

# A Dual-Modality Herpes Simplex Virus 2 Vaccine for Preventing Genital Herpes by Using Glycoprotein C and D Subunit Antigens To Induce Potent Antibody Responses and Adenovirus Vectors Containing Capsid and Tegument Proteins as T Cell Immunogens

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# ABSTRACT

We evaluated a genital herpes prophylactic vaccine containing herpes simplex virus 2 (HSV-2) glycoproteins C (gC2) and D (gD2) to stimulate humoral immunity and  $U_L$ 19 (capsid protein VP5) and  $U_L$ 47 (tegument protein VP13/14) as T cell immunogens. The HSV-2 gC2 and gD2 proteins were expressed in baculovirus, while the  $U_L$ 19 and  $U_L$ 47 genes were expressed from replication-defective adenovirus vectors. Adenovirus vectors containing  $U_L$ 19 and  $U_L$ 47 stimulated human and murine CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses. Guinea pigs were either (i) mock immunized; (ii) immunized with gC2/gD2, with CpG and alum as adjuvants; (iii) immunized with the  $U_L$ 19/ $U_L$ 47 adenovirus vectors; or (iv) immunized with the combination of gC2/gD2-CpG/alum and the  $U_L$ 19/ $U_L$ 47 adenovirus vectors. Immunization with gC2/gD2 produced potent neutralizing antibodies, while  $U_L$ 19 and  $U_L$ 47 also stimulated antibody responses. After intravaginal HSV-2 challenge, the mock and  $U_L$ 19/ $U_L$ 47 adenovirus groups developed severe acute disease, while 2/8 animals in the gC2/gD2-only group and none in the combined group developed acute disease. No animals in the gC2/gD2 or combined group developed acute disease. No animals in the gC2/gD2 or combined group developed recurrent disease; however, 5/8 animals in each group had subclinical shedding of HSV-2 DNA, on 15/168 days for the gC2/gD2 group and 2/8 animals in the combined group. None of the differences comparing the gC2/gD2 only group and the combined group were statistically significant. Therefore, adding the T cell immunogens  $U_L$ 19 and  $U_L$ 47 to the gC2/gD2 in the guinea pig model.

#### IMPORTANCE

HSV-2 infection is a common cause of genital ulcer disease and a significant public health concern. Genital herpes increases the risk of transmission and acquisition of HIV-1 infection 3- to 4-fold. A herpes vaccine that prevents genital lesions and asymptomatic genital shedding will have a substantial impact on two epidemics, i.e., both the HSV-2 and HIV-1 epidemics. We previously reported that a vaccine containing HSV-2 glycoprotein C (gC2) and glycoprotein D (gD2) reduced genital lesions and asymptomatic HSV-2 genital shedding in guinea pigs, yet the protection was not complete. We evaluated whether adding the T cell immunogens  $U_L19$  (capsid protein VP5) and  $U_L47$  (tegument protein VP13/14) would enhance the protection provided by the gC2/gD2 vaccine, which produces potent antibody responses. Here we report the efficacy of a combination vaccine containing gC2/gD2 and  $U_L19/U_L47$  for prevention of genital disease, vaginal shedding of HSV-2 DNA, and latent infection of dorsal root ganglia in guinea pigs.

enital herpes is one of the most common sexually transmitted Infections. An estimated 536 million people between the ages of 15 and 49 years are infected worldwide, with 23.6 million new infections annually (1). Herpes simplex virus 2 (HSV-2) establishes a latent infection in lumbosacral dorsal root ganglia (DRG) and undergoes frequent reactivations. In immunocompetent individuals, most primary and recurrent infections are asymptomatic; however, some individuals develop 4 or more symptomatic recurrences annually (2-4). Other manifestations include meningitis in adolescents and adults and neonatal herpes if newborns become infected during labor and delivery (2, 5, 6). Neonatal herpes may result in long-term neurologic complications or death (7). Primary and recurrent HSV-2 infections increase the risk of acquiring and transmitting HIV-1 approximately 3- to 4-fold (8-10). In immunosuppressed individuals, genital herpes recurrences are frequent and often severe (11). Daily suppressive antiviral

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Address correspondence to Sita Awasthi, sawasthi@mail.med.upenn.edu. Copyright © 2015, American Society for Microbiology. All Rights Reserved. doi:10.1128/JVI.01089-15 therapy decreases symptomatic recurrences, asymptomatic genital viral shedding, and transmission to partners; however, the protection is incomplete, since antiviral therapy does not totally prevent recurrences or eradicate latency (12–15). HSV-2 is an important target for vaccine development to reduce HIV acquisition and transmission and prevent genital ulcer disease and neonatal infection.

Potent antibody and T cell responses will likely be required for an effective herpes vaccine. The importance of antibodies is supported by the results of the GlaxoSmithKline glycoprotein D2 (gD2) subunit antigen vaccine trial, which identified antibodies as a correlate of protection against HSV-1 infection and disease (16, 17). We previously demonstrated that the HSV-1 and HSV-2 gC proteins reduce the effectiveness of antibodies and complement in host defense (18-24). This observation led to studies using HSV-1 or HSV-2 gC subunit antigens as immunogens to induce antibodies that bind to gC and block its immune evasion functions (25-27). In a comparison of a bivalent gC2/gD2 subunit antigen vaccine and vaccines containing either subunit antigen alone, the bivalent vaccine provided significantly higher neutralizing antibody titers in the presence of complement and was significantly better at preventing DRG infection in mice and vaginal shedding of HSV-2 DNA during recurrent infection in guinea pigs (26). Our intent in adding gC2 to gD2 was to improve vaccine-induced humoral immunity; however, we also demonstrated that gC2 and gD2 stimulated gamma interferon (IFN- $\gamma$ )- and tumor necrosis factor alpha (TNF- $\alpha$ )-producing CD4<sup>+</sup> and CD8<sup>+</sup> T cells. While the bivalent vaccine significantly reduced the number of days of vaginal HSV-2 DNA shedding compared to that with a vaccine containing gD2 alone, it did not eradicate shedding, which led to the consideration of including additional T cell immunogens in vaccine studies.

CD8<sup>+</sup> T cells have been implicated in clearing HSV infection, and CD4<sup>+</sup> T cells have been implicated in providing helper functions to maintain CD8<sup>+</sup> T cell immunity and antibody class switching (28, 29). HSV-specific CD8  $^+$  T cells with IFN- $\gamma$  effector functions have been isolated from human trigeminal ganglia, supporting a role for  $CD8^+$  T cells in controlling reactivation (30). CD8<sup>+</sup> T cell infiltration correlates with the clearance of recurrent genital lesions, and HSV-2-specific CD8<sup>+</sup> T cells are observed to accumulate adjacent to sensory nerve endings at the epidermaldermal junction in biopsy specimens from human HSV-2-induced genital lesions (29, 31). Additionally, human studies performed on HSV-2-infected and HSV-2-exposed but antibody-seronegative individuals support the importance of CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses that recognize multiple envelope glycoproteins and capsid, tegument, and immediate early proteins (32-38). HIV/AIDS patients with reduced numbers of CD4<sup>+</sup> T cells are at increased risk of recurrent disease and HSV-2 genital shedding (39-41). In murine models, IFN-γ-producing CD4<sup>+</sup> T cells provide protection against vaginal HSV-2 challenge and IFN- $\gamma$ -secreting CD8<sup>+</sup> T cells control HSV-1 reactivation in trigeminal ganglia (28, 42–44).

