

Alleviation of off-target effects from vector-encoded shRNAs via codelivered RNA decoys

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Exogenous RNAi triggers such as shRNAs ideally exert their activities exclusively via the antisense strand that binds and silences designated target mRNAs. However, in principle, the sense strand also possesses silencing capacity that may contribute to adverse RNAi side effects including off-target gene regulation. Here, we address this concern with a novel strategy that reduces sense strand activity of vector-encoded shRNAs via codelivery of inhibitory tough decoy (TuD) RNAs. Using various shRNAs for proof of concept, we validate that coexpression of TuDs can sequester and inactivate shRNA sense strands in human cells selectively without affecting desired antisense activities from the same shRNAs. Moreover, we show how coexpressed TuDs can alleviate shRNA-mediated perturbation of global gene expression by specifically depressing off-target transcripts carrying seed matches to the shRNA sense strand. Our combination of shRNA and TuD in a single bicistronic gene transfer vector derived from Adeno-associated virus (AAV) enables a wide range of applications, including gene therapies. To this end, we engineered our constructs in a modular fashion and identified simple hairpin design rules permitting adaptation to preexisting or new shRNAs. Finally, we demonstrate the power of our vectors for combinatorial RNAi strategies by showing robust suppression of hepatitis C virus (HCV) with an AAV expressing a bifunctional TuD against an anti-HCV shRNA sense strand and an HCV-related cellular miRNA. The data and tools reported here represent an important step toward the next generation of RNAi triggers with increased specificity and thus ultimately safety in humans.

RNA interference | short hairpin RNA | off-targeting | Adeno-associated viral vector | AAV

Despite the recent advances in gene-engineering technologies (1), RNAi remains one of our most versatile and powerful tools for studying and manipulating gene expression in eukaryotic organisms (2). Part of its attraction stems from the simplicity with which it is triggered, namely, by introducing small, interfering double-stranded RNAs that mimic processing products of endogenous microRNAs (miRNAs) and thus engage the cellular RNAi silencing machinery. One specific variant is shRNA that consists of a stem composed of an antisense (or guide) strand that is complementary to a target mRNA and a sense (or passenger) strand that ideally is inert and merely completes the double-stranded molecule. The two strands are linked by a loop that is eliminated by the cellular RNase III enzyme Dicer during shRNA maturation, before the resulting small RNA duplex is loaded into an RNA-induced silencing complex (RISC). After removal of the sense strand, the remaining single-stranded antisense arm of the shRNA directs the RISC to a fully complementary target mRNA, which then is cleaved. The ability to express shRNAs from RNA polymerase II or III promoters offers sundry choices for cell- or tissue-specific and ectopically regulated RNAi applications ranging from genome annotation to therapeutic silencing. Moreover, the compatibility of shRNA expression cassettes with the plethora of available nonviral or viral gene transfer vectors further extends the options for controlled

and targeted RNAi silencing in cells, animals, and humans. Examples illustrating these assets are our previous reports of potent and persistent inhibition of hepatitis B virus (HBV) in HBV-transgenic mice, using liver-specific Adeno-associated viral vectors of serotype 8 (AAV8) for shRNA delivery (3, 4).

Despite these and many other promising data, a seminal concern that continues to hamper the academic or clinical use of RNAi is the specificity and hence the safety of gene silencing. This concern is fueled by findings that, in addition to binding their designated perfectly matched target, shRNA antisense strands also can recognize and degrade other mRNAs with imperfect complementarity. Akin to the natural miRNA pathway, this “off-targeting” down-regulates the affected gene by various mechanisms, including mRNA destabilization. Although the ensuing effects typically are milder than those of shRNA-induced mRNA cleavage, adverse off-targeting can substantially confound the results of RNAi experiments and even contribute to cellular toxicity. Off-targeting therefore also poses a challenge for genomewide high-throughput RNAi screens, which are particularly vulnerable to pervasive off-target effects and resulting false-positive results, as highlighted by numerous reports (e.g., refs. 5–10). In addition, a few studies consistently showed that not only the antisense but also the sense strand can be loaded into the RISC, thus exacerbating concerns about off-target silencing. We and others have found that both shRNA strands can be immunoprecipitated with Argonaute proteins (key components of the RISC) and that either

Significance

Induction of RNAi through shRNA expression holds great potential for biomedical research. Ideal shRNAs exhibit high specificity, potency, and safety, but options for rationally designing such shRNAs remain limited. One ensuing concern is unintended perturbation of gene expression by shRNA sense or antisense strands (off-targeting). Here, we report a novel solution that specifically counteracts adverse activity of shRNA sense strands via their sequestration by tough decoy RNAs (TuDs). Using hepatitis C virus as a clinically relevant example, we demonstrate how TuD coexpression from an Adeno-associated viral (AAV) gene therapy vector concurrently decreases shRNA off-targeting and enhances on-target inhibition. The versatility of TuDs and AAVs makes our new strategy useful for improving specificity and safety of a wide array of RNAi experiments.

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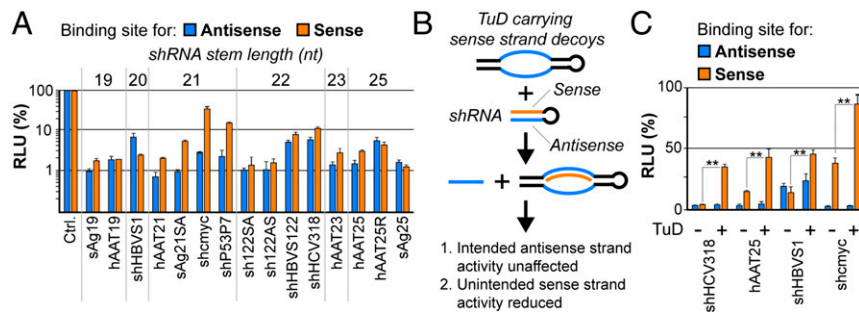


Fig. 1. shRNA sense strand activity and its counteraction by RNA decoys. (A) Results from cotransfection of HEK293T cells with a range of shRNAs and dual luciferase reporters carrying binding sites for either the shRNA antisense (blue) or sense (orange) strand in the 3' UTR of *Renilla* luciferase. (Firefly luciferase was used for normalization; see also Fig. S1.) Plotted are relative light units (RLUs) compared with a control sample (ctrl); i.e., for each shRNA strand, the identical reporter was cotransfected with an irrelevant shRNA, and resulting RLUs always were set to 100%). (B) Schematic representation of sense strand counteraction by coexpression of TuD RNA decoys. (C) Validation of TuD functionality in HEK293T cells that were triple-transfected with a subset of shRNAs from A, dual luciferase reporters to detect antisense or sense strand activity, and a TuD specific for the sense strand of each shRNA. $**P < 0.01$; two-sided *t*-test. Error bars in A and C represent SDs of at least three independent experiments.

strand can inhibit reporter constructs with artificial complementary binding sites (11–13). These observations are accompanied by accumulating data indicating that naturally occurring miRNAs also use both strands and that the miRNA sense (also called the “star”) strand can play essential roles in cellular physiology and pathology (14–16).

