Purpose:

In Vivo Transfection and Detection of Gene Expression of Stem Cells Preloaded with DNAcarrying Microbubbles¹

To determine whether (a) stem cells loaded with DNA-

ORIGINAL RESEARCH
MOLECULAR IMAGING

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carrying microbubbles (MBs) can be transfected in vivo, (b) the cells remain alive to express the gene, and (c) gene expression is sufficiently robust to be detected in vivo. **Materials and** The study was approved by the Institutional Animal Care **Methods:** and Use Committee. Cationic MBs were prepared, characterized, and loaded with pLuciferase green fluorescent protein (GFP) plasmid. Loading was confirmed with SYBR Gold staining (Life Technologies, Carlsbad, Calif). C17.2 cells were loaded with the DNA-carrying MBs. Two hundred thousand cells suspended in 20 µL phosphate-buffered saline were mixed with 200 µL Matrigel (BD Biosciences, San Jose, Calif) and injected in both flanks of eight nude mice. One of the Matrigel (BD Biosciences) injections contained 50000 cells pretransfected in vitro by using lipofectamine as a positive control. Nine flanks were exposed to 2.25-MHz ultrasonic pulses at 50% duty cycle for 1 minute at 1 W/cm² (n = 3) or 2 W/cm² (n = 6), and six flanks served as the negative control. Two days later, bioluminescent images were acquired in each mouse everv 3 minutes for 1 hour after the intraperitoneal injection of D-luciferin (Perkin Elmer, Waltham, Mass). Differences between groups were assessed by using the nonparametric Kruskal-Wallis test with Wilcoxon rank sum tests for follow-up comparisons. Mice were then killed, plugs were explanted, and alternate sections were stained with hematoxylin-eosin or stained for GFP expression. **Results:** Mean DNA-loaded MB diameter \pm standard deviation was 2.87 μ m \pm 1.69 with the DNA associated with the MB shell. C17.2 cells were associated with 2-4 MBs each, and more than 90% were viable. Peak background subtracted bioluminescent signal was fourfold higher when cells were exposed to 2 W/cm² pulses as compared with 1 W/cm² pulses (P = .02) and negative controls (P = .002). Histologic examination showed cells within the Matrigel (BD Biosciences) with robust GFP expression only after 2 W/ cm² ultrasound exposure and lipofectamine transfection. **Conclusion:** Stem cells loaded with DNA-carrying MBs can be transfected in vivo with ultrasonic pulses and remain alive to demonstrate robust gene expression.

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ltrasound-mediated gene delivery has the advantage of being targeted and safe, as compared with viral-based gene therapy strategies (1-3). Numerous studies have demonstrated that ultrasound in the presence of microbubbles (MBs) serves as a more efficient method of gene delivery than ultrasound alone (4-10). Various theories have been proposed regarding the mechanism of MB-mediated gene delivery at a cellular level (11,12), but the exact mechanism of how genes become incorporated within the cell is somewhat variable and often unclear (11, 12). What is known is that MBs adjacent to a cell open pores in the cell membrane that remain open for tens of minutes, allowing direct exchange with the cytosol and bypassing the typical phagocytic endosomal pathway that traps and digests its contents (11,12). The two salient hypotheses are that the MB collapse induced by ultrasound produces a water jet as it injects its payload through the cell membrane that causes the observed pores. The other is that streaming caused by MB oscillation induces cell membrane effects to allow the entry of drugs and/or genes attached to the MB surface into the cytosol (11,12). Irrespective of the exact mechanism, since MBs are $1-4 \mu m$ in diameter, and since the MB needs to be close to or in direct contact with the cell membrane. ultrasound-mediated gene delivery has been limited to endothelial-cell transfection. Additionally, MBs are short lived, with a blood half-life of only a few minutes. While effective transfection of vascular endothelium has been shown (13-15), the major clinical need and challenge for gene therapy is the transfection of tumor and/or other cells that reside in the extravascular environment.

