



Published in final edited form as:

Clin Cancer Res. 2013 September 15; 19(18): 5218–5226. doi:10.1158/1078-0432.CCR-13-0491.

The role of aberrant VHL/HIF pathway elements in predicting clinical outcome to pazopanib therapy in patients with metastatic clear-cell renal cell carcinoma

Toni K. Choueiri^{1,2,3}, André P. Fay¹, Robert Gagnon⁴, Ying Lin⁴, Brittany Bahamon², Victoria Brown², Jonathan E. Rosenberg⁵, Thomas E. Hutson⁶, Katherine L. Baker-Neblett⁴, Christopher Carpenter⁴, Yuan Liu⁴, Lini Pandite⁴, and Sabina Signoretti^{1,2,3}

¹Dana-Farber Cancer Institute, Boston, MA

²Brigham and Women's Hospital, Boston, MA

³Harvard Medical School, Boston, MA

⁴GlaxoSmithKline, Collegeville, PA

⁵Memorial Sloan Kettering Cancer Center, New York, NY

⁶Texas Oncology-Baylor Charles A. Sammons Cancer Center, Dallas, TX

Abstract

Purpose—Inactivation of von Hippel-Lindau (*VHL*) gene in clear-cell renal cell carcinoma (RCC) leads to increased levels of hypoxia-inducible factors (HIFs) and overexpression of HIF target genes, such as vascular endothelial growth factor (VEGF) and others. VEGF-targeted agents are standard in advanced clear-cell RCC but biomarkers of activity are lacking.

Patients and Methods—We analyzed tumor tissue samples from metastatic clear-cell RCC patients who received pazopanib as part of clinical trial VEG102616. We evaluated several components of the VHL/HIF pathway: *VHL* gene inactivation (mutation and/or methylation), HIF1 α and HIF2 α immunohistochemistry staining, and HIF1 α transcriptional signature. We evaluated the association of these biomarkers with best overall response rate and progression-free survival to pazopanib, a standard first-line VEGF-targeted agent.

Results—The VEG102616 trial enrolled 225 patients, from whom 78 samples were available for tumor DNA extraction. Of these, 70 patients had *VHL* mutation or methylation. *VHL* gene status did not correlate with overall response rate or progression-free survival. Similarly, HIF1 α (65

Corresponding authors: Toni K. Choueiri, MD: Dana-Farber Cancer Institute, 450 Brookline Avenue (DANA 1230), Boston, MA 02215, Phone: 617-632-5456, Fax: 617-632-2165, toni_choueiri@dfci.harvard.edu or: Sabina Signoretti, MD: Brigham and Women's Hospital, 75 Francis Street (Thorn Bld, 504A), Boston, MA, 02115, Phone: 617-525-7437, Fax: 617-264-5169, ssignoretti@partners.org.

Conflict of interest: Bahamon, Brown, Fay, Lin, and Rosenberg report no potential conflict of interest. Choueiri has received a research grant from Pfizer and consultant/advisor compensation (no Speakers Bureau honoraria) from AVEO, Pfizer, Novartis, GlaxoSmithKline, Genentech, and Bayer/Onyx. Hutson has received Speakers Bureau honoraria and consultant/advisor compensation from GlaxoSmithKline, Pfizer, Novartis, and AVEO. Signoretti has a service contract with GlaxoSmithKline for immunohistochemistry analyses and has received advisor compensation from GlaxoSmithKline. Carpenter, Gagnon, Liu, Baker-Neblett, and Pandite are GlaxoSmithKline employees (Baker-Neblett and Pandite also report stock in GlaxoSmithKline).

Clinical trial registration: NCT00244764 [<http://clinicaltrials.gov/show/NCT00244764>]

samples) and HIF2 α (66 samples) protein levels (high vs. low) did not correlate with overall response rate or progression-free survival to pazopanib. The HIF1 α transcriptional signature (46 samples) was enriched in tumors expressing high HIF1 α levels. However, the HIF1 α gene expression signature was not associated with clinical outcome to pazopanib.

Conclusion—In patients with advanced clear-cell RCC, several potential biomarkers along the VHL/HIF1 α /HIF2 α axis were not found to be predictive for pazopanib activity. Additional efforts must continue to identify biomarkers associated with clinical outcome to VEGF-targeted agents in metastatic RCC.

Keywords

renal cell carcinoma; VEGF; HIF; VHL; biomarkers; pazopanib

Introduction

Approximately 64,000 new cases of kidney cancer are diagnosed each year in the United States and 25%-30% of these result in death (1). Renal cell carcinoma (RCC) is the most common subtype of kidney cancer and accounts approximately 80%-90% of cancers that arise in the renal parenchyma (2). Although surgery is potentially curative at early stages, distant recurrences remain common and 20%-30% of patients present with *de novo* metastatic disease.

Germline mutation in the von Hippel-Lindau (*VHL*) gene was first described in individuals with a hereditary syndrome who are predisposed to develop hemangioblastomas of the retina, cerebellum, or spinal cord, and visceral cysts of the kidney and pancreas, as well as a variety of solid tumors, including clear-cell RCC (ccRCC), pheochromocytomas, and pancreatic islet cell tumors (3, 4). Interestingly, somatic *VHL* mutations and chromosome 3p loss (*VHL* gene site locus) are found in the majority of sporadic ccRCC (5).

The *VHL* gene encodes the VHL protein (pVHL), which is a component of the ubiquitin-mediated proteolysis pathway that is important for degradation of many cellular proteins, including hypoxia-inducible factors (HIFs) (6). HIFs consist of two subunits (HIF1 α and HIF2 α) and induce the transcription of several genes that regulate angiogenesis and metabolism, such as vascular endothelial growth factor (VEGF) and platelet-derived growth factor (PDGF) (7-9). Thus, when *VHL* is inactivated, there is an upregulation of HIFs and deregulation of signaling pathways that influence metabolism, inflammation, and angiogenesis (10-12).

Elucidation of the function of the *VHL* tumor suppressor gene and other regulators of angiogenesis has led to important advances in the treatment of metastatic ccRCC (13). Agents that target the VEGF ligand or the VEGF tyrosine kinase receptors have been used in multiple settings in advanced RCC and yielded positive results from large, well-powered, randomized clinical trials that led to the approval of several agents in this disease (14). Approval was mainly based on a progression-free survival (PFS) benefit rather than on overall survival (OS). Unfortunately, no biomarkers are used in clinical practice to guide treatment decisions. Most of the time, the choice of a particular anti-VEGF therapy depends

on the clinical setting (previously treated or untreated), the prognostic stratification (good/intermediate vs. poor), and the histology (clear-cell vs. non-clear-cell) (14, 15).

The biology underlying RCC with the central role of the VHL/HIF/VEGF axis sheds new light on potential molecular biomarkers to predict response to therapies that target angiogenesis. For example, Choueiri et al showed that certain mutations in the *VHL* gene may predict response (but not PFS or OS) to VEGF-targeted agents (16). Gordon and colleagues identified two subgroups of VHL-deficient tumors distinguished by HIF expression: one that expresses both HIF1 α and HIF2 α (H1H2) and another that expresses only HIF2 α (H2) (7). In keeping with these findings, the authors speculated that H1H2 tumors may respond better to VEGF inhibitors than H2 tumors (7, 17).

