

# Orchestration of Neuronal Differentiation and Progenitor Pool Expansion in the Developing Cortex by SoxC Genes

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As the cerebral cortex forms, specialized molecular cascades direct the expansion of progenitor pools, the differentiation of neurons, or the maturation of discrete neuronal subtypes, together ensuring that the correct amounts and classes of neurons are generated. In several neural systems, the SoxC transcriptional regulators, particularly Sox11 and Sox4, have been characterized as functioning exclusively and redundantly in promoting neuronal differentiation. Using the mouse cerebral cortex as a model, Sox11 and Sox4 were examined in the formation of the most complex part of the mammalian brain. Anticipated prodifferentiation roles were observed. Distinct expression patterns and mutant phenotypes, however, reveal that Sox11 and Sox4 are not redundant in the cortex, but rather act in overlapping and discrete populations of neurons. In particular, Sox11 acts in early-born neurons; binding to its partner protein, Neurogenin1, leads to selective targeting and transactivation of a downstream gene, NeuroD1. In addition to neuronal expression, Sox4 was unexpectedly expressed in intermediate progenitor cells, the transit amplifying cell of the cerebral cortex. Sox4 mutant analyses reveal a requirement for Sox4 in IPC specification and maintenance. In intermediate progenitors, Sox4 partners with the proneural gene Neurogenin2 to activate *Tbrain2* and then with *Tbrain2* to maintain this cell fate. This work reveals an intricately structured molecular architecture for SoxC molecules, with Sox11 acting in a select set of cortical neurons and Sox4 playing an unanticipated role in designating secondary progenitors.

**Key words:** intermediate progenitor cell; neuronal differentiation; SoxC

## Introduction

The cerebral cortex consists of vast quantities of neurons and glia connected in stereotyped manners to produce a circuitry capable of generating predictable yet tunable responses (Sidman, 1982; Kriegstein, 2005). How are the cells that underlie this complexity generated during corticogenesis? Within the neocortex, there is initial emphasis on the production of cells as dividing cells create daughter cells that are also mitotically active. Regulated cell division ensures that enough cells are created to populate the mature cortex (Takahashi et al., 1994; Kriegstein et al., 2006). Excitatory neurons are produced from cortical germinal zones (Rakic, 1972; Noctor et al., 2004) and inhibitory neurons are generated in subcortical ganglionic eminences (Anderson et al., 1997). In matu-

ry, there is limited innate capacity to generate new neurons in the cerebral cortex and embryonic proliferative zones are vestigial (Altman and Bayer, 1990).

The developing cerebral wall is functionally segregated, with dividing cells near the ventricles and differentiated populations located more superficially (Fig. 1A). The majority of proliferation occurs in the ventricular zone (VZ) as morphologically simple apical progenitors divide. An additional group of mitotically active cells exist in the adjacent subventricular zone (SVZ) and represents a developmental puzzle (Goldman, 1995; Haydar et al., 2000; Englund et al., 2005). Originally considered the proliferative compartment for glial cells (Luskin, 1998; Qian et al., 2000; Costa et al., 2009), several studies suggest that the SVZ is a germinal compartment for upper layer neurons (Tarabykin et al., 2001; Brazel et al., 2003; Zimmer et al., 2004; Wu et al., 2005; Zecevic et al., 2005), whereas more recent data reveal that neurons of all layers may be derived from the SVZ (Kowalczyk et al., 2009). Gene expression shifts as apical progenitors of the VZ are converted into basal progenitors of the SVZ (Tarabykin et al., 2001; Englund et al., 2005; Britz et al., 2006; Ochiai et al., 2009; Sessa et al., 2010). Compared with their VZ counterparts, dividing cells within the SVZ, intermediate progenitor cells (IPCs), have more complex morphology but limited mitotic potential (Noctor et al., 2002; Miyata et al., 2004; Noctor et al., 2004; Noctor et al., 2008). A compelling hypothesis is that some cellular guidance is imbued as IPCs undergo a final division in the novel environment of the SVZ (Noctor et al., 2004; Martínez-Cerdeño

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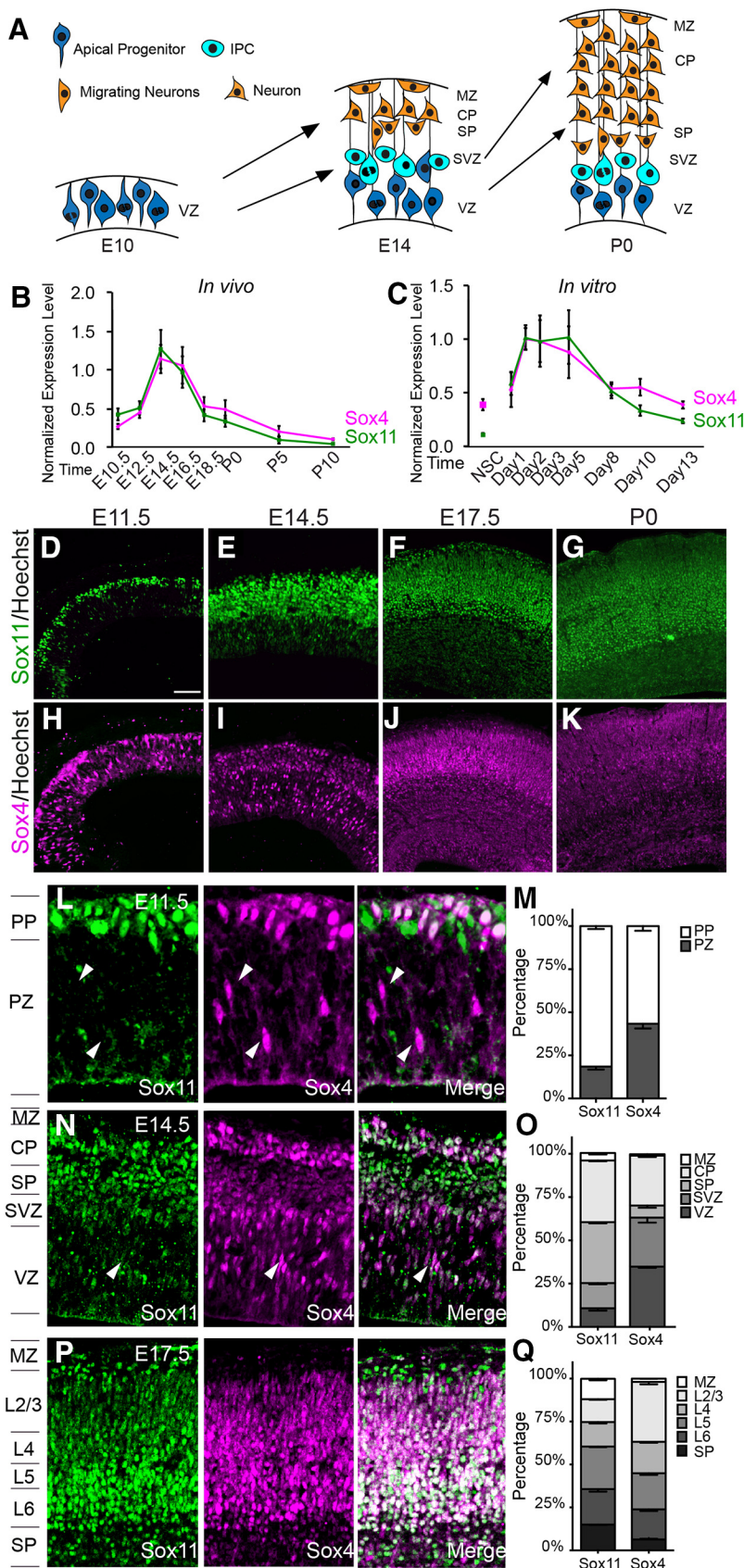
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**Figure 1.** Sox11 and Sox4 are dynamically expressed during corticogenesis in overlapping and discrete cellular populations. **A**, Schematic of corticogenesis with apical progenitors in the VZ (bright blue), IPCs in the SVZ (lighter blue), and neurons in the PP, SP, MZ, and CP (red). **B**, **C**, Profiles of Sox11 (green) and Sox4 (magenta) levels in cortical development (**B**) and in cortical cultures (**C**) obtained using qRT-PCR and normalized to U6 levels. **D–K**, Expression of Sox11 (**D–G**) and Sox4 (**H–K**) in mouse cerebral cortex at

et al., 2006; Noctor et al., 2007a,b, 2008; Javaherian and Kriegstein, 2009; Tyler et al., 2015).

During development, stereotyped processes transition mitotically active cells into neurons. Initially, proneural genes, such as the Neurogenins, are activated that promote cascades of other genes' expression, ultimately being responsible for neuronal shape and function (Ghysen and Dambly-Chaudiere, 1989; Kiefer et al., 2005; Wilkinson et al., 2013; Paul et al., 2014). Although general neurogenesis is well characterized, less is known about how neuronal diversity is generated and the value of IPC-based division (Arlotta et al., 2005; Molyneaux et al., 2007; Lai et al., 2008; Shim et al., 2012; Florio and Huttner, 2014).

In considering neurogenesis, members of the Sox family, a diverse set of transcriptional regulators that partner with other proteins to affect gene expression and specify cell fate, are important actors (Lefebvre et al., 2007; Guth and Wegner, 2008; Bergsland et al., 2011; Kamachi and Kondoh, 2013). In particular, members of the SoxC subfamily, consisting of Sox4, Sox11, and Sox12, are considered pan-neuronal and functionally identical (Cheung et al., 2000; Bergsland et al., 2006; Dy et al., 2008; Hoser et al., 2008; Potzner et al., 2010; Thein et al., 2010; Mu et al., 2012). Mice constitutively mutant for each SoxC family member have been generated: Sox4<sup>-/-</sup> mice have multiple defects and die as embryos at embryonic day 14 (E14) (Schilham et al., 1996), Sox11<sup>-/-</sup> mice display multiorgan alterations and die at birth (Sock et al., 2004), whereas Sox12<sup>-/-</sup> mice appear normal (Hoser et al., 2008). Because preliminary results implicate Sox4 and Sox11, but not Sox12, in cellular transitions in corticogenesis, Sox4 and Sox 11 are the focus of this study.