From a biological viewpoint, these findings are intriguing, because they continue to expand our understanding of the complexity of mammalian RNAi processes. Complementing early notions that strands with a lower 5' thermodynamic stability are preferentially incorporated into RISC (17–19), recent data now suggest that many other parameters, such as the position of the loop, the sequence and structure of the stem, the relative abundance of different Argonaute proteins, or competitive Argonaute-2 binding of the 5' nucleotide of either strand, influence strand selectivity (12, 14, 15, 20). Moreover, there is ongoing controversy over whether Dicer and associated proteins are required or dispensable for asymmetric RISC loading (21–23). No matter the exact mechanism, it is clear that off-targeting is unintended in the context of RNAi applications and must be alleviated. Alleviation is accomplished readily with siRNAs, synthetic counterparts of fully processed shRNAs that can be chemically and molecularly engineered toward preferred loading of the antisense strand (24). However, achieving the same alleviation with vector-encoded shRNAs is far more challenging, because they are expressed intracellularly and hence are not amenable to ectopic optimization. Nonetheless, several groups have elucidated shRNA design rules that promise a bias toward one shRNA strand, including asymmetric hairpin configurations with mismatches or bulges at the 5' end of the antisense strand or bioinformatical preselection of shRNAs with minimal off-targeting potential within a given cellular genome (25–28). Furthermore, various laboratories, including ours, have devised shRNA variants that skip Dicer processing and are loaded directly into Argonaute-2, which then cleaves one of the two strands and predominantly leaves the other as a guide (29–34).

Still, the RNAi field urgently needs new strategies to counteract sense strand off-targeting, because rules for designing highly specific shRNAs remain elusive. In addition, all previously reported concepts require de novo creation of optimized shRNAs, but frequently it would be beneficial to improve the specificity of existing and prevalidated molecules. The use of such molecules is particularly indicated when the target region is confined, as is the case for viruses that typically present a limited number of conserved and thus targetable sequences or for allele-specific disorders in which a few nucleotides distinguish healthy from diseased cells. Therefore we developed a conceptually novel

strategy which is based on the coexpression of an shRNA together with a competitive RNA decoy that specifically binds and inactivates the shRNA sense strand. Unlike all prior approaches, ours is fully compatible with preexisting shRNAs and requires no modifications or recrafting, other than cloning of the decoy following simple design rules that we established concurrently. Using an shRNA against a conserved region in hepatitis C virus (HCV) for proof of concept, we demonstrate the power of our decoy strategy to suppress shRNA sense strand activity effectively and to alleviate global off-targeting, resulting in a marked increase in RNAi specificity. The high versatility of the new approach reported here implies that it could be widely adapted and used in the future to improve the stringency of many types of RNAi experiments, including vector-mediated therapeutic silencing in humans.

Results

shRNA Sense Strand Activity Can Be Counteracted by Coexpression of Tough Decoys. We initially sought to assess the frequency and extent of shRNA sense strand activity comprehensively by studying a set of 15 previously published or newly designed shRNAs (see *Materials and Methods* for details). To this end, we created dual luciferase reporters carrying binding sites for either the shRNA sense or antisense strand in the 3' UTR of one of the two luciferase genes (*Renilla* luciferase) (Fig. S1). These reporters then were cotransfected into HEK293T cells together with each shRNA, and luciferase knockdowns were compared with the parental reporter construct lacking binding sites. Strikingly, regardless of sequence, length, or target, all tested shRNAs exhibited considerable sense strand activity, frequently even matching that of the antisense strand (Fig. 1A).

With the aim of counteracting these undesired silencing activities, we devised a novel approach that relied on selective sequestration and functional inactivation of the sense strand via codelivered decoy RNAs (Fig. 1B). Ideally, to provide maximal versatility and compatibility with preexisting shRNAs, these decoys should neither affect antisense strand activity nor require modifications of the original shRNA design. Specifically, we decided to explore “tough decoys” (TuDs) as competitive inhibitor RNAs because of their reported potency and small size (35, 36). Accordingly, we generated plasmids expressing H1 promoter-driven TuDs against the sense strands of a subset of the shRNAs in Fig. 1A and then determined sense and antisense activities using luciferase reporters carrying perfect binding sites for either strand. As hoped, coexpression of the TuDs caused a robust sense strand inhibition for all tested shRNAs (Fig. 1C, orange bars “+”). Importantly, antisense activities remained

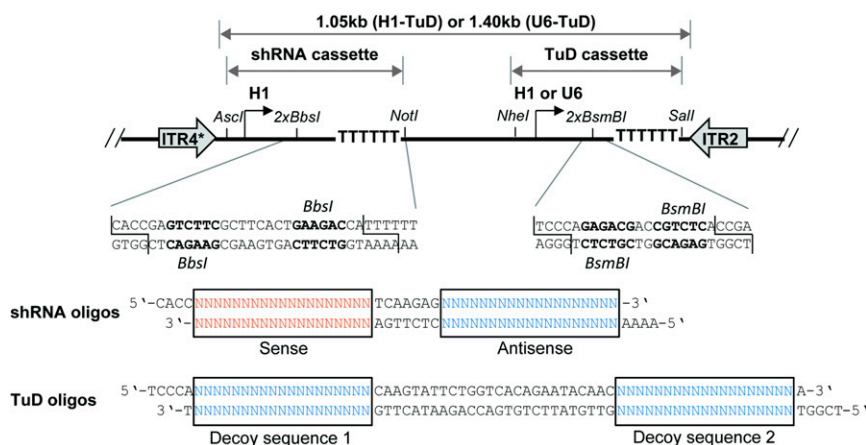


Fig. 2. Structure and cloning of bicistronic AAV-shRNA/TuD vectors. (Upper) The general vector design with the two independent shRNA and TuD expression cassettes, together with selected unique restriction sites and stretches of six thymidines that serve as termination signal for RNA polymerase III promoters (H1 or U6). Both cassettes together are flanked by ITRs from AAV serotypes 2 or 4 [the asterisk indicates a truncation that mediates the self-complementary genotype (4)] for packaging into AAV particles. (Lower) A magnification of the two inverted BbsI or BsmBI sites (bold) that are used for cloning of shRNAs or TuDs, respectively, as annealed or extended oligonucleotides with the indicated overhangs.

unaffected, indicating that TuD-mediated sense strand inhibition not only was potent but also was specific. Furthermore, we confirmed that TuD codelivery likewise permitted rescue of a sense strand target that mimicked an imperfect miRNA-like off-target and that there was no significant difference in efficiency when the TuD was driven by an H1 or U6 promoter under the tested conditions (Fig. S2).

Codelivery of shRNA and TuD Through Viral Vector-Mediated Transduction. To improve the applicability of our strategy further, we next generated a cloning scaffold that allows easy generation of bicistronic vectors for simultaneous expression of shRNA and TuD from a single construct (Fig. 2). This scaffold was based on a recombinant Adeno-associated viral (AAV) vector construct, permitting packaging into AAV particles and hence providing the option for delivery into a large range of target cells in vitro or in vivo (37). The principal functionality of the bicistronic AAV-shRNA/TuD vector was validated by luciferase (Fig. S3A) and eGFP reporter assays (Fig. S3B–D).