Recently, Shen et al developed a HIF1 α transcriptional signature based on transcriptional profiling of ccRCC cell lines expressing both HIF1 α and HIF2 α or HIF2 α alone. However, whether this genomic profile correlates with the response to agents that target angiogenesis, such as VEGF-targeted therapy, remains unclear (18).

Pazopanib (Votrient[®]; GlaxoSmithKline, Philadelphia, PA), an oral, multikinase inhibitor of VEGF receptors (VEGFR)-1, -2, and -3, PDGF receptors (PDGFR)- α/β , and c-Kit, significantly prolonged PFS compared to placebo in patients with metastatic ccRCC who were treatment-naïve or pretreated with cytokines (19). This agent was approved in the United States in 2009 and in Europe in 2010 and is considered category 1 by National Comprehensive Cancer Network (NCCN) for first-line therapy in metastatic RCC. Despite this important addition to the arsenal of RCC systemic therapeutics, the majority of patients fail to experience long-term durable tumor response to pazopanib, or to other currently approved anti-VEGF drugs (14). Using data from a randomized, prospective, clinical trial of pazopanib in advanced RCC, we thought to investigate whether several elements of the VHL/HIF pathway, including *VHL* gene status, HIF1/HIF2 protein expression, and the HIF1 gene signature described by Shen et al (18), could be associated with outcome to a specific angiogenesis inhibitor, pazopanib.

Materials and Methods

Patients

All patients provided written informed consent for participation in the clinical trial (VEG102616; NCT00244764). This study was conducted in accordance with the Declaration of Helsinki; protocols and informed consent forms were reviewed and approved by institutional review boards/independent ethics committees according to local guidelines. The patient characteristics have been described previously (9). All patients had metastatic ccRCC as a part of a randomized phase II discontinuation study; all patients received pazopanib (800 mg daily, orally) and those who had stable disease at week 12 were randomly assigned to pazopanib or placebo. This study was subsequently amended to an open-label pazopanib trial on the recommendation of the data monitoring committee, based on the favorable response rate observed in the first 60 enrolled patients (9).

Tumor Tissue Samples

Formalin-fixed paraffin-embedded (FFPE) archival tumor tissue samples were collected from 131 consenting patients (Supplementary Figure S1). Tumor samples (n=80) from patients consenting for DNA/RNA analysis underwent histopathology review, microdissection, and nucleic acid extraction. Due to quality and quantity of DNA and RNA isolated from FFPE tumor tissue samples, 78 (of 80) DNA samples qualified for VHL mutation and methylation analysis, and 46 RNA samples qualified for gene expression analysis. Tissue slides from 101 and 99 patients were available for HIF2 α and HIF1 α immunohistochemistry (IHC), respectively. These included both paraffin-dipped and non-paraffin-dipped slides.

DNA Extraction

DNA was extracted from ten 5 μ m-thick sections of paraffin-embedded tissue. Samples were microdissected to achieve a minimum of 90% tumor tissue and DNA was extracted according to a proprietary procedure of Response Genetics, Inc. (Los Angeles, CA). Extracted DNA was eluted in a 50 μ L final volume.

VHL Sequencing

Sequencing of *VHL* gene was performed by Genzyme Analytical Services (Westborough, MA). *VHL* exons were amplified by polymerase chain reaction (PCR) (Supplementary Table S1). Each reaction was performed with 5 μ L of isolated DNA for 40 cycles using 1 unit of HS DNA polymerase (Takara Bio Inc, Mountain View, CA). Exon 1 was amplified using three primer sets (A, B and C) generating three overlapping amplicons. Exons 2 and 3 were amplified using one primer set each.

Amplicons were sequenced using the BigDye[®] Terminator 1.1 sequencing kit (Applied Biosystems, Carlsbad, CA) and the ABI3130 xl Genetic Analyzer. Sequencing results were analyzed using CodonCode Aligner[™] (CodonCode Corporation, Centerville, MA). All mutations identified by the software were subsequently examined and confirmed manually to validate deletions, insertions, and nucleotide changes.

VHL Methylation

Methylation status of the *VHL* promoter was assessed by methylation-specific PCR (MS-PCR) following DNA bisulphite treatment using the EZ DNA Methylation Kit[™] (Zymo Research, Irvine, CA) according to the manufacturer's instructions. The modified templates were amplified using methylated and unmethylated specific primers (Supplementary Table S2) and results visualized on a 10% polyacrylamide gel.

HIF1 α and HIF2 α Immunohistochemical Analysis

For HIF1 α and HIF2 α IHC, FFPE tissue sections were deparaffinized, rehydrated, and heated with a pressure cooker to 125 $^{\circ}$ C for 30 seconds in citrate buffer for HIF1 α , and in EDTA for HIF2 α for antigen retrieval. After cooling to room temperature, sections were incubated in 3% hydrogen peroxide for 5 minutes to quench endogenous peroxidase (Dako, Carpinteria, CA). Sections were then incubated in avidin block for 15 minutes to quench

endogenous avidin, followed by incubation in biotin block for 15 minutes to quench endogenous biotin (Vector, Burlingame, CA). The sections were then incubated with serum-free protein block for 10 minutes (Dako). The primary antibodies (anti-HIF1 α antibody from Neomarkers, Cat #MS-1164-PABX, anti-HIF2 α antibody, clone UP15 provided by Dr. William G. Kaelin at Dana-Farber Cancer Institute) were applied to sections for 1 hour at a 1:10,000 dilution for HIF1 α and a 1:5000 dilution for HIF2 α . For HIF1 α , detection was performed using the CSA II kit (Dako) according to the manufacturer's instructions. For HIF2 α , detection was performed by incubation with Dako EnVision+ System HRP labeled polymer (Dako) for 30 minutes, followed by incubation with Biotin labeled tyramide (Perkin-Elmer, Waltham, MA) at a 1:50 dilution for 10 minutes, followed by incubation with LSAB2 Streptavidin-HRP (Dako) for 30 minutes. DAB chromogen (Dako, Cat # K3468) was then applied. Slides were slightly counterstained with hematoxylin. Formalin-fixed paraffin-embedded cells with high (786-O-vector) or low (786-O-VHL) HIF2 α levels were utilized as positive and negative controls, respectively, to validate the specificity of the HIF2 α immunoassay.

Immunostained slides were scanned using the ScanScope[®] System (Aperio Technologies Inc., Vista, CA). Digital slides were reviewed by a single expert kidney cancer pathologist who identified the tumor regions for analysis. Quantification was performed using a modified Aperio IHC nuclear image analysis algorithm that, for each slide, calculated the overall percentage of positive nuclei, the percentages of 0, 1+, 2+, and 3+ nuclei, and the average intensity score (0, 1+, 2+, and 3+). Results of image analysis were validated by the pathologist. Tumor samples with intensity score of 1+ were classified as low expressors, while samples with intensity scores of 2+ or 3+ were classified as high expressors.

HIF1 α Transcriptional Signature

First, RNA was isolated from tumor samples at Response Genetics, Inc. (Los Angeles, CA) and microarray analysis was performed using the Affymetrix U133 Plus Array. Affymetrix data were normalized using the MAS5 algorithm. The relative expression status of 69 genes previously identified in the HIF1 α transcriptional signature developed by Shen et al (18) was evaluated.