**Materials and Methods**

*Animal husbandry and tissue preparation.* All animal use and care was in accordance with institutional, particularly Georgetown University's Institutional Animal Care and Use Com-

E11.5 (**D, H**), E14.5 (**E, I**), E17.5 (**F, J**), and P0 (**G, K**) with white lines indicating the position of the ventricle and pial surface (**D, H**) or ventricle, lower CP, and pial surface (**E–K**). **L–Q**, Expression of Sox11 (green) and Sox4 (magenta) at E11.5 (**L, M**), E14.5 (**N, O**) and E17.5 (**P, Q**). Arrowheads indicate Sox4<sup>+</sup>Sox11<sup>-/-</sup> cells in the apical cerebral wall (VZ and SVZ) and white cells in the cortex reveal overlap of Sox11 and Sox4. Scale bars: **D, E, H, I**, 64 μm; **F, G, J, K**, 96 μm; **L, 24 μm; N, P**, 40 μm.

mittee (Protocol #12-018-100035) and federal guidelines. For cortical tissue samples and cells, timed pregnant females, wild-type or mutant (described below) mice were either euthanized immediately or *in utero* electroporation was performed (see below) and then mice were euthanized. Brains or cerebral cortex of embryos, presumably equal amounts of both sexes, were dissected and either dissociated for cell culture or fixed, frozen, and sectioned for processing.

**Quantitative RT-PCR.** Total RNA was isolated from cerebral cortical tissue of E10.5, E12.5, E14.5, E16.5, E18.5, and postnatal day 0 (P0), P5, and P10 mice (*in vivo*) or cultures of neural stem cells (NSCs) and differentiated cortical cultures at days *in vitro* (DIV) 1, 2, 3, 5, 8, 10, and 13 using the Tri-Regent Kit (Sigma-Aldrich). cDNA was synthesized using the First-Strand cDNA Synthesis Kit (Invitrogen). Primers specific for Sox4, Sox11, and U6 (Sox4: forward: 5'-ATGAACGCCTTTATGGTGTGGTCG-3', Reverse: 5'-TGAACGGAATCTTGTGCTGTCCT-3'; Sox11: forward: 5'-TAAGGACCTGGATTCCCTCAGCGA-3', reverse: 5'-TCAATACGTGAACACCAGGTCGGA-3'; U6: forward: 5'-CTCGCTTCGGCAGCACA-3', reverse: 5'-AACGCTTCACGAATTTGCGT-3') were designed for use in quantitative real-time RT-PCR (qRT-PCR). qRT-PCR was performed using the 2× SYBR Green PCR Master Mix (BioLigne). Relative transcript levels were normalized to U6. All experiments were performed in duplicate and from at least three separate tissue or cell isolations.

**Immunohistochemistry.** Embryonic and postnatal brains were collected and fixed in 4% paraformaldehyde for 1–3 h, cryoprotected in 30% sucrose overnight, and frozen in Tissue-Tek OCT Compound (Sakura Finetek). Then, 12 μm sections were cut on a cryostat and mounted. Immunohistochemistry (IHC) was performed as described previously (Bultje et al., 2009) using the following primary antibodies: anti-Sox4 (1:1000; from the Sock laboratory; Hoser et al., 2008a), anti-Sox11 (1:1500; from Sock laboratory; Hoser et al., 2008a), anti-BrdU (1:50; Becton Dickinson), anti-Ngn1 (1:1000; a gift from Jane Johnson, University of Texas Southwestern Medical Center), anti-Ngn2 (1:1000; R&D Systems), anti-Tbr2 (1:500; Abcam), anti-Tbr1 (1:500; gift from Robert Hevner, University of Washington), anti-NeuroD (1:1000; Santa Cruz Biotechnology), anti-pPH3 (1:2000; Calbiochem), Tuj1 (1:1000; Covance), anti-Brn2 (1:500; Santa Cruz Biotechnology), anti-Ctip2 (1:500; Abcam), anti-Sox2 (1:300; R&D Systems), anti-Caspase3 (1:1000; gift from Mark Burns, Georgetown University Medical Center), anti-Ki67 (1:500; Abcam), anti-Sox9 (1:1500; Abcam), and Hoechst (1:10,000; Life Technologies), together with species-appropriate Alexa Fluor secondary antibodies (Invitrogen).

**Analysis of cell cycle.** BrdU was injected intraperitoneally into wild-type E13.5 pregnant mice and embryos were harvested at E14.5. Brains were fixed in 4% paraformaldehyde for 2 h, cryoprotected in 30% sucrose overnight, frozen in Tissue-Tek OCT compound (Sakura Finetek), and cryosectioned in 12 μm sections. The sections were mounted and stained for BrdU, Sox4, and Ki67 to investigate cell cycle status. BrdU<sup>+</sup>Ki67<sup>-</sup> cells had exited the cell cycle, whereas BrdU<sup>+</sup>Ki67<sup>+</sup> were still mitotically active. Several fields of four samples were analyzed.

**Mutant mouse analyses.** Mice in which the Sox11 or Sox4 locus contained loxP recombination motifs were obtained from Veronique Lefebvre's laboratory (Cleveland Clinic). To inactivate Sox11 or Sox4 in all cells of the developing forebrain, Sox4<sup>fl/fl</sup> or Sox11<sup>fl/fl</sup> mice were bred with Emx1-Cre animals, which express the Cre recombinase in all progenitor cells, thus producing mutant progenitors as well as all cells derived from mutant progenitors (Jackson Laboratories). Genotyping to distinguish wild-type, floxed, and deleted Sox4/11 alleles was performed as described previously (Jiang et al., 2013). Mice were maintained at the Georgetown University Animal facility, and experiments were performed in accordance with experimental protocols approved by local institutional animal care and use committees and federal guidelines. Four to six mice of each genotype were examined for all experiments.

**In utero electroporation.** Expression vectors for Sox11 or Sox4 GOF (gift from Veronique Lefebvre; Potzner et al., 2010) or shRNA constructs specific for Sox11 or Sox4 LOF (Sox4 shRNA sense strand sequence for ORF: 5'-GCGACAAGATTCGGTTCAT-3'; Sox11 shRNA sense strand sequence for ORF: 5'-GCAGGAAGATCATGGAGCA-3') and CMV-eYFP and/or DCX-dsRed (gift from Qiang Lu) were delivered to the dorsal cortex via *in utero* electroporation as described previously (North

et al., 2009). Briefly, E15.5 timed pregnant dams were anesthetized with 100 mg/kg ketamine and 10 mg/kg xylazine and the uterus was exposed by midline laparotomy. The lateral ventricle was injected with 1.5 μl of a DNA solution containing 2 μg/μl plasmid and 0.02% fast-green in PBS. Seven-millimeter platinum tweezer-style electrodes were placed outside the uterus and across the embryonic head and four pulses of 33 V were applied at 40 ms intervals using a BTX square wave electroporator. The uterus was then placed back into the abdomen, the peritoneal cavity was filled with warm sterile saline, and the abdominal muscle and then skin incisions were closed with sutures. At E16.5, the embryos were harvested and processed for IHC. Four to six mice for each condition were examined for all experiments.

**Cortical cultures.** To transfect cortical cells, *ex utero* transfection was performed as described for *in utero* electroporation above except that embryos were removed from the uterus and amniotic sac and the cortex was dissected immediately after DNA was delivered (Clifford et al., 2014).

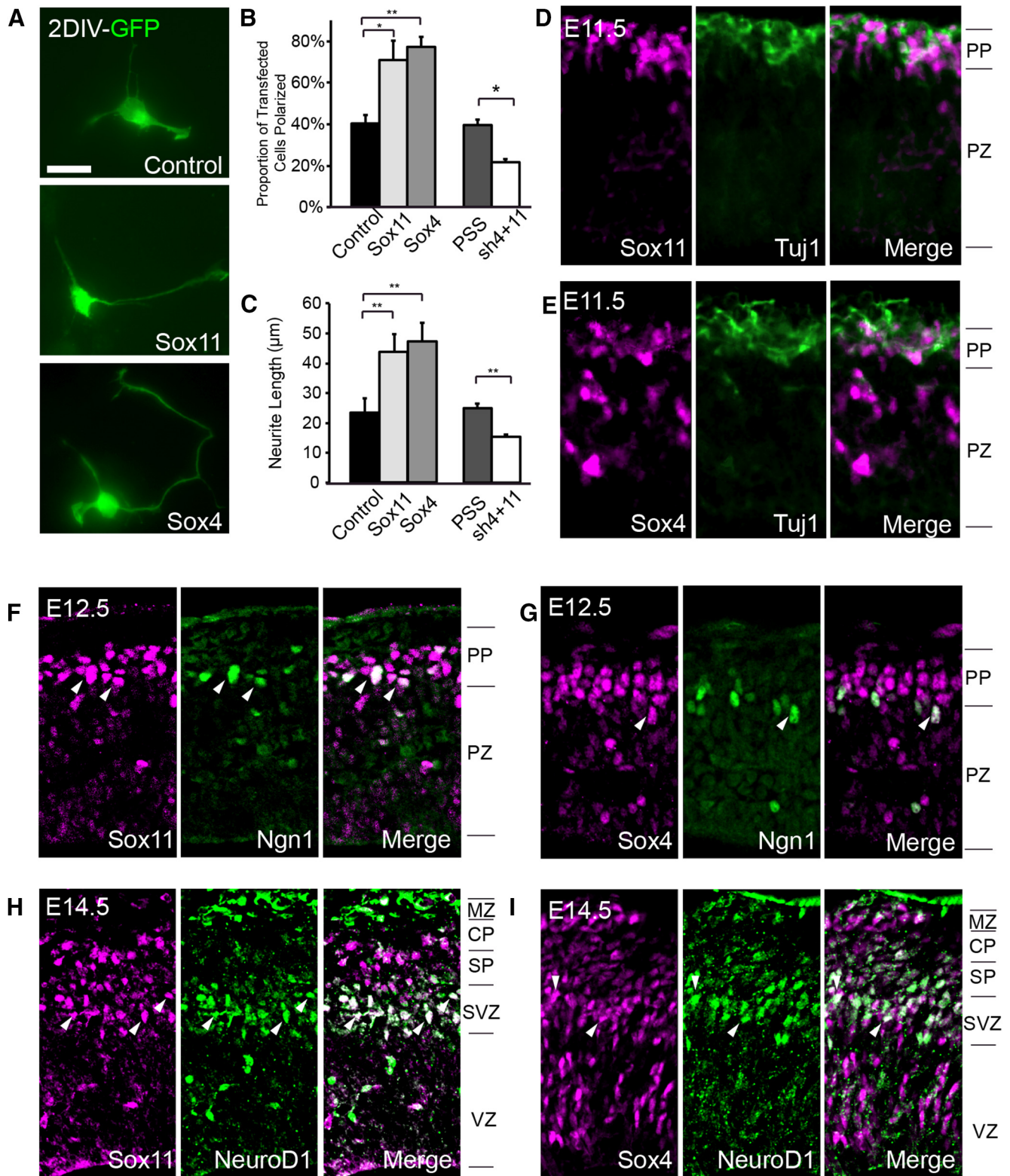
For differentiated cultures, following electroporation, the dorsal telencephalon was dissected in ice-cold HBSS supplemented with 0.5% D-glucose and 25 mM HEPES, the meninges were removed, and a single cell suspension was produced. For differentiated neuronal cultures, dissociated cells were plated at 500 cells/mm<sup>2</sup> under conditions that promote differentiation (Neurobasal media supplemented with 2% B27, 0.25% L-glutamine, 0.25% penicillin/streptomycin, 1 mM HEPES) onto coverslips coated with poly-D-lysine and laminin. Upon harvest, cells were stained with Hoechst and YFP was visualized. YFP transfected cells were imaged, and neurite lengths were measured. Polarized cells, in which one neurite was at least twice the length of the next longest neurite, were considered mature, whereas neurons with neurites that were similarly long were classified as immature. 45–60 neurons from 3 experiments were analyzed for each condition.