For a more thorough characterization of our approach, we focused on shHCV318 (Fig. 1A), which targets a conserved region in the 5' UTR of the HCV genome and which is derived from a previously published shRNA (38). We selected this shRNA because (i) HCV is a clinically highly relevant target; (ii) it can be inhibited with RNAi (38–42); (iii) assays in R.B. and D.G. laboratories allowed a convenient read-out and made HCV a good model to validate our new approach; and (iv) shHCV318 had exhibited strong sense strand activity in our initial reporter assays (Fig. 1A). Bicistronic constructs carrying shHCV318 with or without sense strand TuD were packaged into self-complementary AAV vectors of serotype 2 [scAAV2, a potent AAV variant in cultured cells (43)] and then were used to transduce human Huh7 hepatocellular carcinoma cells. We observed strong sense strand-mediated knockdown of a cognate luciferase reporter that, remarkably, was counteracted efficiently by the TuD over a wide range of multiplicities of infection (MOIs; i.e., particle number per cell) without perturbing antisense strand activity (Fig. 3A). These findings were validated in another cell line and with a second luciferase reporter bearing an imperfect miRNA-like binding site for the shHCV318 sense strand (Fig. S4A). In addition, we independently confirmed the overall strategy with a second, unrelated shRNA (Fig. S4B and C). Small RNA Northern blot analysis confirmed the expression of the sense strand TuD and moreover showed the presence of both

mature sense and antisense strands of shHCV318 (Fig. 3B). An interesting observation was that the levels and lengths of both shRNA strands were unaltered compared with a TuD-negative control (lanes 1 and 2 in Fig. 3B and verified in a second cell line in Fig. S5). This finding implies that, in contrast to miRNAs, which typically are inhibited by TuDs through tailing and trimming (44), the type of anti-shRNA TuDs that we developed here inhibit shRNAs through stable sequestration rather than degradation.

Sense Strand Inactivation De-Represses Endogenous Off-Targets Without Perturbing On-Target Silencing. Because reporter knockdown assays do not necessarily reflect how shRNAs silence their genuine targets, we next examined the effects of sense strand TuD expression on shHCV318-mediated inhibition of HCV replication. To this end, we transduced Huh7.5 cells carrying luciferase-encoding HCV replicons with scAAV2 vectors encoding shRNA and TuD at different MOIs. Notably, quantification of HCV replication via luciferase measurements showed a potent inhibition of HCV genotype 1b and 2a replication that was unaffected by coexpression of the sense strand TuD (Fig. 3C and D). These data were congruent with our initial results from the reporter assays and thus confirmed that silencing of intended antisense targets is not afflicted by our sense strand-inactivation strategy.

To study the off-targeting activity of shHCV318 in more detail and on a global level, we transduced Huh7 cells with scAAV2 (MOI 10^5) encoding either shHCV318 with or without sense strand TuD or a nonsilencing vector control for normalization, followed by mRNA expression profiling using microarrays that covered the whole human transcriptome. As shown in Fig. 4A–C, the expression of shHCV318 without TuD resulted in 166 down- and 168 up-regulated genes (a total of 334 genes) compared with the nonsilencing vector control [$P < 0.01$; fold change $> \log_2(0.5)$]. Importantly, TuD coexpression significantly reduced the number of dysregulated genes to only 86 down- and 100 up-regulated candidates (a total of 186; Fig. 4B and C). Together, these results suggested that shHCV318 had induced a perturbation of cellular mRNA expression which was partly alleviated by coexpression of the specific sense strand TuD.

To prove that the observed attenuating TuD effect indeed was caused by inhibition of the shRNA sense strand, we analyzed the enrichment of specific sequences in the 3' UTRs of two different gene groups. The first were genes that always were down-regulated by shHCV318 regardless of whether the sense strand TuD was coexpressed (group 1 in Fig. 4A–C, blue); accordingly, these

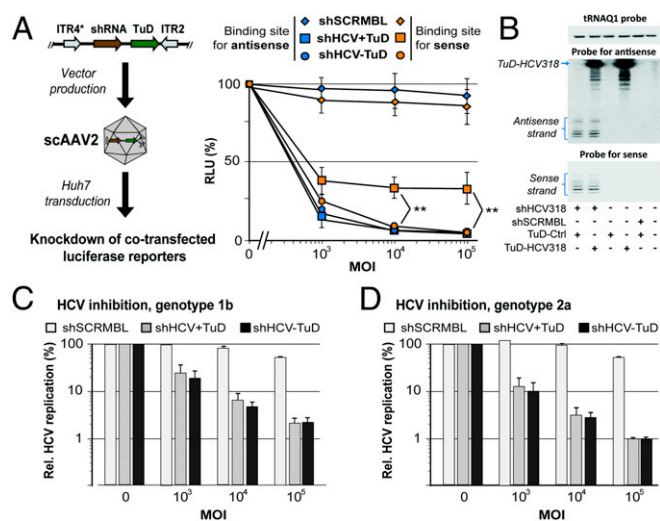


Fig. 3. Efficient codelivery of shRNA and TuD by a single bicistronic scAAV vector. (A, Left) Experimental workflow. (Right) Results from the transduction of Huh7 cells with different MOIs of bicistronic scAAV2 vectors. Activities of the two shHCV318 strands were measured via cotransfected luciferase reporters carrying appropriate binding sites and were normalized to those obtained in the absence of the shRNA vector (MOI 0, set to 100%). shSCRMBL, scrambled negative control shRNA. $**P < 0.01$; two-sided *t*-test. (B) Small RNA Northern blot exemplifying expression of both shRNA strands and the TuD upon transduction of Huh7 cells (MOI 10⁵). Human transfer RNA glutamine 1 (tRNAQ1) served as loading control. "TuD-Ctrl" was an empty TuD vector. The multiple weaker bands below the specific TuD signal are likely degradation products, visible because of the blot overexposure needed to permit codetection of the shRNA antisense strands. (C and D) Huh7.5 cells carrying subgenomic HCV replicons of genotype 1b (C) or 2a (D) were transduced with AAV vectors expressing shHCV318 shRNA with or without TuD at the shown MOIs. HCV replication was measured via replicon-encoded Firefly luciferase and normalized to control samples transduced at the same MOI with an irrelevant vector without shRNA (MOI 0 for each shRNA vector, set to 100%). Also shown are results with a scrambled control shRNA (shSCRMBL). Error bars represent SDs of at least three independent experiments.

genes should show an enrichment of antisense strand seed (nucleotides 1–8) matches in their 3' UTRs. The second group of interesting genes was down-regulated by shHCV318 only in the absence of the TuD, suggesting that TuD coexpression had resulted in their de-repression (group 2 in Fig. 4 A–C, orange; only genes whose de-repression by the TuD was statistically significant were analyzed). We predicted that this group would represent endogenous off-targets of the shRNA sense strand that were rescued by the TuD, and therefore we expected to find an enrichment of sense strand seed matches in the 3' UTRs of genes in group 2. For both groups, frequencies of full or partial antisense and sense seed matches in the 3' UTRs were compared with the background frequencies of each respective sequence in the unbiased set of all 3' UTRs from the genes contained on the microarrays. Notably, we indeed observed a highly significant enrichment of antisense strand seed matches in the 3' UTRs of group 1 but not of group 2 (Fig. 4D, Left). The strongest enrichment, over ninefold, was noted for the full octamer seed match. Furthermore, confirming our predictions, sense strand seed matches were increased significantly in group 2 genes with a concomitant nonsignificant decrease in group 1 (Fig. 4D, Right). Again, the most pronounced enrichment (nearly fivefold) of complementary sense strand sequences in group 2 was found for complete matches of the seed sequence, i.e., nucleotides 1–8.

For further validation, we cloned one 3' UTR of a group 1 gene that contained an antisense seed match and two 3' UTRs of group 2 genes that carried sense seed matches into a dual luciferase reporter. Huh7 cells subsequently were transduced with the same scAAV2 vectors and MOI (10⁵) as before and also were

transfected with the three on/off-target reporters. As expected, the group 1 antisense seed reporter was silenced to a similar degree by shHCV318 with and without TuD (Fig. 4E, CIRBP). Further, in line with the microarray data, both group 2 sense strand seed reporters were down-regulated with shHCV318 alone and were significantly rescued by sense strand TuD coexpression (Fig. 4E, CLCN7 and BCL7B).