Statistical Analysis

The elements of the VHL/HIF pathway (*VHL* gene inactivation [mutation and/or methylation], HIF1 α and HIF2 α IHC staining, and HIF1 α transcriptional signature) were correlated with clinical response and PFS. HIF expression was compared considering intensity score (lower intensity [1+] and higher intensity [2+, 3+]) and percentage of positively stained nuclei (lower percentage [1+] and higher percentage [2+, 3+]) with overall response rate (ORR; responder [complete response + partial response] and non-responder [progressive disease + stable disease]) and PFS. The ORR was assessed using RECIST 1.0 criteria. Baseline clinical characteristics (age, gender, risk category, and performance status) were compared among patients with available tissue for biomarker analysis versus those without available tissue, to ensure that patients with available biomarker data were representative of the rest of the trial population. Exact chi-square tests were used to assess the relationship between ORR and VHL, HIF1 α and HIF2 α expression. Patterns of

expression among the mapped HIF1 α transcriptional signature genes were assessed using multivariate analysis, and putative patterns were assessed for association with HIF1 α gene expression levels using the Kruskal-Wallis test. Progression-free survival was estimated using Kaplan-Meier analysis. Gene set enrichment analysis (GSEA) was applied to determine if mapped HIF1 α transcriptional signature genes were enriched for HIF1 α gene expression. GSEA ranks genes in the signature based on association with class distinction, in this case low or high HIF1 α relative to the median (20). GSEA calculates an enrichment score (ES) that reflects whether rankings are random or are overrepresented in patients expressing low or high HIF1 α levels. High ES is indicative of enrichment. Statistical analyses were performed using SAS[®] 9.2 (SAS Institute, Cary, NC); GSEA analysis was conducted using programs provided (20). A p value <0.05 was considered statistically significant. The analysis includes only the samples for which reliable results were obtained.

Results

VHL Gene Status and Clinical Outcome

DNA was extracted from 78 available tumor samples. Overall, 68/78 patients (87%) had at least one *VHL* mutation and 8/69 patients (12%) showed promoter hypermethylation; 6 patients had both *VHL* mutation and methylation and 8 did not have either *VHL* mutation or methylation. All mutations were heterozygous. *VHL* mutation types included frameshifts (n=33, 48%), nonsense (n=6, 9%), deletion/insertion (n=3, 4%), splice junction (n=6, 9%), and missense (n=20, 29%). When examined in relation to clinical outcome, *VHL* mutation and/or methylation status did not correlate with either ORR (ORR 37.5% vs. 41.4% in patients with *VHL* [n=70] vs. without *VHL* gene inactivation [n=8], respectively; Table 1) or PFS (median PFS was 13.8 months vs. 17.4 months in patients with vs. without *VHL* gene inactivation, respectively; Figure 1).

HIF2 α Expression and Clinical Outcome

HIF2 α staining was performed in available tumor samples (101/225). The analysis included 66 samples (65%) for which reliable IHC data were obtained. The reasons for excluding 35 samples were: low immunoreactivity (n=9), uneven staining (n=8), over-stained tissue (n=5), low quality section (n=10), or other (n=3). Among the 66 sample patients, 25 were classified as responders and 41 as non-responders. Intensity scores were 1+ for 27 patients, 2+ for 38 patients, and 3+ for 1 patient. The mean percentage (standard deviation) of positively stained nuclei was 30.8% (12.2) for score 1+, 59.8% (18.7) for score 2+, and 79.1% (not available; n=1) for score 3+. Notably, higher overall intensity score correlated with higher positivity of stained nuclei cell (p<0.001).

The correlation between intensity score and ORR is described in Table 2. ORR among patients with HIF2 α staining 1+ versus those staining 2+ and 3+ was not statistically different (p=0.36). Similarly, the mean percentage of positively stained nuclei was 40.5% for responders and 45.6% for non-responders, with no statistical difference (p=0.29)

When we examined PFS among both groups with low and high HIF2 α staining there was no statistically significant difference between the two groups (p=0.76; Figure 2A, B). The

percentage of positively stained nuclei was also not correlated with PFS ($p=0.75$, not shown).

HIF1 α Expression and Clinical Outcome

HIF1 α staining was performed in available tumor samples (99/225). Of these, 65 (65.6%) were included in the current analysis. Reasons for excluding 34 specimens were: very low immunoreactivity ($n=11$), uneven staining ($n=6$), over-stained tissue ($n=4$), low quality section ($n=1$), or other ($n=12$). Of these, 23 patients were classified as responders and 42 as non-responders. Intensity scores were 1+ for 17 patients and 2+ or 3+ for 48 patients. The mean percentage (standard deviation) of positively stained nuclei was 36.5% (16.099) for score 1+ and 57.6% (16.5) for both 2+ and 3+. Similar to HIF2 α , the correlation between intensity and percent stain was statistically significant ($p<0.001$).

The correlation between intensity score and ORR is described in Table 3. There was no statistically significant difference between the two groups ($p=0.13$). Similarly, no statistically significant difference was found in terms of PFS between the higher intensity and the lower intensity scores ($p=0.25$; Figure 2C, D). The percentage of positively stained nuclei was also not correlated with PFS ($p=0.6915$, not shown).

HIF1 α Gene Signature and Clinical Outcome

Recently, a HIF1 α transcriptional signature based on gene expression profiles from 10 VHL-inactivated RCC cell lines that either express or do not express HIF1 α was reported (18). Of note, this signature was enriched in tumors that had not sustained 14q deletions encompassing *HIF1* locus. To evaluate whether this genetic profile could be related to clinical outcome in metastatic ccRCC patients receiving pazopanib, we examined the 69 genes described in the HIF1 α transcriptional signature by Shen et al (18) in patients enrolled in the VEG102616 trial.

RNA was available for 46/225 patients. Study results and baseline characteristics for this subset of patients were similar to the overall study. Forty-one patients had *VHL* heterozygous mutations and five patients were *VHL* wildtype. Sixty-four of 69 genes were mapped to the Affymetrix platform and HIF1 α gene expression was detected in all 46 patients (Affymetrix statistical test [$p<0.05$]: median intensity=5330, minimum=1389, maximum=14067).

We performed a multivariate analysis that resulted in four possible gene expression clusters (Supplementary Figure S2). Each cluster was associated with a different level of HIF1 α gene expression ($p=0.0136$, Kruskal-Wallis test, box plots; Figure 3A). GSEA analysis (Figure 3B) (18) provided additional evidence that patients expressing high HIF1 α tend to be enriched for genes in the HIF1 α transcriptional signature ($p=0.064$). However, clusters were not associated with ORR ($p=0.7455$) or PFS ($p=0.6058$) in patients receiving pazopanib.

Discussion

Recently, VEGF-targeted therapies showed significant improvements in metastatic RCC treatment (14). The establishment of biomarkers may help in understanding the biology underlying RCC and the therapies that target the VEGF axis. Significant efforts have been undertaken thus far to discover useful and clinically relevant biomarkers to customize therapy in metastatic RCC patients, but at this time no biomarkers are ready for routine clinical use.

