári,<sup>105</sup> and Fodor<sup>105</sup>), we also consider the concept that keeping the already demonstrated involvement of inflammatory mediators in the pathophysiology of keratoconus classified as a non-inflammatory condition may be no longer adequate.<sup>21,74,100,112,165</sup> Keratoconus could be an inflammatory disorder.

As McMonnies<sup>25</sup> recently pointed out, there is another disease (osteoarthritis), which also has certain features of inflammation but does not meet all the classic criteria for the definition of inflammation. Under physical stress, changes in gene expression and an increase in production of inflammatory cytokines and matrix-degrading enzymes have been noted in the cartilaginous tissue.<sup>166</sup> All these biochemical findings have generated a controversy about the inflammatory nature of the



**Figure 1** The inadequate balance between pro-inflammatory cytokines, proteolytic enzymes, protease inhibitors, inflammatory modulators, and antioxidants may lead to an altered corneal structure and function in keratoconus, triggering a vicious circle between oxidative stress, keratocyte apoptosis, and increased activity of metalloproteinases.<sup>74,103,105</sup> On cell cultures of keratocytes from keratoconic corneas, basal PGE2 production was found to be 10 times greater than in normals.<sup>17</sup> PGE2, whose release may be induced by TNF- $\alpha$ , has been shown to have inhibitory effects on collagen synthesis (CS) and to increase collagen degradation (CD). The tear film in keratoconus have shown increased levels of pro-inflammatory molecules: IL-1 $\alpha$ , -4, -5, -6, -8, and -17, TNF- $\alpha$ , TGF- $\beta$ 1 (TGF $\beta$ -1), ICAM-1, and VCAM-1.<sup>18,20,21,86,103</sup>  $\beta$ -Actin gene has been found to be downregulated and the protein absent in corneal buttons from keratoconus patients.<sup>160</sup> The elevated levels of IL-1 $\alpha$  and TNF- $\alpha$ , and low levels of  $\beta$ -actin have been related to triggering apoptosis of keratocytes.<sup>86,151,152,160</sup> In keratoconus, levels of proteases such as lysosomal cathepsin-B, -G, -K, and -S, and metalloproteinases (MMPs) are elevated. IL-6 and TNF- $\alpha$  can stimulate the production of several MMPs (-1, -2, -3, -7, -9, and -13) and CATS.<sup>18,21,23,75,93,125</sup> There is also a decrease in the levels of several antioxidant or anti-inflammatory molecules: SOD, glutathione, lactoferrin, IgA, and IL-10.<sup>19,20,106,108-110,144</sup> An important decrease in the level of protease inhibitors such as cystatins (inhibitors of cysteine proteases) and TIMP-1 (inhibitor of MMPs) have also been reported.<sup>95,96,101,129-131</sup> The increased activity of several proteolytic enzymes results in higher concentrations of ROS, RNS, cytotoxic aldehydes (CAs) and peroxynitrates (Ps) (which decreases the activity of TIMP-1 and increase MMP-2),<sup>63,143,144,146,149</sup> and given the lower production of SOD<sup>143</sup> possibly related to IL-1 $\alpha$ ,<sup>158</sup> an environment with high oxidative stress and low pH is formed, causing an increase in the activation of the caspases (caspase-9 and -12), mitochondrial dysfunction (MD), and DNA damage,<sup>156</sup> which eventually lead to increased apoptosis. All of these could probably be the result of a complex interaction of both genetic predisposition and environmental triggering factors, such as eye rubbing and contact lenses wear (the 'two-hit hypothesis') in keratoconus.<sup>42,52,100,113,119,120</sup>

condition (similar to the case with keratoconus). It has been proposed that although the disorder does not meet the definition of inflammatory, based on the numbers of leukocytes in synovial fluid, the presence of pro-inflammatory mediators, which perpetuate disease progression, warrants a reconsideration of the definition of inflammation.<sup>167-169</sup>

However, with regard to keratoconus, several issues are still to be solved: Why is it that many laboratory studies are indicating elevated levels of inflammatory markers in keratoconus, but clinical and histological findings show little evidence of this inflammation, that is, no significant cell infiltration or neovascularization?

Is the mere presence of those markers in keratoconic corneas a sufficient proof of inflammation or does it need to be quantitatively compared with the levels of the same markers in corneal diseases with clinically evident inflammation? It is possible that these findings correspond to epiphenomena, a possibility already suggested by Lema *et al.*<sup>22</sup>

As suggested by McMonnies,<sup>25</sup> should a new category of diseases be established: quasi-inflammatory or inflammatory- related conditions?

Undoubtedly, future studies with a larger number of healthy eyes used as controls, and comparison of the levels of these markers in eyes with other inflammatory

conditions in the cornea, will contribute to elucidate these questions and ultimately help to unravel the etiological mysteries of keratoconus. The tear film proteome has become and will stay as an area of high interest for the identification of potential targets for early diagnosis and therapy in these patients, due to its non-invasive nature.<sup>170</sup>

### Conflict of interest

The authors declare no conflict of interest.

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