A promising strategy to ensure the proximity of MBs to the desired cell

Advance in Knowledge

Stem cells can be loaded with DNA-carrying microbubbles, administered and transfected in vivo with ultrasound, and remain alive to express the gene of interest in vivo. surface and improve specificity is the engineering of molecularly targeted MBs that bind to the endothelial surface of interest. To reach extravascular targets, investigators have proposed that disrupting capillaries by exposing MBs to higher ultrasound power would not only deliver the MB payload to the extravascular space but could allow other MBs to escape with the extravasated blood to deliver their payload to extravascular sites (11). The limitation of this technique is that there are only a few MBs in the location of the focused ultrasound beam; these few, rare, intact MBs that could escape before the clotting of extravasated plasma when exposed to potent tissue activators minimize the effective payload delivery.

An alternative strategy we are exploring is the use of stem cells that naturally home to tumors and inflamed or infarcted tissues as "vehicles" carrying DNA-loaded MBs in their endosomes. Since stem cells extravasate when they encounter activated endothelial cells, they can carry their MB payload to the tissue of interest. We showed that MB half-life when incorporated in stem cells

Implications for Patient Care

- The ability to load stem cells that naturally home to tumors and regions of inflammation, infection, and infarction with DNAcarrying microbubbles that can be activated in the region of interest to turn on any desired function provides opportunities to stimulate healing, induce a desired immune response, or produce potent toxins or prodrug-converting enzymes.
- Since ultrasound exposure is required for in vivo stem cell transfection and activation that can be accomplished at a specific site, it circumvents the need to administer preactivated stem cells, as is done currently, to avoid the undesired consequences in organs that naturally collect stem cells or in regions in which the stem cells strayed, increasing safety.

was prolonged more than 7 days in vitro and at least 5 days in vivo (16), allowing ample time for the cells to home to the desired tissue after their systemic administration. We also showed the extreme sensitivity of ultrasound to MBloaded stem cells by detecting a single cell in vitro by using a clinical ultrasound scanner with likely similar sensitivity in vivo (16). More important for this study, we showed in vitro that DNA-loaded MBs phagocytosed by stem cells did not express the gene until exposed to ultrasound, indicating that not only was ultrasound necessary to deliver the gene to the cytosol but the cells remained alive to express the gene (16). In this study, we aimed to determine whether (a) stem cells loaded with DNA-carrying MBs can be transfected in vivo, (b) the cells remain alive to express the gene, and (c) gene expression is sufficiently robust to be detected in vivo.

Materials and Methods

Neural Stem Cell (C17.2) Preparation

Mouse origin immortalized neural stem cells (C17.2) were kindly provided by Dr Evan Snyder (Sanford-Burnham Institute, San Diego, Calif). C17.2 cells

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Abbreviations:

- GFP = green fluorescent protein MB = microbubble
- PBS = phosphate-buffered saline

Author contributions:

Guarantors of integrity of entire study, all authors; study concepts/study design or data acquisition or data analysis/interpretation, all authors; manuscript drafting or manuscript revision for important intellectual content, all authors; approval of final version of submitted manuscript, all authors; agrees to ensure any questions related to the work are appropriately resolved, all authors; literature research, all authors; experimental studies, all authors; statistical analysis, S.T., A.V., R.F.M.; and manuscript editing, S.T., A.V., R.F.M.

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Conflicts of interest are listed at the end of this article.

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were cultured in Dulbecco's modified Eagle's medium, containing 4.5 g/L glucose and 1 mM (1 mmol/L) sodium pyruvate supplemented with 10% fetal bovine serum, 5% horse serum, 2 mM (2 mmol/L) glutamine, 0.25 g/mL amphotericin B (Fungizone; Life Technologies, Carlsbad, Calif), and antibiotics (100 U/mL penicillin and 100 g/ mL streptomycin sulfate). All cells were cultured in a humidified incubator at 37° C with 5% CO₂. C17.2 cells were passaged every 2–3 days.