In this study, we explored several elements of the VHL/HIF/VEGF axis along the same molecular pathways that could be associated with pazopanib activity. Unfortunately, we were not able to show a correlation between several tested biomarkers and defined clinical endpoints: ORR or PFS. One large retrospective study looked at 123 patients treated with various VEGF-targeted agents, and while ORR was significantly higher (52% vs. 31%) in patients with “severe/loss of function” mutations (eg, frameshift, stop, deletions), PFS and OS did not differ based on VHL gene status. In the current prospective study, *VHL* mutation and methylation status did not correlate with either ORR or PFS. Again, the presence of the wildtype allele did not preclude response to pazopanib. It is interesting to note the high prevalence of *VHL* mutations in the 78 patients tested. Several studies, including a recent study (21), suggest a prevalence of *VHL* mutations varying from 27% to 55%. Nevertheless, few studies showed >80% *VHL* mutations in tested RCC specimens. This difference may be thought largely due to differences in sequencing technologies, as highlighted in the paper by Nickerson et al (22).

A high proportion of RCC is characterized by deregulation of the VHL-HIF pathway and overexpression of HIF1 α , HIF2 α , or both. These genes have some overlapping effects on angiogenesis, but they also exhibit opposing properties. *VHL*-defective tumors can be divided into two subgroups regarding HIF expression, with one subtype expressing both HIF1 α and HIF2 α (H1H2) and another only HIF2 α (H2) (7, 23). HIF1 α antagonizes c-myc activity and suppresses tumor formation in renal cells. On the other hand, overproduction of HIF2 α promotes cell proliferation by activation of c-myc (7). Another difference is that HIF1 α , but not HIF2 α , remains susceptible to ubiquitination, suggesting an alternative pathway not dependent of pVHL (17). More evidence is accumulating to suggest that HIF2 α , rather than HIF1 α , promotes RCC carcinogenesis (11, 12). In contrast, overproduction of HIF1 α is believed to suppress tumor growth (3, 18, 24). In addition, HIF1 α and HIF2 α regulate each other. Overexpression of HIF1 α strikingly downregulates HIF2 α and vice-versa (25). These findings suggest that the subtypes may have different clinical outcomes and possibly different responses to VEGF-targeted agents. To that end, HIF1 α has been correlated with prognosis in other cancer subtypes (26). Birner et al reported HIF1 α as a marker for tumor progression in cervical cancer (27). Relevant to RCC, Patel et al (28) evaluated 43 metastatic RCC patients who received sunitinib. Pre-treatment HIF levels by Western blot analysis were associated with response to sunitinib in this small subset of patients. The final report from this small study is eagerly awaited. During the last 2012 American Society of Clinical Oncology (ASCO) meeting, Hudes et al presented an evaluation of 75 tumor samples from pazopanib-treated patients. Loss of chromosome 14 or 14q- (HIF1 α locus) was not correlated with PFS or clinical response to pazopanib. This was

an important hypothesis-driven study, as prior work showed that HIF1 α activity is diminished in 14q-deleted kidney cancers (29). At the same meeting, a preliminary study by Saez et al showed that HIF1 α and HIF2 α staining intensity >10% represented an independent predictive factor of outcome (PFS and OS) for VEGFR tyrosine kinase inhibitor therapy in 71 patients with metastatic RCC. That study used different antibodies against HIF1 and HIF2 than our study. In the Saez study, *VHL* mutation was not correlated with outcome, and HIF1 α expression was associated with a response (30).

In our study, 73.8% of the samples expressed HIF1 α intensity score 2+ or 3+. In the same way, 59% of tumors expressed HIF2 α in higher levels. Interestingly, we identified a higher expression of HIF1 α than HIF2 α . Nevertheless, the level of expression of HIF1 α and HIF2 α was not associated with clinical response to pazopanib.

The genomic profile observed among 46 tumor sample available for DNA analysis from the prospective RCC study of pazopanib was consistent with the cell-line-derived HIF1 α transcriptional signature proposed by Shen et al (18). A multivariate analysis identified four different patterns of HIF1 α gene expression. While we externally validated the Shen data, neither patterns nor HIF1 α expressions were associated with clinical outcome in this small subset of patients. Despite these genes having been considered key players in RCC pathogenesis, they were not able to select patients who will or will not benefit from pazopanib.

Our study has several limitations. Although the patients were part of a well-conducted clinical trial with a single agent, in contrast to other studies (16), the sample size for each tested biomarker was small. We had 78 patients tested for *VHL* gene status, ~100 patients for HIF1 or HIF2, and 46 patients for HIF1 gene signature. The study population was somewhat selected, with potential unmeasured clinical differences, despite the fact that some baseline characteristics such as age, gender, and Memorial Sloan-Kettering Cancer Center risk groups were similar and balanced.

Another limitation is the variable quality of the tissue sections utilized for IHC analysis. It is well recognized that tissue specimen collection, processing, and storage procedures (ie, pre-analytical variables) can profoundly impact the result of biomarker analyses in FFPE tissues (31-33). For instance, we have previously shown that HIF2 α immunoreactivity is significantly affected by the long-term storage of tissue slides (34). The tissue slides used in this study were preserved under two different conditions (ie, paraffin-dipped and non-paraffin-dipped) and this might have influenced tissue immunogenicity and thus study results. Indeed, when we compared the percent of HIF2 α -positive cells in the paraffin-dipped versus non-paraffin-dipped sample cohorts, HIF2 α positivity was significantly lower in the samples not protected by paraffin during the storage period (Supplementary Figure S3). Although standardization of procedures for tissue sample acquisition, storage, and analysis are undoubtedly difficult to achieve, especially in the context of multi-institutional clinical trials, major efforts should be directed towards this goal in the future. Pathologist involvement in clinical trial design will be essential in this endeavor.

In summary, targeted therapies are the standard in advanced RCC. Several elements of the VHL/HIF pathway have been extensively correlated with RCC tumorigenesis. In this study, the expression levels of HIF1 α and HIF2 α were not predictive for a single anti-VEGF therapy response and the role of these proteins as biomarkers to clinical outcomes remain unclear. Although several agents have prolonged PFS, they did not lead to cure. Thus, efforts to identify relevant predictors of treatment response and develop new drugs are critical for long-term survival of patients with RCC.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank all patients and their families for their contributions to this study. We also thank all the physicians, scientists, and local operation leads who helped to make this study possible. Medical editorial assistance was provided by Jerome F. Sah, PhD, at ProEd Communications, Inc., Beachwood, Ohio.

Grant Support

This work was supported in part by the GlaxoSmithKline Pharmaceuticals, Philadelphia, Pennsylvania.