As a whole, these data confirmed that the sense strand-specific TuD reduced endogenous off-target effects produced by the shRNA sense strand and thereby alleviated global dysregulation of cellular gene expression.

5' but Not 3' Strands of shRNAs Can Be Counteracted by TuDs. An interesting question at this point was whether TuD-mediated counteraction of shRNA sense strands was dependent on the exact shRNA configuration. We therefore tested TuD-mediated inhibition of a subset of shRNAs that had the same target site but differed in either stem length or strand order. Specifically, we analyzed human alpha-1 antitrypsin 19 (hAAT19) and hAAT25, which have 19- or 25-mer stems, respectively, and which both carry the antisense strand at the 3' end of the hairpin (Fig. 5A). In contrast, hAAT25R has a 25-mer stem with the antisense strand located at the 5' end of the hairpin. We then generated two TuDs directed against either strand of each shRNA and examined which combination of shRNA and TuD yielded highest TuD efficiencies. Curiously, the 5' strand of all three shRNAs could be counteracted potently, but the 3' strand could not, even at the lowest shRNA dose (Fig. 5A). From this result, we concluded that stem length is not critical but that the success of our strategy depends on a sense strand positioned at the 5' end of the shRNA molecule. Notably, this particular configuration, 5'-sense-loop-antisense-3', is, in fact, preferred by commercial shRNA design algorithms (e.g., www.invivogen.com/sirnawizard/design.php) and also was used in all our own previous work (including most of the shRNAs in Fig. 1A).

Single Perfectly Matched Binding Sites Are Sufficient for Robust TuD Activity. Having identified the ideal shRNA configuration, we also aimed at improving the design for TuD construction. The structure that was proposed originally and that we had adapted previously consists of two binding sites with central mismatches to the target RNA (36). Because the rules for placing these mismatches were rather obscure, we wanted to simplify this design and thus constructed new TuDs with two or one single perfectly matched binding site(s) for the sense strands of shHCV318 and hAAT25. Moreover, to determine whether the requirements are different for shRNA sense strands and the active arm of miRNAs, we also generated a set of TuDs against the 5' strand of liver-specific miR-122. Each TuD then was tested at four different doses against a fixed amount of its cognate target and using a constant amount of shRNA (Fig. 5B). For all three targets, we generally observed a TuD dose dependency that was most pronounced for miR-122, congruent with published in vivo data for this particular miRNA (44). Compared with the standard TuD design with two imperfectly matched binding sites, the TuDs carrying two perfectly complementary binding sites were similarly efficient in inhibiting the 5' strands of both shRNAs and the miRNA at all doses. Importantly, at the highest TuD dose, even the TuD carrying only one perfect binding site gave robust efficiencies that were identical to the conventional TuD design with two imperfect sites. We thus conclude that a largely simplified design—a single binding site with perfect complementarity—can provide high TuD activity that results in pronounced inhibition of the shRNA sense strand and miRNA.

Combinatorial Activity of shRNA and TuD Improves the Inhibition of HCV Replication. The aforementioned conclusion not only simplifies future TuD generation but also paves the way for the creation of

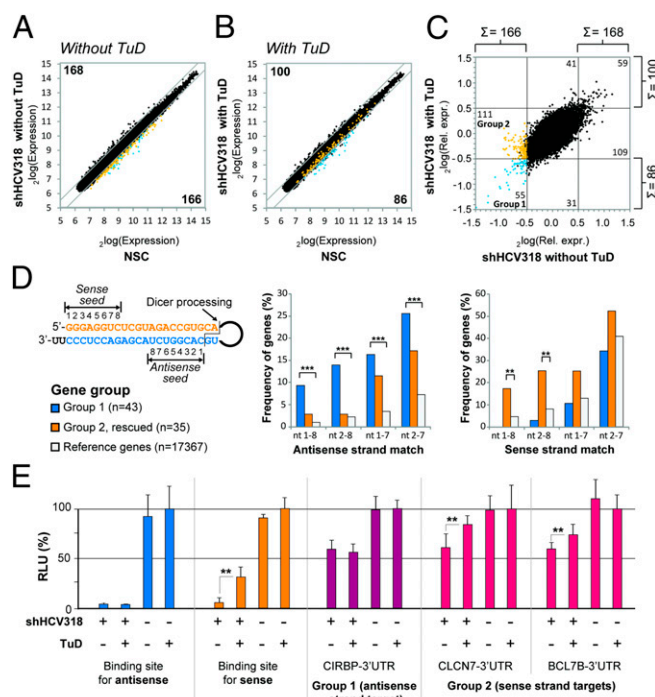


Fig. 4. TuD-mediated rescue of shRNA sense strand-mediated off-targeting. (A–C) Results from cDNA microarray analysis in scAAV2-transduced Huh7 cells (MOI 10^5) exemplifying that TuD codelivery reduced the number of genes that were dysregulated by shHCV318 expression. Numbers indicate genes that are significantly different from the nonsilencing control (NSC, lacking shRNA) in at least one of the shHCV318 samples [$P < 0.01$, two-sided *t*-test; fold-change cutoff at $\log_2(0.5)$]. Group 1 (blue) and group 2 (orange) genes are highlighted in each graph. (A) Absolute expression levels for shHCV318 plus specific TuD–HCV318, versus the NSC. (B) Absolute expression levels for shHCV318 with specific TuD–HCV318, versus shHCV318 without TuD, each normalized to NSC. Effects of the TuD alone are shown in Fig. 5B. (C) Relative expression levels for shHCV318 without TuD, versus the NSC. (D) Frequency of shHCV318 sense or antisense strand seed sequence complementarities in two different gene groups from C. Depicted on the left is the shHCV318 shRNA with the location of the two seed sequences (nucleotides 1–8) in the sense or antisense strand. The cleavage site for Dicer processing which determines the seed position in the antisense strand is also shown. The two graphs illustrate the enrichment of full seed sequences (nucleotides 1–8) or portions thereof that correspond to the shRNA antisense or sense in the 3' UTRs of two different gene groups. Frequencies (in percentages) were calculated as sequence counts per number of genes. Blue bars represent genes that were not affected by the TuD (group 1 in C). Orange bars represent sense strand off-targets that were rescued by TuD coexpression (group 2 in C). For both groups, only genes with annotated 3' UTRs were analyzed. Moreover, in group 2, only genes that were significantly different between shHCV318 with or without TuD were taken into account ($P < 0.01$; one-sided *t*-test). Group 1 comprised 43 genes, and group 2 comprised 35 genes. Also shown are frequencies of antisense or sense strand matches in the entire gene population on the microarray (white bars). Significances were determined by Fisher's exact test (cutoff: $P = 0.01$). $**P < 0.01$; $***P < 0.001$. (E) Verification of off-target genes identified by microarray analysis (see C). Shown are three examples of genes from group 1 (CIRBP, antisense target) or group 2 (CLCN7 and BCL7B, sense targets) whose 3' UTR was cloned behind a luciferase reporter. Knockdown and rescue of these constructs were analyzed in transfected Huh7 cells that were cotransduced with AAV vectors (MOI 10^5) expressing the shRNA/TuD combinations shown. Also included were controls carrying perfect binding sites for the shHCV318 antisense (blue bars) or sense (orange bars) strand. Note that the group 1 reporter behaved like the antisense reporter control and was repressed by shHCV318 equally well in the presence or absence of the TuD, whereas both group 2 reporters (shRNA sense strand targets) were significantly de-repressed by TuD coexpression, as predicted. $**P < 0.01$; two-sided *t*-test. Error bars represent SDs of at least three independent experiments.