MB Preparation and DNA Loading

MB preparation and characterization.-MBs were prepared according to a previously published technique used by our group (16). Briefly, 2.5 µmol of 1,2-distearoyl-sn-glycero-3-phosphocholine (#850365C; Avanti Polar Lipids, Alabaster, Ala), 1.4 µmol of 1,2-stearoyl-3-trimethylammonium-propane (#890880C; Avanti Polar Lipids), and 1.6 µmol of polyoxyethylene-40 stearate (#P3440; Sigma-Aldrich, St Louis, Mo) were dissolved in 300 µL chloroform in a 2-mL glass vial. The chloroform was evaporated under a stream of nitrogen gas to form a thin film of lipids, which was reconstituted as a suspension in 1 mL of phosphate-buffered saline (PBS) with a pH level of 7.4 with combined heating to 80°C and bath sonication for 2 minutes, followed by cooling on ice. To form MBs, the headspace of the vial that contained the lipid suspension was flooded with decafluorobutane vapor, and probe sonication was applied to the suspension by using a Vibra-cell VCX130 probe sonicator (Sonics, Newtown, Conn) at settings of 70% amplitude for 10 seconds. Generated MBs were transferred to a glass centrifugation tube for washing, which was performed at 50G relative centrifugal force for 2 minutes. Centrifugation caused MBs to float to the meniscus. The infranatant was discarded, and 4 mL of fresh PBS was added to the MBs, followed by repeat centrifugation and wash three times, and MBs were reconstituted to a total volume of 1 mL with PBS. MBs were quantitated and sized by using a MultiSizer 4 Coulter counter (Beckman Coulter, Brea, Calif); however, MBs smaller than 1 μ m were ignored, since the counter is inaccurate when sizing particles smaller than 1 μ m.

DNA loading.—The plasmid pLuciferase green fluorescent protein (GFP), which expresses both luciferase and GFP under the control of a cytomegalovirus promoter, was kindly provided by Dr Thomas Kipps (Moores Cancer Center, San Diego, Calif). This plasmid was loaded onto MBs by a technique used previously (16). In brief, the plasmid was first amplified in DH5-a Escherichia coli in a 500-mL Luria-Bertani broth culture, and after 16 hours of growth, the plasmid was isolated by means of column maxiprep (QIAfilter Plasmid Giga Kit; Qiagen, Valencia, Calif). DNA quality was assessed by means of agarose gel electrophoresis, and quantitation was performed by using a NanoDrop spectrophotometer. In addition, C17.2 cells were transfected with the plasmid when they reached 80% confluency in T-25 flasks by using a standard lipofectamine kit (Life Technologies). Cells were harvested and assessed for GFP expression by using fluorescence microscopy.

Forty micrograms of plasmid DNA were added to approximately 10⁸ MBs in 1 mL of PBS, followed by incubation at room temperature for 30 minutes to allow DNA adsorption onto the cationic MBs. MBs were washed twice to remove free DNA and were characterized as was done earlier. DNA adsorption to MBs was verified by using fluorescence microscopy after SYBR Gold staining (Life Technologies).

Neural Stem Cell Loading with DNAcarrying MBs

C17.2 cells were plated at a density of 10^6 C17.2 cells per milliliter in T-75 flasks (BD Biosciences, San Jose, Calif) and cultured for 24 hours when they became 80% confluent. Then, 10^8 MBs in 1 mL were added to each T-75 flask, and the entire flask was filled with serum-free Dulbecco's modified Eagle's medium and inverted to float the MBs against the adherent C17.2 cells. After an 8-hour incubation period at 37° C with 5% CO₂, the cells were washed with PBS three times to remove all free

MBs. Then, C17.2 cells were harvested 4 minutes after the addition of 0.05% trypsin-ethylenediaminetetraacetic acid solution at 37°C and dissociated mechanically. Trypsin digestion was stopped by adding a double volume of complete medium, and overdigestion was avoided by checking the detachment under a microscope. The cell suspension was then centrifuged for 5 minutes at 4°C and 250G relative centrifugal force. Although MB-loaded C17.2 cells became buoyant and floated to the top, we also collected cell pellets, for which cells contained fewer MBs per cell. Cells were resuspended and counted with a hemocytometer (Fisher Scientific, Waltham, Mass), and viability was assessed with trypan blue dye exclusion. The MB-labeled C17.2 cell suspension was then adjusted to vield approximately 10⁶ live cells per milliliter. Labeling efficiency was assessed with light microscopy.

Mouse Model

This study was approved by the Institutional Animal Care and Use Committee.