References

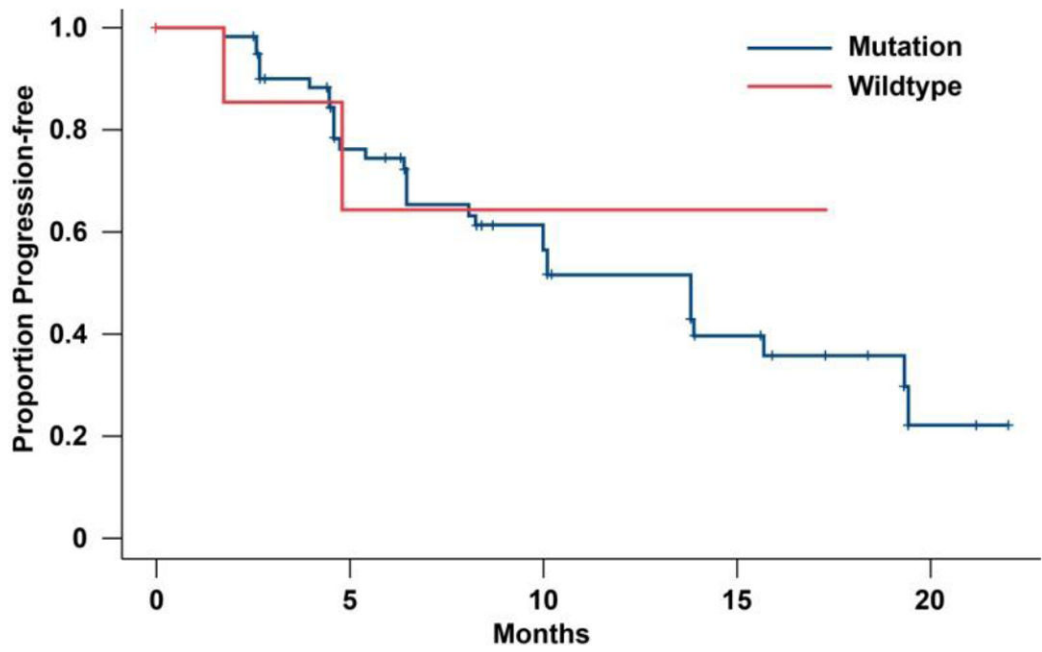
1. National Cancer Institute. [2012 November 6] Surveillance epidemiology and end results (SEER). Available from: <http://seer.cancer.gov/statfacts/html/kidrp.html>
2. Choueiri TK. Renal cell carcinoma. *Hematol Oncol Clin North Am.* 2011; 25:xiii–xiv. [PubMed: 21763960]
3. Kaelin WG Jr. The von Hippel-Lindau tumor suppressor protein: an update. *Methods Enzymol.* 2007; 435:371–83. [PubMed: 17998064]
4. Latif F, Tory K, Gnarr J, Yao M, Duh FM, Orcutt ML, et al. Identification of the von Hippel-Lindau disease tumor suppressor gene. *Science.* 1993; 260:1317–20. [PubMed: 8493574]
5. Beroukhim R, Brunet JP, Di Napoli A, Mertz KD, Seeley A, Pires MM, et al. Patterns of gene expression and copy-number alterations in von-Hippel Lindau disease-associated and sporadic clear cell carcinoma of the kidney. *Cancer Res.* 2009; 69:4674–81. [PubMed: 19470766]
6. Farber LJ, Furge K, Teh BT. Renal cell carcinoma deep sequencing: recent developments. *Curr Oncol Rep.* 2012; 14:240–8. [PubMed: 22535504]
7. Gordan JD, Lal P, Dondeti VR, Letrero R, Parekh KN, Oquendo CE, et al. HIF- α effects on c-Myc distinguish two subtypes of sporadic VHL-deficient clear cell renal carcinoma. *Cancer Cell.* 2008; 14:435–46. [PubMed: 19061835]
8. Toschi A, Lee E, Gadir N, Ohh M, Foster DA. Differential dependence of hypoxia-inducible factors 1 α and 2 α on mTORC1 and mTORC2. *J Biol Chem.* 2008; 283:34495–9. [PubMed: 18945681]
9. Hutson TE, Davis ID, Machiels JP, De Souza PL, Rottey S, Hong BF, et al. Efficacy and safety of pazopanib in patients with metastatic renal cell carcinoma. *J Clin Oncol.* 2010; 28:475–80. [PubMed: 20008644]
10. Krieg M, Haas R, Brauch H, Acker T, Flamme I, Plate KH. Up-regulation of hypoxia-inducible factors HIF-1 α and HIF-2 α under normoxic conditions in renal carcinoma cells by von Hippel-Lindau tumor suppressor gene loss of function. *Oncogene.* 2000; 19:5435–43. [PubMed: 11114720]
11. Kaelin WG Jr, Ratcliffe PJ. Oxygen sensing by metazoans: the central role of the HIF hydroxylase pathway. *Mol Cell.* 2008; 30:393–402. [PubMed: 18498744]

12. Li, L.; Kaelin, WG. *Renal Cell Cancer*. Vol. 25. Philadelphia: Hematology/Oncology Clinics of North America; 2011. New insights into the biology of renal cell carcinoma; p. 667-86.
13. Heng, DYC.; Choueiri, TK. [2012 November 15] The Evolving Landscape of Metastatic Renal Cell Carcinoma. 2012 ASCO Educational Book. Available from: <http://www.asco.org/ASCOv2/Home/Education%20&%20Training/Educational%20Book/PDF%20Files/2012/zds00112000299.PDF>
14. Courtney KD, Choueiri TK. Updates on novel therapies for metastatic renal cell carcinoma. *Ther Adv Med Oncol*. 2010; 2:209–19. [PubMed: 21789135]
15. Motzer, RJ.; Agarwal, N.; Beard, C.; Bhayani, S.; Bolger, GB.; Buyyounouski, MK., et al. [2012 November 28] NCCN Clinical Practice Guidelines in Oncology Kidney Cancer. NCCN Guidelines. Available from: http://www.nccn.org/professionals/physician_gls/pdf/kidney.pdf
16. Choueiri TK, Vaziri SA, Jaeger E, Elson P, Wood L, Bhalla IP, et al. von Hippel-Lindau gene status and response to vascular endothelial growth factor targeted therapy for metastatic clear cell renal cell carcinoma. *J Urol*. 2008; 180:860–5. discussion 5-6. [PubMed: 18635227]
17. Kaelin WG Jr. Kidney cancer: now available in a new flavor. *Cancer Cell*. 2008; 14:423–4. [PubMed: 19061830]
18. Shen C, Beroukhim R, Schumacher SE, Zhou J, Chang M, Signoretti S, et al. Genetic and functional studies implicate HIF1alpha as a 14q kidney cancer suppressor gene. *Cancer Discov*. 2011; 1:222–35. [PubMed: 22037472]
19. Sternberg CN, Davis ID, Mardiak J, Szczylik C, Lee E, Wagstaff J, et al. Pazopanib in locally advanced or metastatic renal cell carcinoma: results of a randomized phase III trial. *J Clin Oncol*. 2010; 28:1061–8. [PubMed: 20100962]
20. Subramanian A, Tamayo P, Mootha VK, Mukherjee S, Ebert BL, Gillette MA, et al. Gene set enrichment analysis: a knowledge-based approach for interpreting genome-wide expression profiles. *Proc Natl Acad Sci U S A*. 2005; 102:15545–50. [PubMed: 16199517]
21. Guo G, Gui Y, Gao S, Tang A, Hu X, Huang Y, et al. Frequent mutations of genes encoding ubiquitin-mediated proteolysis pathway components in clear cell renal cell carcinoma. *Nat Genet*. 2011; 44:17–9. [PubMed: 22138691]
22. Nickerson ML, Jaeger E, Shi Y, Durocher JA, Mahurkar S, Zaridze D, et al. Improved identification of von Hippel-Lindau gene alterations in clear cell renal tumors. *Clin Cancer Res*. 2008; 14:4726–34. [PubMed: 18676741]
23. Dondeti VR, Wubbenhorst B, Lal P, Gordan JD, D'Andrea K, Attiyeh EF, et al. Integrative genomic analyses of sporadic clear cell renal cell carcinoma define disease subtypes and potential new therapeutic targets. *Cancer Res*. 2012; 72:112–21. [PubMed: 22094876]
24. Biswas S, Troy H, Leek R, Chung YL, Li JL, Raval RR, et al. Effects of HIF-1alpha and HIF2alpha on Growth and Metabolism of Clear-Cell Renal Cell Carcinoma 786-0 Xenografts. *J Oncol*. 2010; 2010:757908.10.1155/2010/ [PubMed: 20652061]
25. Raval RR, Lau KW, Tran MG, Sowter HM, Mandriota SJ, Li JL, et al. Contrasting properties of hypoxia-inducible factor 1 (HIF-1) and HIF-2 in von Hippel-Lindau-associated renal cell carcinoma. *Mol Cell Biol*. 2005; 25:5675–86. [PubMed: 15964822]
26. Vleugel MM, Greijer AE, Shvarts A, van der Groep P, van Berkel M, Aarbodem Y, et al. Differential prognostic impact of hypoxia induced and diffuse HIF-1alpha expression in invasive breast cancer. *J Clin Pathol*. 2005; 58:172–7. [PubMed: 15677538]
27. Birner P, Schindl M, Obermair A, Plank C, Breitenecker G, Oberhuber G. Overexpression of hypoxia-inducible factor 1alpha is a marker for an unfavorable prognosis in early-stage invasive cervical cancer. *Cancer Res*. 2000; 60:4693–6. [PubMed: 10987269]
28. Patel, PH.; Chadalavada, RS.; Ishill, NM.; Patil, S.; Reuter, VE.; Motzer, RJ., et al. Hypoxia-inducible factor (HIF) 1α and 2α levels in cell lines and human tumor predicts response to sunitinib in renal cell carcinoma (RCC). *J Clin Oncol*; presented at 2008 ASCO Annual Meeting; 2008. abstract 5008
29. Hudes GR, Pei J, Liu Y, Gagnon RC, Carpenter C, Pandite L, et al. Correlation of chromosome (Chr) 14 loss and 5q gain with outcomes of pazopanib treatment in patients (pts) with metastatic clear cell renal cell carcinoma (mRCC). *J Clin Oncol*. 2012; 30(supp) abstract 4605.