chimeric TuDs with two different binding sites designed to counteract two independent target RNAs concurrently. To validate this concept, we again exploited the inhibition of HCV replication as a model. Based on a wealth of preclinical and clinical data showing that liver-specific miR-122 enhances HCV replication and that inhibition of this miRNA holds therapeutic value (45–49), we reasoned that TuD-mediated miR-122 sequestration could complement HCV suppression by RNAi efficiently. We thus generated a trifunctional scAAV2 vector carrying shHCV318 and a chimeric M122/HCV318–TuD that should counteract the shRNA sense strand and the miR-122 5' arm simultaneously (Fig. 6A). Ideally, coexpression of shRNA and miR-122–TuD would lead to more potent HCV inhibition than the expression of either individually, and the sense strand TuD concomitantly would increase shRNA specificity.

For a most stringent proof of concept, we focused on analyzing this construct in Huh7.5 HCV 1b replicon cells, because this genotype (together with HCV 1a) predominates in the United States and in Europe (50, 51). Accordingly, we transduced these cells, which naturally express high levels of miR-122 (45), with scAAV2 vectors encoding different combinations of shHCV318 and TuDs. To validate the function of the chimeric TuD, we first performed control experiments in which we cotransfected luciferase reporters sensitive to either the shRNA sense or antisense strand. In the presence of the M122/HCV318–TuD, we noted a strong and specific de-repression of the shHCV318 sense strand luciferase reporter comparable to that seen with the regular single TuD, proving potent inhibition of the shRNA sense strand by the chimeric TuD (Fig. 6B). Its functionality was confirmed further in parallel in cells that were cotransduced with the shRNA/TuD vectors and an scAAV2 expressing an eGFP reporter with a perfect miR-122 binding site in its 3' UTR and with mCherry as a transduction control. The chimeric M122/HCV318–TuD efficiently counteracted miR-122 activity (Fig. 6C), verifying that it can concurrently block the shRNA sense and the miR-122 antisense strands. As expected, the single HCV318–TuD did not affect the miR-122-sensitive eGFP reporter, once again proving the specificity of the approach.

Finally, we measured the dose-dependent effects of our various constructs on HCV replication in transduced Huh7.5 HCV 1b replicon cells. Individual expression of either shHCV318 or M122/HCV318–TuD substantially reduced HCV 1b replication compared with corresponding negative controls (Fig. 6D). Remarkably, over the entire 100-fold dose range, the combination of shHCV318 and M122/HCV318–TuD (filled triangles in Fig. 6D) resulted in a significant further improvement of HCV 1b inhibition compared with each molecule alone. In fact, at the highest vector MOI, we reduced HCV 1b replication by more than two orders of magnitude. This reduction represents the strongest effect noted in this entire work (compare also Fig. 3C) and highlights the great potential for clinical applications of our novel trifunctional AAV vector comprising the chimeric TuD.

Discussion

In this study, we developed and validated a new strategy to reduce shRNA sense strand activity, with the goal of increasing RNAi specificity without compromising silencing by the shRNA antisense strand. Even after one and a half decades of RNAi research (52), this aim remains vital, because unwanted off-target effects continue to hamper the application of shRNAs and other ectopic RNAi silencers. Curiously, although the overall problem has long been recognized, the field has rarely considered the shRNA sense strand as a major source of off-targeting. In fact, only few studies to date have investigated loading the two different shRNA strands into an RISC and studying their individual potential for gene silencing. These studies include notable findings from the Davidson and Kay laboratories that shRNA sense strands can principally act as a surrogate guide that directs

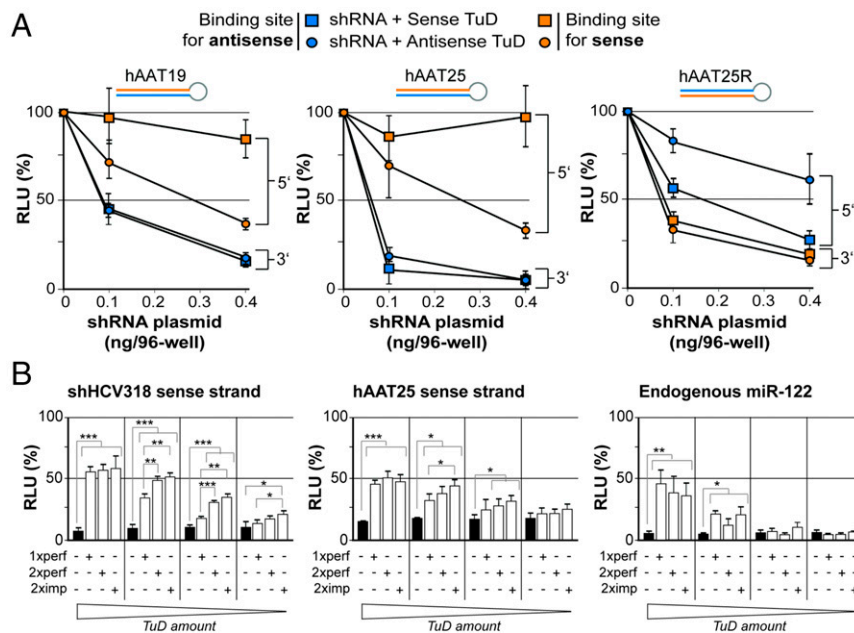


Fig. 5. Determination of optimal shRNA and TuD design parameters. (A) Results from transfection of HEK293T cells with increasing doses of three shRNAs that differed in length and strand order but shared the same target. The cells were cotransfected with constant doses of specific TuDs and dual luciferase reporters as indicated. For each combination of TuD and reporter, luciferase reads were normalized to those measured in the absence of shRNA (set to 100%). (B) Functional analysis of three different TuD designs with one perfect, two perfect, or two imperfect binding site(s). (Left and Center) Results from HEK293T cells that were cotransfected with the indicated shRNAs and TuDs, together with a dual luciferase reporter to detect shRNA sense strand activity. (Right) Results from Huh7 cells that were cotransfected with a TuD against the 5' arm of endogenous miR-122 and a corresponding dual luciferase reporter. In each experiment, four different TuD doses were evaluated: 100, 25, 6.25, and 1.56 ng per well (from left to right, as indicated below the graphs). Also shown in all panels are results obtained with an empty control (black bars), i.e., a TuD plasmid lacking specific binding sites for any of the three small RNAs. *** $P < 0.001$; ** $P < 0.01$; * $P < 0.05$; two-sided t-test. Error bars in A and B represent SDs of at least three independent experiments.

the RISC to cleave reporters with an artificial target (12, 53, 54). Our results here validate and extend these data, because we also observed pronounced sense strand activity against specific reporters for 15 different shRNAs that we tested. In addition, our cDNA profiling data together with our analysis of distinct 3' UTRs confirm that unintentional shRNA sense strand activity also can widely perturb the expression of endogenous off-targets. Taken together, these findings underscore the necessity to take concerns about sense strand activity seriously and to develop potent counter measures.

Toward this goal, we chose to exploit TuDs based on their reported superior efficiency in blocking miRNA function, compared with other small RNA inhibitors (35, 36, 55). Contrary to all previous studies that used TuDs to inhibit antisense strands of endogenous RNAi triggers (miRNAs) and thus to relieve suppression of their cellular on-targets, we advanced this technology by repurposing TuDs to block the sense strand of exogenous RNAi triggers (shRNAs) and thereby de-repress their off-targets. Indeed, we found that TuD coexpression significantly and specifically rescued the knockdown of reporters that were sensitive to an shRNA sense strand. Notably, we demonstrated that this rescue not only applies to artificial targets but also can be used to correct off-targeting of endogenous genes, as exemplified by our finding that TuD expression reduced the number of dysregulated genes in shRNA-expressing cells by nearly 50% (186 versus 334) (Fig. 4 A–C). This finding substantiates our belief that specific inhibition of shRNA sense strand off-targeting, as now facilitated by our new concept, should be a primary goal during future *in vitro* and *in vivo* RNAi applications.

With this goal in mind, we considered it essential to make our strategy as accessible, versatile, and widely applicable as possible. Therefore we designed our plasmid and AAV vector constructs in a modular fashion, permitting rapid and simple cloning of custom

TuD s as well as exchange of the entire shRNA or TuD expression cassette(s). It was encouraging to find that both shRNA and TuD can be coexpressed *in cis* from a single AAV vector, thus further simplifying forthcoming applications. Concurrently, given the natural diversity of AAV isolates with different specificities and the feasibility of creating designer AAV vectors with desired properties (43, 56), the modular design paves the way for the translation of our strategy into manifold other cell types and organs *ex vivo* or *in vivo*. Furthermore, users of our approach should benefit from the following lessons that we learned during TuD optimization and that will guide the future design of custom vectors.

First, we found that only the 5' shRNA strand can be counteracted by TuDs; the 3' strand is mostly inert. This finding suggests that the two shRNA strands are functionally distinct, as is consistent with recent data that 5' and 3' shRNA arms differ in their maturation and association with Argonaute proteins (12, 57). Interestingly, shRNAs also differ from endogenous RNAi triggers because both the 5' and 3' strands of miRNAs can be counteracted efficiently by TuDs (35, 36). Moreover, our titration data (Fig. 5B) suggest that shRNAs and miRNAs are likewise distinct with respect to their TuD dose dependency, because rescue of the miR-122 reporter required higher TuD amounts than the two shRNAs. However, another difference between shRNAs and miRNAs is that TuDs seem to sequester stably and thus inactivate shRNA strands (Fig. 3B and Fig. S5), whereas they induce tailing and trimming of mature miRNA strands, as reported in mouse livers (44). Therefore an intriguing aim for future work is to delineate further the molecular reasons for all these unique behaviors of shRNAs versus miRNAs that have become apparent through our original use of TuD technology; doing so will improve our understanding of RNAi mechanisms. Fortunately, as noted before, the shRNA configuration with the sense strand at the 5' arm predominates among published shRNAs and also is

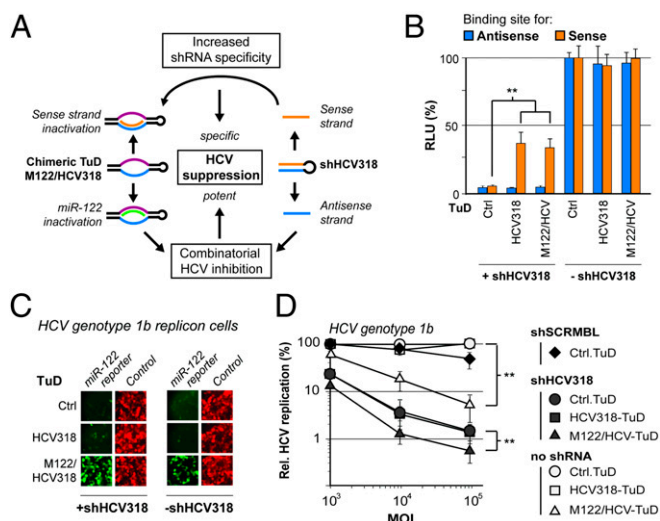


Fig. 6. Potent combinatorial HCV inhibition with an shRNA and a chimeric TuD. (A) Schematic depiction of the underlying rationale: Coexpression of shHCV318 and a chimeric TuD targeting miR-122 and the shHCV318 sense strand should result in combinatorial HCV inhibition and concomitantly increased shRNA specificity. (B) Results from the transduction of Huh7 cells with scAAV2 (MOI 10⁵) expressing the shRNA/TuD combinations shown. Activities of shRNA sense and antisense activities were measured via cotransfected dual luciferase reporters carrying binding sites for the respective shRNA strand after the *Renilla* luciferase. (C) Results from the transduction of Huh7.5 cells carrying HCV 1b replicons with scAAV2 encoding the different shRNA/TuD combinations shown (MOI 10⁵) as in B. The activity of endogenous miR-122 was measured by a cotransduced reporter vector (MOI 10⁴) carrying a *gfp* gene with a perfect miR-122 binding site and tagged *mCherry* as the transduction control. (D) Results from the transduction of Huh7.5 cells carrying HCV 1b replicons with scAAV2 (MOI 10⁵) expressing the shRNA/TuD combinations shown, as in B and C, and including a scrambled shRNA negative control (shSCRMBl). HCV replication was measured via replicon-encoded luciferase. ***P* < 0.01; two-sided *t*-test. Error bars represent SDs of four independent experiments (*n* = 4 each) that were averaged for the graph (resulting in a total *n* = 16). In B–D, the control was the empty TuD cloning scaffold lacking specific small RNA-binding sites.

widely recommended by academic and industrial groups. We thus are convinced that our strategy of using TuDs to inhibit the 5' sense strand specifically will be applicable to the great majority of existing or future shRNAs.

Second, we were pleased to find that two perfect binding sites work with efficiency similar to that of two imperfect sites and that even a single binding site can yield robust inhibition of shRNA or miRNA 5' strands (depending on TuD dose; see below). These two observations further simplify the generation of new TuDs, particularly as compared with the initial report on their use for miRNA inhibition, which used two imperfect binding sites (36). The possibility of encoding a single perfect site obviates uncertainties about the ideal position of the artificial mismatches and thus facilitates TuD design. Notably, two recent studies support this notion by showing that miRNAs also can be inhibited potently by a perfectly matched TuD (35) and that one or two miRNA binding sites work equally well (58). In turn, this finding emphasizes that our AAV vectors for custom TuD expression are also useful for specific inhibition of cellular miRNAs, as we already have exemplified in the present study with miR-122.

Third, we found at least two lines of evidence indicating that the overall intracellular TuD concentration is important. The first is that, for a fixed amount of shRNA (or miRNA), increasing TuD doses resulted in improved rescue of a cognate reporter plasmid (Fig. 5B). The second is that, for one of our shRNAs, potent sense strand inhibition required TuD expression

from a U6 promoter (at least in an AAV context) (Fig. S4C), which is inherently stronger than H1, which was used in all other cases. The ensuing conclusion that TuD concentration can be limiting under certain circumstances again agrees with reported findings for miRNAs, in which constructs expressing up to four TuD repeats outperformed those with fewer (58). We thus generally recommend testing various combinations of promoters (H1 or U6) to drive shRNA and cognate TuD to strike an optimal balance between on-target knockdown and rescue of off-targeting. Again, the modular design of our AAV vectors will facilitate and accelerate this process. Moreover, it may be interesting to adapt a strategy from the Mikkelsen laboratory, which expressed clustered TuDs from a RNA polymerase II promoter (55, 58), to gain spatiotemporal control over RNAi specificity.