For isolated clonal cultures that allow both progenitors and differentiated neurons, following *ex utero* electroporation, dissociated cells were plated at low density (5000 cells/6 well plate) onto poly-D-lysine-coated coverslips under conditions that allow either division or differentiation (DMEM supplemented with 2% B27, 1% N2, 1% Na pyruvate, 1% glutamine, and 1% NAC). Groups of transfected cells were imaged and cells in each clone were scored for YFP and dsRed expression. A total of 90–120 cells in three experiments were analyzed.

**Quantification and statistical analysis.** For cortical neuronal culture, micrographs of random areas of the coverslips were taken and subsequently analyzed with ImageJ for length per neurite. For IHC labeling, micrographs were taken of comparable sections from each embryo. At dorsal-most aspect of the cortex, one 100–120 μm bin was placed and labeled cells were counted in each of these bins. Data from all bins in each section were combined and average labeling index in equivalent sections from all animals obtained. Statistical analysis was performed by either ANOVA with Tukey's significant difference *post hoc* tests for experiments with more than two conditions or two-tailed unpaired Student's *t* test on independent samples for experiments with only two conditions. All data are presented as means ± SEM. *p* < 0.05 was considered statistically significant (\**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.005).

**Protein coimmunoprecipitation.** E14.5 dorsal telencephalon was homogenized in immunoprecipitation buffer (1% NP-40, 50 mM Tris-HCl, pH 8.0, 150 mM NaCl) containing protease inhibitors (Roche). The cellular lysate was shaken vigorously at 4°C for 15 min, spun at 13,000 rpm for 0.5 h, and the supernatant was collected. Next, 30 mg of this brain lysate was incubated with protein G Sepharose (GE Healthcare) beads for 30 min at 4 degrees to clear nonspecific binding. In parallel, 25 μl of Sepharose was incubated for 1 h at room temperature (RT) with 10 μg of Sox4 antiserum, Sox11 antiserum, or control IgG to conjugate antibodies to beads. Pre-cleared lysate was then mixed with conjugated beads and incubated overnight at 4°C. Samples were washed three times in immunoprecipitation buffer before proteins bound to protein G were eluted by boiling and subjected to Western blot analysis using Sox4, Sox11, Ngn1, Ngn2, Tbr1, Tbr2, Mash1, and Brn2 antiserum. Coimmunoprecipitation P experiments were repeated at least three times with new cortical lysates.

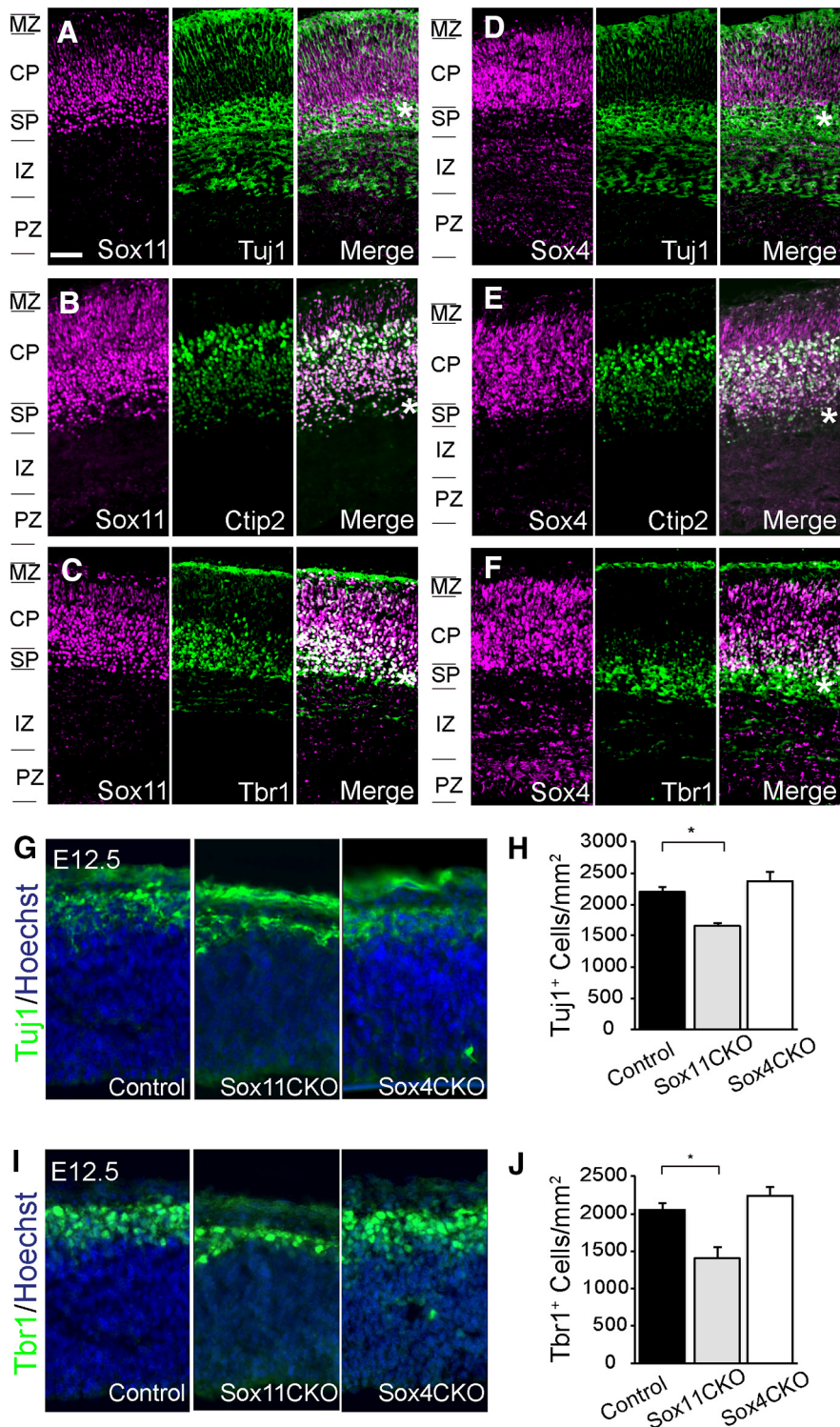
**ChIP.** To begin, antibodies were conjugated to beads: 25 μl of protein G Sepharose beads (GE Healthcare) was incubated with 10 μg of Sox4 antiserum, anti-Sox11 antiserum, or IgG control for 1 h at RT. In parallel,



**Figure 2.** Sox11 and Sox4 promote neuronal differentiation but colocalize discretely with early neuronal markers. **A–C**, In cultures of transfected differentiated cortical neurons and compared with control-transfected neurons (**A**, top), elevation of Sox11 (**A**, middle) or Sox4 (**A**, bottom) resulted in higher proportions of polarized cells and increased neurite length, while LOF reduced the proportion of polarized cells and decreased neurite length (**B–C**). **D–I**, Expression of Sox11 and Sox4 (magenta) in conjunction with Tuj1 at E11.5 (**D, E**), with Ngn1 at E12.5 (**F, G**), and with NeuroD1 at E14.5 (**H, I**) (green). Arrowheads in **F–I** mark white coexpressing cells. Scale bars: **A**, 16 μm; **D, E**, 24 μm; **F, G**, 29 μm; **H, I**, 33 μm.

genomic DNA was prepared: 30 mg of E14.5 cerebral cortex tissues was first cross-linked with a 1% formaldehyde solution to maintain protein/DNA interactions. The tissue was then disrupted in lysis buffer (50 mM HEPES-KOH, pH 7.5; 140 mM NaCl; 1 mM EDTA pH 8; 1% Triton

X-100; 0.1% sodium deoxycholate; 0.1% SDS) containing protease inhibitors (Roche) for 30 min on ice before the genomic DNA was sonicated into 300–700 bp fragments. Approximately 25 μg of genomic DNA was diluted 1:10 with IP dilution buffer (1% Triton X-100; 2 mM EDTA,



**Figure 3.** Sox11 and Sox4 label distinct and overlapping CP populations. *A–F*, Sox11 (*A–C*) and Sox4 (*D–F*) (both magenta) colabeling with Tuj1 (*A, D*), Ctip2 (*B, E*) and Tbr1 (*C, F*) (all green) in E17.5 mouse cerebral cortex. The asterisk placed in the SP in all samples highlights spatial differences between Sox11 and Sox4. *G–J*, At E12.5, both Tuj1<sup>+</sup> (*G, H*) and Tbr1<sup>+</sup> (*I, J*) cells are reduced in Sox11<sup>CKO</sup> cortex compared with control and Sox4<sup>CKO</sup> samples. Scale bars: *A–F*, 64  $\mu$ m; *G, I*, 30  $\mu$ m.

pH 8; 20 mM Tris-HCl, pH 8; 150 mM NaCl with protease inhibitor), mixed with the antibody-conjugated beads, and incubated overnight at 4°C with agitation. After incubation, the beads were washed 3 times in wash buffer (0.1% SDS; 1% Triton X-100; 2 mM EDTA; 20 mM Tris-HCl, pH 8; and 150 mM NaCl) and 1 time in final wash buffer (0.1% SDS; 1% Triton X-100; 2 mM EDTA; 20 mM Tris-HCl, pH 8; and 500 mM NaCl).

Samples were incubated with 120  $\mu$ l of elution buffer (1% SDS; 100 mM NaHCO<sub>3</sub>) for 15 min at 30°C and then centrifuged at 2000  $\times$  g for 1 min. The supernatant was then digested with proteinase K overnight at 65°C. The resultant DNA was then isolated with a phenol/chloroform extraction and concentrated with an ethanol precipitation. Levels of DNA were quantified by qRT-PCR (primer sequences: Tbr2-1: forward: 5'-GTACACCAGGATTGATTCTCAG-3', reverse: 5'-GCTGGCTCTGC TAAACTCTAG-3'; Tbr2-2: forward: 5'-CCTGAGATGGTGAAATAAAATG-3', reverse: 5'-GGAGAATTATAGATCAGTGCAATG-3'; Tbr2-3: forward: 5'-GAAGCACATGTGTAGTCTTTG-3', reverse: 5'-GAGAGCCACTCCATCTGAAC-3'; NeuroD1-1: forward: 5'-ACGTGACCTGCCTATTTGTATG-3', reverse: 5'-CTTCTTTACCTTCCAGCTCG-3'; NeuroD1-2: forward: 5'-CAGAAGAAGCTCAAAGAGAAGC-3', reverse: 5'-GAGTTAGAAGAGGAAGTGGAAAG-3'; NeuroD1-3: forward: 5'-CAAACCC GTTCTCCCCAAATC-3', reverse: 5'-GTGTATTCTCTCGAGGTCTGTG-3'). Binding activity was normalized to levels in the IgG condition. ChIP experiments were repeated three times.

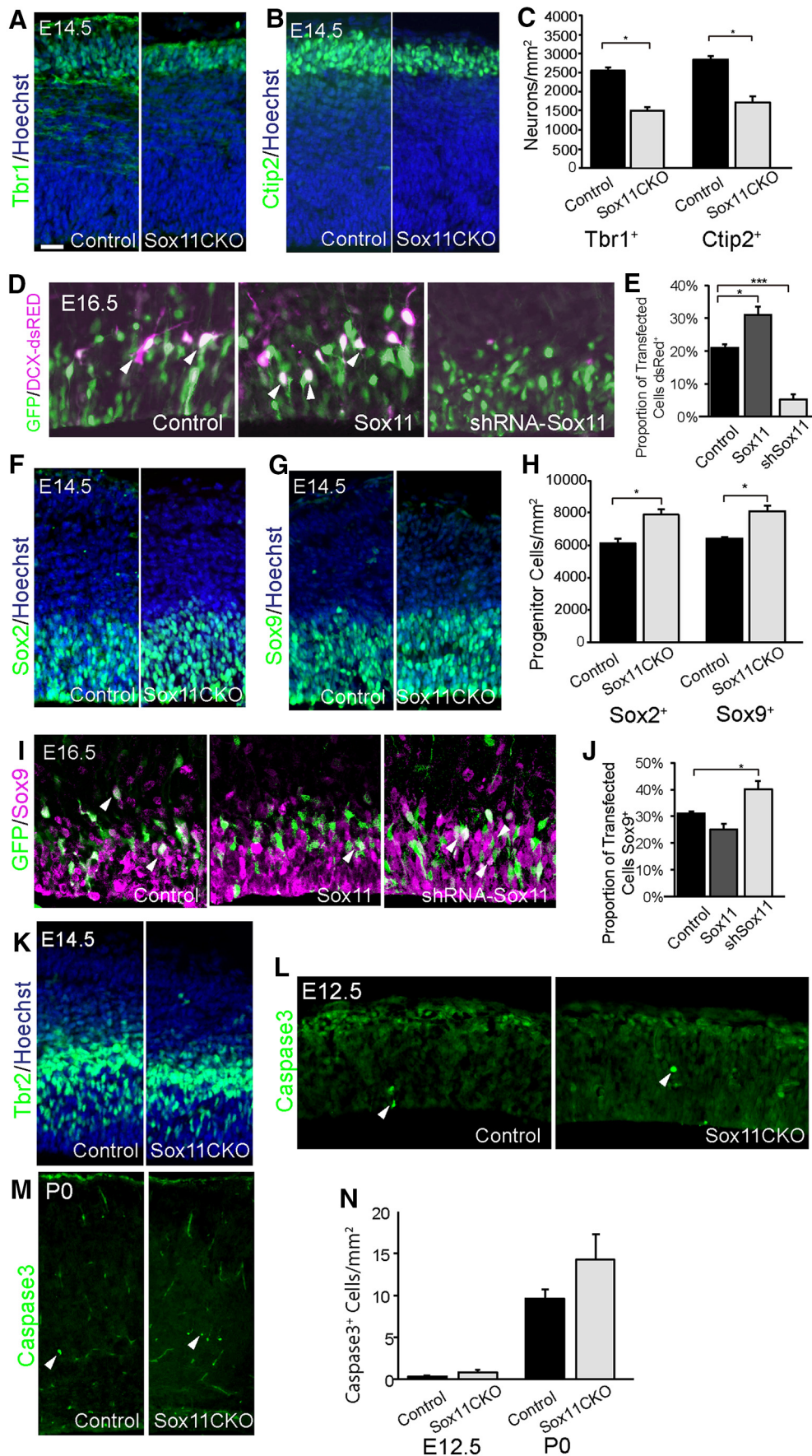
**Transactivation experiments.** A vector containing the NeuroD1 promoter, including Sox and Ngn target sites, cloned upstream of a luciferase reporter was generously supplied by Lori Sussel (Columbia University). A vector containing the Tbr2 promoter, including Sox, Ngn, and Tbr target sites, cloned upstream of a luciferase reporter was created in the laboratory using a vector generously provided by Takaki Miyata (Nagoya University) that contained a 3.8 kb Tbr2 promoter as a starting point. RT-PCR was performed to amplify an additional 2 kb of Tbr2 upstream sequence and that amplified fragment was cloned into the original vector to produce a plasmid with 5.8 kb of Tbr2 promoter sequence. The new vector was verified with sequencing. HEK 293 cells were transfected with luciferase vectors in combination with Sox11 or Sox4 alone or in combination with expression vectors for Ngn1 and Ngn2 (gift from Jane Johnson, University of Texas Southwestern Medical Center) and Brn2 and Tbr2 (Pasko Rakic, Yale University). Cells were harvested 24 h after transfection, cell extracts were prepared, and luciferase activity was measured using the Dual-Luciferase Reporter Assay System (Promega). Transactivation experiments were performed six times in duplicate.

**Results**

**Cortical expression of Sox11 and Sox4 during development**

Although Sox11 and Sox4 have been implicated in the maturation in several neural systems, little was known about their expression and function during the development of the mouse cerebral cortex.

Therefore, qRT-PCR with samples from dorsal telencephalon isolated at specific embryonic and postnatal ages was performed. This analysis reveals that levels of Sox11 and Sox4 are low at E10.5, when the neural tube is segregating regionally, and increase as the cerebral cortex is being seeded with neurons (E12.5–



**Figure 4.** Manipulation of Sox11 shifts the abundance of differentiated neurons and apical progenitors. **A–C**, At E14.5, both Tbr1<sup>+</sup> (**A**) and Ctip2<sup>+</sup> (**B**) cells are reduced in Sox11<sup>CKO</sup> cortex compared with control. **D, E**, *In utero* electroporation that caused either elevation or reduction of Sox11 resulted in more or fewer transfected cells, (*Figure legend continues*.)

E16.5). Levels of both Sox11 and Sox4 decrease as gestation ends and remain low throughout postnatal life (Fig. 1B). A similar pattern of Sox11 and Sox4 expression was observed in neurons in culture: levels were low in NSCs and immature neuronal cultures (1 DIV), increased as neurons differentiated (2–5 DIV), and then were low again in more mature cultures (8 DIV onwards) (Fig. 1C). These expression results are similar to data from other neural systems (Pötzner et al., 2010; Mu et al., 2012; Wang et al., 2013) and support the idea that both Sox11 and Sox4 promote the early differentiation of cortical neurons.

To determine the localization of Sox11 and Sox4 during corticogenesis and at birth, IHC was performed using antisera specific for Sox11 or Sox4 (Pötzner et al., 2010). In these analyses, Sox11 was expressed as expected: present in differentiated neurons. Indeed, Sox11 protein was present in the preplate (PP) early (E11.5), and the resultant marginal zone (MZ) and subplate (SP), as well as the condensing cortical plate (CP) as development proceeds (E14.5–P0), with reduced levels postnatally (Fig. 1D–G). Therefore, qRT-PCR and IHC results for Sox11 during cortical development, as well as published results (Hoser et al., 2008; Bergsland et al., 2011), support a single, albeit transient, role for Sox11 in neuronal differentiation.

In contrast, examination of Sox4 expression during corticogenesis produced an unexpected result. Although expressed in differentiated neurons, including some cells of the PP early and MZ, SP, and CP later, Sox4<sup>+</sup> cells were also detected in more apical positions within the cerebral wall, consistent with the location of cortical germinal zones (Fig. 1H–K). Compared with Sox11's restricted expression within differentiated compartments, Sox4 was expressed in a smaller number of PP cells at E11.5 and in the MZ and CP at E14.5, as well as cells closer to the ventricle both early (E11.5) and later (E14.5) in cortical development (arrowheads in Fig. 1L, N). Differences in expression of Sox11 and Sox4 were also observed within the CP at E17.5: Sox11<sup>+</sup> (green), Sox4<sup>+</sup> (magenta), and Sox11<sup>+</sup>Sox4<sup>+</sup> (white) cells were present but differentially distributed (Fig. 1P, Q).

Therefore, although Sox11 and Sox4 exhibited near-identical expression profiles in cortical extracts analyzed with qRT-PCR, IHC revealed unexpected differences in both protein and gene expression for these genes across the developing cerebral wall (Fig. 1L–Q and set IDs MH3053 and MH916 at <http://www.genepaint.org/>). Together, each of these SoxC genes uniquely marks differentiated cells, whereas Sox4 is exclusively present in germinal embryonic zones. In this study, Sox11's role in differentiated neurons will be studied first, followed by examination of specific actions of Sox4 in cortical IPCs.

### Sox11 and Sox4 act in discrete neuronal populations

Roles for Sox11 and Sox4 in cortical neuronal differentiation were first assessed *in vitro*. Cultures of differentiated neurons that express both SoxC genes (Fig. 1C) were transfected so that Sox11 or Sox4 levels were either elevated (gain-of-function: GOF)

(Pötzner et al., 2010) or reduced (loss-of-function: LOF) and neuronal characteristics were assessed. Analyses demonstrate that either Sox11 or Sox4 GOF increased neuronal polarization and neurite length, whereas LOF resulted in less mature neurons and shorter total neurite length (Fig. 2A–C). Therefore, both Sox11 and Sox4 are capable of enhancing neuronal differentiation in culture.

To better characterize Sox11- and Sox4-expressing cells in newly generated neurons of the cerebral wall, additional IHC was performed. Early in corticogenesis (E11.5), when the PP has just formed, analysis of expression reveals that both Sox11<sup>+</sup> and Sox4<sup>+</sup> cells are present in Tuj1 domains in the most superficial region of the cerebral wall, confirming expression of both genes in the PP (Figs. 1L, 2D, E). IHC to detect Neurogenin1 (Ngn1), a transient marker of newly differentiated neurons at E12.5 demonstrates that most Ngn1<sup>+</sup> cells also express Sox11, whereas a small proportion of Ngn1<sup>+</sup> cells express Sox4 (Fig. 2F, G). Further analysis performed slightly later in development, at E14.5, reveals that most NeuroD1<sup>+</sup> cells express Sox11 (Fig. 2H), whereas a smaller proportion of NeuroD1<sup>+</sup> cells express Sox4 (Fig. 2I). These analyses support the idea that specific populations of neurons express Sox11 versus Sox4 within the forming cortex.

Additional analyses were performed at E17.5, when the CP is substantial and cells that will occupy all layers are present. Both Sox11<sup>+</sup> and Sox4<sup>+</sup> cells are detectable in cellular zones marked by the neuron-specific Tuj1 antiserum, although Sox11 staining extends deeper into Tuj1 domains, specifically the deepest CP and SP, than does Sox4 (Fig. 3A, D). Costaining with Ctip2, a marker of cells in the deep CP destined to populate layer V and VI (Fig. 3B, E), or Tbr1, a marker of deepest CP cells that will become layer VI and SP, which is derived from the PP (Fig. 3C, F), reveals that Sox11 is present in the deep CP and SP, whereas Sox4 is expressed more superficially (compare staining of Sox11 or Sox4 to the fixed position of the asterisk in each panel).

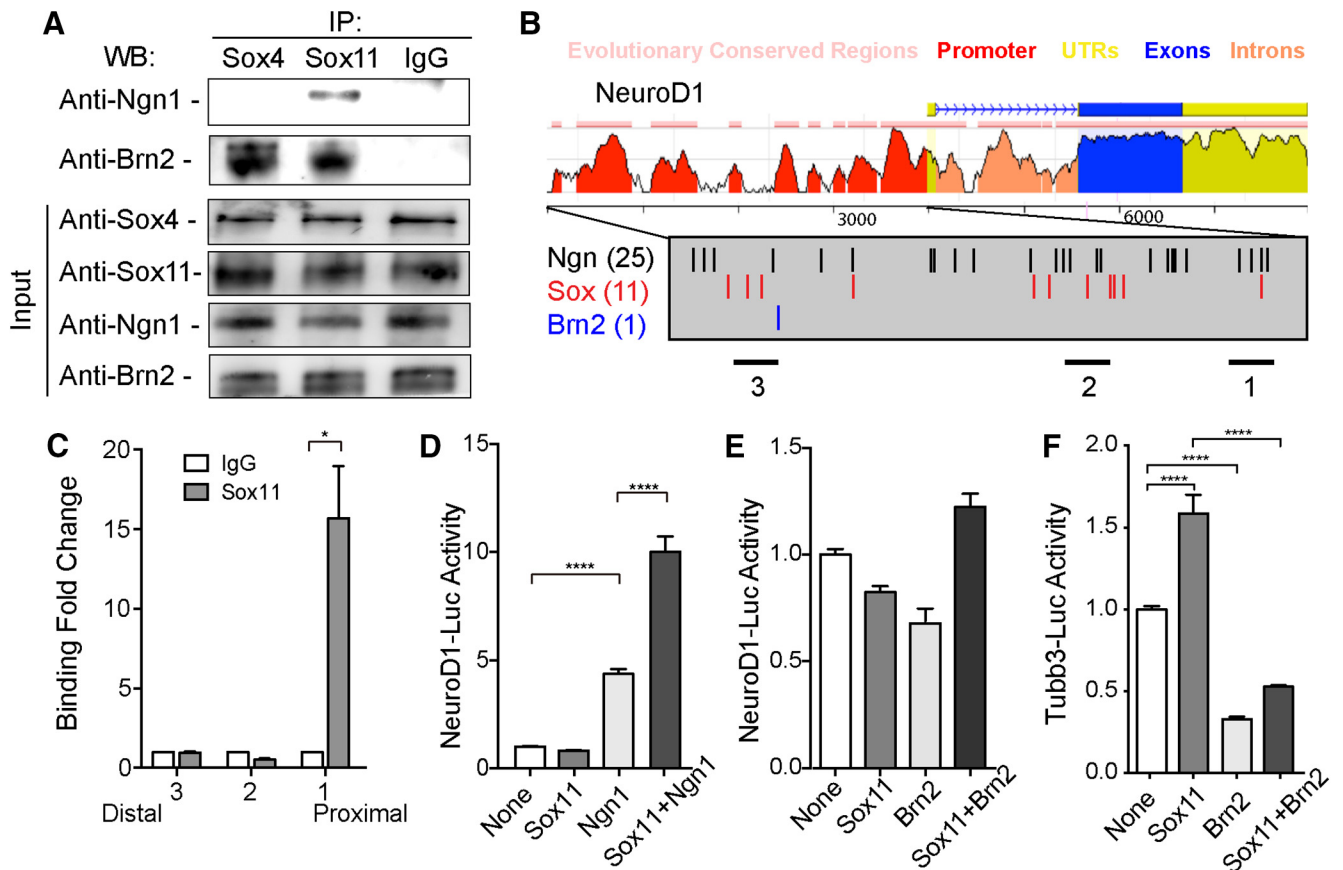
To investigate the function of Sox11 and Sox4 in neuronal populations, mice mutant for each gene in the cortex were analyzed. In these mice, Sox11 or Sox4 coding sequence, flanked by loxP recombination sites (Penzo-Méndez et al., 2007; Bhattaram et al., 2010), was deleted with a Cre recombinase expressed from the Emx1 promoter (Gorski et al., 2002). Because Emx1 is expressed in all neuroepithelial cells of the forming pallium (Yoshida et al., 1997), conditional mutants (Sox11<sup>CKO</sup> and Sox4<sup>CKO</sup>) lack Sox11 or Sox4 in all cells derived from cortical germinal zones. Therefore, all excitatory neurons of the cortex will be mutant for Sox11 or Sox4 in these mice. Early in corticogenesis, at E12.5, Tuj1 staining was reduced in Sox11<sup>CKO</sup> mutant compared with control or Sox4<sup>CKO</sup> (Fig. 3G, H), indicating that there were either fewer or less mature neurons present in the PP when Sox11 was absent. At the same age, the number of Tbr1<sup>+</sup> cells was also significantly lower in Sox11<sup>CKO</sup> mutant cortex compared with wild-type or Sox4<sup>CKO</sup> (Fig. 3I, J). Because these data support a role for Sox11, but not Sox4, in the production of PP neurons, which eventually populate the MZ and SP, further analyses will focus on how Sox11 acts in early-born differentiated neurons.

The consequences of Sox11 action were also examined later in cortical development. At E14.5, staining with the deep layer markers Tbr1 and Ctip2 was reduced in Sox11<sup>CKO</sup> compared with wild-type cortex (Fig. 4A–C). *In vivo* GOF manipulation of Sox11 paralleled mutant data: high levels of Sox11 increased and reduction of Sox11 reduced neuron number, respectively (Fig. 4D, E). Therefore, Sox11 promotes neuronal differentiation of early-born neurons.

There are two obvious explanations for the shifts in proportions of differentiated neurons when Sox11 levels were perturbed: change in the balance between progenitor division and

←

(Figure legend continued.) respectively, becoming GFP<sup>+</sup>dsRed<sup>+</sup> neurons. F–H, At E14.5, Sox2<sup>+</sup> (F) and Sox9<sup>+</sup> (G) cell numbers are elevated in Sox11<sup>CKO</sup> cortex compared with control. I, J, *In utero* electroporation that caused either elevation or reduction of Sox11 resulted in no significant change for GOF and more transfected cells staining for the apical progenitor marker Sox9 for LOF. K, IHC for Tbr2 revealed no change in the number of cortical cells expressing the protein in Sox11<sup>CKO</sup> mice compared with control (control: 6864 ± 140/mm<sup>2</sup>, Sox11<sup>CKO</sup>: 6888 ± 587/mm<sup>2</sup>, *p* = 0.96). L–N, At E12.5 (L) and P0 (M), there was no change in activated Caspase3 staining in Sox11<sup>CKO</sup> cortex compared with control mice. Scale bars: A, B, F, G, K, 24 μm; D, 20 μm; I, 17 μm; L, 32 μm; M, 75 μm.



**Figure 5.** Sox11's partner proteins and targets in differentiated neurons. **A**, Coimmunoprecipitation was performed using E14.5 cortical lysate in which antisera for Sox4 or Sox11 or a control IgG was used to pull down protein complexes before Western blotting for Ngn1 and Brn2. Only Sox11 binds Ngn1; both Sox4 and Sox11 bind Brn2. **B**, The NeuroD1 locus, with the genomic organization indicated at the top and levels of conservation between mouse and human indicated below by the height of the peaks. The promoter has been magnified in the gray box, with possible Ngn (black), Sox (red), and Brn2 sites indicated. Regions 1–3, studied with ChIP, are indicated by bars at the bottom. **C**, ChIP using E14.5 cortex and primers specific for proximal (1), middle (2), and distal (3) regions of the NeuroD1 promoter (bars below gray box in **B**) reveals Sox11 is capable of binding to region 1 but not 2 or 3 of the NeuroD1 promoter. **D**, **E**, Transactivation experiments reveal that the NeuroD1 promoter-luciferase construct is not responsive to Sox11 alone, is moderately responsive to Ngn1 alone, and is significantly elevated in the presence of either Sox11 with Ngn1 (**D**). In contrast, coexpression of Sox11 with Brn2 does not promote NeuroD1 expression (**E**). **F**, Transactivation experiments reveal that the Tubb3 promoter-luciferase construct is responsive to Sox11 alone but is suppressed by both Brn2 alone and Brn2 in combination with Sox11.

neuronal differentiation or alteration in cell death. Analyses revealed that numbers of Sox2<sup>+</sup> and Sox9<sup>+</sup> progenitors were elevated when Sox11 was absent in the cortex at E14.5 (Fig. 4*F–H*). Furthermore, *in vivo* manipulation of Sox11 followed by examination of the apical progenitor marker Sox9 revealed no statistically significant change in Sox9<sup>+</sup>-transfected cells in GOF brains but an increase in the proportion of Sox9<sup>+</sup>-transfected cells when the level of Sox11 levels was reduced (Fig. 4*I, J*). Finally, compared with shifts in apical progenitor populations, numbers of Tbr2<sup>+</sup> IPCs were unaffected by absence of Sox11 when examined at E14.5 (control: 6864 ± 140/mm<sup>2</sup>, Sox11 CKO: 6888 ± 587/mm<sup>2</sup>, *p* = 0.96) (Fig. 4*K*). Interestingly, no statistically significant changes in levels of cell death were observed when Sox11 were absent in early (E12.5) or late (P0) cortex (Fig. 4*L–N*). In sum, Sox11 appears to promote the genesis of populations of early-born cortical neurons at the expense of apical progenitors.

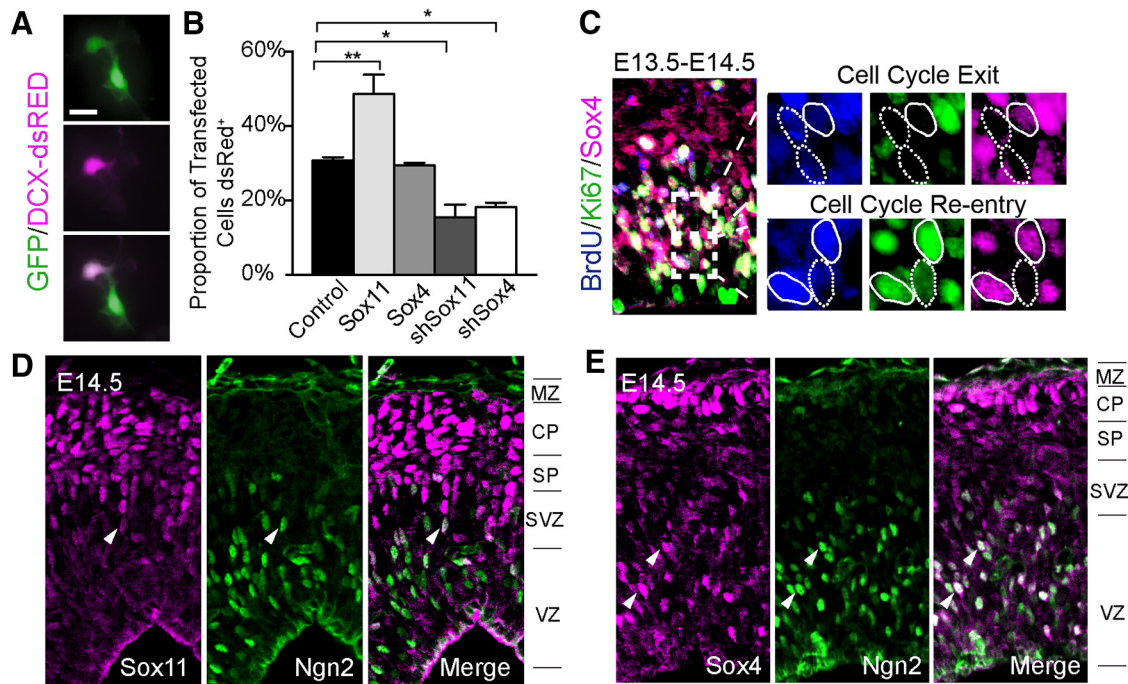
#### Sox11 binding partners and target genes in differentiated neurons

Sox proteins require partner proteins to bind DNA and affect target gene expression. Although a number of Sox partner proteins have been identified and validated (Wilson and Koopman, 2002; Bernard and Harley, 2010), nothing is known about inter-

actions of SoxC proteins in neurons of the mammalian cerebral cortex. Selective colocalization of Sox11 with transcription factors essential for cortical neuronal maturation, such as Ngn1 and NeuroD1, however, was noteworthy (Fig. 2*F, H*). Examination of whether Sox11 can interact with Ngn1 during mouse cortical development was performed via coimmunoprecipitation using protein extract derived from E14.5 cortex. Data from these experiments demonstrate that Sox11 selectively binds Ngn1 and that both Sox4 and Sox11 bind the POU domain protein Brn2 (Fig. 5*A*). Other transcription factors expressed in differentiated cortical neurons, such as Tbr1 and Mash1, did not bind Sox11 in this assay (data not shown). Therefore, Ngn1 is a potential binding partner with Sox11 during neuronal differentiation.

NeuroD1, one of the earliest markers of neuronal differentiation in the forebrain, is regulated by Ngn1 (Schwab et al., 1998; Ge et al., 2006; Kovach et al., 2013) and enriched in Sox11<sup>+</sup> neurons (Fig. 2*H, I*). To determine whether Sox11 might bind within NeuroD1 regulatory sequences, ChIP was performed. Sequence analysis revealed three domains (see bars numbered 1–3 beneath magnified promoter) within the promoter that contained Ngn, Sox, and Brn2 binding motifs (Fig. 5*B*). Focusing on these regions, ChIP data demonstrated that Sox11 selectively binds to a proximal domain, region 1, of the NeuroD1 promoter (Fig. 5*B, C*). To determine whether Sox11 and Ngn1 can affect





**Figure 6.** Sox4 is present in dividing cortical cells and selectively colabels with Ngn2. **A, B**, In clonal cultures that allow both progenitor division and neuronal differentiation, cells transfected with a ubiquitous YFP plasmid and a neuron-specific dsRed plasmid may be a Green<sup>+</sup>Magenta<sup>-</sup> progenitor or a Green<sup>+</sup>Magenta<sup>+</sup> neuron (**A**). Quantification reveals that in this culture system, elevation of Sox11, but not Sox4, results in a higher proportion of transfected cells becoming neurons, whereas reduction of Sox11 or Sox4 results in a smaller proportion of transfected cells becoming neurons (**B**). **C**, E14.5 cortex, prepared for mitotic analysis with cells labeled for BrdU (blue, injected at E13.5) and counterstained with Ki67 (green) and Sox4 (magenta). BrdU<sup>+</sup>Ki67<sup>-</sup> cells were dividing at E13.5, but had exited the cell cycle by E14.5, whereas BrdU<sup>+</sup>Ki67<sup>+</sup> cells remained mitotically active at E14.5. Sox4<sup>+</sup> cells (solid circles in **C**) tended to continue dividing, whereas Sox4<sup>-</sup> cells (dashed circles in **C**) tended to exit the cell cycle. **D, E**, Costaining of Sox11 (**D**) and Sox4 (**E**) (both magenta) with Ngn2 (green) at E14.5 reveals little overlap with Sox11 but considerable colabeling with Sox4. Arrowheads indicate white cells expressing both Sox11 or Sox4 and Ngn2. Scale bars: **A**, 16  $\mu$ m; **C–E**, 20  $\mu$ m.

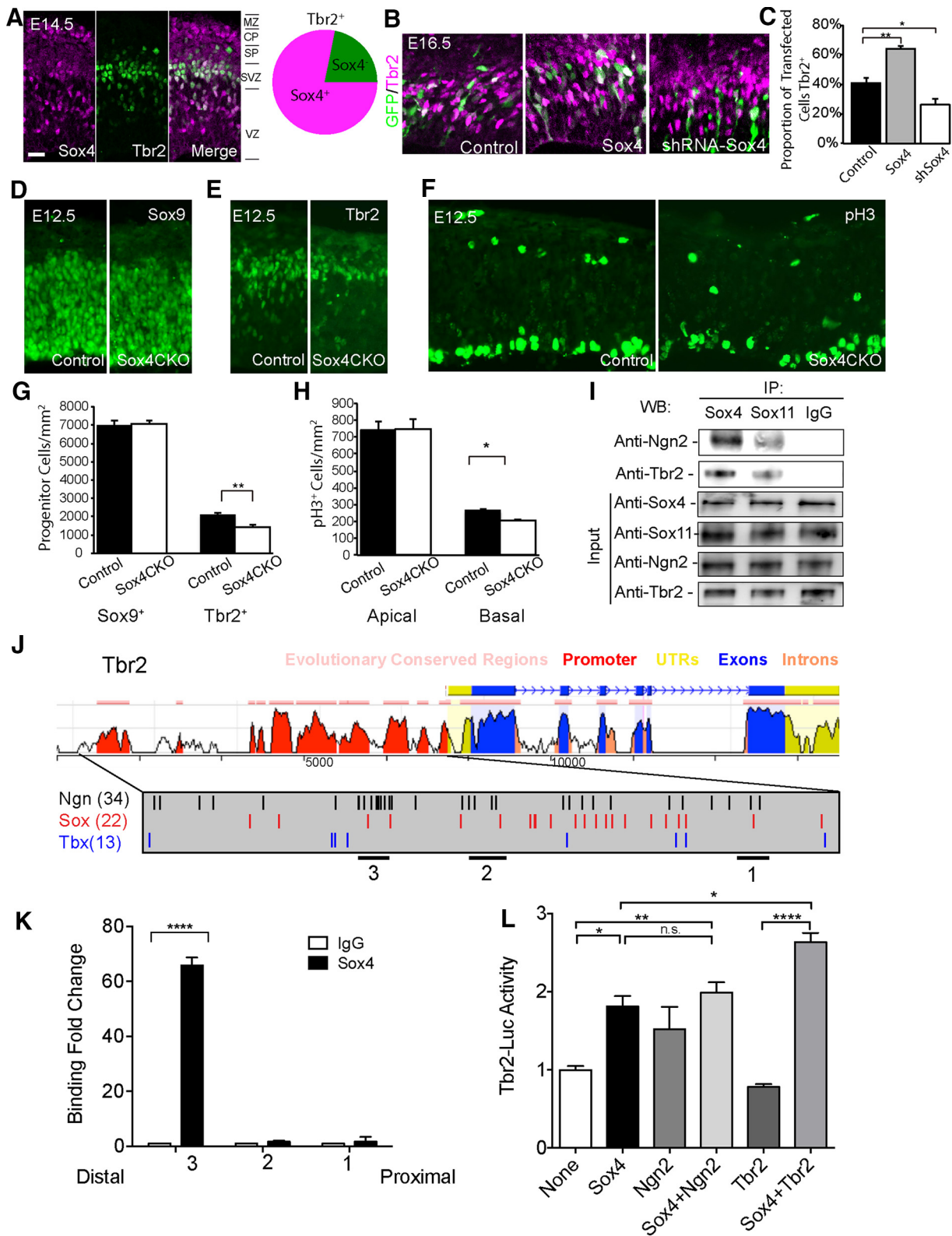
NeuroD1 transcriptional activity, an expression vector consisting of the NeuroD1 promoter that includes region 1 linked to a luciferase gene was used. Cells were transfected with this NeuroD1-luciferase expression vector in combination with an empty vector as a control or with expression vectors for Sox11 or Ngn1 alone or Sox11 and Ngn1 together. Luciferase levels were low in the control condition or when Sox11 was transfected alone. Consistent with a proneural function, Ngn1 elevated luciferase levels considerably. Reporter gene levels were significantly higher, however, when Sox11 were combined with Ngn1, revealing synergistic transactivation of the NeuroD1 promoter (Fig. 5D). Interestingly, another transcription factor capable of binding SoxC proteins, Brn2, had no effect on NeuroD1-driven expression (Fig. 5E) but suppressed another Sox11-responsive gene, *Tubb3*, either alone or in combination with Sox11 (Fig. 5F). These data support a model in which NeuroD1's activation by Ngn1 during corticogenesis is likely to occur in partnership with Sox11. Similar to other analyses that show complex regulation of neuronal genes over time (Ma et al., 1999; Shim et al., 2012), the actions of Sox11 in differentiated neurons are multifaceted. Sox11 partners with Ngn1 to activate NeuroD1 and can also activate *Tubb3*, but only when the level of Brn2 is low. Therefore, Sox11 promotes neuronal differentiation in a tightly choreographed and nuanced manner.

#### Sox4 controls IPC specification

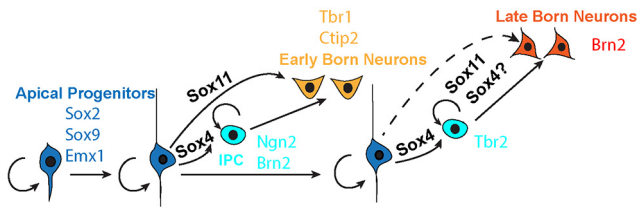
Attention was next directed at understanding the identity of the Sox4<sup>+</sup> cells holding apical positions within the cerebral wall. To start, additional neuronal culture experiments were performed. Rather than growing cells under the conditions used previously that only support the survival differentiated neurons (Fig. 2A–C),

however, alternative culture conditions were used that maintain both cortical progenitor cells and differentiated neurons (Qian et al., 2000). In this clonal culture system, cells were transfected with a ubiquitous GFP, a neuron-specific DCX-dsRed, and either an inert plasmid or Sox4 or Sox11 GOF or LOF vectors. Transfected cells were scored either as neuronal progenitors (Green<sup>+</sup>Magenta<sup>-</sup>) or differentiated neurons (Green<sup>+</sup>Magenta<sup>+</sup>) (Fig. 6A). In this experimental paradigm, Sox11 GOF promoted neuronal differentiation of transfected cells, just as it had previously (Fig. 2A–C), but Sox4 GOF produced results that were no different from control-transfected cells (Fig. 6B). Conversely, both Sox11 and Sox4 LOF reduced the proportion of transfected cells differentiating into neurons (Fig. 6B). Therefore, consistent with discrete expression profiles, Sox11 and Sox4 appear to direct different cellular trajectories.

The presence of Sox4<sup>+</sup> cells within the apical cerebral wall (Fig. 1H,I,L,N) was surprising. Given their location just superficial to the VZ, two possibilities existed regarding the identity of these Sox4<sup>+</sup> cells: (1) Sox4<sup>+</sup> cells are progenitors within cortical proliferative zones, most likely the SVZ because of the Sox4<sup>+</sup> cells' position away from the ventricle, or (2) Sox4<sup>+</sup> cells are newly differentiated neurons that are transiently present in the germinal zone as they migrate superficially to the CP. To distinguish between these possibilities, apical Sox4<sup>+</sup> cells were characterized. To start, the mitotic status of Sox4<sup>+</sup> cells was determined. In this analysis, E13.5 timed pregnant mice were injected with the nucleotide analog BrdU and labeled cortical cells were examined 24 h later. Cells within the SVZ proliferative zones were scored for the coincidence of staining for Sox4 with BrdU, which indicated that cells were replicating DNA at E13.5, and Ki67, revealing that cells were actively dividing at E14.5 (Fig. 6C). Quantification of BrdU cells in cortical germinal zones re-



**Figure 7.** Sox4 is present in the SVZ and affects IPCs. **A**, Sox4 is coexpressed with the SVZ marker Tbr2 at E14.5; >75% of Tbr2<sup>+</sup> cells are also Sox4<sup>+</sup>. **B, C**, Elevation of Sox4 results in increased Tbr2<sup>+</sup> transfected cells, whereas reduction of Sox4 reduced Tbr2<sup>+</sup> transfected cell number. **D, E, G**, E12.5 control or Sox4<sup>CKO</sup> mouse cortex stained with Sox9, a marker of apical progenitors, or Tbr2, a marker of IPCs, reveals no change in Sox9<sup>+</sup> but decreased Tbr2<sup>+</sup> cells in the Sox4 mutant cortex. **F, H**, Phospho-histone-H3 staining of control or Sox4<sup>CKO</sup> mouse cortex reveals decreased basal but not apical progenitors. **I**, Coimmunoprecipitation using E14.5 cortical lysate in which antibodies for Sox4 or Sox11 or a control IgG was used to pull down before Western blotting was performed with antisera specific for Ngn2 or Tbr2. Sox4 preferentially binds Ngn2 and Tbr2 compared with Sox11. **J**, The Tbr2 locus, with genomic organization mapped above and levels of conservation between mouse and human indicated by the height of the peaks beneath. The promoter is magnified in the gray box, with possible Ngn (black), Sox (red), and Tbx (blue) sites indicated. Regions 1–3, studied with ChIP, are indicated by bars beneath. **K**, ChIP using E14.5 cortical lysate and primers specific for proximal (1), middle (2), and distal (3) regions of the Tbr2 promoter (bars in **J**) reveals that Sox4 is capable of binding to region 3, but not 1 or 2, of the Tbr2 promoter. **L, M**, Transactivation studies reveal that Sox4 alone activates the (Figure legend continues.)



**Figure 8.** During corticogenesis, Sox4 expands IPC pools, whereas Sox11 and Sox4 promote discrete populations of neurons. Shown is a schematic of the cellular transitions that occur during cerebral cortical development as apical progenitors in the VZ transition to IPCs within the SVZ via Sox4, to early-born neurons of the SP and deep CP via Sox11, and to late born neurons via both Sox4 and Sox11.

vealed that a higher proportion of Sox4<sup>+</sup> cells ( $75.7 \pm 4.7\%$ ) were in the cell cycle compared with Sox4<sup>-</sup> cells ( $44.1 \pm 4.9\%$ ). This result demonstrates that Sox4 expression tracks with cell division for apically located Sox4<sup>+</sup> cells. Costaining with Ngn2, a bHLH transcription factor present in newly formed IPCs (Scardigli et al., 2003), revealed that few Sox11<sup>+</sup> cells but many Sox4<sup>+</sup> cells express Ngn2 (Fig. 6D,E). Therefore, it seems likely that Sox4 uniquely marks IPCs within the SVZ.