A 400-µL cell suspension was prepared by adding 200000 labeled C17.2 cells in 200 µL of PBS to 200 µL of growth factor-reduced Matrigel at 4°C (Matrix Growth Factor Reduced #3542310; BD Biosciences). Each 400µL cell suspension was injected in each of both flanks of eight 4-6-week-old nude nu/nu mice (University of California-San Diego in-house breeding vendor) by using isoflurane anesthesia (Forane; Baxter Healthcare, New Providence, NJ). The Matrigel (BD Biosciences) gelled upon injection and shrank when water was resorbed, resulting in small subcutaneous plugs. Additionally, 50000 C17.2 cells were transfected in vitro by using lipofectamine (Life Technologies), mixed to produce a 400-µL Matrigel (BD Biosciences) suspension and injected in one flank as a positive control. This resulted in one plug with pretransfected cells and 15 plugs with cells loaded with DNA-carrying MBs.

In Vivo Gene Activation

Twenty minutes after cell implantation, mice were reanesthetized with



Figure 1: Graph of the size distribution of MBs as measured with the Multisizer 4 Coulter counter (Beckman Coulter). Integrated concentration between 1 and 8 μ m was 3.49 \times 10⁸ MBs per milliliter. Mean MB diameter was 2.87 μ m \pm 1.69. MBs smaller than 1 μ m were ignored, since the counter is inaccurate when sizing particles smaller than 1 μ m.

isoflurane and placed prone. The probe of the sonoporation device (SoniGene System; VisualSonics, Toronto, Ontario, Canada) was held by hand with its tip separated from the plug by a 1-cm warm ultrasound gel spacer and directed laterally to avoid the underlying bone. Exposure was for 1 minute at 2.25 MHz by using pulses with a 50% duty cycle. The plugs that contained MB-loaded cells were divided into three groups: no ultrasound exposure (n = 6) as a negative control and 1 W/ cm² (n = 3) or 2 W/cm² (n = 6) ultrasound exposure.

In Vivo Bioluminescent Imaging

Forty-eight hours after sonoporation, mice were anesthetized with isoflurane. Baseline imaging was performed, and then 150 mg per kilogram of body weight of D-luciferin (Perkin Elmer, Waltham, Mass) was injected intraperitoneally. Imaging was performed for up to 60 minutes with the animals prone in an IVIS-200 system (Caliper Life Sciences, Hopkinton, Mass). Each bioluminescent image was acquired during



Figure 2: (a) Phase contrast and (b) fluorescence (fluorescein channel) microscopy images (original magnification, $\times 10$) of MBs after DNA loading. SYBR Gold (Life Technologies), a dye that fluoresces in the presence of DNA, was added to both samples. Note the ring enhancement, indicating that DNA has been incorporated in the MB shell.

3 minutes with the excitation filter blocked and the emission filter open by using large binning and an f-stop of 1. Image analysis was performed by using Living Image 3.0 software (Caliper Life Sciences) by placing a region of interest that encompassed the entire plug, and its size was kept constant for all plugs. The region of interest was placed on the image that showed the highest 3-minute value acquired during the 1-hour observation period, and a background region of interest was placed on the exact same location on the presubstrate baseline image. The plug was assigned the background-subtracted radiance in photons per second per square centimeter per steradian.

Ex Vivo Analysis and Histologic Examination

Immediately after imaging, mice were killed by means of CO2 asphyxiation and cervical dislocation, as per institutional guidelines, and the plugs were excised carefully, fixed in 4% buffered formalin for 30 minutes, dehydrated in 30% sucrose, and placed in plastic molds that contained optimal cutting temperature medium (Tissue-Tek; Fisher Scientific) and frozen in a slurry of dry ice and 2-methyl butane (Sigma-Aldrich). Plugs were cryosectioned in 5-µm sections and placed on histologic slides. Alternating sections were assessed for GFP expression or stained with hematoxylin-eosin to identify the implanted cells in the Matrigel (BD Biosciences) background. GFP expression was assessed with immunohistochemical staining by first applying chicken anti-GFP primary antibody (1:400 dilution, #AB16901; Millipore, Billerica, Mass) to the section and then biotinylated donkey antichicken antibody (#703-065-155; Jackson ImmunoResearch, West Grove, Pa), followed by streptavidin-horseradish peroxidase (#016-030-084; Jackson ImmunoResearch). The section was then developed with chromogen 3-amino-9-ethylcarbazole (#SK4200; Vector Laboratories, Burlingame, Calif) and counterstained with Mayer's hematoxylin (#MHS32; Sigma-Aldrich). GFP staining with this technique appears reddish brown in color from the horseradish peroxidase-3-amino-9-ethylcarbazole interaction under white light.