30. Saez MI, Perez JMT, Perez-Rivas LG, Perez-Villa L, Villatoro R, Montesa A, et al. Hypoxia-inducible factor (HIF) 1 α and 2 α as predictive markers of outcome to VEGFR tyrosine kinase inhibitors (TKI) in renal cell carcinoma (RCC). *J Clin Oncol*. 2012; 30(suppl) abstract 4630.
31. Engel KB, Moore HM. Effects of preanalytical variables on the detection of proteins by immunohistochemistry in formalin-fixed, paraffin-embedded tissue. *Arch Pathol Lab Med*. 2011; 135:537–43. [PubMed: 21526952]
32. Di Napoli A, Signoretti S. Tissue biomarkers in renal cell carcinoma: issues and solutions. *Cancer*. 2009; 115:2290–7. [PubMed: 19402057]
33. Signoretti S, Bratslavsky G, Waldman FM, Reuter VE, Haaga J, Merino M, et al. Tissue-based research in kidney cancer: current challenges and future directions. *Clin Cancer Res*. 2008; 14:3699–705. [PubMed: 18559586]
34. Bahamon, B.; Signoretti, S. Tissue Biomarkers in Renal Cell Carcinoma: Intermediate Endpoints in the Selection of Targeted Agents for RCC. In: Figlin, RA.; Rathmell, WK.; Rini, BI., editors. *Renal Cell Carcinoma*. Springer; US: 2012. p. 69-89.

Translational Relevance

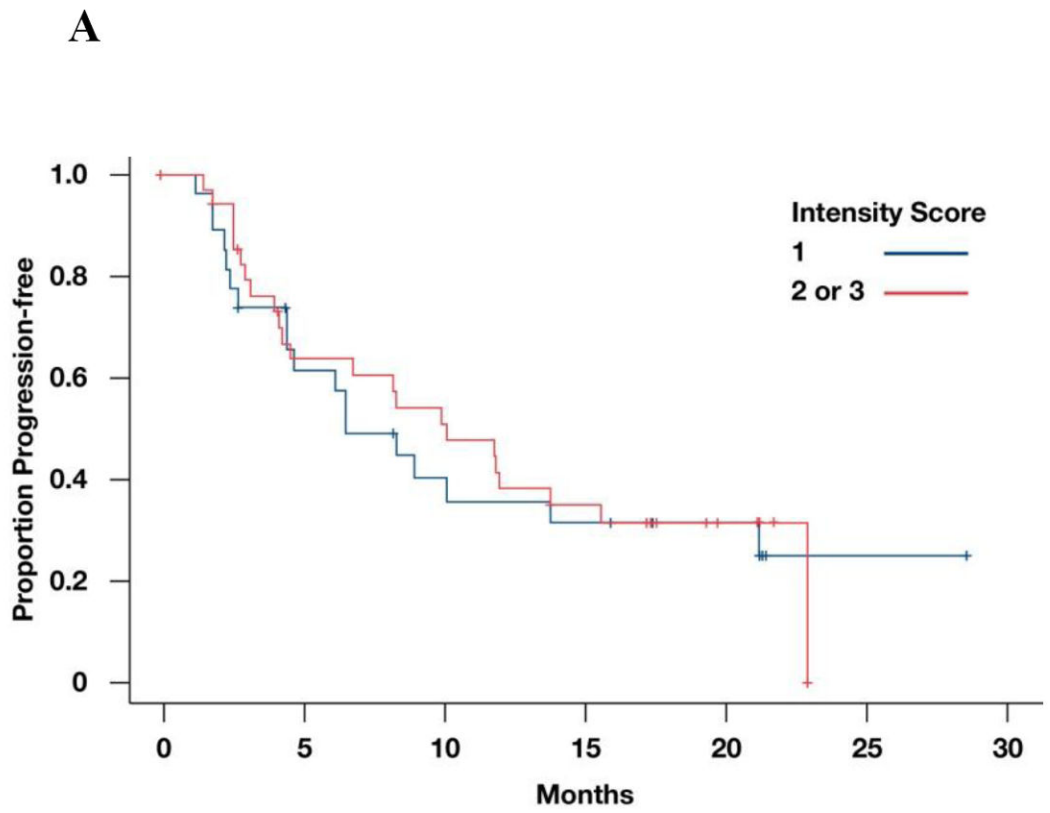
Elucidation of the function of the *VHL* tumor suppressor gene and other regulators of angiogenesis, such as hypoxia-inducible factors (HIFs) and its downstream target, vascular endothelial growth factor (VEGF), has led to important advances in the treatment of metastatic renal cell carcinoma (RCC). In the past few years, VEGF-targeted therapies became the standard in metastatic RCC treatment, showing significant improvements in clinical outcomes. An important challenge facing targeted therapies includes the selection of patients who are most likely to have clinical benefit. As a consequence, the establishment of biomarkers may help in understanding the biology underlying RCC and predicting response to therapies that target angiogenesis. In this article we evaluated several potential biomarkers along the *VHL/HIF1 α /HIF2 α* axis to predict response and clinical outcomes to pazopanib, an oral, multikinase inhibitor of VEGF receptors.



