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New technologies for examining neuronal ensembles in drug addiction and fear

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Abstract

Correlational data suggest that learned associations are encoded within neuronal ensembles. However, it has been difficult to prove that neuronal ensembles mediate learned behaviours because traditional pharmacological and lesion methods, and even newer cell type-specific methods, affect both activated and non-activated neurons. Additionally, previous studies on synaptic and molecular alterations induced by learning did not distinguish between behaviourally activated and non-activated neurons. Here, we describe three new approaches—Daun02 inactivation, FACS sorting of activated neurons and c-fos-GFP transgenic rats — that have been used to selectively target and study activated neuronal ensembles in models of conditioned drug effects and relapse. We also describe two new tools — c-fos-tTA mice and inactivation of CREBoverexpressing neurons — that have been used to study the role of neuronal ensembles in conditioned fear.

Introduction

In 1949, Hebb proposed that learned associations are encoded within specific patterns of neurons called cell assemblies (now called neuronal ensembles) that were selectively activated by environmental cues¹. Since then, many electrophysiology and cellular imaging studies have found correlational evidence that supports the idea that learned associations between environmental cues and unconditioned rewards are encoded by neuronal ensembles that are activated by these same cues and rewards ² (Figure 1). The neuronal ensemble hypothesis has had a transforming and long-lasting impact on modern neuroscience research, and has been the conceptual framework for numerous learning and memory studies ^{2–8}. Since the 1950s ⁹, investigators have primarily used *in vivo* electrophysiology to characterize temporal activity patterns of putative neuronal ensembles in different brain areas in learned behaviours ^{5, 10–13}. Since the late 1990s, investigators have also used double-labelling methods with immediate-early genes (IEGs) as markers of neural activity to characterize the spatial pattern of activated neuronal ensembles in the brain $^{14-19}$ (Box 1). More recently, in vivo two-photon calcium imaging methods were developed to simultaneously record from hundreds of activated neurons ²⁰. These methods, which use calcium-sensitive synthetic dyes and genetically encoded calcium indicator proteins

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(GCaMPs), have been used to record learning-related alterations in the activity of neuronal ensembles in head-fixed ²¹ or freely moving awake behaving mice ²².

Box 1

Immediate early gene-based methods

Over the years, several immediate early gene (IEG)-based methods have been used to identify putative neuronal ensembles in the brain^{34, 130, 131}. The general principle has been to use one neuronal activity marker to label neurons activated during the initial learning session or sessions and a different neuronal marker to label neurons that are activated during a subsequent session (which is typically used to assess the expression of the learned behaviour). A high level of double-labelling of the two activity markers would suggest the recruitment of neuronal ensembles that encode the learned behaviours.

In the late 1990s, Guzowski and colleagues introduced the 'cellular compartment analysis of temporal activity by fluorescence in situ hybridization' (catFISH) method ¹⁴. This procedure was based on the temporal characteristics of the IEG arc after neuronal activation: a nuclear arc RNA signal emerges 2 min after neuronal activation and persists for up to 16 min, whereas a cytoplasmic RNA signal emerges 20-45 min after activation ¹⁷. Accordingly, *in situ* hybridization can reveal neurons with cytoplasmic *arc* mRNA, which are neurons that were active earlier (e.g., during the first learning session), and neurons with nuclear arc mRNA, which are neurons that were active more recently (e.g. during the second learning session)¹⁷. Along with a variation on the procedure in which the IEG Homer1 is used to label initial neuronal activation and nuclear arc is used to label subsequent neuronal activation ^{112, 132}, this method has been used to identify putative neuronal ensembles that encode specific cues or contexts. The method is useful in identifying neuronal ensembles that are activated during short (about 30 min) learning tasks or time intervals between presentations of the same or different stimuli ^{14, 17}. The main limitation of the catFISH method is that it cannot be used in learning tasks in which the learning and the expression of the learned behaviour are separated by hours or days.

Another IEG-based method is double-labelling of the IEGs c-fos (using *in situ* hybridization) and FosB (using immunohistochemistry). FosB immunoreactivity labels neurons that were repeatedly activated during the first training or learning sessions, whereas *c-fos in situ* hybridization labels neurons that were activated during the second session. This method is based on the accumulation of long-lasting protein isoforms from a truncated *fosB* splice variant called deltaFosB in repeatedly activated neurons ¹³³. This method has been used to identify putative neuronal ensembles in the nucleus accumbens in context-specific cocaine locomotor sensitization ¹⁵.

In a recently developed approach, a transgenic c-fos-tTA mouse is used to identify neuronal ensembles ^{16, 114}. The c-fos-tTA transgene uses the *c-fos* promoter to induce the expression of a tetracycline (tet)-off transcriptional activator (tTA) protein in neurons that are activated during the first learning session. The tTA protein can then bind to a tet operator in the promoter of a second transgene to induce expression of a marker gene. tTA can be induced in activated neurons before and after training, but doxycycline, which binds to and represses tTA, can be added to the mouse diet to block expression of

the marker gene. If doxycycline is removed from the diet before the mouse undergoes the first learning session, the marker gene (e.g., lacZ, histone2B-green fluorescent protein (GFP)) can be expressed in neurons that were activated during a selected time window. Immunohistochemical labelling of the protein products of zif268 ¹⁶ or c-fos ¹¹⁶ can be used to label neurons that were activated during the second session. The c-fos-tTA tool has been used to identify neuronal ensembles that control fear conditioning ^{16, 19, 33, 116}.

An extensive literature, reviewed in the citations above and many other reviews, supports the notion that neuronal ensemble activity encodes diverse forms of learned associations that mediate learned behaviours. However, until recently this evidence was based only on correlations between behaviour and in vivo electrophysiological firing or two-photon calcium-imaging patterns during learning and memory tasks or postmortem activity patterns of different IEGs. Therefore, a causal involvement of the putative neuronal ensembles in learned behaviours had not been established. Until recently, methods aimed at assessing such causal roles in behaviour manipulated neuronal activity within specific brain areas such as permanent excitotoxic lesions, reversible inactivation using GABAergic agonists or the sodium channel blocker tetrodotoxin, intracranial injections of selective receptor antagonists (e.g., dopamine or glutamate receptor antagonists), activation or inhibition using optogenetics or DREADD (designer receptors exclusively activated by designer drugs) ²³ affect neuronal activity of either all neurons or all neurons of a particular cell type, regardless of their activation state during the learned behaviours. Additionally, it had not been possible to characterize molecular and electrophysiological alterations within the activated neuronal ensembles that presumably mediate memory formation and learned behaviours. Indeed, the published literature on synaptic plasticity (as assessed by ex vivo slice electrophysiology techniques) and molecular mechanisms of learning and memory are based on results obtained from either randomly selected neurons or neurons of a particular cell type, independently of their activation state during learned behaviours 24-27.