A bivalent subunit antigen vaccine containing gD2 and ICP4, an immediate early protein that is both a CD4<sup>+</sup> and CD8<sup>+</sup> T cell immunogen, was used as a therapeutic vaccine to treat recurrent genital disease in HSV-2-infected guinea pigs (38). The bivalent vaccine was better than vaccines with either antigen alone in preventing recurrent lesions and vaginal shedding of HSV-2 DNA, suggesting a role for T cells in controlling genital recurrences. In this study, we evaluated the T cell immunogens VP5 (major capsid protein) and VP13/14 (tegument protein), which are the protein products of U<sub>1</sub>19 and U<sub>1</sub>47, respectively. These proteins were selected based on their ability to elicit CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses in peripheral blood mononuclear cells (PBMC) from HSV-2-seropositive individuals and HSV-seronegative individuals exposed to HSV (35-37, 45-47). In addition, U<sub>L</sub>47 contains HLA A\*0201-restricted CD8<sup>+</sup> T cell epitopes, and approximately 40 to 50% of persons in most ethnic groups express HLA A\*0201 (48). We evaluated adenovirus (Ad) vectors containing U<sub>1</sub>19 and U<sub>L</sub>47 for stimulation of CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses in mice and for recognition by U<sub>1</sub>19- and U<sub>1</sub>47-specific human CD4<sup>+</sup> and CD8<sup>+</sup> T cell clones derived from HSV-2-seropositive individuals. Furthermore, we assessed the efficacy of a bivalent gC2/gD2 subunit antigen vaccine, an adenovirus vector vaccine expressing  $U_L$ 19 and  $U_L$ 47, and a combination vaccine containing gC2/gD2 and the U<sub>1</sub>19 and U<sub>1</sub>47 adenovirus vectors for prevention of primary and recurrent genital disease, vaginal shedding of HSV-2 DNA, and latent DRG infection in guinea pigs.

#### MATERIALS AND METHODS

**Immunogens, virus, complement, and Ad28 and Ad35 constructs.** The baculovirus-expressed gC2 protein, bac-gC2(426t), includes amino acids 27 to 426 of the protein from HSV-2 strain 333, where amino acid 27 is the first amino acid after the signal peptide (49). The baculovirus-expressed gD2 protein, bac-gD2(306t), extends from amino acids 26 to 331 of the protein from HSV-2 strain 333, where amino acid 26 is the first amino acid after the signal sequence (50, 51). HSV-2 strain MS was grown and titrated on Vero cells (26). Human complement was obtained from an HSV-1- and HSV-2-seronegative donor.

Codon-optimized sequences of  $U_L$ 19 and  $U_L$ 47 were inserted into replication-defective Ad28 and Ad35 vectors. The Ad28 and Ad35 vectors with  $U_L$ 19 and  $U_L$ 47 inserts are referred to as Ad28:: $U_L$ 19, Ad28:: $U_L$ 47, Ad35:: $U_L$ 19, and Ad35:: $U_L$ 47. The  $U_L$ 19 and  $U_L$ 47 sequences were derived from HSV-2 HG52 (GenBank accession no. Z86099). The 4,122-bp  $U_L$ 19 and 2,088-bp  $U_L$ 47 transgene cassettes were codon optimized by use of custom codon optimization software by GenArt (Life Technologies). The codon-optimized sequences were subsequently synthesized and cloned into the multiple-cloning site of pUC18. Recombinant E1E4-deleted Ad28 vectors and recombinant E1-deleted Ad35 vectors carrying the  $U_L$ 19 and  $U_L$ 47 expression cassettes were constructed from the pUC18 transgene plasmids by using the GenVec AdFAST system (52–55). The Ad28 and Ad35 vectors were propagated on 293-ORF6 cells, and the titers of vector genomes were determined (55, 56).

**Evaluation of adenovirus expressing U<sub>L</sub>19 by use of a human CD4<sup>+</sup> readout T cell clone.** CD4<sup>+</sup> T cell clone ESL2.2 was recovered from a genital herpes lesion and is specific for an epitope within HSV-2 U<sub>L</sub>19encoded amino acids 1079 to 1319 (34). ESL2.2 cells (10<sup>4</sup> cells/well) were coincubated with autologous irradiated PBMC (10<sup>5</sup> cells/well) and an adenovirus vector expressing U<sub>L</sub>19 in 96-well U-bottom plates (33). Mock-infected Vero cells and adenovirus vectors expressing green fluorescent protein (GFP) were used as negative controls, while UV-treated HSV-2 strain 186 was used as a positive control. After 72 h, 1 µCi/well [<sup>3</sup>H]thymidine was added, and cells were harvested 18 h later (57). The mean ± standard deviation [<sup>3</sup>H]thymidine incorporation is expressed in counts per minute (cpm) for ESL2.2 cells stimulated by the adenovirus vectors or as the cpm for UV-inactivated virus minus the cpm for mockinfected Vero cells.

**Evaluation of adenovirus expressing U<sub>L</sub>47 by use of a human CD8<sup>+</sup> readout T cell clone.** COS-7 cells were mock transfected or transfected with HLA A\*0201 cDNA overnight in 96-well flat-bottom tissue culture plates in triplicate prior to the addition of an adenovirus expressing U<sub>L</sub>47 or GFP (control) (46, 58). As a positive control, a full-length U<sub>L</sub>47 expression plasmid was cotransfected with HLA A\*0201. After 24 h, a CD8<sup>+</sup> T cell clone specific for HSV-2 U<sub>L</sub>47 amino acids 551 to 559 from an HSV-2-infected individual was added (5 × 10<sup>4</sup> cells/well). Supernatant fluids were harvested 24 h later, and an IFN- $\gamma$ -specific enzyme-linked immunosorbent assay (ELISA) was performed (46).

Mouse immunizations and measurements of cellular immune responses. Laboratory animals were housed and handled according to the guidelines of the Institutional Animal Care and Use Committee (IACUC) of the University of Washington. C57BL/6 mice were immunized intramuscularly (i.m.) in the hind legs with Ad35::U<sub>L</sub>19 or Ad28::U<sub>L</sub>19, using  $10^6$  to  $10^{10}$  PFU (5 mice per group), on days 0 and 28. Similarly, mice were immunized with Ad35::U<sub>L</sub>47 or Ad28::U<sub>L</sub>47 at doses ranging from  $10^5$  to  $10^{10}$  PFU per immunization. Five control mice received phosphate-buffered saline (PBS) on the same schedule. Spleens were isolated on day 33, and cellular immune responses were measured by intracellular cytokine cytometry analysis.