Number at risk

Mutation	70	38	25	12	2
Wildtype	8	3	2	1	

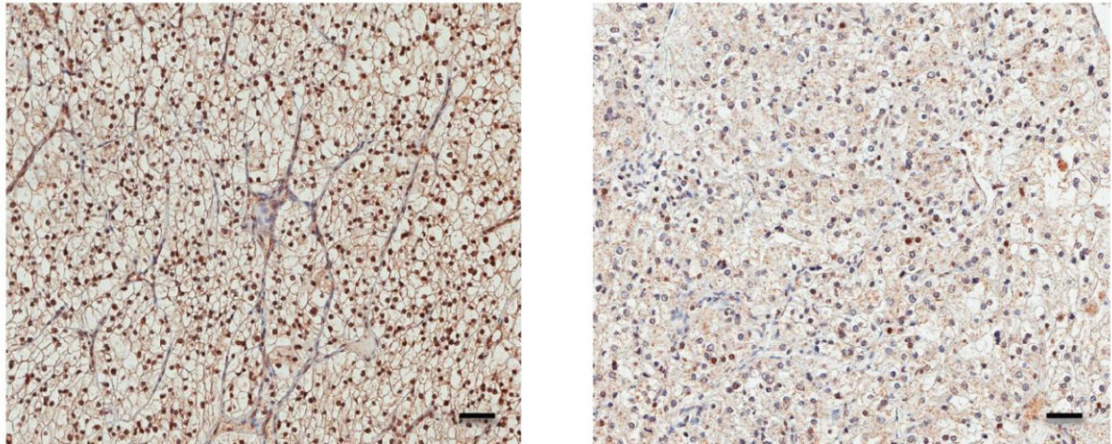
Figure 1.
Progression-free survival by VHL status.