The goal of this review is to describe several recent technical developments that make it possible to determine causal roles of putative activated neuronal ensembles in learned behaviours, and to characterize molecular and electrophysiological alterations within the activated neurons. We first describe three recently developed tools to study the role of neuronal ensembles in conditioned drug effects and relapse in rats. These include the Daun02 inactivation method ²⁸, flow cytometry and fluorescence-activated cell sorting (FACS) of activated Fos-expressing neurons ²⁹ and c-fos-GFP transgenic rats ³⁰, which can be used to selectively inactivate neuronal ensembles and assess molecular and electrophysiological alterations within activated neuronal ensembles. We also describe two other methods — inactivation of CREB-overexpressing neurons ^{31, 32} and c-fos-tTA mice ^{16, 19, 33} — that have been used to study the role of neuronal ensembles in conditioned fear. These methods all rely on the *c*-fos gene promoter to manipulate the activity of strongly activated neurons and to identify these neurons for subsequent molecular and cellular analysis. The *c-fos* promoter is rapidly induced within strongly activated neurons (see Box 2 for details) and *c-fos* mRNA and Fos protein products have been used in numerous papers as markers of neuronal activation in many neuronal phenotypes in many brain areas 34-36.

Below we describe the different methods, their application to studying learned behaviours, and the limitations of each method.

Box 2

The c-fos promoter as a marker of neuronal activity during behaviour

The widespread use of *c-fos* mRNA and its Fos protein products to identify neuronal activation in brain $^{34-36}$ has led to many studies that have examined the detailed molecular and cellular mechanisms of *c-fos* promoter activation. The relevant literature is immense and is summarized here with only a few selected citations. By necessity, the early studies of c-fos and IEG induction were performed under very artificial conditions using cell or slice culture. These studies were important for identifying candidate signalling mechanisms for IEG induction ^{34, 134, 135}. Many of these mechanisms were also shown in the brain during either development, following chemical or mechanical damage, or following various manipulations that produced non-physiological activation of signal transduction pathways. However, only a few of these mechanisms have a significant role in *c-fos* promoter activation in the brains of intact behaving rats or mice. In the striatum and hippocampus, c-fos expression is mediated by ERK/MAPKdependent phosphorylation of Elk-1/SRF and CREB on the c-fos promoter ^{35, 136, 137}, and not by the cAMP pathway ^{138, 139}. Neuronal activation of the ERK/MAPK pathway requires consistent (not sporadic) high levels of calcium influx through NMDA receptors and voltage-sensitive calcium channels (VSCCs) 35, 137, 140, 141. Thus, we hypothesize that *c-fos* expression in behaving animals reflects a summation or integration of neuronal activity-dependent calcium influx over seconds to minutes, and only strong consistent activity over this timeframe will increase calcium levels enough to induce *c-fos*. Glutamatergic excitatory input (along with modulation by GABA inhibitory input) plays a major part in inducing strong neuronal activation ³⁵. Drug-induced dopamine release in the striatum is often thought to have a direct pharmacological role in activating neuronal activity and Fos expression, but this is unlikely because dopamine and dopaminergic agonists tend to hyperpolarize striatal neurons in the absence of ongoing glutamatergic input ¹⁴². Instead drug-induced dopamine release is thought more likely to enhance the post-synaptic effect of ongoing glutamatergic input on the most strongly activated neurons which increases their neural activity even further ¹⁴² to a level sufficient for cfos promoter activation ³⁵. In contrast drug-induced dopamine attenuates the effect of ongoing glutamatergic input and neural activity of the less activated majority of neurons 142.

Previous attempts to directly examine the association between electrophysiological activity and Fos expression in striatum and hippocampus have shown that the level of Fos expression correlates with the level of synaptic activity and not with the number of action potentials ^{131, 143}. However, results from these studies are difficult to interpret in the context of Fos-related neuronal ensembles activity, because electrophysiological recordings of randomly selected striatal or hippocampal dentate gyrus neurons are almost certainly recordings from the majority of (less activated) neurons and not the neurons that were activated strongly enough to express Fos. *In vivo* calcium imaging has recently been used to demonstrate a low correlation between spontaneous neuronal activity and Fos

expression in single auditory cortex neurons in anaesthetized mice 121 . However, these negative data are also difficult to interpret in the context of Fos-related neuronal ensemble activity, because anaesthesia has been shown repeatedly to block the more behaviourally relevant Fos expression induced in awake behaving rodents 144 . In the future, it will be important to repeat similar studies with *in vivo* calcium imaging to appropriately compare neuronal activity with induced *c-fos* promoter activation in awake behaving rats or mice.



Neuronal ensembles in addiction and relapse

Neuronal ensembles in the nucleus accumbens and prefrontal cortex

Since the 1960s, many studies in humans and laboratory animals have demonstrated that classical and operant conditioning mechanisms play a major role in drug use and relapse ^{37–40}. With repeated drug use, addicted individuals learn to associate drug effects with stimuli or cues in the drug environment (e.g., drug paraphernalia, places of drug taking, and co-users), and over time these cues often promote drug craving and drug seeking ^{39–41}. Drug-related cues are complex combinations of different stimuli that are recognized with a high degree of resolution. Therefore, any neural mechanism capable of encoding these learned associations must have a comparably high degree of resolution. It has been proposed that neuronal ensembles can provide a mechanistic framework for understanding the behavioural and motivational effects of drug-associated cues ^{15, 42, 43}. Indeed, over the past two decades, several studies combining drug self-administration procedures ⁴⁴ with *in vivo* electrophysiology have provided correlative evidence for a role of neuronal ensembles in several brain areas (the nucleus accumbens, medial prefrontal cortex (mPFC), ventral pallidum and basolateral amygdala) in cue-induced drug seeking ^{45–50}. There is also limited correlative evidence from studies that compared context-specific locomotor sensitization

behaviour with double-labelling of c-fos mRNA and FosB protein (Box 1) in contextspecific selection of neuronal ensembles in the nucleus accumbens ¹⁵.

The neuronal ensemble hypothesis has had some impact on addiction research, but studies based on this hypothesis still form only a small proportion of neurobiological research on drug addiction. The vast majority of studies on molecular and synaptic plasticity mechanisms of drug reward, relapse and conditioned drug effects assess drug- or cue-induced molecular and cellular alterations in randomly selected neurons or in neurons of a particular cell type, independently of their activation state during behaviour in different animal models of drug addiction ^{51–57}. Therefore, the alterations assessed in these studies were induced largely in the non-activated majority of neurons and not specifically in the neuronal ensembles that were selectively activated during the behaviour. The unique drug-induced or cue-induced molecular and cellular alterations in the minority of activated neurons or neuronal ensembles, which presumably mediate drug-seeking behaviour and conditioned drug effects, were likely missed or masked by drug-induced alterations in the non-activated majority of neurons.

Based on the above considerations, during the last several years we have developed a set of pharmacogenetic, molecular biology and genetic tools to selectively inhibit neuronal ensembles and assess their unique molecular and synaptic alterations. We developed these tools in rats because long-term studies using intravenous drug self-administration procedures ⁴⁴ and animal models of drug relapse and craving ^{58–60} are technically very challenging in mice.

The Daun02 inactivation method

The Daun02 method²⁸ was developed to manipulate only those sparsely distributed neurons that are activated by specific stimuli or events without affecting either the surrounding non-activated neurons or neurons that are activated by other stimuli or events. We applied the Daun02 inactivation procedure to selectively inactivate neurons that were previously activated by drug-associated cues or contexts ²⁸ (Figure 2). Below we describe the method, its application to studying drug-related behaviours, as well as its limitations.

We used c-fos-lacZ transgenic rats, which have a transgene that contains a *c-fos* promoter to induce transcription of the *lacZ* coding sequence and translation of the protein product β -galactosidase. This induction occurs only in strongly activated (that is, Fos-positive) neurons, but not in the surrounding non-activated or weakly activated (that is, Fos-negative) neurons ^{61–63}. Once β -galactosidase is induced in neurons that are activated, these neurons can then be inactivated through injection of the inactive prodrug Daun02 ^{28, 64–68}. Daun02 is catalyzed by β -galactosidase into daunorubicin, which inactivates the previously activated neurons through two potential mechanisms: apoptotic cell death ^{65, 66} or blockade of voltage-dependent calcium channels ⁶⁹. Thus, in our experiments, Daun02 injections disable those neurons that were activated by the cue, context or drug and thus presumably the neuronal ensemble that encodes the association between the cue or context and the drug^{24, 62, 63}. On the test day, typically 3 days after Daun02 injections, we assess whether these injections decrease the ability of the drug-associated cues or contexts, or the ability of the drug itself, to reactivate the same drug-related neuronal ensemble and induce a

conditioned response or drug seeking. A critical control condition that is required to show a causal role of neuronal ensembles in the drug-related behaviour is that Daun02 injections after exposure to a non-drug-associated cue or context or to a novel context inactivates neurons distinct from those in the drug-related neuronal ensemble and should, therefore, have no effect on the drug-related behaviour on the test day.

We first used the Daun02 inactivation method ²⁸ to demonstrate a causal involvement for neuronal ensembles in the nucleus accumbens in context-specific sensitization of cocaineinduced locomotion ^{15, 70, 71}. We first demonstrated context-specific activation of accumbens neurons by training a group of rats to associate cocaine (7 daily injections) with one context (A) and another group of rats to associate cocaine with a different context (B). After 7 withdrawal days, we injected rats with cocaine or saline in context A and perfused them 90 min later to assess Fos expression in the nucleus accumbens. Cocaine injections in test context A enhanced (sensitized) cocaine-induced locomotion and accumbens Fos expression in rats that were previously injected with cocaine in the same context A, but not in rats that were previously injected with cocaine in the different context B. Doublelabelling immunohistochemistry for Fos and the neuronal marker NeuN showed Fos expression in ~3% of accumbens neurons ⁷². To assess a causal role for this minority of activated Fos-expressing neurons in context-specific cocaine sensitization, we trained c-foslacZ transgenic rats to associate context A with cocaine (7 daily injections). After 7 withdrawal days, we injected separate groups of rats with cocaine in context A or in a novel context B, and then injected Daun02 or vehicle into the accumbens 90 min later. Three days later, on the test day, we found that prior Daun02 inactivation of accumbens neurons attenuated cocaine-induced locomotor sensitization and neuronal activation (assessed by cfos promoter-induced β -galactosidase expression) when Daun02 was injected following cocaine administration in context A, but not when it was injected following cocaine administration in the novel context B²⁸. Together, these results indicate that contextspecific locomotor sensitization to cocaine is mediated by context-specific selection of accumbens neuronal ensembles that are comprised of a small proportion of sparsely distributed neurons.

In our second application ⁶⁷ of the Daun02 inactivation method, we demonstrated a causal role for neuronal ensembles in the ventral mPFC in context-induced reinstatement of drug seeking, an animal model of relapse induced by exposure to the drug-associated environment ⁷³. We first trained rats to self-administer (by lever pressing) heroin in context A and extinguished lever pressing in context B. On the test day, 14+ days later, re-exposure to the heroin context (A), but not the extinction context (B), increased heroin seeking and increased Fos expression in ~6% of the ventral mPFC neurons. To assess a causal role for this minority of activated Fos-expressing neurons in context-induced reinstatement, we trained c-fos-lacZ transgenic rats to self-administer heroin in context A and extinguished lever pressing in context (A) or the extinction context (B) for 30 min and Daun02 or vehicle was injected into the ventral mPFC 90 min after the beginning of context exposure. On the test day, 3 days later, we found that prior Daun02-induced inactivation of the ventral mPFC decreased context-induced reinstatement and neuronal activation when Daun02 was injected following exposure to the heroin-associated context (A), but not the

when it was injected after exposure to the extinction context (B). Of note, the magnitude of inhibition of context-induced reinstatement by Daun02 injections was similar to that observed following ventral mPFC injections of a mixture of GABA_A and GABA_B agonists (muscimol and baclofen, respectively) to reversibly inactivate this brain area 5-10 min before the reinstatement tests⁶⁷. Together, these results indicate that a small subset of ventral mPFC neurons form neuronal ensembles that encode the learned association between heroin reward and the context in which the drug is self-administered.

In our most recent application ⁶⁸ of the Daun02 inactivation method, we demonstrated a causal role for neuronal ensembles in the orbitofrontal cortex (OFC) in incubation of drug craving (as indicated by time-dependent increases in cue-induced drug seeking after withdrawal from the drug) $^{74-76}$. We trained rats to self-administer heroin (6-h/d for 10 d; drug infusions were paired with discrete light cue) and assessed cue-induced heroin seeking in extinction tests after 1 or 14 days of withdrawal. Cue-induced heroin seeking increased from 1 day to 14 days (incubation of heroin craving) and was accompanied by increased Fos expression in ~12% of OFC neurons on withdrawal day 14. To assess a causal role for this minority of activated Fos-expressing OFC neurons in heroin craving, we trained c-fos-lacZ transgenic rats to self-administer heroin. On induction day, 11 days later, we re-exposed these rats to the light cue in the heroin-associated context or to a novel context without the light cue for 15 min and injected Daun02 or vehicle into OFC 90 min after the beginning of context exposure. On the test day, 3 days later, we found that prior Daun02 inactivation of OFC neurons decreased cue-induced heroin seeking and OFC neuronal activation when Daun02 was injected following re-exposure to the heroin-associated cues, but not when Daun02 was injected following exposure to the novel context⁶⁸. Non-selective inactivation of OFC neurons with muscimol and baclofen also decreased cue-induced heroin-seeking on withdrawal day 14 (but not on day 1) 68. These results indicate that heroin-cue-activated OFC neuronal ensembles play a causal role in persistent responding to heroin cues after withdrawal and incubation of heroin craving.

Taken together, the Daun02 inactivation procedure can be used to study the role of neuronal ensembles in the motivational effects of drug cues and contexts. However, the method has some limitations and unresolved issues. The Daun02 method, like all *c-fos* promoter-based methods, cannot manipulate behaviours that are dependent on a lower level of neuronal ensemble activity than that required to activate the c-fos promoter. Additionally, the method is limited to brain areas in which Fos and β -galactosidase are highly co-expressed (such as the striatum and the mPFC) and cannot be used to assess neuronal ensemble activity in brain areas in which co-expression is moderate or low (for example, the thalamus, unpublished observations). Furthermore, we have yet to assess a time course for Daun02 inactivation beyond three days and do not know the detailed molecular and cellular mechanism involved in Daun02 inactivation. If daunorubicin in activated neurons ablates these neurons through apoptosis, then some collateral effects of this apoptosis might be expected on the surrounding neurons. However, evidence suggests this is unlikely: as shown above, Daun02 inactivation of neuronal ensembles that were activated by non-paired (B) or novel contexts did not decrease lever pressing or neuronal activity in the training context (A) $^{28, 67, 68}$; additionally, prior Daun02 inactivation of the cocaine-activated nucleus accumbens

ensemble in paired context A had no collateral effect on the ability of intra-accumbens injections of a cocktail of AMPA+picrotoxin to activate all accumbens neurons ²⁸.

FACS sorting of activated Fos-expressing neurons

The studies described above indicate that selectively activated neuronal ensembles in accumbens and cortical areas have a causal role in context-specific sensitization of cocaineinduced locomotion, context-induced reinstatement of heroin seeking, and incubation of heroin craving. Thus, molecular alterations within these neuronal ensembles are likely to have unique and important roles in these drug-related learned behaviours. FACS can be used to analyze and purify Fos-expressing neurons for molecular analysis. In the flow cytometry component of FACS, brain tissue is enzymatically and mechanically dissociated into single cells, which are then fluorescently labelled with antibodies and forced to pass single-file through a narrow flow cell in a flow cytometer. In the cell-sorting component of FACS, cells are sorted as they leave the flow cell according to their light-scattering and immunofluorescent characteristics ^{77–80}. We recently developed a FACS-based method to assess gene expression in activated Fos-expressing neurons ^{29, 81} (Figure 3). In this method, neurons are identified by labelling with NeuN antibodies, and activated versus non-activated neurons are identified according to their labelling with Fos or β-galactosidase antibodies. Below we describe the method, its application to studying drug-related behaviours, as well as its limitations. We used FACS to purify activated neurons from different brain areas in two drug-induced behavioural models: context-dependent cocaine sensitization and incubation of heroin craving 29, 68.

In the first study, we assessed unique alterations of cocaine-induced gene expression in activated versus non-activated striatal neurons²⁹. We used FACS to purify activated (β -galactosidase-expressing) neurons 90 min after injections of cocaine in naïve and cocaine-sensitized c-fos-lacZ transgenic rats rats. We then compared gene expression in these cell populations to gene expression in all neurons from control rats that had received saline injections ²⁹. Microarray and qPCR analyses indicated several unique alterations for gene expression levels of IEGs (markers of activity) and other genes within activated neurons. Expression of the IEGs *arc*, *fosB* and *nr4a3* was higher in activated neurons from cocaine-injected rats than in non-activated neurons from the same cocaine-injected rats and in all neurons from saline-injected rats. Notably, gene expression was similar in the two control conditions: non-activated neurons from cocaine-injected rats and all neurons from saline-injected rats²⁹.

In the second study, we used the method to assess unique alterations of heroin cue-induced gene expression in activated versus non-activated neurons following FACS purification of activated Fos-expressing neurons from the mPFC and OFC ⁸². Rats were trained to self-administer heroin as above and then remained in their home cages for 14–30 days. On the test day, we tested half of the rats for cue-induced heroin seeking in an extinction test (a test for incubation of craving after prolonged withdrawal) while the other half remained in their home cage (no-test rats). qPCR analyses indicated several unique alterations in gene expression levels for IEGs and other genes within activated neurons. Cue-induced heroin seeking increased the expression of the IEGs *arc*, *fosB*, *egr1* and *egr2* in activated neurons

relative to levels in the non-activated neurons from the same 'test' rats or in all neurons from the 'no test' rats ⁸².

Taken together, in both studies IEGs were induced in activated neurons but not in nonactivated neurons. This finding, along with our immunohistochemical findings ^{29, 82}, supports the idea of sparse coding, in which only a small proportion of sparsely distributed neurons undergo the molecular and cellular alterations needed to encode conditioned drug effects, whereas the surrounding majority of neurons presumably play a much smaller role. As many of these IEGs are also transcription factors, it is likely that they can induce further alterations of gene expression within activated neurons that may play uniquely important roles in learned behaviours mediated by activated neuronal ensembles.

The FACS-based method has several limitations. We can only identify the relevant neuronal ensembles following their activation by acute drug or cue exposure on the test day. Thus, we cannot assess molecular alterations that were induced in these neurons during selfadministration training prior to acute drug or cue exposure on the test day. In addition, it is not possible to manipulate genes selectively in these activated neurons to assess any potential causal roles for these genes in behaviour. We are currently developing methods to overcome these issues. Additionally, until recently, our FACS-based method required pooling the relevant brain areas, such as the striatum and PFC, from 6-10 rats. This makes the method less useful for time- and labour-intensive studies that require intravenous surgery and many weeks of behavioural training (including studies on mechanisms of drug reward and relapse). Furthermore, different sub-regions of striatum and frontal cortex are known to have different roles in the behavioural effects of drugs and non-drug rewards, and the cues and contexts associated with them ^{55, 83–85}. To address this issue, we recently combined our existing FACS method ⁸¹ with Arcturus PicoPure RNA Kit and pre-amplification of the target genes to assess gene expression from as few as 5 Fos-positive neurons. These modifications enable us to reliably measure gene expression changes in a limited number of Fos-expressing neurons from a single dorsal striatum of rats injected with saline or methamphetamine ⁸⁶. We are currently using this improved FACS-method to study unique molecular alterations in activated Fos-expressing accumbens and dorsal striatum neurons using the context-induced reinstatement of drug seeking model.

c-fos-GFP transgenic mice and rats

Alterations in synaptic efficacy, particularly within excitatory synapses, are regarded as the main cellular mechanism underlying learning and memory processes ^{87, 88}, including those involved in drug addiction ^{51, 89}. However, as discussed above, previous studies examined drug-induced or drug–cue-induced global alterations of synaptic efficacy in randomly selected neurons, regardless of their activation state.

c-fos-GFP transgenic mice were developed to assess unique electrophysiological characteristics of activated Fos-expressing cortical neurons during different behavioural states $^{90-94}$. These mice can also be used to study unique synaptic alterations in activated Fos-expressing neuronal ensembles during learned behaviours; we describe this use below, including its application to studying drug-related behaviours and its limitations. The transgene of these mice contains a *c-fos* promoter that induces expression of green

fluorescent protein (GFP) to identify activated neurons in brain slice preparations. In our experience, confocal microscopy is necessary to visualize GFP-labelled neurons, because regular epifluorescence microscopy does not provide adequate sensitivity. Once a GFP-labelled neuron is identified, infrared differential interference contrast (DIC) microscopy is used to perform whole-cell patch electrophysiology.

We have used these cfos-GFP transgenic mice to assess unique synaptic alterations within activated neurons in the nucleus accumbens following context-specific cocaine sensitization ⁹⁵. We previously found that activated Fos-expressing neuronal ensembles in the nucleus accumbens mediate context-specific sensitization of cocaine-induced locomotion 28 . The main finding in our study was that cocaine sensitization, but not acute cocaine, produced higher levels of 'silent synapses' (synapses that contain functional NMDA receptors but no functional AMPA receptors ⁹⁶) in activated (GFP-positive) neurons, and not in non-activated or weakly activated (GFP-negative) neurons. Interestingly, the silent synapses induced in activated, GFP-expressing neurons appear different from those previously observed in randomly selected nucleus accumbens neurons following repeated cocaine injections 97, 98. Specifically, NMDA receptors in silent synapses from randomly selected neurons were characterized by high levels of the NR2B subunit ⁹⁸, whereas this was not the case for silent synapses in our activated, GFP-positive neurons ⁹⁵. We hypothesize that silent synapses in GFP-positive neurons may be due to AMPA receptor endocytosis resulting from strong activation of these neurons. The data from this study⁹⁵., together with the finding described above²⁸, suggest that distinct synaptic alterations are induced in the activated nucleus accumbens neurons that mediate context-specific cocaine sensitization.

The c-fos-GFP transgenic mouse is an excellent tool for studying unique synaptic alterations in activated neuronal ensembles following relatively simple behavioural models used in the addiction field, such as locomotor sensitization and conditioned place preference. However, transgenic mice are not ideal subjects for complex studies of drug reward and relapse that are based on intravenous drug self-administration. For this reason, we developed a c-fos-GFP transgenic rat using the genetic construct described earlier ³⁰ (Figure 4). In the initial neurobiological study with these transgenic rats, we adapted the classic reinstatement model of drug relapse ⁵⁸ to study reinstatement of food seeking as an animal model of relapse during dieting ⁹⁹. We assessed whether stress-induced reinstatement of palatable food seeking ¹⁰⁰, which is dependent on dorsal mPFC activity ^{101, 102}, is associated with unique synaptic alterations in this brain area. We found that reinstatement of food seeking induced by the pharmacological stressor yohimbine ¹⁰³ was associated with reduced AMPAR/ NMDAR current ratios (indicating reduced glutamatergic synaptic efficacy ¹⁰⁴) and increased paired-pulse facilitation (indicating decreased synaptic glutamate release ¹⁰⁵) in activated GFP-positive but not non-activated or weakly activated GFP-negative neurons ³⁰.

Taken together, these studies in c-fos-GFP transgenic rats and mice, as well as earlier studies ^{92, 93} demonstrate that these transgenic rodents are suitable for studying unique synaptic alterations in the minority of activated neuronal ensembles that presumably control learned behaviours. There are, however, several limitations of this approach. One limitation is that, as with the FACS procedure, we cannot assess synaptic alterations that were induced

in these neurons during training prior to acute drug or cue exposure on test day. Nor can we manipulate these altered synaptic mechanisms selectively in activated neurons to assess their causal roles in learned behaviours. Another limitation is that combining behavioural studies with synaptic physiology of activated neurons is technically challenging, because of the difficulties associated with identifying a sufficient number of GFP-positive neurons in a slice preparation. This difficulty arises from the fact that only a minority of neurons expresses GFP and this expression is transient, lasting only a few hours. Additionally, to date, we have only used this approach after pharmacological activation (using cocaine or yohimbine) that induces significantly stronger neuronal activation than that induced by exposure to drug or food cues. Thus, we have not yet established that c-fos-GFP rats can be used for studies of conditioned drug effects and cue-induced relapse to drug or food seeking. As is the case with c-fos-lacZ rats, electrophysiological studies with c-fos-GFP rats and mice are limited to behaviours that increase neural activity enough to activate the *c-fos* promoter and induce a high level of co-expression of Fos and GFP in the brain areas of interest.

Neuronal ensembles in fear conditioning

The neuronal ensembles hypothesis has been the inspiration for many studies on neuronal mechanisms of fear conditioning and extinction ^{13, 106}. As in other neuroscience disciplines, most published work on this topic was derived from correlational studies between behaviour and *in vivo* electrophysiology ^{107, 108} or cellular imaging ^{109–112} (Box 1) methods. Recently, investigators have developed two methods to manipulate putative neuronal ensembles and examine their causal role in conditioned fear ^{18, 113}. We describe these methods below (Figure 5).

Manipulation of neuronal ensembles in c-fos-tTA transgenic mice

In two recent studies, c-fos-tTA transgenic mice ^{16, 114} were used in combination with optogenetic or DREADD methods to examine causal roles of neuronal ensembles in fear conditioning ^{19, 33}. As described in Box 1, c-fos induction of the tTA gene is repressed by doxycycline in the drinking water prior to learning. Doxycycline is removed before the first learning session, allowing tTA to be induced in neuronal ensembles that are activated during the learning task. The tTA activator protein can then bind to a tet operator in the promoter of a second transgene and drive the expression of this gene only in neurons that were activated (Fos-positive) during the learning task. Investigators have used optogenetic or DREADD genetic constructs as the second transgenes to selectively reactivate these neurons during tests for the expression of fear learning ^{19, 33}.

Liu *et al.* ¹⁹ used the c-fos-tTA transgenic mice to determine causal involvement of hippocampal neuronal ensembles in Pavlovian fear conditioning. They tested whether reactivation of neuronal ensembles in the dentate gyrus that were activated during learning was sufficient for fear memory recall, operationally defined as increased freezing. The experimental group of consisted of c-fos-tTA mice that were injected with an adeno-associated virus (AAV) expressing ChR2-EYFP and implanted with an optical fibre in the dentate gyrus. Mice were kept on doxycycline during habituation days, so that their basal freezing levels in context A could be determined during light-off and light-on epochs of

optical stimulation. The mice showed little freezing during these habituation sessions prior to fear conditioning. Mice were then taken off doxycycline and underwent tone (CS)–shock (UCS) pairing (fear conditioning) in context B to induce ChR2 expression in Fos-positive neurons that were activated during fear conditioning. Mice were given doxycycline again and tested during light-off and light-on epochs in context A. ChR2 activation by optical stimulation induced reactivation of the neurons that had previously been activated during fear conditioning in context B and produced increased freezing behaviour in context A. In an important control condition, after habituation with doxycycline in context A, the authors removed doxycycline and induced ChR2 in neuronal ensembles activated by exposure to a novel context C in the absence of fear conditioning. Doxycycline was then given to the mice before fear conditioning in context B. ChR2-induced activation of context C-related ensembles in these mice did not produce higher levels of freezing in context A, presumably because this ensemble was not associated with fear conditioning¹⁹.

The results of this elegant study ¹⁹ suggest a causal role for dentate gyrus neuronal ensembles in the formation of stable fear memories. This conclusion would be strengthened if it could be shown that halorhodopsin-dependent inhibition of the neuronal ensembles that were previously activated during fear conditioning in context B (and that presumably encode the fear memory) prevent tone-cue-induced freezing in context B. In other words, although the authors showed that activation of dentate gyrus neuronal ensemble is 'sufficient' for reactivating a fear memory, it is unknown whether endogenous activity of this putative ensemble is 'necessary' for encoding the fear memory.

In a second study, Garner et al. 33 used the c-fos-tTA transgenic mice with DREADD technology to activate Fos-expressing fear-encoding neurons in the brain. These transgenic mice have two transgenes that are widely expressed in many brain areas. The first transgene is the c-fos-tTA described above. The second transgene contains a tet operator that drives tTA-dependent expression of hM_3D_q , an artificial G_q -coupled receptor that binds the drug clozapine-N-oxide (CNO), which is typically injected systemically to activate neurons expressing this receptor ¹¹⁵. One of the experiments of this study³³ was similar to that used in the earlier study ¹⁹. Specifically, doxycycline was removed for 2 days, after which mice underwent fear conditioning training in context B, so that the hM₃D_q protein was induced in neurons that were activated during the fear conditioning. On the test day, the mice were placed in a novel context (A) and CNO was systemically injected to activate hM3Da and thereby reactivate those neurons that were previously activated during fear conditioning in context B. However, CNO did not induce freezing in context A, suggesting that DREADDmediated reactivation of neurons paired with fear conditioning was not sufficient to recall the fear memory. The authors also performed several experiments suggesting that coactivation of artificially induced neuronal ensembles can interfere with naturally produced cue-activated neuronal ensembles. It is beyond the scope of this article to describe these other experiments, because they did not directly test a causal role for neuronal ensembles in conditioned fear.

The reasons for the different results between the two studies^{19, 33} are unknown. Optogenetic activation of neurons may be stronger than DREADD-based activation of neurons. Beyond methodological differences related to the fear conditioning procedures, a very important

difference is that one study ¹⁹ reactivated neuronal ensembles in only the dentate gyrus, whereas the other ³³ reactivated ensembles in multiple brain areas, which may interfere or mask the expression of conditioned fear. Finally, it should be noted that in a different study¹¹⁶ using c-fos-tTA mice, the number of tTA-induced GFP-expressing hippocampal neurons was less than the number of Fos-expressing neurons following context-induced reactivation of fear on test day. This finding suggests that tTA activation in other studies using c-fos-tTA mice may underestimate the number of neurons that are activated during fear conditioning.

Inactivation of CREB-overexpressing neurons

Viral overexpression of CREB combined with diphtheria toxin or activation of allatostatin receptors for subsequent inactivation of CREB-overexpressing neurons has been proposed as a method to study neuronal ensembles in lateral amygdala in fear conditioning ^{31, 32}. Han et al.⁴ used herpes simplex virus (HSV) to overexpress both CREB and Cre recombinase transgenes from the same viral construct in a small number of lateral amygdala neurons in transgenic mice carrying a Cre-inducible diphtheria toxin receptor (DTR) transgene. Subsequent injections of diphtheria toxin (which binds to DTR) can then selectively inactivate neurons that express DTR ³¹. In the first phase of the experiment, test mice that overexpressed CREB and DTR in the same neurons and control mice that overexpressed DTR but not CREB underwent fear conditioning in what was termed weak (one tone-shock pairing) or strong (two tone-shock pairings) training in one context. All mice were tested one day later for expression of fear conditioning (freezing) in a different context. Similar to results in a previous study ¹¹⁷, CREB overexpression in the test mice increased neural responsiveness of the CREB-overexpressing neurons, which leads to their preferential activation during fear learning and enhanced fear expression in the weak but not strong training condition (presumably the strong training condition already produced maximal levels of fear learning)³¹. In the subsequent lesioning phase of the experiment, control and test mice were injected systemically with diphtheria toxin to ablate DTR-expressing neurons and subsequently tested for expression of fear conditioning. Diphtheria toxin reduced the expression of fear memory in test mice but not in control mice, in which a similar number of lateral amygdala neurons overexpressing DTR (but not CREB) were inactivated by diphtheria toxin.

In second study, Zhou *et al.* ³² used a similar strategy. They used HSV to overexpress both CREB and the inhibitory drosophila allatostatin receptor (which is not expressed in rodents) in the same neurons; the peptide allatostatin binds to this receptor to reversibly inactivate neurons. The authors used experimental methods similar to those described above ³¹ to demonstrate that following auditory fear learning, inactivation of CREB-overexpressing neurons by allatostatin decreased the expression of conditioned fear³².

Although these two studies successfully inactivated CREB-overexpressing neurons and decreased the expression of conditioned fear, the CREB overexpression method is not optimal for studying causal roles for neuronal ensembles in learned behaviours. This is because the 'neuronal ensembles' that are inactivated by this method are artificially selected CREB-sensitized neurons rather than neuronal ensembles that were naturally selected by cue

or context exposure during fear conditioning training. That is, the neurons overexpressing CREB in these experiments are randomly selected during HSV infection, prior to any learning experience. CREB over-expression in these neurons results in the formation of a hypersensitive cell type with synaptic alterations that make them highly responsive to many stimuli ^{97, 118, 119}. This leads to the formation of artificial 'neuronal ensembles' that probably have a very different composition than the putative endogenous neuronal ensembles that would be selected during the same learning experience. For comparison, in the c-fos promoter-based neuronal ensemble inactivation methods described above, only the neurons that are strongly activated by cues, contexts or drugs during learning are selected for subsequent inactivation. A promising future direction would be to combine the diphtheria toxin or allatostatin inactivation methods with c-fos promoter selection of neurons activated during learned behaviours.

Conclusions and future directions

We have discussed recent technical developments that make it possible to determine causal roles of putative activated neuronal ensembles in learned behaviours and to characterize molecular and synaptic physiology of the activated neurons. These methods are unique and largely orthogonal to current mainstream neuroscience research that followed the introduction of optogenetic- and DREADD-based methods to the field ^{23, 27}. This is because the goal of most optogenetic and DREADD studies is to identify causal roles of specific cell types, receptors or cellular signalling molecules in a given brain area or a particular cell-specific projection in learned behaviour, independently of the activation state of the neurons.

The study of the causal involvement of neuronal ensembles in drug addiction and fear is in its infancy, and as discussed above, each method has its own limitations. The only relatively clear-cut demonstration of causal roles of endogenous neuronal ensembles in learned behaviours has come from studies using the Daun02 inactivation procedure, in which inhibition of a small proportion of activated neurons in the nucleus accumbens or cortex inhibited context-specific cocaine locomotor sensitization, context-induced reinstatement of heroin seeking, and incubation of heroin craving ^{28, 67, 68}. By contrast, as discussed above, studies combining optogenetic or DREADD methods with c-fos-tTA mice either only demonstrated the 'sufficiency' (but not the necessity) of neuronal ensembles in conditioned fear ¹⁹ or did not provide clear evidence for a role of neuronal ensembles in fear conditioning ³³. Additionally, although studies using CREB overexpression demonstrated that selective inactivation of a small proportion of activated neurons decreases the expression of fear conditioning ^{32, 117}, the neurons to be activated during fear learning and subsequently inactivated were pre-selected by the HSV viral manipulation. Thus, the neuronal ensembles identified in these studies may not reflect the composition of the endogenous amygdala neuronal ensembles that are normally selected by the learning experience.

There are also important limitations in the methods that have been developed for examining molecular ^{29, 81} and synaptic physiology ^{30, 95} alterations that were induced in neuronal ensembles activated during prior learning or on test day. One main limitation is that we cannot assess the basal conditions of the putative neuronal ensembles prior to activation,

because we must acutely induce GFP, β -galactosidase or Fos to identify the activated neurons. Thus, we cannot determine whether any observed alterations are due to prior learning during the training phase or due to acute expression of the learned behaviour on the test day. To solve this problem we need to identify neurons that are 'destined' to be activated and participate in an ensemble, prior to their activation on test day. The second limitation is that although we can potentially demonstrate causal roles of selectively activated neurons in learned behaviours, there are no tools to manipulate the molecular and synaptic alterations induced selectively in the activated neurons to demonstrate how these molecular or synaptic alterations affect learned behaviours or cell function. A third limitation is that the c-fos promoter-based techniques described above cannot identify groups of neurons that are inhibited or insufficiently activated by cues for c-fos promoter activation to occur. Therefore, an absence of *c-fos* activation in neurons does not necessarily imply that they were not active and have no role in the behaviour.

It may be possible to overcome the first limitation regarding basal conditions by using the cfos-tTA mouse system or our recently developed c-fos-tet-Cre recombinase transgenic rat system (unpublished data; FCC, YS, BTH) in which previously activated neurons can be identified with a constitutively expressed molecular marker (e.g., GFP) that persists for many days after the last manipulation when basal conditions are re-established. A similar strategy for identifying previously activated neurons involves tamoxifen-sensitive Cre recombinase induced by either the *c*-fos or arc promoter 120 . Additionally, a recent and promising technology uses transgenic mice with photoactivable GFP that, once activated, can be placed in a long-lasting fluorescent state that permit neurons to be identified at a later time for more detailed analysis using slice electrophysiology ¹²¹. One study ¹²² has recently achieved selective manipulations within activated neurons by using transgenic mice carrying both c-fos-tTA and GFP-GluA1 transgenes ¹²³. The authors used the GFP-GluA1 construct to assess structural changes in dendritic spines in hippocampal neurons that were activated at the time of fear learning. The main finding was that spines on active (Fos-positive) but not those on inactive (Fos-negative) neurons of context-fear-conditioned mice were reduced 24 h after conditioned fear training, and this reduction did not occur in control mice (exposed to the context along or to an unpaired shock). To date, however, none of the published techniques described above have been used to define the interactions between neuronal ensembles in different brain areas that are active at the same time during learning and on test day that may form the circuitry underlying learned behaviour. It will also be important to expand on the present work using other activity-dependent promoters such as for arc, which has been used for two-photon imaging of integrated neural activity in *arc-GFP* mice 124 , as well as for inducing Cre recombinase in activated neurons 120 . The *arc* promoter is similar to the *c-fos* promoter, but generally has higher levels of basal activity and a lower threshold for activation ¹²⁵.

Finally, it is perhaps too early to speculate about the clinical implications of a better understanding of the unique molecular and synaptic physiology alterations in behaviourally activated neuronal ensembles. Nevertheless, one potential implication is the shift in direction of medication development — from strategies that target specific receptor, cell type or signalling mechanism that are independent of the neuron's activity status, to strategies that

target specific mechanisms that are observed only in activated neuronal ensembles. For example, drugs like ketamine or memantine that bind preferably to activated NMDA receptors ^{126–128} can be used to potentially erase (via interference with memory reconsolidation ^{26, 129}) or diminish the motivational impact of memories of drug-associated or fear-associated cues. Thus, giving ketamine or memantine immediately after exposing drug users or PTSD patients to drug- or trauma-associated cues to reactivate neuronal ensembles that encode the drug- or trauma-associated memory may diminish the motivational impact of these cues and decrease relapse.

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Figure 1. Neuronal ensembles in the mesocorticolimbic dopamine reward system

Hypothetical schematic of how drug-associated stimuli activate specific patterns of neurons or neuronal ensembles in this brain system. Environmental stimuli (e.g., tones, lights, odours) and drug-induced interoceptive stimuli (e.g., heart rate, blood vessel tone) during drug self-administration activate specific neuronal ensembles in sensory regions of the neocortex and olfactory bulb that in turn activate specific neuronal ensembles in the prefrontal cortex, hippocampus, basolateral amygdala (BLA), and thalamus. Activated principal (glutamatergic) neurons in each brain area are indicated with red circles and nonactivated principal neurons are indicated by light blue circles. Neurons in the nucleus accumbens that receive the highest levels of convergent excitatory glutamatergic input (blue lines) from these latter brain areas are selectively activated to form a neuronal ensemble that corresponds to or encodes the specific combination of stimuli and their relationships on the basis of past experience. Depending on the salience and reward value of these stimuli, the ventral tegmental area (VTA) sends dopaminergic input (red lines) to the prefrontal cortex and nucleus accumbens that further enhances ongoing activity of the more highly activated neuronal ensembles while attenuating activity in the less activated majority of neurons in the prefrontal cortex and nucleus accumbens. Yellow lines indicate GABAergic outputs from the nucleus accumbens to the ventral pallidum (VP) and VTA. CeA, central nucleus of the amygdala.



Figure 2. The Daun02 inactivation method

(A) The *c-fos-lacZ* transgene in the transgenic rats contains a *c-fos* promoter that regulates transcription of the *lacZ* coding sequence. Sufficiently strong and persistent neural activity induces fos expression and, subsequently, activates the c-fos promoter. As a result, the expression of *lacZ* mRNA and its protein product β-galactosidase is increased in these strongly activated neurons but not in the surrounding majority of neurons. The prodrug Daun02 is injected into the brain area of interest and is initially inactive. However, βgalactosidase catalyzes Daun02 to the active product Daunorubicin that induces apoptosis and cell death in only those neurons that were activated strongly enough during behaviour to induce β -galactosidase. (B) The general experimental procedure requires repeated exposures in one context (context A) during the training phase, followed by withdrawal/abstinence or extinction in a different context (context B). On the induction day, specific neuronal ensembles can be reactivated by the training, extinction or exposure to a novel context (context C), along with cues and/or the drug to induce β -galactosidase. Vehicle or Daun02 is injected 90 minutes later (the time of maximal β-galactosidase protein induction after neuronal activation). On the test day, 3 days later, reactivation of these neurons and behavioural effects in the training context (context A) are assessed.



Figure 3. FACS sorting of activated neurons

The FACS method is used for assessing unique molecular alterations within activated versus non-activated neurons. (A) In flow cytometry, including FACS, single cells are enzymatically dissociated from brain tissue and fluorescently labeled with different antibodies. Labelled samples are then forced to pass single file through a narrow flow cell. Absorbance of transmitted laser light for each particle is called Forward Scatter (FSC) light, whereas light scattered at a 90-degree angle is called Side Scatter (SSC) light. Each particle (cell or non-cell) is called an 'event'. (B) Each event is indicated by a black dot in the Scattergram. The cluster of events in the lower part of the Scattergram corresponds to neurons that were subsequently selected (or 'gated') by the indicated triangle for further analyses of their fluorescence characteristics. Positively labelled events (e.g., Fos-positive cells) have high fluorescence levels (red circles), whereas negatively labelled events (e.g.,

Fos-negative cells) have low fluorescence levels (black circles). (C) These events are displayed in a Fluorescence scattergram. Rectangular gates are used to select positive events (e.g., Fos-positive and NeuN-positive cells) and negative events (e.g., Fos-negative and NeuN-positive cells) for collection using FACS. Droplets containing gated events can be programmed to receive an electric charge as they leave the flow cell. Magnetic plates direct the charged droplets and sort them into separate 'positive' or 'negative' sample tubes for further molecular analysis.





Figure 4. Electrophysiology of activated neurons using the c-fos-GFP rat

Assessing unique electrophysiological alterations within activated versus non-activated neurons. The *cfos-GFP* transgene in transgenic rats (or mice) contains a *c-fos* promoter that regulates transcription of the coding sequence for green fluorescent protein (GFP). Sufficiently strong and persistent neural activity activates the c-fos promoter which induces GFP in these strongly activated neurons but not in the surrounding majority of neurons. (A) Coronal slices are obtained for electrophysiological analysis. (B) GFP expression (induced by drug or cue exposure) can be used to guide the electrode to GFP-positive or GFP-negative neurons and attach it using differential interference contrast (DIC) optics. The arrow indicates a GFP-positive neuron with the shadow of the attached electrode to the right. (C) Alexa 568 in the electrode can diffuse into the attached cell to confirm that recorded cell was GFP-positive.



Figure 5. Manipulating activated fear-encoding neuronal ensembles in the hippocampus and amygdala

(A) The c-fos-tTA transgene in transgenic mice contains a *c-fos* promoter that regulates transcription of the coding sequence for the tet-off transcriptional activator (tTA) protein. Sufficiently strong and persistent neural activity during a particular learned behaviour induces tTA in these strongly activated neurons but not in the surrounding majority of neurons. Doxycycline provided to the mice (commonly through the diet) inactivates tTA transcriptional activity. When doxycycline is removed from the diet, the tTA can bind to the tet operator and activate a second transgene (viral or genomic) that expresses the optogenetic activating protein channel rhodopsin-2 (ChR2) or the pharmacogenetic inactivating

DREADD receptor (hM3Dq) in those neurons that were previously activated during the behaviour. Blue light activates and manipulates the ChR2-expressing neurons, whereas clozapine-N-oxide (CNO) activates hM3Dq-expressing neurons that were activated (red) during subsequent behavioural tests. (B) A herpes simplex virus (HSV) transgene contains a constitutively activated HSV IE4/5 promoter that drives the expression of two genes encoding GFP-CREB fusion protein and Cre recombinase, separated by an internal ribosome entry site (IRES) (cds indicates coding DNA sequence). Over-expression of GFP-CREB increases the sensitivity of neurons to synaptic input (red circles). Cre recombinase in the same neurons recognizes loxP DNA sequences in the DTR transgene of transgenic mice to cut out the Stop DNA sequence; this permits constitutive rosa26 promoter-induced expression of diphtheria toxin receptor (DTR) protein. Subsequent injections of Diphtheria toxin ablate DTR-expressing neurons. (C) A herpes simplex virus (HSV) transgene contains two separate genes: one uses a constitutively activated HSV IE4/5 promoter to drive the expression of the gene encoding GFP-CREB fusion protein, and the other gene uses a cytomegalovirus immediate early gene promoter (CMV) to drive expression of the gene (Alstr) that encodes the drosophila Allatostatin receptor. The same neurons (red circles) over-express GFP-CREB, which increases both the sensitivity of neurons (red circles) and the expression of the Allatostatin receptor. Subsequent site-specific injections of the Allatostatin peptide can inactivate these neurons during a behavioural test.