Overlapping peptide libraries of full-length VP5 ( $U_L19$ ) and VP13/14 ( $U_L47$ ) were used to stimulate splenic lymphocytes. HSV-2 HG52 was used to design peptide arrays containing 15-amino-acid peptides with an 11-amino-acid overlap for  $U_L19$  (1,374 amino acids) and  $U_L47$  (696 amino acids) (New England Peptide). Four pools were created for  $U_L19$ , containing 85 or 86 peptides each, and two pools were created for  $U_L47$ , with 86 peptides each, with each peptide present at a final concentration of 2 µg/ml (59, 60).

Guinea pig immunizations and HSV-2 challenge studies. Laboratory animals were housed and handled according to the guidelines of the IACUC of the University of Pennsylvania. Outbred Hartley strain guinea pigs weighing 275 to 350 g (Charles River) were either mock immunized i.m. with the CpG oligonucleotide TCGTCGTTGTCGTTTTGTCGTT (Trilink Inc.) and alum (Alhydrogel; Accurate Chemical and Scientific Corporation, NY) or immunized i.m. with adenovirus vectors and gC2/ gD2 subunit antigens. Ten guinea pigs were assigned to the mock group and eight animals to each of the other three groups. The mock group received CpG and alum on days 0, 14, and 28, empty Ad28 vector on day 7, and empty Ad35 vector on day 35. The adenovirus vector-only group received Ad28::U<sub>L</sub>19 in one hind leg and Ad28::U<sub>L</sub>47 in the other hind leg on day 7 and Ad35::U<sub>L</sub>19 and Ad35::U<sub>L</sub>47 in the opposite hind legs on day 35. Preliminary experiments with mice determined that immunizing mice with one set of adenovirus vectors containing each of the inserts on day 1 followed by a booster on day 28 with the other set of adenovirus vectors containing the gene inserts gave the strongest IFN-y response as measured by enzyme-linked immunosorbent spot (ELISPOT) assay (data not shown). The subunit antigen-only group received bac-gC2(426t) and bac-gD2(306t) given with CpG/alum i.m. in one hind leg on days 0, 14, and 28. The combined adenovirus vector and subunit antigen group received bac-gC2(426t) and bac-gD2(306t) with CpG/alum given i.m. in one hind leg on days 0, 14, and 28, Ad28::U<sub>L</sub>19 and Ad28::U<sub>L</sub>47 given in opposite hind legs on day 7, and Ad35::U<sub>L</sub>19 and Ad35::U<sub>L</sub>47 given in opposite hind legs on day 35. The subunit antigen vaccines contained 10 µg gC2 and 5 µg gD2 mixed with CpG at 100 µg/guinea pig and alum at  $20 \,\mu\text{g}/\mu\text{g}$  protein in a total volume of 50  $\mu$ l per immunization (26). Individual antigens were first mixed with CpG and alum and then combined just prior to immunization. Adenovirus vector immunizations were given in 50  $\mu l$  PBS containing 1  $\times$  10  $^{10}$  PFU.

Some guinea pigs were bled from a hind leg saphenous vein prior to the first immunization, and all animals were bled 14 to 17 days after the final immunization. Ten days later, animals were infected intravaginally with  $5 \times 10^5$  PFU of HSV-2 strain MS (approximately 500 50% lethal doses [LD<sub>50</sub>], based on our unpublished dose-response studies of guinea pigs) and scored over 14 days for acute disease on a scale of 0 to 4, where 0 reflects no disease, 1 redness, 2 a single lesion, 3 coalesced lesions, and 4 ulcerated lesions (61). Urinary retention and hind leg weakness were also recorded. To assist some mock-immunized animals to survive the acute infection, 5 mg/ml acyclovir was placed in the drinking water of six guinea pigs for 7 days, starting at 48 h postinfection. Guinea pigs were swabbed daily for 6 days for determination of vaginal viral titers after vaginal challenge and were observed daily on 44 of 46 days from days 15 to 60 for recurrent genital disease. Vaginal swabs for HSV-2 DNA copy number determinations were obtained on 21 days, between days 28 and 49 after challenge. Swabs were stored at  $-80^{\circ}$ C prior to culture or processing for HSV-2 DNA measurement by quantitative PCR (qPCR).

ELISA, neutralizing antibodies, and Western blotting. ELISA titers to gC2 and gD2 were measured by coating plates with 200 ng of antigen and adding serial dilutions of serum, starting at a dilution of 1:100 (26). The endpoint titer was considered the highest dilution that resulted in an optical density (OD) of  $\geq 0.1$  and that was at least 2-fold higher than the OD of sera from mock-immunized animals at that dilution. A negative result was assigned a titer of 1:50. Neutralizing antibodies were measured by heating serum to 56°C for 30 min to inactivate guinea pig complement and then incubating the serum at a 1:40 dilution with 10% human serum from an HSV-1- and -2-seronegative donor as a source of complement and with  $1\times 10^5$  to  $5\times 10^5$  PFU/100  $\mu l$  of HSV-2 at 37°C for 1 h. Virus titers were determined by plaque assay on Vero cells and are reported as log10 PFU/100 µl. Western blotting was performed using Vero cells transfected with Ad28 and Ad35 expressing U<sub>1</sub>19 and U<sub>1</sub>47 to confirm the expression of VP5 and VP13/14. VP5 (U<sub>1</sub>19) was detected using anti-HSV-U<sub>1</sub>19 monoclonal antibody (MAb) 3B6 (Abcam), while VP13/14 (U<sub>1</sub>47) was identified using a polyclonal antibody prepared by immunizing mice with a DNA carrying full-length U<sub>L</sub>47 (62). To evaluate whether guinea pigs immunized with adenovirus vectors expressing U<sub>1</sub>19 and U<sub>L</sub>47 produced antibodies to the corresponding viral proteins, Western blotting was performed using sucrose gradient-purified HSV-2 obtained from infected Vero cells or mock-infected Vero cells (as a control).

Determination of HSV-2 DNA copy number by real-time qPCR. DNAs were isolated from guinea pig vaginal swabs or proteinase K-treated DRG by using a QIAamp 96 DNA blood kit (Qiagen). HSV-2 genome copies were quantitated by real-time PCR to detect the HSV-2 glycoprotein B (gB) gene (63). Each 30-µl PCR mix contained 10 µl of extracted DNA, 15 µl of 2× QuantiTect multiplex PCR master mix (Qiagen), 830 nM (each) HSV-2 gB primer, 100 nM gB probe,  $2.5 \times 10^4$  copies of Exo as an internal control DNA template, 100 nM (each) Exo primer, 125 nM Exo probe, and 0.03 U of uracil N-glycosylase (UNG) to eliminate carryover of PCR products. An ABI 7900 HT machine was used for detection of DNA amplification. The negative result was accepted only if the Exo internal control was detected. To monitor for possible contamination, every 5 samples were matched with 1 negative-control sample, which was coprocessed with the experimental samples. Each PCR run also included two no-template negative controls. Vaginal swab samples that contained  $\geq$  3.0 copies of HSV-2 DNA, which is equivalent to ≥150 copies/ml, were considered positive (63).

HSV-2 LAT and DNA copies in DRG. Total RNA was isolated from guinea pig DRG by using an RNeasy minikit (Qiagen) in conjunction with DNase I treatment. HSV-2 latency-associated transcripts (LAT) and the guinea pig glyceraldehyde-3-phosphate dehydrogenase (GAPDH) gene were detected by real-time reverse transcription-PCR (RT-PCR). Each 50-µl one-step real-time RT-PCR mixture contained 2 µl of RNA, 25 µl of 2× QuantiTect multiplex RT-PCR master mix, 830 nM (each) primer, 100 nM (each) probe, 0.05 U of UNG, 0.5 µl of QuantiTect multiplex RT mix,  $2.5 \times 10^4$  copies of Exo internal control RNA template, 100 nM (each) Exo primer, and 125 nM Exo probe. As a control, reverse transcriptase was omitted from the reaction mix to verify that DNA was inactivated. The cycling conditions were 20 min at 50°C, 15 min at 95°C, and then 45 cycles of 94°C for 45 s and 60°C for 45 s. The primers and probes for HSV-2 LAT and GAPDH were as follows: HSV-2 LAT forward primer, 5' GTC AAC ACG GAC ACA CTC TTT TT; LAT reverse primer, 5' CGA GGC CTG TTG GTC TTT ATG; LAT probe, 5' 6-carboxyfluorescein (FAM)-CAC CCA CCA AGA CAG GGA GCC A-6-carboxytetramethylrhodamine (TAMRA); guinea pig GAPDH forward primer, 5' CCG CAT CGG TAT TCC TTC TTC; GAPDH reverse primer, 5' TCC GAC CTT CAC CAT CTT GTC; and GAPDH probe, 5' FAM-CGT GCA GTG CCA GCC GCA AC-TAMRA (55). LAT and GAPDH results were plotted as the



FIG 1 Western blots showing expression of  $U_L$ 19 and  $U_L$ 47 in adenovirus vectors. Three clones were evaluated for expression of  $U_L$ 19 (VP5) (A) and  $U_L$ 47 (VP13/14) (B). Ad28::GFP and Ad35::GFP refer to adenovirus vectors containing GFP sequences instead of HSV-2 DNA. HSV-2 UV control, sucrose gradient-purified virus prepared from HSV-2-infected Vero cells; uninfected control, sucrose gradient purification of mock-infected Vero cells. In panel B, a faint, nonspecific band is visible just below VP13/14 and in the no-vector and Ad35::GFP lanes. The positions of molecular weight (MW) markers are indicated.

real-time PCR cycle threshold ( $C_T$ ) values, and the  $C_T$  was defined as the number of cycles of amplification required for the fluorescence signal to exceed the background level (threshold).

**Statistics.** The significance of differences in survival was calculated using the log rank (Mantel-Cox) test. Fisher's exact test was performed to compare the numbers of guinea pigs in each group that developed disease, the numbers of recurrent lesion days, and the numbers of DNA-positive days of shedding. Analysis of variance (nonparametric test) followed by Tukey's posttest analysis was performed for all possible pairwise comparisons.

### RESULTS

**Expression of U<sub>L</sub>19 and U<sub>L</sub>47.** 293T cells were transduced with an Ad28 vector containing HSV-2 U<sub>L</sub>19 DNA (Ad28::U<sub>L</sub>19) or an Ad35 vector containing HSV-2 U<sub>L</sub>47 DNA (Ad35::U<sub>L</sub>47). Forty-eight hours later, cells were lysed and protein expression confirmed by Western blotting. Proteins of the expected size were detected in the 293T-transduced cells expressing the protein products of U<sub>L</sub>19 (VP5) (Fig. 1A) and U<sub>L</sub>47 (VP13/14) (Fig. 1B). Sucrose gradient-purified HSV-2 was UV inactivated and used as a positive control for VP5 and VP13/14, while mock-infected Vero cells were purified on a sucrose gradient and served as an uninfected control.

HLA class II antigen processing of HSV-2  $U_L$ 19 expressed in Ad28 or Ad35. To evaluate whether HSV-2  $U_L$ 19 expressed from

Ad28 or Ad35 can be processed and presented to CD4<sup>+</sup> T cells, the U<sub>L</sub>19-specific human CD4<sup>+</sup> T cell clone ESL2.2 was incubated with autologous irradiated PBMC as antigen-presenting cells (APC) and with Ad28::U<sub>1</sub>19, Ad35::U<sub>1</sub>19, or UV-treated HSV-2 strain 186 (positive control). The responder cells were also incubated with mock-infected Vero cells and Ad28 or Ad35 expressing GFP as negative controls. Proliferative responses to these negative-control stimuli were absent (Fig. 2A). UV-inactivated HSV-2 gave a strong positive response. Both Ad35 and Ad28 expressing U<sub>1</sub>19 stimulated the proliferation of the human CD4<sup>+</sup> T cell clone (P < 0.001 for comparing Ad35::U<sub>L</sub>19 or Ad28::U<sub>L</sub>19 to Ad35:: GFP or Ad28::GFP). Ad35::U<sub>1</sub>19 stimulated significantly greater proliferation of human CD4<sup>+</sup> T cells than Ad28:: $U_1$  19 did (P <0.001). Therefore,  $U_L$ 19 expressed by Ad28:: $U_L$ 19 and Ad35:: $U_L$ 19 can be processed by APC and presented to human CD4<sup>+</sup> T cells. A similar assay for HLA class II antigen presentation of U<sub>1</sub>47 was not performed because we do not have a human CD4<sup>+</sup> T cell clone that recognizes epitopes within  $U_147$ .

HSV-2 U<sub>L</sub>47 expressed in Ad28 or Ad35 stimulates a human CD8<sup>+</sup> T cell clone. HLA A\*0201-transfected COS-7 cells were infected with Ad28::U<sub>L</sub>47 or Ad35::U<sub>L</sub>47 and incubated with a human CD8<sup>+</sup> T cell clone specific for HSV-2 U<sub>L</sub>47-encoded amino acids 551 to 559. The supernatant fluids were analyzed for



**FIG 2**  $\text{CD4}^+$  and  $\text{CD8}^+$  T cell stimulation by adenovirus vectors expressing U<sub>L</sub>19 and U<sub>L</sub>47. (A) The human  $\text{CD4}^+$  T cell clone ESL2.2 was incubated with HLA-matched irradiated human PBMC. Ad35::U<sub>L</sub>19 or Ad28::U<sub>L</sub>19 was added as a test stimulus, while GFP-expressing adenovirus vectors were used as negative controls and UV-inactivated HSV-2 was used as a positive control. (B) HLA A\*0201-transfected COS-7 cells were transduced with Ad35::U<sub>L</sub>47 or Ad28::U<sub>L</sub>47. ELISA was performed to measure IFN- $\gamma$  production. The U<sub>L</sub>47 plasmid served as a positive control to stimulate a human U<sub>L</sub>47-specific CD8<sup>+</sup> T cell clone. Ad28::GFP and Ad35::GFP were used as negative controls.



FIG 3 Dose determination and immunogenicity in C57BL/6 mice. (A and B) Mice were immunized i.m. twice with  $10^6$  to  $10^{10}$  PFU of Ad35::U<sub>L</sub>19 or Ad28::U<sub>L</sub>19. Splenocytes were stimulated with 4 peptide pools of U<sub>L</sub>19 or with DMSO. Fluorescence-activated cell sorting (FACS) was used to detect IFN- $\gamma$ -positive CD8<sup>+</sup> T cells. (C to E) Ad35::U<sub>L</sub>47 and Ad28::U<sub>L</sub>47 were evaluated at  $10^5$  to  $10^{10}$  PFU, and splenocytes were stimulated with two peptide pools of U<sub>L</sub>47 or with DMSO. FACS was used to measure IFN- $\gamma$ -positive CD8<sup>+</sup> T cells (C and D) or CD4<sup>+</sup> T cells (E). For all panels, five mice were included in each group.

IFN- $\gamma$  release. The negative controls, Ad35::GFP and Ad28::GFP, did not stimulate CD8<sup>+</sup> T cells, while Ad35::U<sub>L</sub>47 and Ad28:: UL47 resulted in IFN- $\gamma$  release (Fig. 2B). As a positive control, a U<sub>L</sub>47 full-length plasmid was transfected into HLA A\*0201-transduced COS-7 cells, which stimulated IFN- $\gamma$  release. Ad28::U<sub>L</sub>47 showed a modest stimulation of CD8<sup>+</sup> T cells, while Ad35::U<sub>L</sub>47 was a more potent inducer of IFN- $\gamma$  (P < 0.001 for comparing Ad28::U<sub>L</sub>47 to Ad28 or Ad35 expressing GFP; P < 0.001 for comparing Ad35::U<sub>L</sub>47 to Ad28 or Ad35 expressing GFP; P < 0.001 for comparing Ad35::U<sub>L</sub>47 to Ad28::U<sub>L</sub>47). Therefore, U<sub>L</sub>47 expressed in COS-7 cells after infection with Ad35::U<sub>L</sub>47 or Ad28:: UL47 was processed and presented to human CD8<sup>+</sup> T cells. A similar assay for HLA class I antigen presentation of U<sub>L</sub>19 was not performed because we do not have a human CD8<sup>+</sup> T cell clone that recognizes epitopes within U<sub>L</sub>19.

CD8<sup>+</sup> T cell responses to Ad28 or Ad35 expressing  $U_L$ 19 or  $U_L$ 47 in C57BL/6 mice. Dose determination for cellular immune responses directed toward  $U_L$ 19 was performed in C57BL/6 mice. Following two immunizations with a range of doses of Ad35::

U<sub>L</sub>19 or Ad28::U<sub>L</sub>19, from 10<sup>6</sup> to 10<sup>10</sup> PFU, splenocytes were isolated and stimulated with dimethyl sulfoxide (DMSO) or four pools containing 85 or 86 peptides each, spanning 1,374 amino acids (Fig. 3A and B). No induction of IFN-γ was observed for splenocytes from naive mice stimulated with any peptide pool or with DMSO. Significant stimulation of IFN-γ-positive CD8<sup>+</sup> T cells was observed in splenocytes from mice immunized with 10<sup>8</sup>, 10<sup>9</sup>, or 10<sup>10</sup> PFU of Ad35::U<sub>L</sub>19 when the cells were incubated with peptide pool 3 (Fig. 3A). Similarly, Ad28::U<sub>L</sub>19 stimulated IFN-γ positive CD8<sup>+</sup> T cells at doses of ≥10<sup>8</sup> PFU (Fig. 3B) (*P* < 0.001 for comparing naive or DMSO-stimulated samples to samples obtained from mice immunized with 10<sup>8</sup> to 10<sup>10</sup> PFU of Ad35 or Ad28 expressing U<sub>L</sub>19 and stimulated with peptide pool 3). A dose of 10<sup>10</sup> PFU of Ad35::U<sub>L</sub>19 or Ad28::U<sub>L</sub>19 produced the largest proportion of IFN-γ-producing CD8<sup>+</sup> T cells.

Mice were immunized twice as described above to assess  $CD8^+$ T cell responses to Ad35:: $U_L47$  or Ad28:: $U_L47$ . Splenocytes from naive mice exhibited no induction with DMSO or two peptide



FIG 4 Antibody responses following immunization. Antibody responses to gC2 (A) and gD2 (B) were determined by ELISA, using sera obtained after the third mock immunization or after immunizations with the adenovirus vectors expressing  $U_L$ 19 and  $U_L$ 47, the gC2/gD2 subunit antigens, or the combined subunit and adenovirus antigens. Results represent  $\log_{10}$  geometric mean titers (GMT) with 95% confidence intervals (CI) (n = 10 for the mock group and 8 for the other groups). (C) Western blots (WB) obtained using sucrose gradient-purified HSV-2 prepared from infected Vero cells or uninfected controls obtained using sucrose gradient materials from mock-infected Vero cells. The left gel was probed with sera from guinea pigs immunized with adenovirus vectors containing  $U_L$ 19 and  $U_L$ 47, the middle gel was probed with an anti-HSV- $U_L$ 19 MAb, and the right gel was probed with a mouse polyclonal antiserum to  $U_L$ 47. (D)  $Log_{10}$  titers of HSV-2 incubated with a 1:40 dilution of the same sera as in panels A and B, in the presence of 10% human complement. Results represent virus titers remaining after incubating HSV-2 with antibody and complement, expressed as mean  $\log_{10}$  PFU/100  $\mu$ L  $\pm$  standard errors of the means (SEM).

pools, each containing 86 peptides spanning 696 amino acids. Mice immunized with  $10^9$  or  $10^{10}$  PFU of Ad35::U<sub>1</sub>47 had a significant increase in IFN- $\gamma$ -positive CD8<sup>+</sup> T cells when splenocytes were stimulated with peptide pool 1 (P < 0.01 for comparing naive or DMSO-stimulated splenocytes to splenocytes from mice immunized with  $10^9$  or  $10^{10}$  PFU of Ad35::U<sub>L</sub>47) (Fig. 3C). A small, nonsignificant induction of IFN- $\gamma$ -positive CD8<sup>+</sup> T cells was noted with peptide pool 2. A significant increase in IFN-yproducing CD8<sup>+</sup> T cells was noted for splenocytes obtained from animals immunized with 10<sup>10</sup> PFU of Ad28::U<sub>1</sub>47 and stimulated with peptide pool 1 (P < 0.05 for comparing naive or DMSOstimulated splenocytes to splenocytes from mice immunized with 10<sup>10</sup> PFU of Ad28::U<sub>1</sub>47) (Fig. 3D). Therefore, Ad28 and Ad35 vectors containing U<sub>L</sub>19 and U<sub>L</sub>47 stimulated murine CD8<sup>+</sup> T cells, with higher levels of IFN- $\gamma$  responses detected in mice immunized with U<sub>1</sub>19 than with U<sub>1</sub>47. An additional experiment was performed using Ad28:: $U_L47$  to stimulate murine CD4<sup>+</sup> T cells. A modest but significant increase in IFN-y-producing CD4<sup>+</sup> T cells was noted at 10<sup>9</sup> and 10<sup>10</sup> PFU for splenocytes obtained from animals immunized with Ad28::U147 and stimulated with peptide pools 1 and 2 (Fig. 3E) (P < 0.05 for comparing naive or DMSO-stimulated splenocytes to splenocytes obtained from mice that received  $10^9$  or  $10^{10}$  PFU of Ad28::U<sub>L</sub>47 and stimulated with peptide pools 1 and 2). Therefore, U<sub>L</sub>19 and U<sub>L</sub>47 both induce CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses when evaluated with either human or murine cells.