An example of a rational application of these design rules is provided by our demonstration of combinatorial HCV suppression through the concerted action of shHCV318 and the chimeric miR-122/shHCV318 TuD. Our observation of an additive inhibition of HCV genotype 1b, an HCV variant that has poor response to IFN-based therapy (50, 51), highlights the power and potential of multivalent AAV-shRNA/TuD vectors. Particularly noteworthy from a clinical standpoint is that the juxtaposition of two independent molecular mechanisms—shRNA knockdown and TuD-based miR-122 inactivation—should reduce HCV's chances for mutational escape. This outcome is strongly implied by a plethora of consistent data from chronically HCV-infected chimpanzees (the only available physiologically relevant and immunocompetent HCV animal model) and human patients who were treated with locked nucleic acid inhibitors of miR-122 and who experienced substantial drops in HCV viremia with little development of viral resistance (46–48). This unique benefit of our novel vectors, combining specific shRNA knockdown with inhibition of cellular miRNAs, should stimulate their application in treating other diseases with a miRNA component, such as many cancers or HIV infection. The counteraction of the shHCV318 sense strand also is interesting from a biological viewpoint. Although HCV is a positive-sense RNA virus, it replicates through a negative-sense RNA strand, which therefore represents an intriguing therapeutic target (38, 59). However, previous failures to target the negative-sense HCV strand with siRNAs or shRNAs suggest that it is immune to RNAi (60, 61). Our own finding that HCV inhibition was not relieved by blocking our shRNA's sense strand with the TuD indicates that HCV knockdown was mediated entirely by the shHCV318 antisense strand. We hence conclude that, despite its high sense strand activity (e.g., in reporter assays) (Fig. 1A), our shRNA also did not target the HCV negative-sense strand. This example exemplifies how our vectors also can be exploited as a new tool to dissect the molecular interactions between RNAi triggers and their targets, or, in this particular case, to study virus biology.

In conclusion, our work confirms increasing concerns that shRNA sense strands are a major source of RNAi off-targeting and concurrently proposes an original avenue toward enhanced specificity that is potent, easy to customize, compatible with a wealth of old and new shRNAs, and applicable for combinatorial shRNA/miRNA regulation. Ideally, in the future, this approach will be combined with further strategies to increase on-targeting or to prevent off-targeting, such as bioinformatical identification of "safe" sequences, rational design of shRNAs with inherent strand biases, AAV capsid engineering, or TuD concatamerization for better inhibition (25, 26, 43, 58). Moreover, our technology readily complements high-throughput strategies that also aim at identifying potent shRNAs with reduced off-targeting potential, such as a massively parallel sensor assay or screening of ultracomplex, high-coverage shRNA libraries (20, 62–64). Collectively, this approach should help to create a new generation of RNAi vectors with an optimal balance of efficiency,

specificity, and safety and thus foster the current renaissance of the enthusiasm for a clinical translation of RNAi technologies.

Materials and Methods

Details of GFP reporter assays and mRNA northern blot analysis of *egfp* and *mCherry* expression are given in *SI Materials and Methods*.

Plasmid Construction. Plasmids pBS-H1-TuD-empty-GFP and pBS-U6-TuD-empty-GFP are bicistronic constructs that contain an RSV promoter-driven GFP expression cassette together with an H1 or U6 promoter for expression of custom TuDs. They were constructed by insertion of annealed oligonucleotides TuD-empty F and R (see [Table S1](#) for all oligonucleotides and primers) carrying two inverted BsmBI sites into BbsI-digested pBS-H1-GFP or pBS-U6-GFP, respectively (4, 65). pBS-H1-TuD-empty-GFP and pBS-U6-TuD-empty-GFP are ideal for cases in which a TuD should be expressed without shRNA. To create vectors coexpressing shRNA and TuD, the entire H1- or U6-TuD-empty cassette was PCR-amplified (primers H1 F and TuD R or U6 F and TuD R; [Table S1](#)) along with a stuffer sequence (Stuffer F and R; [Table S1](#)), and both fragments were joined by overlap extension PCR. The resulting stuffer-H1-TuD or stuffer U6-TuD products were cut with XbaI/SalI and inserted into NheI/SalI-digested pBS-H1-GFP, yielding pBS-H1-H1-TuD-empty or pBS-H1-U6-TuD-empty, respectively. In both cases, the first H1 promoter can be exploited for cloning and expression of custom shRNAs, as reported before (65). As a result of this vector design, the shRNA cassette remains excisable by unique AscI/NotI sites, and the TuD cassette can be isolated via unique NheI/SalI sites ([Fig. 2](#)).

To generate specific TuD-expressing vectors, the TuD plasmids described above were digested with BsmBI, and oligonucleotide duplexes comprising the decoy sequences were inserted by one of two strategies: either two long oligonucleotides were annealed and cloned directly or two short oligonucleotides with overlapping 3' ends were elongated by PCR, digested with BsmBI, and cloned. The second method has the advantage of being cheaper and more versatile, because it allows easy shuffling of different decoy sites with the same set of oligonucleotides; however, it also is more time-consuming and laborious. ([Table S2](#) indicates which method was used for the different TuDs). Plasmids coexpressing shRNAs were generated either by insertion of annealed oligonucleotides ([Table S1](#) and [ref. 4](#)) into BbsI-digested pBS-H1-H1-TuD-empty or by transfer of an already existing shRNA cassette that was excised from either pBS-H1-GFP or pBS-U6-GFP by AscI/NotI digestion and inserted into the same sites of pBS-H1-H1-TuD-empty. In all constructs described above, the entire recombinant sequence was flanked by two AAV inverted terminal repeats (ITRs) for packaging as self-complementary AAV vector particles ([Fig. 2](#)).

Anti-hAAT, anti-sAg (HBV surface antigen), and anti-p53 shRNAs were available in the laboratory from previous studies (4, 66) (see the same references for all shRNA target sequences). An additional shRNA against HBV, shHBV51, was cloned as described elsewhere (67), and shHCV318 (targeting the HCV genome) was adapted from a previously reported shRNA (38). A scrambled negative control shRNA (shSCRMBl) was generated based on shHCV318 using the "Scramble siRNA/shRNA" function in siRNA Wizard 3.1 (www.invivogen.com/sirnavizard/design.php). Dual luciferase reporter constructs carrying single shRNA target sites behind one of the two luciferases (*Renilla*) were generated by insertion of annealed oligonucleotides carrying the respective sequence ([Table S1](#)) into XhoI/NotI-digested psiCheck-2 (Promega). This particular configuration (see also [Fig. S1](#)) was chosen because the *Renilla* luciferase reporter yields higher photon counts than the coencoded Firefly luciferase (which was used for normalization), thus providing a broader and more sensitive window for detection and quantification of shRNA effects. Reporter constructs for shHCV318 off-target measurements were generated by PCR amplification of the 3' UTR of selected genes or of parts thereof using primers CIRBP 3' UTR F and R, CLCN7 3' UTR F and R, or BCL7B 3' UTR F and R ([Table S1](#)), followed by SalI/NotI digestion and insertion into XhoI/NotI-digested psiCheck-2. GFP reporter constructs were generated by cloning annealed oligonucleotides HCV318-S perf F and R, or HCV318-AS perf F and R ([Table S1](#)) into the eGFP 3' UTR in a plasmid coexpressing eGFP and mCherry from a bidirectional CMV promoter.