Statistical Analysis

Each plug was treated independently. The in vivo maximum radiance was averaged for each of the three groups of plugs, and the standard deviation was calculated. The Kolmogorov-Smirnov test of the data performed by using MATLAB (MathWorks, Natick, Mass) indicated that the data were not normally distributed. Therefore, differences between groups were assessed by using the nonparametric Kruskal-Wallis test with Wilcoxon rank sum tests for follow-up comparisons by using SPSS 22 (IBM, Armonk, NY). Because of multiple comparisons between groups,

Figure 3



Figure 3: Confocal phase contrast microscopy image (original magnification, \times 100) demonstrates C17.2 cells labeled with MBs (arrows). On average, each cell is associated with 2–4 MBs, which are either internalized or strongly adherent to the cell membrane.

Figure 4

a.



Figure 4: Representative peak luminescence images of in vivo luciferase expression from C17.2 cells evaluated 48 hours posttransfection with (a) 2 W/cm² ultrasound pulses and (b) no ultrasound as the negative control.

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Bonferonni correction was used; thus, differences were considered significant if P was less than .05/3 or .0167.

Results

MB Characteristics

Mean MB diameter \pm standard deviation was 2.87 µm \pm 1.69 (Fig 1). MB concentration was 3.49 \times 10⁸ MBs per milliliter of PBS. Fluorescein channel fluorescence microscopy of DNA-loaded MBs stained with SYBR Gold (Life Technologies) shows fluorescence surrounding each MB, indicating that the DNA is associated with the MB shell (Fig 2, Fig E1 [online]).

Neural Stem Cell Labeling

Confocal phase-contrast microscopy was performed after gentle trypsinization of MB-labeled C17.2 cells. On average, 2–4 MBs are seen to be associated with each cell. The association was either MB internalization into the cytoplasm or robust adherence to the cell membrane (Fig 3, Fig E2 [online]). Scattered (negligible) free MBs were observed after the three washes with PBS. Trypan blue staining exclusion demonstrated more than 90% cell viability.

In Vivo Luciferase Imaging

Signal from plugs was only observed in the group exposed to 2 W/cm² ultrasound pulses and the positive control mouse injected with C17.2 cells pretransfected by using lipofectamine (Fig 4, Fig E3 [online]). The peak bioluminescent signal was observed approximately 35 minutes after the intraperitoneal administration of D-luciferin.

Bioluminescent photon counts for each group are shown in Figure 5. Bioluminescence after sonoporation at 2 W/cm² was fourfold greater than that in the control, no-ultrasound group (1.6×10^4 photons per second per square centimeter per steradian $\pm 3.6 \times 10^3$ vs [3.8 ± 1.9] $\times 10^3$ photons per second per square centimeter per steradian, P = .002). Note that although the bioluminescence at 2 W/ cm² was also fourfold higher than in the



Figure 5: Graph demonstrates the mean of background subtracted peak bioluminescent signal \pm standard deviation measured 48 hours after sonoporation of C17.2 cells at 2 W/ cm² or 1 W/cm² or with no ultrasound exposure. * = significant difference (*P* < .0167). *sr* = steradian.

1-W/cm² group ($[4 \pm 1.3] \times 10^3$ photons per second per square centimeter per steradian), it approached (P = .02) but did not reach statistical significance, which had to be less than .0167 as determined with Bonferonni correction.

Ex Vivo Validation of GFP Expression

Hematoxylin-eosin and anti-GFP immunohistochemical staining confirmed the presence of C17.2 cells in the explanted Matrigel (BD Biosciences) plugs (Fig 6, Fig E4 [online]). GFP expression (reddish-brown coloration) was robust after pretransfection with lipofectamine and the 2-W/cm² ultrasound exposure in vivo, with only scattered, weak GFP expression observed without ultrasound or with 1-W/cm² ultrasound exposure.

Discussion

This study demonstrated that 200000 C17.2 cells loaded with pLuciferase GFP-carrying MBs can be transfected in vivo by using ultrasound. Luciferase and GFP expression were significantly higher when 2-W/cm² ultrasound power was applied at 2.25 MHz with a 50% duty cycle for 1 minute, when compared with the control, no-ultrasound group. Although some transfection occurred as observed histologically, the transfection was insufficient to detect luciferase expression in vivo at 1 W/cm². This finding is consistent with published reports that demonstrated that ultrasound is needed for MB-mediated gene transfection (4-10) and that an acoustic power threshold exists for effective transfection (17). It is likely that intracellular MBs become less responsive to ultrasound because of the dampening effect of the cell membrane, as has been reported previously (16,18,19). The advantage is that the blood half-life of intracellular MBs is prolonged to several days (16). Additionally, although MBs are resistant to destruction, they are still able





Figure 6: (a–d) Representative bright-field histologic sections (original magnification, ×40) after anti-GFP immunohistochemical staining from plugs exposed to 2 W/cm² ultrasound (a) and 1 W/cm² ultrasound (b), exposed to no ultrasound as a negative control (c), and pretransfected by using lipofectamine (d) as shown. GFP expression (reddish-brown product, arrows) is most abundant in the positive control (d) and after 2-W/ cm² ultrasound exposure. Scattered and scant GFP expression is seen after 1-W/cm² ultrasound application and less if no ultrasound was applied. Scale bar = 10 μ m.

to behave nonlinearly when exposed to ultrasound and can generate harmonic signals (16,19), which facilitate cell tracking that can allow detection of a single cell (16).

Our ability to transfect stem cells in vivo with ultrasound holds promise for the future of stem cell-based gene therapy strategies. First, it is safer than viral-based gene delivery systems. Second, cationic MBs can be loaded with a spectrum of plasmids that include reporter genes, as shown in our study, but alternatively with therapeutic or "killer" genes, as suggested by Fujii et al (20). They demonstrated that MB-mediated delivery of vascular endothelial growth factor and stem cell factor into ischemic myocardial tissue improved recruitment of vascular endothelial growth factor receptor 2-expressing cells and stem cell

factor receptor (c-kit)-expressing cells that increased vascular density and heart function. Mesenchymal stem cells that target tumors have been used to increase the local production of various antitumor cytokines and chemokines like interleukin 2 (21), interleukin 12 (22), tumor necrosis factor-related apoptosis-inducing ligand (23), and interferon- β (24). However, systemic delivery, which would be preferred to target nondetectable tumors, could result in unwanted effects, since these cells accumulate in the lung, liver, and other organs. Our ability to load DNAcarrying MBs within these cells allows us to not only track them and confirm the accumulation in the area of interest by using low-power ultrasound but also induce gene expression in only the location of interest to minimize potential side effects. With localized activation, there will be no need to directly inject pretransfected cells into the site of interest. It should be noted that this approach is not limited to stem cells but can be applied to other cell-based immunotherapy strategies.

The major challenge remaining for our proposed approach is whether a sufficient number of cells loaded with MBs can home to sites of interest. The mouse model did not allow us to administer a large number of cells systemically to achieve sufficient local cell concentrations. The next phase in the development of this approach will require larger animals. Another limitation of this approach is that transfected genes will dilute with cell division, since the probability that the transfecting gene will incorporate in the cells genome is low; however, this dilution is less problematic than intracellular particle labeling. We resorted in this study to placing the stem cells within a Matrigel (BD Biosciences) plug implanted subcutaneously in the flanks as proof of principle. Although this provided a sufficient local concentration of cells, the avascular Matrigel (BD Biosciences) limited the bioavailability of intraperitoneal D-luciferin to cells. We elected to use the intraperitoneal route rather than injecting D-luciferin subcutaneously adjacent to the plug to improve reproducibility and decrease variance to better assess the effect of ultrasound power. Since DNA-loaded MBs internalized by C17.2 cells induced transfection only when exposed to ultrasound (16), this suggests that the DNA-loaded MBs were in endosomes and unable to reach the nucleus. Although we showed that MBs loaded within the C17.2 cells survived for at least 5 days in vivo after intravenous administration (16), we have not determined whether transfection efficiency decreases over time as endosomes mature to lysosomes.

In summary, although much remains to optimize and prove the potential of this approach, this study provides early evidence that stem cells can be loaded with DNA-carrying MBs, administered in vivo, and transfected with ultrasound and remain alive to express the gene. We next plan to evaluate transfection efficiency as MBs remain within cells for an extended period of time prior to transfection to define the maximum time allowed for homing and finally optimize stem cell delivery to tumors and other tissues of interest after local or systemic administration.

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