Guinea pig immunizations with gC2/gD2 subunit antigens and Ad28 and Ad35 vectors. The geometric mean gC2 and gD2 antibody titers in mock-immunized and Ad28- and Ad35-immunized guinea pigs were <1:100 (Fig. 4A and B). The geometric mean gC2 antibody titers in the gC2/gD2 subunit group and the combined group were 1:64,000 and 1:51,200, respectively (Fig. 4A). Three guinea pigs immunized with  $U_1 19/U_1 47$  had low positive titers to gC2, which likely represents nonspecific binding. The mean gD2 antibody titers in the gC2/gD2 group and the combined group were 1:72,000 and 1:51,200, respectively (Fig. 4B) (P <0.001 for comparing gC2 and gD2 titers of the gC2/gD2 or combined group with those of the mock or adenovirus vector-only groups; the difference was not significant for comparing the gC2/ gD2 and combined groups). These results indicate that immunization with Ad28 and Ad35 encoding U<sub>1</sub>19 and U<sub>1</sub>47 did not blunt the gC2 and gD2 ELISA antibody responses.

Western blotting was performed to evaluate whether guinea pigs dually immunized with Ad28 or Ad35 vectors expressing

#### A Disease manifestations

Condition	Mock		U <sub>L</sub> 19/U <sub>L</sub> 47	gC2/gD2	gC2/gD2-
	Without Acyclovir	With Acyclovir			0,19/0,4/
Death	4/4	3/6	8/8	0/8	0/8
Hind limb weakness	4/4	6/6	8/8	0/8	0/8
Urinary retention	4/4	5/6	8/8	0/8	0/8
Guinea pigs with acute genital disease	3/4*	5/6	8/8	2/8	0/8
No. of days with genital lesions (D1-14)	19/44 (43.2%)	36/70 (51.42)	33/61 (54.1%)	2/112 (1.8%)	0/112 (0%)

<sup>\*</sup>One animal had hind limb weakness, urinary retention and died without developing genital lesions



FIG 5 Subunit antigen gC2/gD2 immunization or combined gC2/gD2- $U_L$ 19/ $U_L$ 47 immunization protects guinea pigs against HSV-2 vaginal challenge. Guinea pigs were mock immunized or immunized with the gC2/gD2 subunit antigens, adenovirus vectors expressing  $U_L$ 19 and  $U_L$ 47, or the combined gC2/gD2 and  $U_L$ 19/ $U_L$ 47 vaccine and challenged with 5 × 10<sup>5</sup> PFU of HSV-2 MS. (A) Table describing disease manifestations. Six of 10 mock-immunized animals were treated with acyclovir in an effort to have some animals survive the acute infection. (B) Genital disease scores of surviving animals (means ± SEM). (C) Vaginal viral titers on days 1 to 6 postinfection. Results represent  $\log_{10}$  PFU per ml ± SEM. "Mock/acyclovir" in panels B and C represents the combination of the six acyclovir-treated and four untreated animals.

 $U_L$ 19 and  $U_L$ 47 produced antibodies to VP5 and VP13/14, respectively. The guinea pig immune sera recognized proteins with molecular masses similar to those of VP5 and VP13/14 (Fig. 4C, left gel). We confirmed the presence of the VP5 and VP13/14 proteins by using an anti-HSV-VP5 MAb (Fig. 4C, middle gel) and a mouse anti-VP13/14 polyclonal antibody (Fig. 4C, right gel).

Immunizations with subunit gC2/gD2 and combined gC2/ gD2-U<sub>L</sub>19/U<sub>L</sub>47 vaccines generate neutralizing antibodies against HSV-2. Guinea pig sera were tested for neutralizing antibodies in the presence of 10% human complement obtained from an HSV-1/2-seronegative donor. Complement alone at a 10% concentration did not neutralize HSV-2 (data not shown). Mock immunization or immunization with adenovirus vectors containing U<sub>1</sub>19 and U<sub>1</sub>47 did not induce neutralizing antibodies to HSV-2 when antibodies were evaluated at a serum dilution of 1:40. Sera from guinea pigs immunized with gC2/gD2 or the combined vaccine in the presence of human complement neutralized approximately 4 log<sub>10</sub> more HSV-2 than sera from mock-immunized or U<sub>1</sub>19/U<sub>1</sub>47-immunized animals (Fig. 4D) (P < 0.001 for comparing the gC2/gD2 or combined group with the mock or  $U_1 19/U_1 47$  group).  $U_1 19/U_1 47$ immunization did not interfere with the ability of gC2/gD2 to neutralize HSV-2 in the combination vaccine.

Subunit gC2/gD2 and combined gC2/gD2-U<sub>L</sub>19/U<sub>L</sub>47 immunizations protect guinea pigs from acute and recurrent gen-

ital disease. Guinea pigs were challenged intravaginally with 5 imes $10^5$  PFU of HSV-2 strain MS ( $\geq$  500 LD<sub>50</sub>). Three of six acyclovirtreated mock-immunized guinea pigs survived, while 4/4 animals that were not treated with acyclovir developed severe hind limb weakness and urinary retention and were euthanized. Overall, 8/10 mock-immunized animals developed acute genital lesions (Fig. 5A). All animals immunized with adenovirus vectors containing U<sub>L</sub>19/U<sub>L</sub>47 without gC2/gD2 developed acute genital lesions, hind limb weakness, and urinary retention and were euthanized between days 8 and 10. The gC2/gD2 group and the combined group had 100% survival, with no animals developing urinary retention or hind limb weakness. Two of 8 guinea pigs in the gC2/gD2 group had mild genital disease, with each animal developing a single small lesion on day 8 that healed the next day, while the animals in the combined group had no genital disease (Fig. 5B). The mock/acyclovir and  $U_L 19/U_L 47$  groups had significantly higher disease scores than the gC2/gD2 or combined group (P < 0.001 for comparing the gC2/gD2 or combined group to the mock or U<sub>L</sub>19/U<sub>L</sub>47 group; the difference was not significant for comparing the gC2/gD2 and combined groups). Vaginal viral titers on days 1 to 6 were significantly reduced in the gC2/gD2 and combined groups compared to the mock and U<sub>L</sub>19/U<sub>L</sub>47 groups (Fig. 5C) (P < 0.01 for comparing the mock group to the gC2/gD2 or combined group; P < 0.001 for comparing the U<sub>1</sub>19/U<sub>1</sub>47



**FIG 6** Subunit antigen immunization or combined  $gC2/gD2-U_L19/U_L47$  immunization completely protects guinea pigs against HSV-2 recurrent genital disease. (A) The occurrence of recurrent genital disease was plotted as the average cumulative number of lesion days per animal from days 15 to 60 after HSV-2 challenge. (B) Vaginal shedding of HSV-2 DNA as measured by qPCR from days 28 to 48 postchallenge. Each block represents 1 day. X's indicate days when genital lesions were observed. (C) Table showing the incidences of vaginal HSV-2 DNA shedding and numbers of HSV-2 DNA shedding days.

group to the gC2/gD2 or combined group; the difference was not significant for comparing the mock and  $U_L 19/U_L 47$  groups or the gC2/gD2 and combined groups). Therefore, immunization with adenoviruses expressing  $U_L 19/U_L 47$  did not protect guinea pigs from acute infection, and when these adenoviruses were given with gC2/gD2, they did not have a statistically significant impact on protection against acute disease or acute vaginal viral titers.

Recurrent genital disease and recurrent vaginal shedding of HSV-2 DNA. The three surviving acyclovir-treated mock-immunized animals each had recurrent disease between days 15 and 60, with lesions present on 28% of the days and a cumulative average of 12.7 lesion days per animal. None of the U<sub>1</sub>19/U<sub>1</sub>47-immunized guinea pigs survived the acute disease, and therefore these animals could not be evaluated for recurrences. The gC2/gD2 and combined groups had no recurrent genital disease (Fig. 6A). Only 2 of the 3 surviving mock-immunized/acyclovir-treated animals were evaluated for HSV-2 DNA shedding, since 1 guinea pig developed scar tissue in the vaginal canal, which prevented vaginal swabbing. HSV-2 DNA was detected on 15/42 days (Fig. 6B and C). Despite the fact that there was no recurrent genital disease, 5/8guinea pigs in the gC2/gD2 and combined groups had subclinical vaginal shedding of HSV-2 DNA that was detected on 15/168 and 13/168 days, respectively (Fig. 6B and C) (P < 0.01 for comparing the gC2/gD2 or combined group to the mock-immunized group; the difference was not significant for comparing the gC2/gD2 and

combined groups). As a control, vaginal swabs were obtained on 21 consecutive days from 6 guinea pigs that were not infected with HSV-2; no HSV-2 DNA was detected in these animals (0/126 swabs) (results not shown). On days with vaginal HSV-2 shedding, the mean HSV-2 DNA copy numbers were 3.3 log<sub>10</sub>, 3.7 log<sub>10</sub>, and 4.5 log<sub>10</sub> for the mock, gC2/gD2, and combined groups, respectively (the differences were not significant). Therefore, the gC2/gD2 vaccine and the combined vaccine protected 100% of the guinea pigs from recurrent genital disease and reduced the frequency of vaginal HSV-2 DNA shedding but did not prevent shedding and did not lower the copy number of HSV-2 DNA on days with shedding.

HSV-2 genomic DNA copy numbers and detection of LAT in guinea pig DRG. Guinea pigs were euthanized at 81 days postinfection, and the lumbosacral DRG were evaluated for HSV-2 DNA copy number and the presence of LAT. The DRG of the three surviving mock-immunized/acyclovir-treated animals were positive for HSV-2 DNA, with a mean of 2.2 log<sub>10</sub> DNA copies (Fig. 7A). The DRG from 5/8 guinea pigs in the gC2/gD2 group were positive, with a mean of 1.2 log<sub>10</sub> DNA copies, while the combined group had 2/8 positive DRG, with a mean of 0.5 log<sub>10</sub> DNA copies (P = 0.31 for comparing the numbers of HSV-2 DNA-positive DRG in the gC2/gD2 and combined groups; the *P* value was not calculated for comparing the mock-immunized/acyclovir-treated group with the other groups, since only three animals survived).



FIG 7 HSV-2 DNA copies and detection of LAT in DRG. DRG were isolated at 81 days postchallenge and analyzed for HSV-2 DNA copies. (A) HSV-2 DNA  $\log_{10}$  copy numbers in DRG. (B and C) LAT and GAPDH transcripts in DRG were plotted as real-time PCR  $C_T$  values. The gray boxes indicate that there was no transcript amplification by 45 PCR cycles. The negative LAT samples were assigned a  $C_T$  value of 46 (>45 cycles) for calculations of mean  $\pm$  SEM values.  $C_T$  values rather than copy numbers were used to express results because purified RNA was not available to develop standard curves.

Two of three DRG from mock-immunized/acyclovir-treated animals were positive for LAT, compared with 5/8 DRG from the gC2/gD2 group and 2/8 DRG from the combined group (the difference was not significant for comparing the gC2/gD2 and combined groups) (Fig. 7B). The numbers of GAPDH control transcripts were similar for all groups (Fig. 7C).

## DISCUSSION

Immunization with a gD2 subunit antigen administered with monophosphoryl lipid A and alum as adjuvants failed to meet primary endpoints in human clinical trials, although a secondary endpoint was met in one of the trials, in that the vaccine prevented genital herpes in HSV-1/2 doubly seronegative women, and in a subsequent trial, a subset analysis determined that the vaccine reduced infection with HSV-1 but not HSV-2 (64, 65). We formulated a combined subunit antigen and adenovirus vector vaccine that included gC2/gD2, U<sub>L</sub>19 (VP5), and U<sub>L</sub>47 (VP13/14), with the expectation that the vaccine would induce potent neutralizing antibodies, CD4<sup>+</sup> T helper cells, and CD8<sup>+</sup> cytotoxic T cells (26, 36, 46). We used the guinea pig genital infection model to determine whether the combined vaccine prevents genital lesions and eliminates HSV-2 DNA vaginal shedding, which is a useful surrogate marker of the risk of transmission (66).

Full-length HSV-2  $U_L$ 19 and  $U_L$ 47 were expressed in replication-defective adenoviral vectors 28 and 35. These constructs were capable of stimulating human  $U_L$ 19-specific CD4<sup>+</sup> and  $U_L$ 47-specific CD8<sup>+</sup> T cell clones. In mice,  $U_I$ 19 peptide pool 3 (amino acids 681 to 1031) and  $U_L47$  peptide pool 1 (amino acids 1 to 356) induced IFN- $\gamma$ -secreting CD8<sup>+</sup> T cells, while UL47 peptide pools 1 and 2 stimulated CD4<sup>+</sup> T cells. T cell responses were not evaluated in guinea pigs because of a lack of guinea pig-specific reagents; however, we demonstrated that antibodies to  $U_L19$  and  $U_L47$  were produced in guinea pigs. The development of IgG is usually dependent on cognate CD4<sup>+</sup> T cell helper responses, and as shown in Fig. 4C, vaccinated animals mounted IgG responses to  $U_L47$  and  $U_L47$ .

Animals immunized with the adenovirus vectors expressing U<sub>1</sub>19 and U<sub>1</sub>47 developed severe acute disease and died, indicating that these T cell immunogens did not prevent lethal infection or severe genital disease. The gC2/gD2 subunit antigen vaccine was highly efficacious in preventing acute and recurrent genital disease, leaving little room for improvement by the combined gC2/gD2 and adenovirus vector U<sub>1</sub>19/U<sub>1</sub>47 vaccine. Small differences were noted on comparing the gC2/gD2 subunit antigen and combined groups, including differences in the incidence of acute genital disease (2/8 versus 0/8 animals), number of days with acute genital disease (2/112 versus 0/112 days), number of days of vaginal HSV-2 DNA shedding (15/168 versus 13/168 days), HSV-2 DNA copy number in DRG  $(1.2 \log_{10} \text{ versus } 0.5 \log_{10})$ , number of DRG with detectable HSV-2 LAT (5/8 versus 2/8 DRG), and HSV-2 DNA log<sub>10</sub> copy number in vaginal swabs during episodes of shedding  $(3.7 \log_{10} \text{ versus } 4.5 \log_{10})$ . Although the differences favored the combined group in all situations except the vaginal HSV-2 DNA copy number during episodes of vaginal shedding, none was statistically significant. We did a sample size calculation with the assumption, based on the results of the current study, that the gC2/gD2 vaccine protects 80% of guinea pigs from acute and recurrent disease and that the combined vaccine protects 90% of animals. Achieving 80% power to obtain a *P* value of  $\leq 0.05$  for comparing the subunit antigen group with the combined group will require a sample size of 398 guinea pigs for a 2-sided test of significance or 314 animals for a 1-sided test. Therefore, repeating the study by adding another 8 to 10 animals per group will not be useful. The results for acute and recurrent lesions and vaginal shedding of HSV-2 DNA obtained in the comparator-arm, gC2/ gD2- and CpG/alum-immunized guinea pigs of the current study are virtually identical to our previously published results using the same antigens and adjuvants, which supports the reproducibility of the results (26). A vaccine that combines potent humoral and T cell antigens is a concept worthy of pursuit; however, our results suggest that the optimal combination of immunogens may differ from those used in this study.

The possibility exists that U<sub>L</sub>19 and U<sub>L</sub>47 may be less potent CD8<sup>+</sup> T cell immunogens in guinea pigs than in mice and humans or that the potency of U<sub>L</sub>19 and U<sub>L</sub>47 is not sufficiently superior to that of gC2 and gD2 when given with CpG and alum for stimulating CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses. The lack of reagents to identify T cell responses in guinea pigs makes it difficult to assess these possibilities. A prior study demonstrated that the HSV-2 immediate early protein ICP4 induces robust CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses in mice. When used as a vaccine to treat guinea pigs with recurrent genital herpes disease, the combination of ICP4 and gD2 subunit antigens given with Matrix M-2 (MM2) adjuvant significantly reduced recurrent genital disease and vaginal shedding of HSV-2 DNA, which supports the use of a vaccine designed to stimulate neutralizing antibodies and T cell immunity for treatment of genital herpes disease (38). The same combination is in early-phase human trials and has shown encouraging results in reducing recurrent genital disease and HSV-2 DNA shedding (67). A DNA-based approach that administered gD2,  $U_{L}46$ (VP11/12), and U<sub>1</sub>47 with Vaxfectin as an adjuvant was efficacious in guinea pigs at preventing genital disease and as immunotherapy for treating genital disease; however, the incidence of HSV-2 DNA shedding and the titer of HSV-2 DNA shed were not significantly reduced compared to those with gD2 alone (68). The UL46 and UL47 DNA vaccine without gD2 did not reduce recurrent genital disease in HSV-2-infected guinea pigs (68). These studies suggest that immunization with a small number of T cell immunogens without including antigens that induce protective antibody responses is unlikely to be effective for prevention or treatment of genital herpes.

Vaginal shedding of HSV-2 DNA has been studied extensively in humans (69–71). The presence of vaginal HSV-2 DNA shedding in the absence of genital disease in guinea pigs is similar to observations in humans; however, HSV-2 DNA shedding is less well understood for guinea pigs, since there is no transmission model to correlate shedding with infectivity (70, 72, 73). Using mathematical modeling, a threshold for transmitting infection in humans was proposed to be  $10^4$  HSV-2 DNA copies (74). DNA shedding correlates well with virus culture results from genital swab samples; however, even at  $10^6$  HSV-2 DNA copies, only 50% of virus cultures are positive (75). Additional studies of guinea pigs are needed to address the significance of low levels of HSV-2 DNA detected in immunized animals. It will be important to determine whether immunized animals are shedding infectious virus or mostly defective viral genomes before considering HSV-2 DNA shedding to be a valid marker for vaccine failure in animal models, and perhaps human studies.

The guinea pig genital herpes infection model has been used effectively to screen for candidate vaccines. Immunogens that perform poorly in this model are unlikely to succeed in humans. Although animal models have limitations in predicting outcomes of vaccine trials in humans, the models can be helpful by (i) defining immune correlates of protection; (ii) determining the significance of seroconversion after genital challenge in vaccinated animals, since it is possible that immunized animals may seroconvert based on genital replication without developing genital lesions or establishing latency; (iii) establishing the biologic relevance of subclinical shedding of HSV-2 DNA; and (iv) evaluating whether immunization protects against both HSV-1 and HSV-2 genital challenges. In the current study, a high degree of protection was provided by the gC2/gD2-CpG/alum vaccine; however, the protection was not total. Room for improvement exists, particularly related to reducing episodes of recurrent shedding of HSV-2 DNA. Yet no significant reduction in vaginal DNA shedding was detected by adding the U<sub>L</sub>19 and U<sub>L</sub>47 T cell immunogens. Future studies that use suboptimal concentrations of gC2/gD2 may be required to demonstrate a benefit of T cell immunogens in controlling acute and recurrent lesions and subclinical shedding of HSV-2 DNA.

Several approaches are worth considering that may improve the efficacy of recombinant HSV-2 vaccines. One approach is to expand the number of B cell immunogens by including additional entry molecules, such as glycoproteins B, H, and L, or adding another immune evasion molecule, glycoprotein E (76). A second approach is to add more T cell immunogens, including U<sub>L</sub>39 (ribonucleotide reductase subunit 1), U<sub>1</sub>1 (glycoprotein L), and/or immediate early proteins ICP4 and ICP0, that are all major targets of T cell responses in HSV-2-exposed, antibody-seronegative subjects (38, 77). A third consideration is to evaluate novel adjuvants that may enhance the potency and durability of immune responses (78). A fourth possibility is that potent systemic immune responses may not be sufficient without robust local genital mucosal responses generated by mucosal immunization or chemokines applied to mucosal surfaces to attract tissue-resident memory B and T cells (79). We postulate that attaining sterilizing immunity for a prophylactic genital herpes vaccine remains a realistic goal that will require one or more of the additional approaches described above.

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