Tissue Culture and Transfection. HEK293T cells were cultured in DMEM supplemented with 10% (vol/vol) FCS, 1% penicillin/streptomycin (P/S), and 1% L-glutamine (L-Glu) (all reagents from PAA). Huh7 cells were cultured in DMEM supplemented with 10% (vol/vol) FCS, 1% P/S, 1% L-Glu, and 1% nonessential amino acids (NEAA) (PAA Laboratories). All cells were cultured under standard growth conditions [5% (vol/vol) CO₂, 37 °C] and passaged upon confluency. For transfections, HEK293T cells were grown to 70–90% confluency and transfected using polyethylenimine (PEI) as described else-

where (29). Huh7 cells were grown to 90–95% confluency and transfected using Lipofectamine 2000 (Invitrogen) according to the manufacturer's guidelines.

Luciferase Reporter Assays. Cells were grown in transparent 96-well tissue-culture plates (Greiner). Typically, unless stated otherwise, 2.5–5 ng (HEK293T) or 50 ng (Huh7) psiCheck-2 plasmid and 50–100 ng shRNA or TuD vector were used (total DNA amount per well was 200 ng). When shRNA and TuD were delivered from separate plasmids, constructs were transfected in a 1:1 molar ratio unless stated otherwise. Two days posttransfection, the medium was removed from each well, and the cells were lysed in 25 μ L of the lysis buffer provided with the Dual-Luciferase assay kit (Promega) and were incubated for 15 min at room temperature. Five microliters of the lysate then was transferred into a white Lumitrac 200 plate (Greiner). Renilla and Firefly luciferase activities were measured after consecutive injection of 25 μ L reconstituted luciferase assay buffer and Stop&Glow solution (supplemented with Rluc substrate) according to the Dual Luciferase kit using a Glomax 96 microplate luminometer (Promega).

Small RNA Northern Blot. Huh7 cells were seeded at 2×10^5 cells per well in a six-well plate and were transfected on the following day with an MOI of 10^5 with the different shRNA/TuD-encoding scAAV2 vectors. Two days postinfection, the cells were lysed, and total RNA was prepared using Qiazol (Qiagen) according to the manufacturer's instructions. Ten micrograms of total RNA was precipitated, washed once with 70% (vol/vol) EtOH, and dissolved in 5 μ L RNA loading buffer [98% (vol/vol) formamide, 10 mM EDTA, 0.025% bromophenol blue, 0.025% xylene cyanol], briefly denatured at 70 °C, and resolved in a 15% (vol/vol) acrylamide/8 M urea gel. The gel was electroblotted onto a Nylon membrane (Hybond N+; Amersham), which then was UV-crosslinked (energy program, HL-2000 Hybrilinker, UVP) and hybridized in ExpressHyb hybridization buffer (Clontech) with radioactively labeled probes. Radioactive end-labeling of oligonucleotide probes was performed using T4 polynucleotide kinase (New England Biolabs) under standard reaction conditions. The oligonucleotides used as probes were anti-human transfer RNA glutamine 1 (tRNAQ1) as housekeeper, shHCV318 antisense, and shHCV318 sense ([Table S1](#)). The TuD was detected using the shHCV318 sense probe.

scAAV Purification by Iodixanol Density Gradient Ultracentrifugation. HEK293T cells were triple-transfected with pVAE2AE4-5 (Adenovirus helper plasmid) (68), an AAV helper plasmid, p5E18, encoding AAV serotype 2 capsid proteins for in vitro transduction assays (69), and the AAV vector plasmid (as indicated) using the PEI transfection method. Three days posttransfection, cells were harvested using a cell scraper and were lysed by repeated freeze-thaw cycles. scAAV vector particles were purified via iodixanol (Optiprep; Sigma) density gradient ultracentrifugation for 2 h at 50,000 rpm at 4 °C in a Beckman 70.1Ti rotor and a Beckman L-90K ultracentrifuge as described previously (29). scAAV vector genome titers were determined by quantitative PCR against a plasmid standard curve (29, 70).

HCV Inhibition Assay. Huh7.5-lucubineo-JFH1 (HCV genotype 1b) or -ET (genotype 2a) replicon cell lines (71) were cultured in DMEM supplemented with 10% (vol/vol) FCS, 1% P/S, 1% L-Glu, 1% NEAA, and 1 mg/mL or 500 μ g/mL G418, respectively. On the day of scAAV transduction, 1×10^6 cells were seeded per 96-well plate and were inoculated directly thereafter with an scAAV vector MOI of 10^3 , 10^4 , or 10^5 . Two days posttransduction, the growth medium was removed, and cells were lysed in 30 μ L Fluc lysis buffer [0.1% Triton X-100, 25 mM glycylglycine (pH 7.8), 15 mM MgSO₄, 4 mM EGTA (pH 7.8), 10% (vol/vol) glycerol, 1 mM DTT] per well and were frozen immediately at –20 °C. After thawing, 20 μ L of the lysate was transferred to a Lumitrac 200 plate (Greiner) and was incubated for 15 min at room temperature in the dark with 50 μ L Fluc assay buffer [25 mM glycylglycine, 15 mM KPO₄ (pH 7.8), 15 mM MgSO₄, 4 mM EGTA, 7 μ M D-luciferin, 2 mM ATP, 1 mM DTT]. Firefly luciferase activity was measured using a Glomax 96 microplate luminometer (Promega).

Expression Profiling by Microarray. Huh7 cells were seeded at 2×10^5 cells per well in a six-well plate. The next day, the cells were transfected at an MOI of 10^5 with different shRNA/TuD scAAV2 vectors ([Fig. 4 A–C](#)). Two days posttransduction, the cells were lysed, and total RNA was prepared using Qiazol (Qiagen) according to the manufacturer's instructions. RNA quality was assessed using a Bioanalyzer and a RNA Nano Chip (both from Agilent). Only RNAs with an RNA integrity number of at least 8.7 were used for further studies. The samples then were analyzed with a HumanHT-12 v4 Expression BeadChip (Illumina). Reverse transcription, hybridization, and data normalization to reference transcripts were conducted by the Genomics Core

Facility of the German Cancer Research Center in Heidelberg. During data analysis, all transcripts whose expression was less than threefold higher than their SD were excluded (background subtraction). Dysregulated transcripts were identified by comparison of each shRNA sample with the cognate nonsilencing control ($P < 0.01$, unpaired, two-sided t -test, degrees of freedom = 14). Genes that were significantly rescued, i.e., less down-regulated in the presence of the TuD, were identified by comparing the two shRNA samples against each other ($P < 0.01$, unpaired, two-sided t -test). The respective genes were grouped as indicated in Fig. 4, and the 3' UTR sequences of each group were extracted from the Ensembl database using the Biomart sequence retrieval tool (www.biomart.org). Because not all genes on the chip had extractable 3' UTR sequences, the number of genes used for seed match analysis was lower than the total number of significant hits. Sequence matches were defined as reverse complements of the sequences indicated in Fig. 4D. Sequence enrichments in each gene group were calculated as follows: number of genes containing at least one sequence match in their 3' UTRs divided by the total number of genes in the respective gene group. All genes with extractable 3' UTR sequences present on the chip were used

as background set. Statistical significance of the counting results was determined by Fisher's exact test (in group 1: two-sided test for sense strand seed matches and one-sided test for antisense strand seed matches; in group 2: one-sided test for sense strand seed matches and two-sided test for antisense strand seed matches).

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