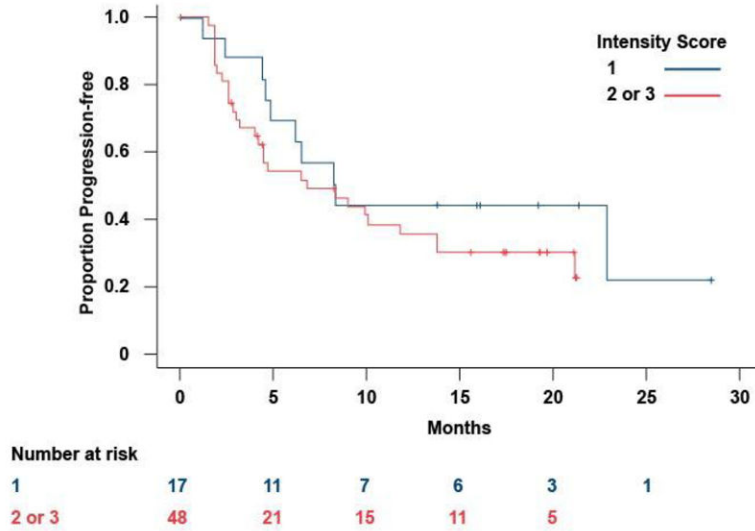
Number at risk

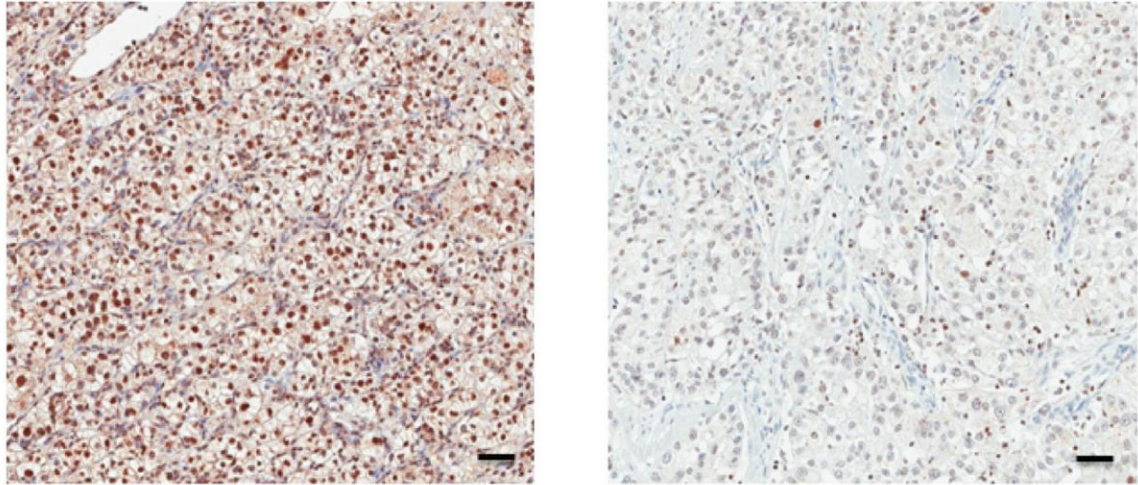
1	27	15	9	7	5	1
2 or 3	39	20	16	10	4	

B

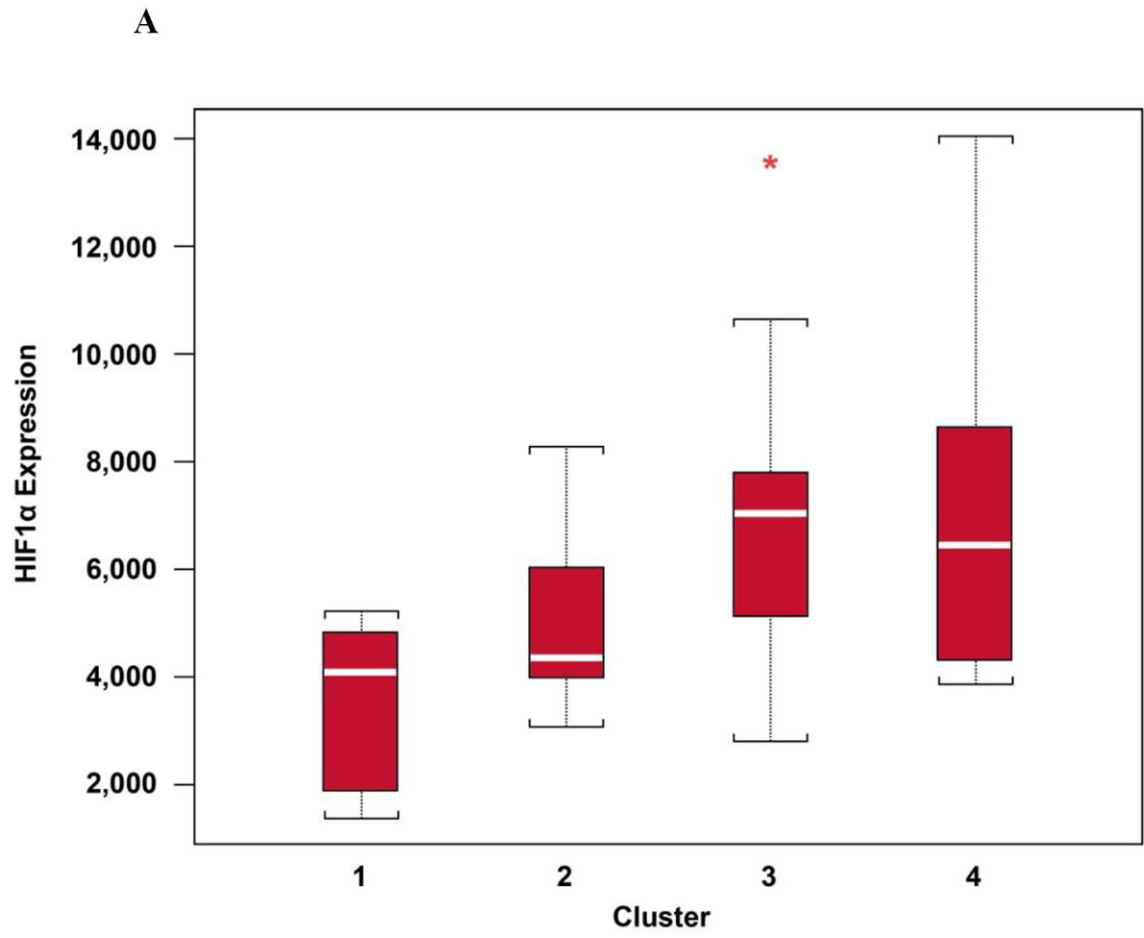


C



D**Figure 2.**

A: Correlation between HIF2 α intensity score and PFS. **B:** Representative microscopic images of ccRCC samples immunostained for HIF2 α showing either high (left panel) or low (right panel) protein levels. Scale bars = 50 microns. **C:** Correlation between HIF1 α intensity score and PFS. **D:** Representative microscopic images of ccRCC samples immunostained for HIF1 α showing either high (left panel) or low (right panel) protein levels. Scale bars = 50 microns.



B

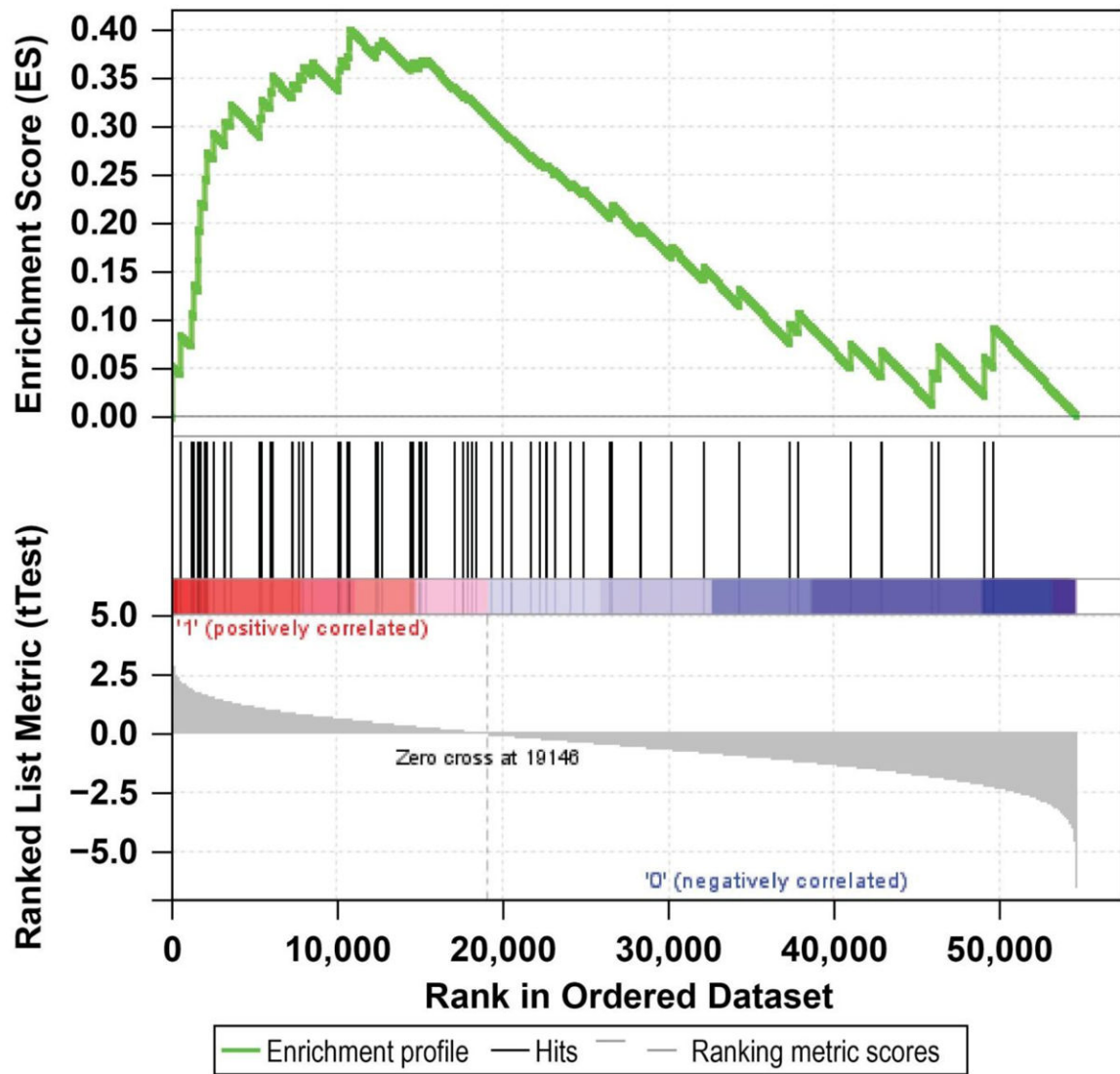


Figure 3.

A: HIF1 α mRNA levels per cluster. *Represents an outlier. **B:** Gene set enrichment analysis of the Shen (18) HIF1 α transcriptional signature for tumors with high vs low HIF1 α transcript levels.

Table 1*VHL* Gene Status and Overall Response Rate

Response Category	Patients with <i>VHL</i> Gene Mutation or Methylation (n=70)	Patients with Wildtype <i>VHL</i> Gene (n=8)
Responder, n (%)	29 (41.4)	3 (37.5)
Non-responder, n (%)	34 (48.5)	4 (50)
Not evaluable, n (%)	7 (10)	1 (12.5)
p Value	0.1673	

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript

Table 2Correlation Between HIF2 α Intensity Score and Overall Response Rate

IHC Intensity Analysis			
Intensity Score	Response Status, n (%)		Total
	Non-Responder	Responder	
1+	15 (56)	12 (44)	27
2+ and 3+ ^a	26 (67)	13 (33)	39
Total	41	25	66

^a2+ and 3+ were combined because only 1 sample was 3+.

χ^2 -test p=0.36.

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript

Table 3Correlation Between HIF1 α Intensity Score and Overall Response Rate

IHC Intensity Analysis			
Intensity Score	Response Status, n (%)		Total
	Non-Responder	Responder	
1+	8 (47.0)	9 (52.9)	17
2+ and 3+	34 (70.8)	14 (29.1)	48
Total	42	23	65

 χ^2 -test p=0.13.

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript