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# IMMUNOACTIVATION AT THE CROSSROADS OF HUMAN DISEASES

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# Abstract

It is becoming increasingly clear that immunoactivation, which evolved as a system of host defense against pathogens, can become dysregulated and promote the pathogenesis of diverse diseases with both known and unknown etiologies (e.g., AIDS, age-related macular degeneration, cancer) as well as aging. Immunoactivation seems to be a "common denominator" or general mechanism of pathogenesis, and may explain the association and similarities in pathology among otherwise unrelated human diseases. Identification of general mechanisms of immunoactivation may lead to the development of new therapeutic strategies applicable to many diseases even before detailed knowledge of specific etiology and pathogenesis may be available.

### Keywords

pathogens; viruses; inflammation; pathogenesis

# Is immunoactivation a common driving force in human diseases?

All natural sciences evolved from one medieval root, *Philosophia Naturalis*, which considered Nature as an undivided entity and focused on understanding its most basic principles. Similarly, medicine considered the human body to be divided at most into four humors. With time, science underwent splitting into more-specialized areas. More recently, physics and chemistry developed increasingly unifying approaches to explain all the phenomena within one general theory, whereas medicine was focusing on specifics of particular diseases. This led to the progressive erosion of cross-disciplinary awareness, such that oncologists may know little about diseases of the eye ophthalmologists may be unfamiliar with eye-unrelated infections, and infectious disease experts may have only a superficial knowledge of cardiovascular disease. However, it seems that all these and many other diseases, including general aging, have one common driving mechanism, an inappropriate and dysregulated chronic immunoactivation.

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The goal of this article is to emphasize the generality of this phenomenon, which is still not fully appreciated by the general biomedical community. Meanwhile, understanding the role of immunoactivation may explain clustering as well as some similarities in pathogesis in apparently unrelated diseases and suggests new therapeutic approaches.

Before discussing these general issues, I will first define the terms and next briefly consider four examples of unrelated diseases to illustrate how immunoactivation may be involved in the progression of a disease.

# What is immunoactivation?

Since the terminology used in the literature is not always consistent, let us first define the subjects, at least in the framework of this review.

An encounter with a human pathogen that damages tissues first triggers an innate immune response, which includes interaction of cell surface pattern recognition receptors (PRRs) with common pathogen-associated molecular patterns (PAMPs), as well as other "nonspecific responses" such as release of "inflammatory" cytokines and of reactive oxygen species, vasodilatation, migration of leukocytes to infected tissue sites, expression of new proteins ("activation markers") on cell surfaces, and cell proliferation. An innate response is followed by the adaptive immunity response, which may also contribute to inflammation. After the pathogens and their products are eliminated, most commonly in days or weeks, the immune system returns to its basic level.

Sometimes, however, acute inflammation fails to overcome the damage completely and is transformed into chronic inflammation with continuous upregulation of various cytokines, cell infiltrates, fibrosis, granuloma, and cell activation. This response can last from weeks to years.

The development of sensitive analytics has revealed that the immune system can remain activated for years and decades, but below the level of chronic inflammation. This may happen when the system does not return to the baseline completely, but also may develop *de novo* as a weak reaction to harmless foreign antigens. This state is associated with elevated levels of some cytokines, but not others, and with activation of some but not other cell populations; it has been designated "low-grade inflammation", "para-inflammation"<sup>1</sup>, or "immunoactivation", the term I will use here.

# Immunoactivation and HIV disease

Unlike many other pathological conditions, human immunodeficiency virus (HIV) disease has a clear etiologic agent, (HIV-1). The very name of the virus indicates the nature of the disease: immunodeficiency. The damage caused by HIV-1 extends beyond the death of a subset of the infected cells to include death of uninfected cells or of abortively infected cells<sup>2</sup> followed by the destruction of lymph node tissue<sup>3</sup>. Paradoxically, the driving force of this progressive immunodeficiency is immunoactivation<sup>4</sup>.

HIV-1 infects and replicates predominantly in activated CD4+ T cells. The immune system responds to infection by activating other lymphocytes, including uninfected CD4+T cells, thus creating new targets for the virus. This vicious cycle is facilitated by co-infections with other pathogens such as cytomegalovirus and other herpesviruses that are activated in HIV-1-infected individuals, as well by translocation of bacteria through the damaged gut mucosa<sup>5</sup>, further activating the immune system. Unable to eliminate HIV, the immune system becomes chronically activated, further facilitating HIV infection. Interestingly, some microbes that can reduce immune activation, e.g., human pegivirus (GB-virus C), improve survival of HIV-infected patients<sup>6</sup>.

Immunoactivation during HIV infection is evident from diverse immune system parameters, including activation phenotypes of cells, upregulation of selected cytokines<sup>7</sup> and of C reactive protein, activation of matrix metalloproteinase, and deposition of collagen, which destroys lymph node cytoarchitecture<sup>8</sup>. It is immune activation rather than HIV-1 load that is a reliable predictor of disease progression<sup>9</sup>.

HIV-triggered immunoactivation can continue for years, even after replication of the virus is suppressed, leading to various diseases and premature aging.

# Immunoactivation and atherosclerosis

Atherosclerosis, the process that leads to formation of atherosclerotic plaques, is the major cause of various cardiovascular diseases. Evidence collected over more than 150 years supports the notion that activation of the immune system plays a major role in atherosclerosis (reviewed in<sup>10–12</sup>). Immune cells, in particular T lymphocytes and macrophages, but also B lymphocytes, dendritic cells, and mast cells, are found in large quantities in atherosclerotic plaques. In plaques, both T cells and macrophages are activated and produce pro-inflammatory cytokines such as interferon gamma and tumor necrosis factor, as well as various extra-cellular vesicles<sup>13</sup> that also can contribute to cell activation and facilitate cytokine release. Although plaque T cells are blood-borne, in plaques they are much more activated than in blood<sup>14</sup>, indicating the presence of local antigens, as is also evident from the clonal expansion in the early lesions of apolipoprotein E -KO mice<sup>15</sup>. The nature of potential antigens in plaques has been debated for decades, and their list includes oxidized low-density lipoproteins, heat shock proteins, and debris of decomposed cells, as well as various infectious agents<sup>16</sup>. Not only local but also systemic immunoactivation constitutes a strong pro-atherosclerotic factor: for example in autoimmune diseases such as rheumatoid arthritis and systemic lupus erythematosus<sup>17</sup> or in end-stage renal disease<sup>18</sup>. In conclusion, although immunoactivation does not seem to be a cause of atherogenesis, it constitutes an important driver of disease progression from its initiation to thrombotic complications.

### Immunoactivation and cancer

It was generally accepted until about two decades ago that the immune system is antitumorigenic, rapidly recognizing and eliminating continually evolving cancer cells (immuno-surveillance). Fortunately, the immune system only rarely fails to recognize and destroy the tumor cells, and even when it fails, it continues to fight the evolving tumor as

evidenced by the abundance of immune cells in solid tumors. Therefore, it was assumed that a rational anti-cancer strategy would be to facilitate immune system activation, for example by introducing anti-cancer vaccines or by targeting negative regulators of immune system activation (e.g., cytotoxic T-lymphocyte-associated protein 4 (CTLA4) and programmed cell death protein 1(PD-1)).

Although an anti-tumorigenic role for the immune system is still widely accepted, the contemporary view has become more nuanced with the appreciation, through increasing evidence, that the immune system also plays a strong pro-tumorigenic role<sup>19</sup>. Indeed, the risk of tumor formation in some tissues is significantly increased by local inflammation, for example mastitis in the case of breast cancer or ulcerative colitis in the case of colon cancer.

During the last decade it has become evident that the immune system can promote tumors in different ways (reviewed in<sup>19</sup>). First, the very same lymphocytes and macrophages that infiltrate most of the solid tumors secrete various cytokines that stimulate growth and inhibit apoptosis of pre-malignant and tumor cells. Some of these cytokines work through the activation of nuclear factor kappa-light-chain-enhancer of activated B cells (NF-*k*B) and signal transducer and activator of transcription 3 (STAT3)<sup>19</sup>, which are required for expression of several tumorogenic genes and of anti-apoptotic genes such as Bcl-<sub>XL</sub> and Bcl-2. Second, immune activation can create a microenvironment favorable for seeding and local proliferation of cancer cells. Third, local immunoactivation promotes tumor angiogenesis, without which solid tumor growth is limited by the diffusion of nutrients. Inactivation in mice of NF-*k*B and STAT-3, the key enzymes that inflammatory signal activates in the angiogenesis pathway, results in suppression of angiogenesis and of tumor growth<sup>20</sup>.

In conclusion, although immunoactivation may not initiate cell transformation, it is critical for modulating the development of cancer through integration and balance of antitumorigenic with pro-tumorigenic effects.

# Immunoactivation and age-related macular degeneration (AMD)

Age-related macular degeneration, a progressive and irreversible loss of central vision caused by lesions and neovascularization of the retina, results in the death of macular retinal cells. The precise contribution of pro- and anti-inflammatory mediators to age-related macular degeneration is the subject of intense debate.

The retina is a highly metabolically active tissue with formation and turnover of various antigenic products of tissue metabolism. The immune status of a normal eye is characterized by low activation and an abundance of regulatory T cells that suppress activation. When normal intra-ocular immunosuppressive mechanisms fail to function properly in the eyes of age-related macular degeneration patients<sup>21, 22</sup>, the immunogenic products accumulated in the eyes may induce a local immune response with accumulation of activated immune cells that secrete inflammatory cytokines. Furthermore, autoantibodies against the products of retinal metabolism activate the complement system, creating complement-mediated damage<sup>23</sup> accompanied by activation of immune cells.

Finally, like other diseases in which immunoactivation plays a role, age-related macular degeneration is associated not only with local but also with systemic immunoactivation. In the serum of age-related macular degeneration patients there is a significant increase of interleukin (IL)-22<sup>24</sup>, which is also expressed in other chronic inflammatory conditions including psoriasis and rheumatoid arthritis, where its upregulation often correlates with increased disease activity. Also upregulated is IL-17<sup>24</sup> a pro-inflammatory cytokine that controls extracellular pathogens and induces matrix destruction and neovascularization.

Thus, although it remains unclear what triggers age-related macular degeneration, immunoactivation appears to play a critical role in its progression.

### Immunoactivation as a common denominator of human pathologies?

These few examples superficially described above illustrate that highly diverse human diseases may have one common feature, immunoactivation. This may be the basis for the association between apparently unrelated pathologies. For example, immunoactivation may link rheumatoid arthritis<sup>25</sup>, type 2 diabetes<sup>26</sup>, or end-stage renal disease<sup>18</sup> to atherosclerosis.

It is possible that immunoactivation caused by irritation of the airway by cigarette smoke may explain why smoking is a common risk factor not only in cancer of the lung, where the products of smoking are deposited, but also in many apparently unrelated pathologies: cardiovascular diseases, preeclampsia, type 2 diabetes, and others.

Also, the well-known link of stress to cardiovascular disease seems to involve immunoactivation, as suggested earlier (see<sup>27</sup>), and was evidenced by a recently described stress-induced activation of haemopoetic stem cells and release of high numbers of neutrophils, monocytes, and lymphocytes into blood<sup>28</sup>.

Not only the links between apparently unrelated diseases but also similarities in the pathogenic details may be explained in the framework of immunoactivation. For example, as mentioned above, induction of angiogenesis is typical for solid tumors. Similarly, abnormal angiogenesis, albeit of leaky vessels, is the main feature of the wet form of age-related macular degeneration. In an apparent recognition of this similarity, the same angiogenesis inhibitors are currently used in treatment of age-related macular degeneration and of some solid tumors.

Also, rheumatoid arthritis is associated with high levels of active metalloproteinases as well as of other collagen-degrading enzymes. Metalloproteinase was reported to be increased in aortas of stressed mice<sup>28</sup>. An increase in metalloproteinases may lead to instability and collapse of atherosclerotic plaques in atherosclerosis. Activation of metalloproteinases is involved in cancer cell invasion and contributes to the disruption of lymph node cytoarchitecture in HIV-1-infected patients, Similarly, activation of metalloproteinases leads to liver fibrosis in the chronic phase of hepatitis Bvirus infection, characterized by immunoactivation. Thus, immunoactivation, which is common to all these human diseases, albeit specific for each disease.

Immunoactivation can be triggered in healthy individuals by endogenous agents (e.g., microbiota), or by invasion of low-pathogenic viruses or bacteria. This may pre-dispose to various diseases that may not progress otherwise.

The important role of immunoactivation in various diseases makes it a potential target for therapy. Successful attempts to use various immune suppressants have been undertaken in HIV disease, in age-related macular degenerationE, in diabetes, and in atherosclerosis. Moreover, some approved drugs with known mechanisms of action have been found to have a general anti-immunoactivation property, which may explain unexpected treatment effects. For example, statins, which were introduced for cholesterol-lowering therapy in atherosclerosis, have been found to suppress immunoactivation and are now prescribed as prophylaxis for cardiovascular disease as well as for patients with type 2 diabetes.

Aging may also be mediated by immunoactivation, as best illustrated in HIV disease. Many physiological aspects of HIV-1-infected individuals resemble those that in uninfected individuals are associated with aging<sup>29</sup>. Initially, they were ascribed to ongoing HIV-1 replication. However, as a result of efficient anti-HIV therapy many HIV-infected patients have now been living without detectable presence of HIV for about two decades. Nonetheless, these patients acquire various diseases typical of aging (including cancer, atherosclerosis, arthritis, and general fragility) approximately 15 years earlier than a control group<sup>9</sup>. The immune systems of these patients are more activated than those of the controls<sup>9</sup>.

The early appearance of age-related diseases in HIV-infected but successfully treated individuals confirms the role of immunoactivation in these diseases but also constitutes another piece of evidence of the role of immunoactivation in general aging. Also, premature aging has been linked to immunoactivation in rheumatoid arthritis<sup>30</sup> and implicated in aging in a Swedish cohort of old individuals (85+, with some reaching 100)<sup>31</sup>.

As with other cases of immune activation, in HIV-infected patients it is not clear what supports immunoactivation for many years after HIV-1 is fully suppressed. One of the candidates is cytomegalovirus, a common human herpesvirus that remains reactivated at a low level even years after HIV-1 has been suppressed<sup>32, 33</sup>. Interestingly, cytomegalovirus also was shown to be a negative factor in the Swedish cohor}. Although cytomegalovirus has been suggested as a driving force in cardiovascular diseases<sup>34</sup>, this association remains controversial<sup>16</sup>.

The importance of cytomegalovirus for the human immune system seems to be underappreciated, since although humans encounter hundreds of pathogens throughout their life, at an advanced age a disproportionally high fraction of T lymphocytes is specific for this virus. The clonal expansion of cytomegalovirus -specific T cells with age may shrink the repertoire of other T cells, thus contributing to increased susceptibility to various infections that become chronic, triggering persistent immunoactivation.

# **Concluding remarks**

The idea that immunoactivation contributes to pathogenesis and disease progression (the "immunoactivation hypothesis") is not new. At different times, it was introduced in various

fields of medicine. For example, with regard to atherosclerosis it can be traced to Rudolf Virchow in the nineteenth century, whereas with regard to type 2 diabetes it was introduced in the early 1990s. However, in most cases the concept of damaging immunoactivation remained confined to the field of research where it was formulated. Now, it is becoming clear that the immunoactivation hypothesis is applicable generally.

It is known that many important biological processes require two signals to progress. It seems that for various diseases, immunoactivation is one of the signals (Figure 1).

For example, although HIV-1 is the primary etiological agent of the acquired immune deficiency syndrome (AIDS), it is immunoactivation that makes the disease progress to immunodeficiency. Studies of sooty mangabeys have shown that simian immunodeficiency virus infection is not accompanied by immunoactivation and the infection leaves the animals healthy, whereas simian immunodeficiency virus infection of macaques that is accompanied by immunoactivation leads to AIDS-related disease<sup>35</sup>. Immunoactivation may play a similar role in diseases for which primary etiological agents are not known, such as atherosclerosis or cancer.

Nowadays, immunoactivation is becoming a new therapeutic target leading to new therapeutic approaches based on anti-immunoactivation strategies.

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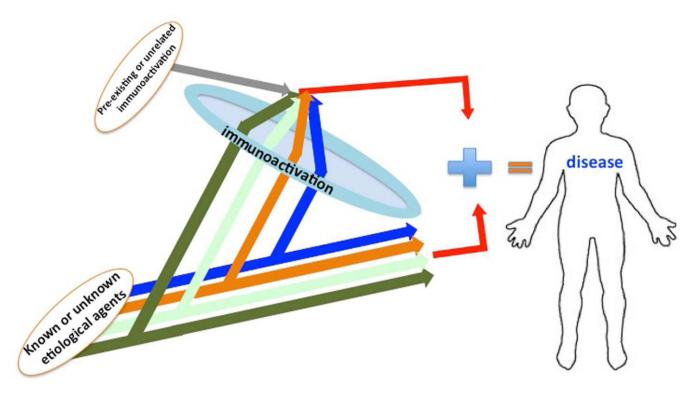
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#### **Clinical Significance**

Immunoactivation, which evolved as a system of host defense against pathogens, can become dysregulated and promote the pathogenesis of diverse diseases with both known and unknown etiologies and even be an important factor in general aging. Identification of general mechanisms of immunoactivation may lead to the development of new therapeutic strategies applicable to many diseases even before detailed knowledge of their specific etiology and pathogenesis may be available.



#### Figure 1. Immunoactivation hypothesis

Human diseases are triggered by various etiological agents: viruses, bacteria, parasites, or unknown factors causing specific pathologies in the human organism. However, disease progression also requires immunoactivation, which is largely similar for all of them. This immunoactivation can be caused by the same agents or may have been developed prior to and independently of the etiological agent.