To ascertain whether this was the case, colocalization of Sox4 with Tbr2, the definitive marker of IPCs within the cortical SVZ (Ochiai et al., 2009), was examined. Coincidence between Sox4 and Tbr2 was striking:  $>75\%$  ( $78.4 \pm 0.9\%$ ) of Tbr2<sup>+</sup> cells also expressed Sox4 (Fig. 7A). To examine Sox4's function in IPCs, Sox4 levels were manipulated *in vivo* and the consequences of GOF or LOF were assessed. For this experiment, cortical cells were transfected with GFP, along with either a control plasmid or vectors to induce Sox4 GOF or LOF, and transfected cortex was stained for Tbr2. This analysis revealed that less than half of control-transfected cells expressed Tbr2 ( $40.95 \pm 3.3\%$ ) and that significantly more GOF ( $63.99 \pm 1.56\%$ ) and significantly fewer LOF ( $26.22 \pm 4.2\%$ ) cells expressed Tbr2 (Fig. 7B,C). Together, these results demonstrate that Sox4 levels correlate with the presence of Tbr2 in transfected cells of the cortical SVZ, exposing a hitherto unknown function of this SoxC family member in IPCs.

To further characterize Sox4 function in progenitor cells, mice conditionally mutant for Sox4 in the nervous system were analyzed. Early in corticogenesis, at E12.5, when the vast majority of cells in the developing cortex are progenitors, there is no change in Sox9<sup>+</sup> cells but a striking reduction in the number of Tbr2<sup>+</sup> IPCs when Sox4 is absent (Fig. 7D,E,G). Similarly, levels of phosphorylated histone-H3, an indicator of extant cell division, were significantly decreased in basal but not apical progenitors when Sox4 was lacking (Fig. 7F,H). These LOF data also support a model in which Sox4 promotes IPC identity.

### Sox4 binding partners and target gene in IPCs

To investigate how Sox4 might act in IPCs, binding of Sox4 with Ngn2 or Tbr2 was assessed using coimmunoprecipitation with protein extract derived from E14.5 cortex. Data reveal that Sox4 interacts with both Ngn2 and Tbr2 and that these interactions are more robust for Sox4 than Sox11 (Fig. 7I). Other transcription factors expressed in cortical development, such as Ngn1, Tbr1, and Mash1, failed to bind Sox4 in this assay (data not shown).

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(Figure legend continued.) Tbr2 promoter and that this activation is maintained when Sox4 and Ngn2 are cotransfected. In contrast, transfection of Tbr2 does not affect levels of the Tbr2-driven luciferase alone, but Tbr2 and Sox4 together significantly increase the level of Tbr2 expression. Scale bars: A, 24  $\mu\text{m}$ ; B, 17  $\mu\text{m}$ ; D–F, 19  $\mu\text{m}$ .

Therefore, these data identify Ngn2 and Tbr2 as potential partner proteins for Sox4 during corticogenesis.

Given the coincidence of Sox4 with Ngn2 (Fig. 6D,E) and Tbr2 (Fig. 7A) and the finding that Tbr2 is a target of Ngn2 (Ma et al., 1999; Kovach et al., 2013), the possibility of a direct interaction for Sox4 at the Tbr2 locus was assessed. To this end, ChIP was performed focusing on regions of the Tbr2 promoter (see bars numbered 1–3 beneath magnified promoter) that are well conserved from human to mouse and also contain Sox, Ngn, and Tbox consensus binding motifs (Fig. 7J). ChIP data demonstrate that Sox4 binds a distal domain, region 3, of the Tbr2 promoter (Fig. 7J,K). Next, transactivation experiments were performed and revealed that Sox4, but not Ngn2, is capable of elevating Tbr2 expression alone and that cotransfection of both Sox4 and Ngn2 resulted in levels similar to Sox4 alone. The lack of synergy between Sox4 and Ngn2 suggests that these molecules act separately in IPCs, both converging on Tbr2. In contrast, whereas expression of Tbr2 alone had no effect on Tbr2-luciferase levels, when Sox4 and Tbr2 were both present, levels were elevated over Sox4 alone (Fig. 7L). Therefore, a molecular pathway involving both Ngn2 and Tbr2 appears to exist for Sox4's actions in promoting IPC identity.

### Discussion

Neurogenesis, the process by which dividing neuroepithelium produces differentiated neurons, involves stereotyped molecular pathways that occur in every region of the nervous system in every animal. As the brain develops, genes are initially expressed that promote mitosis. When a cell receives environmental or genetic instruction to cease dividing, a new set of genes is activated that promote neuronal differentiation. The SoxC transcription factors had previously been implicated in initiating the early stages of neuronal differentiation and were largely considered to act redundantly (Hargrave et al., 1997; Bergsland et al., 2006; Hoser et al., 2008; Bhattacharam et al., 2010; Mu et al., 2012). Within the nervous system, however, there are species- and region-specific characteristics. Therefore, we initiated a series of experiments to inquire into the roles of two SoxC family members, Sox11 and Sox4, in the formation of the mammalian cerebral cortex, arguably the most complex region of any nervous system. The results of these experiments describe unique roles for Sox11 and Sox4 in discrete and overlapping populations of cortical neurons and define a new role for Sox4 in the amplifying divisions of IPCs in the cortical SVZ (Fig. 8).

Sox11 and Sox4 affect neuronal differentiation in all brain regions studied (Hoser et al., 2008; Ling et al., 2009; Mu et al., 2012; Shim et al., 2012). Results presented here extend these findings to the cerebral cortex. In cortical neurogenesis, the general molecular pathways present in other brain regions exist. However, the cortex's large size and complex circuitry is accompanied by additional neuronal heterogeneity during development and in maturity. For example, of the excitatory neurons generated within cortical germinal zones, there is striking variation in gene expression, cell shape, laminar position, synaptic transmission, and neural circuitry (Molyneaux et al., 2007). Although the timing of cell cycle exit corresponds with discrete cortical neuronal populations, the molecular cascades that produce these populations are not always well understood (McConnell, 1985, 1989; Dehay and Kennedy, 2007; Lai et al., 2008; Shim et al., 2012). Our results implicate Sox11 function in defining neurons of the PP, resultant MZ and SP, and deep CP. Therefore, specific populations of cortical neurons appear to rely on one or both SoxC family members. We suspect that discrete roles in particular pop-

ulations of cortical neurons reflect both restricted expression of Sox11 and Sox4 as well as distinct binding capacities; Sox11 binds Ngn1 selectively and targets the NeuroD1 gene. Although Sox11 also activates Tubb3, Brn2 antagonizes that action. It is likely that distinct partners may be used in particular populations of neurons, similar to the antagonism between Sox proteins in defining neuronal identity (Shim et al., 2012).

In an unforeseen finding, our data implicate Sox4 in regulating IPC generation in the SVZ, a novel role for a SoxC family member in any developing nervous system. The SVZ has long represented a developmental puzzle. Analyses demonstrate that apical progenitors from the VZ transition into IPCs of the SVZ before giving rise to excitatory neurons of the CP (Miyata et al., 2004; Noctor et al., 2004). It has been proposed that some instruction for neuronal identity may be imbued during this IPC division (Pontious et al., 2008). Interestingly, several recent studies reveal significant cellular complexity within the IPC populations of the SVZ niche (Hansen et al., 2010; Shitamukai et al., 2011; LaMonica et al., 2012; Martínez-Cerdeño et al., 2012; Gertz et al., 2014). Indeed, comparison across species demonstrates that organisms with a larger cerebral cortex tend to have proportionally expanded SVZ size (Martínez-Cerdeño et al., 2012). Moreover, there is increasing recognition of heterogeneity in cell populations in the SVZ as the cortex shifts from the simpler structures found in rodents to the more complex organizations present in primates (Florio and Huttner, 2014).

The involvement of Sox4, a gene that had previously been characterized to act solely in the promotion of neuronal differentiation in other neural systems, in IPC division in the cortical SVZ may be an example of the repurposing of a transcription factor for new function in a more complex brain region. We propose that Sox4 acts with Ngn2 to activate Tbr2 and initiate IPC specification and then acts with Tbr2 to maintain this basal progenitor state. A prediction of this model is that loss of Sox4 will result in fewer IPCs, and thus reduced amplification of cortical neurons. Although the cerebral wall of Sox4<sup>CKO</sup> mice is thinner at E14.5 compared with controls (data not shown), the thickness of the cerebral wall is no different at P0 (data not shown). It is likely that this dichotomy reflects confounding roles: one in specifying IPCs and another in differentiated neurons. Future experiments will tease out cell-type-specific effects. Nevertheless, given this central role in IPC specification and function, Sox4 will be an important tool for investigating this still mysterious population of secondary progenitors in the future.

Our results provide support for the intersection of important sets of transcription factors—SoxC, Neurogenins, POU, and Tbox proteins—in adjusting the balance of dividing and differentiating cells during cortical development (Boogerd et al., 2011; Whittington et al., 2015). The Neurogenins present fascinating expression and functional profiles that include both antagonism and collaboration as the nervous system develops (Schuermans et al., 2004; Dixit et al., 2014; Paul et al., 2014), whereas POU and Tbox genes may be more straightforward in promoting cell fate. (Hevner et al., 2001; Englund et al., 2005; Kolk et al., 2005; Sessa et al., 2010). Sox11 and Sox4 exert specific effects in IPCs and neurons of the SP, MZ, and CP in the early cortex, in apical progenitors and CP neurons midcortico-genesis, and in all progenitors at birth. These findings provide a new lens with which to view temporally regulated events in the formation of the cerebral cortex and provide a molecular link between cell expansion and neuronal differentiation (Fig. 8).

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