

# Targeting presequence acquisition after mitochondrial gene transfer to the nucleus occurs by duplication of existing targeting signals

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We have cloned a gene for mitochondrial ribosomal protein S11 (RPS11), which is encoded in lower plants by the mitochondrial genome, in higher plants by the nuclear genome, demonstrating genetic information transfer from the mitochondrial genome to the nucleus during flowering plant evolution. The sequence s11-1 encodes an N-terminal extension as well as an organelle-derived RPS11 region. Surprisingly, the N-terminal region has high amino acid sequence similarity with the presequence of the  $\beta$ -subunit of ATP synthase from plant mitochondria, suggesting a common lineage of the presequences. The deduced N-terminal region of s11-2, a second nuclear-encoded homolog of *rps11*, shows high sequence similarity with the putative presequence of cytochrome oxidase subunit Vb. The sharing of the N-terminal region together with its 5' flanking untranslated nucleotide sequence in different proteins strongly suggests an involvement of duplication/recombination for targeting signal acquisition after gene migration. A remnant of ancestral *rps11* sequence, transcribed and subjected to RNA editing, is found in the mitochondrial genome, indicating that inactivation of mitochondrial *rps11* gene expression was initiated at the translational level prior to termination of transcription.

**Keywords:** *coxVb*/gene transfer/mitochondrial mitochondrial targeting signal/*rps11*

## Introduction

It is generally accepted that mitochondria are a descendant of a procaryote that entered into symbiosis with other cell types (reviewed in Gray, 1989). Sequence analyses of mitochondrial DNAs, including entire mitochondrial genomes, indicate that only a small percentage of total mitochondrial proteins are synthesized within the organelle and most mitochondrial proteins depend on nuclear genes (Hartl *et al.*, 1989).

Comparison of entire mitochondrial genome sequences shows relatively conserved gene content among mammals, *Xenopus*, *Drosophila* and yeast (reviewed in Gray, 1992). Recently, however, many additional genes have been identified in lower plant (liverwort and chlorophyte alga) mitochondrial genomes (Oda *et al.*, 1992; Wolff *et al.*, 1994). A striking example is that none of the mitochondrial

ribosomal protein genes are encoded by the mitochondrial genome of mammals, *Xenopus*, *Drosophila* and fungi (reviewed in Gray, 1992), except for the yeast *var1* gene (Terpstra *et al.*, 1979). In contrast, numerous ribosomal protein subunits are encoded by the lower plant mitochondrial genomes, with as many as 16 ribosomal protein genes identified in the liverwort mitochondrial genome. In addition, the gene order is similar to the organization of *Escherichia coli* ribosomal protein genes (Takemura *et al.*, 1992; Wolff *et al.*, 1994). This difference in mitochondrial gene content among these organisms results from translocation of genes from the endosymbiont to the nucleus. Thus, plant mitochondrial genomes retain a more primitive genetic content and gene transfer may be an active process in evolution (Brennicke *et al.*, 1993).

In some cases, gene transfer to the nucleus has occurred relatively recently. They are cytochrome oxidase subunit II (*coxII*) from cowpea and soybean (Nugent and Palmer, 1991; Covello and Gray, 1992), *Oenothera* ribosomal protein subunit S12 (Grohmann *et al.*, 1992) and *Arabidopsis* ribosomal protein subunit S10 (Wischmann and Schuster, 1995). By summarizing the recently identified variation of the mitochondrial gene content of different plant species, Brennicke *et al.* (1993) divide transfer of genetic information from mitochondria to the nucleus into several steps: gene translocation; adaptation and activation of the integrated nuclear sequence, such as acquisition of a presequence, promoter, poly(A) signal, etc.; mitochondrial gene inactivation and mitochondrial gene elimination. However, very little is known about the mechanisms for any of the above steps.

In this study, we report interesting evidence for the origin of targeting signals and for the machinery of mitochondrial gene inactivation, by analyzing a gene encoding mitochondrial ribosomal protein subunit S11 (RPS11). In lower plants, the *rps11* gene is present in the mitochondrial genome (Takemura *et al.*, 1992; Wolff *et al.*, 1994), but no information for *rps11* has been available for higher plants. During identification of mitochondrial ribosomal protein genes in a higher plant, we found a mitochondrial pseudogene for *rps11* and a functional nuclear *rps11* gene (s11-1) from rice. A second nuclear copy (s11-2) having 92% nucleotide sequence homology with s11-1 was also identified from rice. The deduced amino acid sequence of s11-1 showed an extended N-terminal region that was very similar to the presequence of the plant ATPase  $\beta$ -subunit peptide (ATPB), strongly suggesting that the RPS11 and ATPB presequences have a common origin. The deduced N-terminal extension in s11-2 was very similar to the putative presequence for cytochrome oxidase subunit Vb peptide (COXVb).

Mitochondrial presequences diverge greatly in amino acid sequence in general. They are rich in basic residues and have few acidic residues and frequently are capable

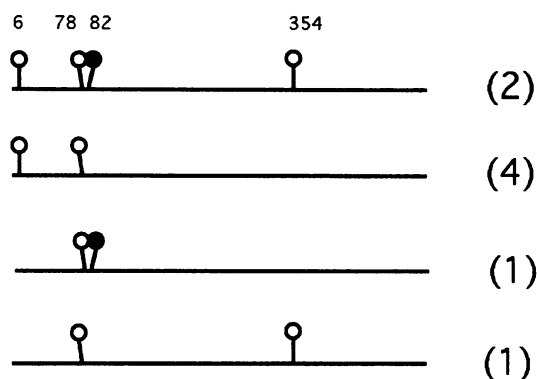
A

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CTATTGCGAAATACGGCTTCCTTAATATCAAATTTCAACAAGGGGGCTGGGCTGCTCGCCTTCATAGAAAGGGGACCGGGCCTAGATTA -111
GATGTTTCGCCTTTTATAGAATAGAAAAGAGAAGGTAAAGGGGGAGGACATAGAAAAGGGGATGATGCCTACAAAAATCAATTGATTC -21
GTCAACACTATTACATAGGATGCCCAGGAAAAAACAACGGCCGAGACTGATAGGCCTGGGCTTGGGGTGCAGGCAGTTTCAAAATCA p1
M P Q E K T T A E T D R P G L A G A G S F K F K 70
AGAACTTGTAGAGAGCATCTCGGAGGAATATAACAATGTGAACGAAAAAGAGAGATTTTATCCATGTCTTACTGATGAAAAATA 160
N F V Q * S I S E E Y K Q C E R K K K R D F I H V L L M K N K
AGACCTTTGTGACCGTGACAGATGCTAGGGGGAATAAAAAGACTGGGGCTCCGCAGGTTGTTTGGGGTAAATAAAAAGGGCGGTCTCGTC 250
T F V T V T D A R G N K K T G A S A G C L G * I K G R S R L
TTTCTCGATATGCTGCTGAAGCAACTGCGGAACATGTCCGGCGATCCGCCAGAAAGATGGGTTTAAAGTCAGTTGTTCATGAAAGTGAAAG 340
S R Y A A E A T A E H V G R S A R K M G L K S V V M K V K G
GATCTACTATTTCAGAAAAAGAAAGTATCTTGAGCTGGGGAGAGGTTTTCGGGGTAAAGAGTAAGAGATCAATCTCCTATTA 430
S T Y F Q K K K K V I L S W G E G F R G E R V R D Q S P I M
TGTACATCCAGATGTGACCCCACTTCCACATAATGTCCCCGACTCCTCCCTCTACCGGGATCGTGATTTCCTCTTTTCCATTAAATG 520
Y I H D V T Q L P H N V P R L L P L P G S *
CGCTCTTACTCTTTTCGTAAGGAAGCCATCCGCTTTTCGAGCATCGAATCGTTGCTGCTTTTGTATTTGGTCTACAAATCGCTTTGACCCA 610
p2
TGTCGCCAAAACCTCATTGAATGGGCTTATGAAAAGTGCAGATAGATAAAAAATGAAGTTGGAATGGAAGGGATGGCAGGAACAAGGTAG 698

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B



**Fig. 1.** (A) Rice mitochondrial *rps11* sequence similar to the liverwort mitochondrial *rps11* gene. The amino acid sequence deduced from the DNA is denoted by the single letter abbreviations underneath the DNA sequence. The conceptual original ORF is truncated by an internal TAA stop codon (underlined). Positions and orientations of oligonucleotide primers 1 and 2 are indicated by arrows. RNA editing sites (C of genomic DNA to T of cDNA) are indicated by lower case and underlined. A termination codon resulting from the RNA editing event at nucleotide position 82 is indicated by an asterisk. The A of the initiation codon ATG is numbered 1. (B) Overview of RNA editing events in the rice mitochondrial *rps11* transcripts. RNA editing sites are schematically indicated in the figure. White and black circles show silent alterations in amino acids and creation of a stop codon respectively. The number of clones examined is indicated in parentheses. Nucleotide positions of RNA editing events are shown above the circles.

of forming an amphiphilic helix (Hartl *et al.*, 1989). Interestingly, however, common presequences were found to be used for different proteins. A scenario of *rps11* gene transfer, inactivation of mitochondrial gene expression, acquisition of targeting presequences and evolutionary relationships of DNA sequences coding for mitochondrial presequences are discussed.

## Results

### Mitochondrially encoded *rps11* is not functional in rice

The mitochondrial *rps11* gene is located in the gene cluster *rps8 rpl6 rps13 rps11* and *rpl5 rpl6 rps13 rps11* in liverwort (Takemura *et al.*, 1992) and chlorophyte alga mitochondrial genomes (Wolff *et al.*, 1994) respectively. In the higher plant rice, most of the ribosomal protein genes were found to be scattered in the mitochondrial genome. This has been characterized by physical mapping using a contiguous rice mitochondrial library and liverwort ribosomal protein genes as probes (data not shown).

During the study, a nucleotide sequence homologous with the liverwort *rps11* gene was identified, and a 3.3 kb *SalI* DNA fragment was cloned and sequenced. The rice mitochondrial *rps11* copy had 57% nucleotide sequence identity and the deduced amino acid sequence showed 34% identity with liverwort mitochondrial *rps11*. However, a TAA stop codon existed at nucleotide position 229, which is located in the middle of the original open reading frame (ORF), suggesting that the rice mitochondrial *rps11* is a pseudogene (Figure 1A). Exon d of the *nad1* and the *rps4* genes were identified 758 bp upstream and 461 bp downstream of the *rps11* sequence on the same strand and a sequence homologous with the liverwort *rps13* gene was identified >5 kb downstream of the *rps11* sequence.

Transcription of the mitochondrial *rps11* sequence was analyzed by RNA gel blot analysis. A 3.3 kb transcript was observed in the mitochondrial RNA (Figure 4C), showing that the *rps11* sequence was transcribed in mitochondria. The 3.3 kb transcript is much larger than the size of the mitochondrial *rps11* sequence. RT-PCR and subsequent DNA sequence analyses showed the presence

CTCCCCACTCTCTCTCTCCGCCCGCCGCGCGTCCGGGAAGTACACCTGTGCTGTGGTTCGGGACACCCGGAGCGAGGAGAGGAGA	-112
GGTGGGGGTGAGGGAGTGAAGGGTGGGCCGGGGTGGGCACCACAGCAAGCCAGCCAGCCCCCGTCCCTTAGATCCGGCCCGGGCG	-22
TTTCCGGCGATCTCACGGCCATGGCGTCCCGCCGCTCTTCGCCTCCTCAGTCCACCGCGCGCCGCGCACCCCGTCCACGCCC	69
<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">M</div> <div style="margin-right: 5px;">A</div> <div style="margin-right: 5px;">S</div> <div style="margin-right: 5px;">R</div> <div style="margin-right: 5px;">R</div> <div style="margin-right: 5px;">L</div> <div style="margin-right: 5px;">F</div> <div style="margin-right: 5px;">A</div> <div style="margin-right: 5px;">S</div> <div style="margin-right: 5px;">L</div> <div style="margin-right: 5px;">L</div> <div style="margin-right: 5px;">R</div> <div style="margin-right: 5px;">S</div> <div style="margin-right: 5px;">T</div> <div style="margin-right: 5px;">A</div> <div style="margin-right: 5px;">A</div> <div style="margin-right: 5px;">P</div> <div style="margin-right: 5px;">R</div> <div style="margin-right: 5px;">T</div> <div style="margin-right: 5px;">P</div> <div style="margin-right: 5px;">S</div> <div style="margin-right: 5px;">T</div> <div style="margin-right: 5px;">P</div> </div>	
intron-1	
GGGTGCCTTTCAACCGCGCCGCGCCGCTACTCTCTCGTCCGCCCTACAACGGCCAGGGTTTTCCACTTCTCAGTCTGAAACT	159
G C L F N R A A A A A Y S S S A P Y N G Q G F P L P Q S E T	
GCTTCAGTCTGGGTTGTCTCCAGTCCCGCGCACACGCCAACCTTCATACGGAGACCGTCTAATGCAGTCACAAACAGTGAAGTCAA	249
A S R L G L F S S P G D T R Q P S Y G D R L	
intron-2	
GACTACCGTGTAGGACACAGCCAAATAATCGCCACGTTTTGGTGACACAATGTCCAGGATAGTGGTGGCGAGAAGTCTTCTACTTT	339
D Y R A R T Q A N N A P R F G D T	
GGGACCCCATCACGCATCTTTGACGAACACAAACATCTTTGGTGAAGGAAAGAGAGATTTGTCCATGTCTTGCTGAAGAGAAATAAG	429
G T P S R I F D E H K Q S L V K G K R D F V H V L L K R N K	
ACCTTTGTGACCGTGACAGACGTTAGGGGAACAAAAGACCGGGCATCCGCTGGTGTGGAGGACAGGAAAGGGCGCTCTCGTCTT	519
T F V T V T D V R G N K K T G A S A G C L E D R K G R S R L	
TCCAAATATGCTGTAAGCAACTGCAGAACATGTCCGGCGTCCAGGAAGTGGGTTAAAATCTGTGTCATGAAAGTGAAGGGA	609
S K Y A A E A T A E H V G R A A R K M G L K S V V M K V K G	
ACTACATTTTCAATAAGAAGAAGAAAGTATCTGAGCTTTAGAGAAGGCTTTCGGGTGAAAGAGTAAGGGAGCAATCTCTGTGGTG	699
T T F F N K K K K V I L S F R E G F R G E R V R E Q S P V V	
CTCATCCAGATGTGACCAACTTCCACACAACGGATGCCGACTCCCAACACCGCGGGTTAGGTCACGCGAGCTGGAATCGAAC	789
L I H D V T Q L P H N G C R L P K Q R R V *	
TGAGTTGAGAGGATCGAAGCATTCAAGGTGATCTGGAAGCTCTATTTAAGCTGTGTGATGTTGGTCTAGTTGACCTAAGACAGATATGT	879
AITGTTTCTCTGGCTCTGCTACTTGAATTTGGTATGTTGGCATGACTATGTTACTCAGTTAGTTAAGTTATCATCAAAAAAAAAAAAAA	969
AA	971
intron-1	
GTACTCTGTGTTGATCGCCATGGATAACGTATATCTCGAAGCTAATGATGAATTGATGATATCCCAAGCGCACTGGATGTTTTACC	90
TTGGTTAGCAGTAATGCAGAGATTTTCTTCTCTTCAACAATATTTTCAGTAATAAAGAATGGTAAATGTGAGCCA	180
CCCAGCTGAATCATCGGTACATACCAAAGTACTCTTTAGAGATTTGCTCAATTCAGTTAATTTCTCTGCCTCATTTTTTTCATTAC	270
CCTGTGGAATATCGATATTACGCTCGTTATATTATCATAGATGCTGAGATCAGACTGCATCAATCACTGAATCTCACAATTTGACAAGA	360
TCGATTTTGTCTGTCGCCG	382
intron-2	
GTGAGTAGGCAAAATGGTATTTCCATGTACGCTCATATGATTTATGATTCCATGTTCTGCTGATTGTTTCCTGCTCATTCTGTATT	90
AAG	93

**Fig. 2.** Nucleotide sequence of the rice nuclear cDNA encoding mitochondrial RPS11. The cDNA and deduced amino acid sequences are shown. Three Met residues located upstream of the conserved *rps11* region are framed. Two arrowheads indicate the positions of 382 and 93 bp intron sequences identified in a genomic DNA counterpart. The intron sequences are presented below the cDNA sequence.

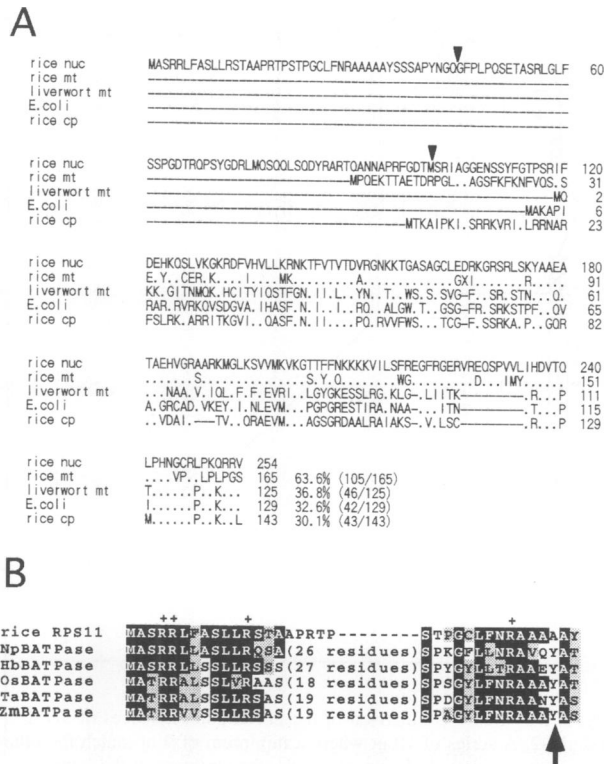
of a transcript from *nad1* exon d to *rps4* (data not shown). Thus the mitochondrial *rps11* sequence was co-transcribed with the upstream and downstream sequences.

RNA editing of *rps11* transcripts was analyzed to determine whether reverse RNA editing (U to C conversion) may restore the stop codon to a sense codon. cDNAs were synthesized using random hexamers and reverse transcriptase on mitochondrial RNA treated with DNase I. *rps11* cDNAs were amplified by the polymerase chain reaction using primers 1 and 2 and cloned into a plasmid vector. Eight cDNA clones were randomly picked and their DNA sequences were determined. Four RNA editing sites were identified at nucleotide positions 6, 78, 82 and 354 (Figure 1B). All of the cDNAs examined were edited, although the extent of RNA editing was different among the cDNAs. This result, together with RNA gel blot analysis, revealed that mitochondrial *rps11* was transcribed and subjected to RNA editing. Editing events at nucleotide positions 6, 78 and 354 were silent changes (no change in amino acid) and the editing event at position 82 resulted in the creation of a termination codon. None of the RNA editing changed the internal stop codon to a sense codon. On the contrary, RNA editing introduced another stop codon at nucleotide position 82 in the transcripts. The above results indicate that mitochondrial *rps11* is indeed a pseudogene.

### **The functional copy encoding mitochondrial RPS11 is encoded by the rice nuclear genome**

To address the possibility that an *rps11* gene had been transferred from the mitochondrion to the nucleus and expressed, cDNA clones were screened from a rice nuclear cDNA library using the isolated rice mitochondrial *rps11* sequence as probe. Seven clones were isolated, and sequence analysis revealed that the largest clone contained an ORF of 254 amino acid residues with 73% nucleotide sequence identity to the rice mitochondrial *rps11* pseudogene (Figure 2). At the 3'-end of the cDNA, an 18 nt poly(A) tract was identified. Deduced amino acid sequence comparison of the rice cDNA showed that 37 and 33% similarities were observed with liverwort mitochondrial RPS11 and *E.coli* RPS11 respectively. The C-terminal sequence, Arg-Arg-Val, of the nuclear-encoded RPS11 is the same as that of the liverwort mitochondrial and *E.coli* counterparts and the last 20 amino acids of the C-terminal end are well conserved (Figure 3A). On the other hand, an extension of >80 amino acids was observed at the N-terminus of the rice nuclear gene for RPS11, suggesting that the extended region is necessary for mitochondrial targeting. There are three Met codons in the N-terminal extension and the first Met codon was inferred as the probable start codon (see below).

Amino acid sequence deduction around the internal



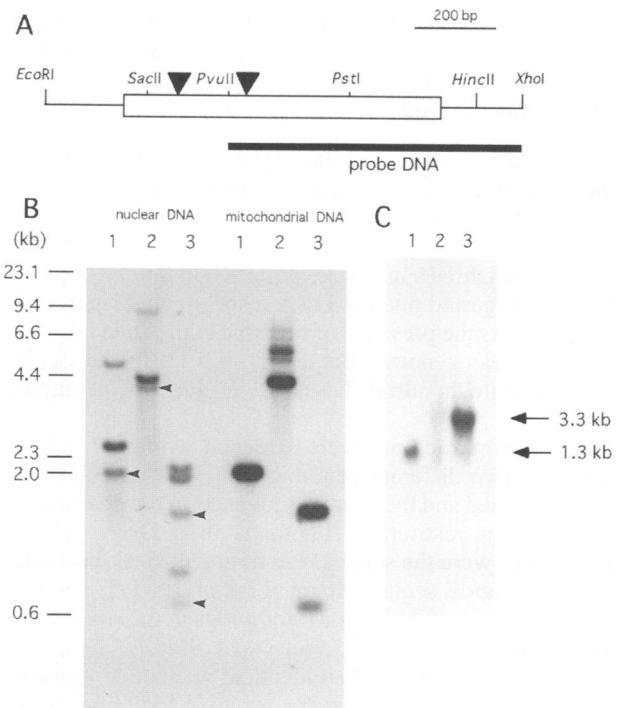
**Fig. 3.** Comparison of amino acid sequences. (A) Amino acid sequences of RPS11 encoded in rice nuclei (this study), rice mitochondria (this study), liverwort mitochondria (Takemura *et al.*, 1992), *E. coli* (Bedwell *et al.*, 1985) and rice chloroplast (Hiratsuka *et al.*, 1989) are aligned. Positions of two introns in the rice nuclear gene are indicated by arrowheads. The extents of amino acid identities are shown as percentages. The numbers of identical residues in total residues are shown in parentheses. (B) Amino acid sequence alignment of the N-terminal portion of the nuclear-encoded mitochondrial RPS11 from rice and ATPB from *N. plumbaginifolia* (Boutry and Chua, 1985), rubber plant (Chye and Tan, 1992), rice (Sakamoto *et al.*, 1992), wheat (Abulafia and Breiman, 1993) and maize (Winning *et al.*, 1990) from top to bottom. Residues identical to the rice RPS11 amino acid sequence are shown by reverse contrast. Similar residues, those with non-polar or uncharged polar groups, are indicated by halftone color. An arrow indicates the cleavage site of *N. plumbaginifolia* ATPB (Chaumont and Boutry, 1995). Basic amino acid residues of rice RPS11 are indicated by + above the alignments.

stop codon TAA in the mitochondrial *rps11* sequence shows Gly-Cys-Leu-Gly-X-Ile-Lys-Gly-Arg (X indicates that no amino acid is encoded by the TAA codon), while Gly-Cys-Leu-Glu-Asp-Arg-Lys-Gly-Arg was encoded by the corresponding nuclear gene (Figure 3A). This result suggests that the internal termination codon of the mitochondrial *rps11* copy resulted from nucleotide substitution, rather than a deletion or insertion.

A 1.3 kb transcript was observed by RNA gel blot analysis of poly(A)<sup>+</sup> RNA and is different in size from the mitochondrial *rps11* transcript (Figure 4C). The 1.3 kb transcript is similar to the size of the rice nuclear *rps11* cDNA (1172 nt). Thus, both the mitochondrial and nuclear *rps11* sequences were transcribed.

#### The 5'-terminal extension of *rps11* encodes a peptide similar to the plant ATPB presequence

The deduced N-terminal amino acid sequence of RPS11 was used to search for homology in the sequence databases. High similarity was found with presequences for the



**Fig. 4.** DNA and RNA gel blot analyses of the rice nuclear gene for mitochondrial RPS11. (A) Schematic representation of the rice nuclear *rps11* cDNA and its physical map. An ORF is shown by an open box. Positions of two intron sequences in the genomic DNA are marked by filled triangles. Probe DNA indicated by a thick bar was prepared from the cDNA, hence intron sequences are not included. The 0.7 kb *PvuII*-*XhoI* fragment from the nuclear *rps11* cDNA was used as probe. (B) Autoradiogram of nuclear and mitochondrial DNAs from rice. Lanes 1-3 show DNA digested with *Bam*HI, *Eco*RI and *Dra*I respectively. Arrowheads in the nuclear DNA indicate signals derived from the mitochondrial DNA contamination. Size markers in kb are indicated on the left. (C) Poly(A)<sup>+</sup> RNA (3.9 µg) (lane 1), 9.6 µg total RNA (lane 2) and 5.0 µg mitochondrial RNA (lane 3) were separated on a 1.5% agarose-formaldehyde gel. Sizes of transcripts are indicated in the figure.

$\beta$ -subunit of the ATP synthase (ATPB) from plants (Figure 3B). The N-terminal region of mitochondrial RPS11 encoded by the rice nuclear genome had 84% sequence identity (11 out of 13 amino acid residues) with ATPB presequences from *Nicotiana plumbaginifolia* and rubber plant (Boutry and Chua, 1985; Chye and Tan, 1992), provided that the extended region was translated from the first ATG codon (Figure 2A). All of the differences in the first 15 residues were conservative changes in *N. plumbaginifolia*. A second region of amino acid sequence similarity corresponded to the C-terminal portion of the ATPB presequence. The numbers of amino acids between the two blocks were different among the plants. These two blocks of amino acid sequence similarity with plant ATPB presequences may suggest that the N-terminal extension of rice RPS11 is a targeting presequence and that the first Met codon in the *rps11* reading frame is a start codon. No negatively charged amino acid residue was found in the region homologous with the ATPB presequences.

The N-terminal portion of ATPB presequences from rubber plant and *N. plumbaginifolia*, dicotyledonous plants, had a slightly higher extent of amino acid sequence similarity to the rice RPS11 presequence than those from

monocotyledonous plants. In contrast, higher similarity was observed near the processing site of ATPB presequences from monocotyledonous plants than those from dicotyledonous plants.

#### **The nuclear *rps11* gene has two intron sequences**

The copy number of the nuclear sequence with homology to the mitochondrial *rps11* sequence was determined by DNA gel blot analysis. An autoradiogram showed that two, two and three bands were observed in *Bam*HI-, *Eco*RI- and *Dra*I-digested nuclear DNA respectively (Figure 4B). This suggests the presence of multiple copies of a homolog in the nuclear genome. Bands of ~6.0 kb in the *Eco*RI-digested mitochondrial DNA were due to incomplete digestion.

Rice genomic clones were screened with *rps11* cDNA as probe. Two different genomic clones, s11-1 and s11-2, were identified and their entire sequences were determined. The sizes of restriction fragments in a DNA gel blot (Figure 4B) were the same as the fragment sizes calculated from nucleotide sequences of s11-1 and s11-2, showing that the copy number of *rps11* homologs in the rice nuclear genome is two.

Clone s11-1 contained an identical nucleotide sequence to the cDNA sequence except for two additional intron sequences of 382 and 93 bp (Figure 2). An intron (intron 2) was identified around the junction of the DNA sequence encoding the extended peptide sequence and the RPS11 conservative sequence. The first intron (intron 1) was observed upstream of intron 2 and in the middle of the DNA sequence encoding the extended peptide. The two intron sequences have typical characteristics of nuclear exon-intron junctures (5'-GT/AG-3'), i.e. the first dinucleotide of the intron is GT and the last is AG (Breathnach and Chambon, 1981). The region homologous with the ATPB presequence was encoded only by exon 1 of s11-1.

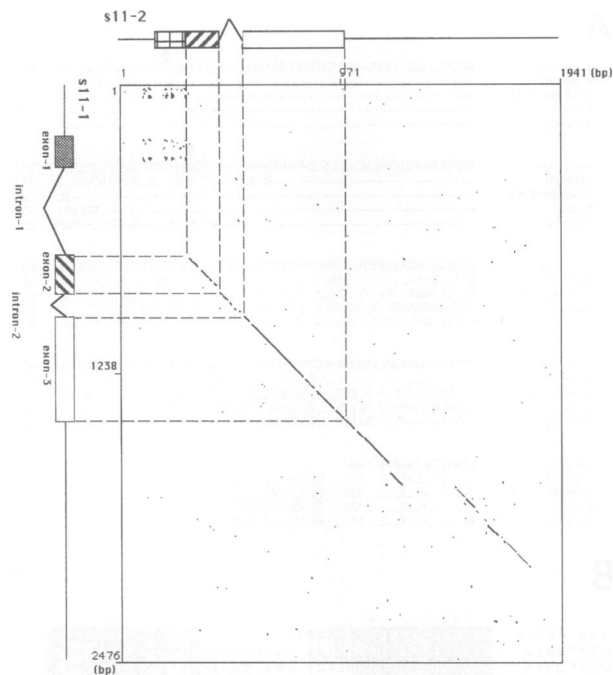
Amino acid and nucleotide sequence similarity searches with exon 2 of s11-1 have failed to detect any homologous sequence in the database. The origin of the exon 2 sequence is unknown.

#### **Two independent copies similar to mitochondrial *rps11* are present in the nuclear genome**

Sequence analysis of clone s11-2 showed 92% nucleotide and 94% amino acid homology to s11-1 in the conserved *rps11* region (the sequence of s11-2 is not shown, but is available in DDBJ/EMBL/GenBank under accession No. D85195). s11-2 had nucleotide sequence homology with the region downstream of the junction of intron 1 and exon 2 of s11-1 (Figure 5). The upstream sequence from intron 1 of s11-1, however, is totally different from that of s11-2. An intron-like sequence was present in s11-2 at the same position as intron 2 of s11-1 with 79% nucleotide sequence similarity. However, the junction of the exon-intron sequence of s11-2 was 5'-GG/AG-3', a deviation from the consensus splicing motif 5'-GT/AG-3'. Nucleotide sequence similarity extends to 615 bp downstream of the TAG stop codon of s11-1 (Figure 5).

#### **The 5' portion of s11-2 encodes a peptide sequence similar to the putative presequence of COXVb**

To examine whether the 5' portion of s11-2, upstream of the region homologous with s11-1, is present elsewhere



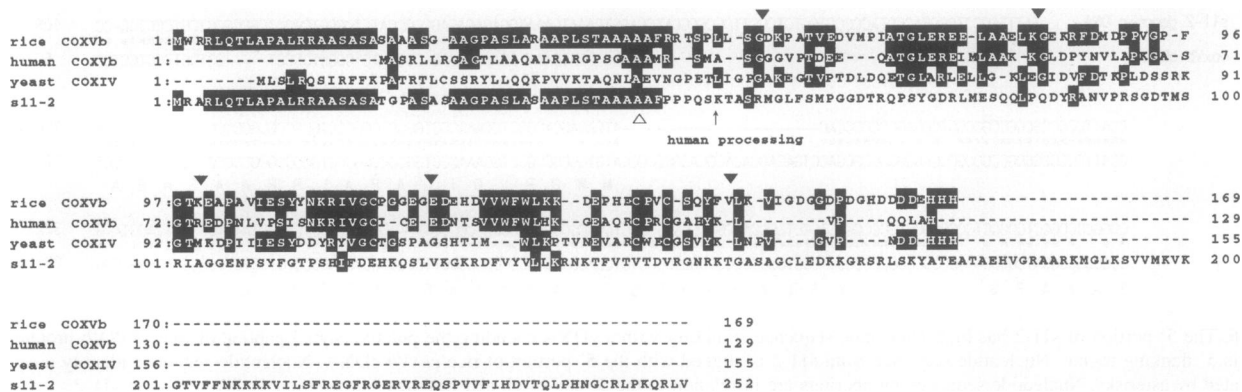
**Fig. 5.** Dot matrix comparison of the nucleotide sequences of s11-1 and s11-2. A series of 10 nt where a minimum of 9 nt match the other sequences are plotted. Along each axis, the structure of the gene is schematically illustrated. Boxes with different tones indicate exon regions. Broken thin lines indicate intron and intron-related regions. Solid thin lines indicate the 5' and 3' flanking regions. The entire nucleotide sequences of s11-1 and s11-2 are registered in DDBJ, EMBL and GenBank under the accession Nos D85382 and D85195 respectively.

and transcribed in the rice nuclear genome, plaque hybridization was performed against a rice cDNA library using the 0.8 kb *Xho*I-*Not*I fragment of s11-2 as probe. Three clones were isolated and their nucleotide sequences were determined. Nucleotide sequence comparison showed that the 5' portion of the cDNA clone had 81% nucleotide sequence identity with the 5' portion of s11-2 over 249 nt, but the downstream sequence had no similarity with the *rps11* region of s11-2 (Figure 6). No cDNA clones with an *rps11*-related sequence have been isolated using this probe.

The isolated cDNA clone encoded an ORF of 507 bp and had a 24 bp poly(A) tract (Figure 7). Amino acid sequence comparison showed that the 3' portion of cDNA encoded a polypeptide with 49 and 35% amino acid sequence identity with the mature portion of human cytochrome c oxidase subunit Vb (COXVb) (Zeviani *et al.*, 1988) and yeast cytochrome oxidase subunit IV (COXIV) (Maarse *et al.*, 1984), respectively (Figure 8). The human *coxVb* is an analogous subunit to yeast *coxIV* (Zeviani *et al.*, 1988). The corresponding gene structure in plant species has not been unraveled to date. Thus, we assigned this cDNA clone as a rice *coxVb* gene based on the amino acid sequence similarity.

The deduced rice COXVb amino acid sequence had an N-terminal extension (>50 amino acids) as well as a conserved COXVb portion, suggesting that the N-terminal region is a presequence for mitochondrial targeting. Human COXVb has a 31 amino acid extension, but the sequence was dissimilar to the presequence of rice COXVb (Figure





**Fig. 8.** Alignment of the amino acid sequences deduced from rice *coxVb* cDNA (this study), human *coxVb* (Zeviani *et al.*, 1988), yeast *coxIV* (Maarse *et al.*, 1984) and rice *s11-2* (this study). The intron 2-like sequence of *s11-2* is not considered for translation. Amino acids identical to rice COXVb are highlighted by inverse contrast. Intron positions of the rice *coxVb* genomic sequence are marked by filled triangles. The processing site of human COXVb is indicated by an arrow. The position corresponding to the intron 1-exon 2 juncture of *s11-1* is indicated by an open triangle.

DNA gel blot analysis was carried out to determine the copy number of the *coxVb* gene. Two, two and three major bands together with several minor bands were observed in *Bam*HI-, *Eco*RI- and *Dra*I-digested rice nuclear DNA respectively. No hybridization to rice mitochondrial DNA was detected (Figure 9B). DNA sequence analysis together with physical mapping of the genomic *coxVb* gene indicated a single copy of the *coxVb* gene in the rice nuclear genome. RNA gel blot analysis showed that *coxVb* was transcribed as a single transcript of 1.1 kb (Figure 9C).

Computer analysis showed that the putative presequence of *coxVb* has the potential to fold into an amphiphilic  $\alpha$ -helix with charged and hydrophobic amino acid residues distributed on opposite sides, which may be important for receptor recognition (Tamm, 1991). None of amino acid residues in the N-terminal extension are acidic, in either the COXVb or RPS11 presequence.

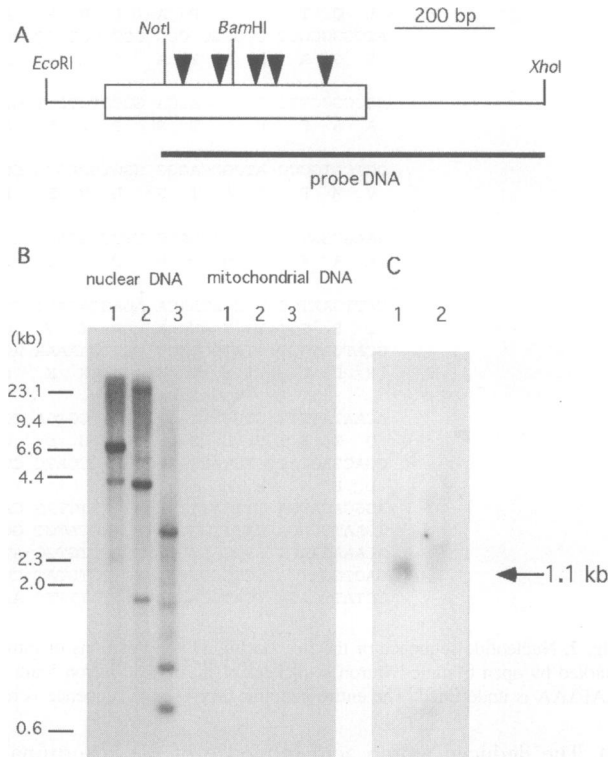
## Discussion

### RNA editing in the mitochondrial *rps11* transcript

Four RNA editing sites were detected in the transcripts of the mitochondrial *rps11* pseudogene; one site creates a stop codon and the other three are silent changes. None of the four editing events change the predicted amino acid to a more conserved amino acid. This observation is unusual, because editing frequently changes specified amino acids to a more highly conserved residue (reviewed in Walbot, 1991). Because rice has an *rps11* pseudogene in mitochondria and a functional RPS11 protein can be supplied by the nuclear genome, a functional RNA editing machinery for the mitochondrial *rps11* sequence is not necessary and the specificity of RNA editing may be lost. Alternatively, the mitochondrial pseudo-*rps11* may have sequence matches with some guide RNAs used for other mitochondrial genes.

### Pathway of *rps11* gene migration from mitochondrion to nucleus

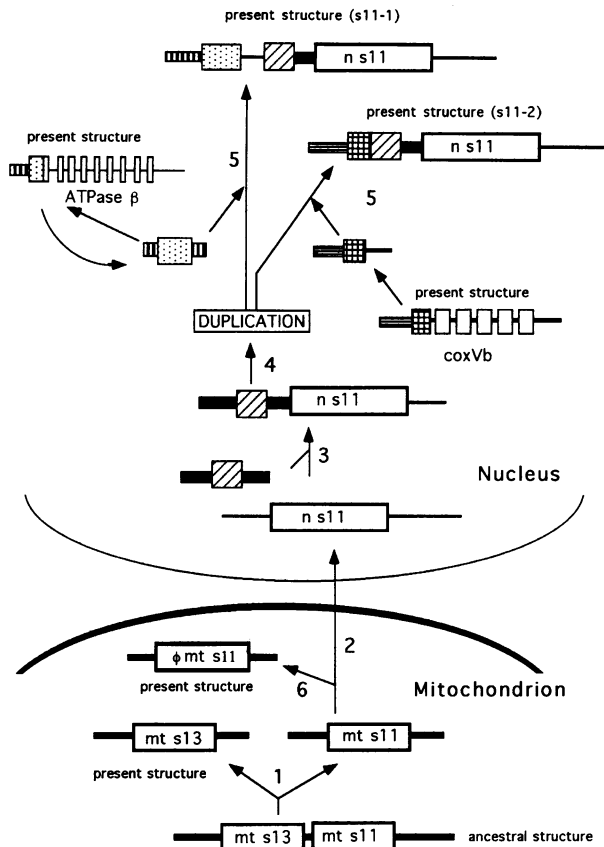
The process of organelle to nucleus gene transfer is thought to be ongoing in plant mitochondria (Brennicke *et al.*, 1992). We have used the *rps11* gene to investigate a detailed pathway of the gene transfer event and to study



**Fig. 9.** DNA and RNA gel blot analyses of the rice *coxVb* gene. (A) Schematic representation of the *coxVb* cDNA sequence and the region used as probe. Positions of five intron sequences in genomic *coxVb* are shown by filled triangles. (B) A DNA gel blot of rice nuclear and mitochondrial DNAs was hybridized with  $^{32}$ P-labeled probe. Restriction enzymes *Bam*HI (lane 1), *Eco*RI (lane 2) and *Dra*I (lane 3) were used for digestion of nuclear and mitochondrial DNA. Molecular size markers are shown on the left. (C) An RNA gel blot of poly(A)<sup>+</sup> RNA (lane 1) and total RNA (lane 2) was hybridized with the labeled probe. The size of the rice *coxVb* transcript is given on the right.

the mechanism of presequence acquisition. A schematic representation for a scenario of mitochondrial *rps11* gene migration to the nuclear genome is shown in Figure 10 and is explained as follows.

1. The *rps13 rps11* gene order in *E.coli* (Bedwell *et al.*, 1985) is also conserved in the liverwort mitochondrial genome (Takemura *et al.*, 1992). In the higher plant rice,



**Fig. 10.** A proposed model for the gene migration pathway of the *rps11* gene from the mitochondrion to the nucleus and the relationship among conceptual ancestral counterparts. Numbers in the figure correspond to the numbers in the text.

an *rps11* pseudogene was identified downstream of *nad1* exon d and upstream of *rps4*. The mitochondrial *rps13* gene was located >5 kb downstream of the *rps11* sequence in the rice mitochondrial genome, suggesting a gene order rearrangement between *rps13* and *rps11* and a subsequent gain of the necessary transcription signals.

2. The *rps11* sequence migrated from the mitochondrion to the nucleus. Whether the transfer was DNA mediated or RNA (edited RNA) mediated is not clear in the case of *rps11*, because of large nucleotide substitutions between the mitochondrial and the nuclear *rps11* copies, the absence of intron sequences in the mitochondrial *rps11* copy and a lack of information about gene structure (including editing) of *rps11* from other higher plants.

3. The *rps11* sequence that originated in the mitochondrion acquired exon 2 and intron 2 sequences from the nuclear genome. The mechanism for exon 2 and intron 2 sequence integration upstream of the mitochondrion-derived *rps11* sequence and the role of exon 2 are unknown.

4. The exon 2, intron 2 and *rps11* sequence was duplicated in the rice nuclear genome. The fact that both *s11-1* and *s11-2* sequences contain exon 2 and intron 2 in the same position strongly suggests the occurrence of a duplication in the nuclear genome, rather than independent transfer events from mitochondrion to nucleus. *s11-2* has a high extent of nucleotide sequence homology with downstream sequences from the poly(A) addition site of *s11-1*. In addition, both sequences retain intron 2. The

evidence suggests the duplication has taken place via a DNA molecule rather than an RNA molecule as an intermediate.

5. One of the duplicated copies acquired the exon 1 and intron 1 sequence, forming *s11-1*. The region homologous with the ATPB presequence is encoded only by exon 1 of *s11-1*. In addition, the 5' flanking sequences to exon 1 of *s11-1* and rice *atpB* (Sakamoto *et al.*, 1992) have 93% nucleotide sequence identity (13 out of 14 nt). The evidence suggests that the RPS11 presequence has a genetical relationship with the ATPB presequences, and the DNA sequence coding presequence and its flanking sequence seems to result from duplication and recombination.

The other duplicated copy obtained a sequence coding for a putative presequence of *coxVb*, forming *s11-2*. High nucleotide sequence similarity (86% over 145 nt) was observed between 5' flanking regions of the *coxVb* gene and *s11-2*. This also strongly suggests involvement of duplication and subsequent recombination of the *coxVb* presequence region in forming *s11-2*.

Gene migrations of *atpB* and *coxVb* from mitochondrion to nucleus took place long before the evolution of flowering plants. Rice still retains an *rps11* pseudogene in its mitochondrial genome, suggesting that translocation of the *rps11* sequence to the nucleus was a relatively recent event. The above results suggest that the *atpB* and *coxVb* sequences were a template for the 5' portion of *s11-1* and *s11-2* respectively. After gain of the presequence, DNA encoding the internal part of the *s11-1* presequence may have been deleted or there may be a common ancestral sequence for RPS11 and ATPB presequences. The mechanism of transfer by duplication and recombination of already existing targeting sequences to new genes is, as yet, unexplained.

Other explanations could be that *s11-1* was the primitive structure and *s11-2* was produced by duplication of the *s11-1* sequence. It is less likely that *s11-2* was a primitive form and *s11-1* was produced by duplication of *s11-2* sequence because *s11-1* is apparently more homologous to the liverwort *rps11* gene than *s11-2*, in nucleotide and deduced amino acid sequences.

In any case, it is highly likely that duplication of the *rps11* sequence occurred in the nuclear genome and acquisition of the COXVb and the ATPB-related presequences were independent. In addition, both *s11-1* and *s11-2* have sequences highly homologous with the 5' flanking sequences of the *atpB* and the *coxVb* genes respectively. This suggests sequences necessary for transcription and regulation signals may have originated from an ancestral counterpart sequence. We could not detect transcription of *s11-2* by two kinds of independent RT-PCR analyses using two sets of specific primer pairs (data not shown). Nucleotide sequence homology of *s11-2* extends to ~200 bp upstream of the *coxVb* gene, however, the sequence further upstream is dissimilar. This sequence divergence in the upstream region, as well as sequence differences in the 200 bp region in *s11-2*, may lack signals necessary for transcription. However, it is possible that *s11-2* was functional in the past and became non-functional or that this copy is strictly regulated in a tissue-specific manner.

6. Both the mitochondrial *rps11* and the nuclear *rps11*





**RNA and DNA gel blot analyses**

Total RNA (9.6 µg), poly(A)<sup>+</sup> RNA (3.9 µg) and mitochondrial RNA (5.0 µg) were denatured at 65°C for 5 min and electrophoresed through a 1.5% agarose gel containing formaldehyde. Transfer of RNA onto a Hybond-N<sup>+</sup> membrane (Amersham) and subsequent hybridization was carried out by the conventional method (Sambrook *et al.*, 1989).

Total DNA (2.5 µg) and mitochondrial DNA (0.5 µg) were digested with *Bam*HI, *Eco*RI or *Dra*I and separated on a 0.7% agarose gel and blotted onto Hybond-N<sup>+</sup> membrane by the conventional capillary method (Sambrook *et al.*, 1989).

A *Pvu*II-*Xho*I fragment of 0.7 kb from the rice *rps11* cDNA and a *Not*I-*Xho*I fragment of 0.7 kb from the rice *coxVb* cDNA were used as probes for hybridization analyses. The final washing condition for the membrane was 0.1× SSC/0.1% SDS at 42°C.

**Nucleotide accession number**

The nucleotide sequences reported in this paper will appear in the DDBJ, EMBL and GenBank nucleotide sequence database under accession Nos D85128 (mitochondrial *rps11* pseudogene), D85382 (s11-1 genomic sequence), D85195 (s11-2 genomic sequence) and D85381 (*coxVb* genomic sequence).

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