

## PROMOTION OF WAKEFULNESS AND ENERGY EXPENDITURE BY OREXIN-A IN VLPO

# Promotion of Wakefulness and Energy Expenditure by Orexin-A in the Ventrolateral Preoptic Area

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**Study Objectives:** The ventrolateral preoptic area (VLPO) and the orexin/hypocretin neuronal system are key regulators of sleep onset, transitions between vigilance states, and energy homeostasis. Reciprocal projections exist between the VLPO and orexin/hypocretin neurons. Although the importance of the VLPO to sleep regulation is clear, it is unknown whether VLPO neurons are involved in energy balance. The purpose of these studies was to determine if the VLPO is a site of action for orexin-A, and which orexin receptor subtype(s) would mediate these effects of orexin-A. We hypothesized that orexin-A in the VLPO modulates behaviors (sleep and wakefulness, feeding, spontaneous physical activity [SPA]) to increase energy expenditure.

**Design and Measurements:** Sleep, wakefulness, SPA, feeding, and energy expenditure were determined after orexin-A microinjection in the VLPO of male Sprague-Dawley rats with unilateral cannulae targeting the VLPO. We also tested whether pretreatment with a dual orexin receptor antagonist (DORA, TCS-1102) or an OX2R antagonist (JNJ-10397049) blocked the effects of orexin-A on the sleep/wake cycle or SPA, respectively.

**Results:** Orexin-A injected into the VLPO significantly increased wakefulness, SPA, and energy expenditure (SPA-induced and total) and reduced NREM sleep and REM sleep with no effect on food intake. Pretreatment with DORA blocked the increase in wakefulness and the reduction in NREM sleep elicited by orexin-A, and the OX2R antagonist reduced SPA stimulated by orexin-A.

**Conclusions:** These data show the ventrolateral preoptic area is a site of action for orexin-A, which may promote negative energy balance by modulating sleep/wakefulness and stimulating spontaneous physical activity and energy expenditure.

**Keywords:** arousal, brain, obesity, sleep

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## INTRODUCTION

Inadequate sleep increases the risk for obesity, type 2 diabetes, and all-cause-mortality.<sup>1–5</sup> Sleep loss may increase obesity risk by modifying behaviors such as eating and spontaneous physical activity (SPA), the latter being defined as all physical activity excluding formal exercise.<sup>6–8</sup> Although the neurobiology of sleep and its relationship to health is well studied, less is known about the relationship between sleep and other behaviors, such as SPA and eating, both of which affect health status. Such information could lead to novel and effective treatment strategies to improve health and body weight management.

Orexin-A (i.e., hypocretin-1) is an endogenous neuropeptide important to multiple physiological processes<sup>9–14</sup> and may underlie integration of several behaviors.<sup>15,16</sup> Orexin neurons are silent during NREM sleep, exhibit phasic bursts of activity during REM sleep, and discharge at their highest rate during wakefulness.<sup>17</sup> This activity pattern correlates with orexin-A immunoreactivity, prepro-orexin,<sup>18</sup> postural muscle tone,<sup>17</sup>

exploratory activity, and putatively, with motor programs that are subject to muscle atonia during REM sleep.<sup>19</sup> The biological effects of orexin-A are mediated by two G-protein coupled receptors (OX1R and OX2R) for which orexin-A has similar affinities.<sup>9,10</sup> As might be expected from the discharge pattern of orexin neurons, orexin-A administration in the brain enhances wakefulness,<sup>20</sup> SPA,<sup>20–22</sup> energy expenditure,<sup>23</sup> and, depending upon brain site, acutely increases feeding.<sup>10,22</sup> Given chronically, orexin-A reduces body weight,<sup>24,25</sup> highlighting that orexin-A predominantly promotes energy expenditure and a net negative energy balance.<sup>14</sup> Taken together, these data suggest that orexin-A coordinates and modulates sleep, eating behavior, and SPA to increase net energy expenditure.

The ventrolateral preoptic area (VLPO) is a brain region essential to the initiation, maintenance, and consolidation of sleep.<sup>26–31</sup> The VLPO contains sleep-promoting GABAergic and galaninergic neurons,<sup>32</sup> and in rodents these neurons exhibit high c-fos immunoreactivity during sleep.<sup>26,33</sup> The discharge rate of VLPO neurons increases just before or at the transition from wakefulness to NREM sleep and decreases prior to the transition from NREM or REM sleep to wakefulness.<sup>28</sup> In contrast, VLPO lesions reduce sleep time and sleep stability, demonstrated by increased transitions between sleep and wakefulness.<sup>27</sup> The VLPO is anatomically well positioned to gate orexin-dependent behavioral output such as feeding and SPA. The VLPO contains both subtypes of orexin receptors,<sup>34,35</sup> and VLPO neurons have reciprocal connections with orexinergic nuclei.<sup>32,36–43</sup> Neuroanatomical and functional<sup>44</sup> data indicate

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that the VLPO promotes sleep, at least partially, by inhibiting orexin neurons.<sup>29</sup> Whether the VLPO modulates other behavioral states such as SPA and feeding, and/or if orexin-A in the VLPO augments energy expenditure is unknown.

As orexin-A affects the transitions between vigilance states, SPA and feeding, we investigated here whether orexin-A, administered directly in the VLPO, augments active behaviors (i.e., wakefulness, SPA, feeding) and energy expenditure. We also examined, using subtype-specific antagonists, the orexin receptor subtype mediating these effects. Our hypothesis was that orexin-A in the VLPO would reduce NREM sleep and REM sleep; increase wakefulness, SPA, feeding and energy expenditure; and that pretreatment with the OX2R antagonist (JNJ-10397049) or a dual orexin receptor antagonist (DORA, TCS-1102) would abrogate orexin-A-stimulated behaviors. Our results here validate the VLPO as an important site of action for orexin and suggest that orexin-A acts in the VLPO to coordinate and integrate active behaviors to promote negative energy balance.

## METHODS

### Animals

Three-month old male Sprague-Dawley rats (Charles River, Kingston, NY) ( $n = 55$ ) were housed individually either in solid bottom cages with corncob bedding or a perforated floor, or in wire-bottom cages with resting platforms and a chewing substrate (Nylabone, natural flavor, BioServ, Frenchtown, NJ). Throughout the study, a 12-h light/12-h dark cycle (lights on at 06:00) in a temperature-controlled environment (21–22°C) was followed. Rodent chow (Harlan Teklad 8604) and water were allowed *ad libitum*. Studies were approved by the Institutional Animal Care and Use Committee at the Minneapolis VA Health Care System, the University of Minnesota, and the University of Arizona. Six groups ( $n = 51$ ) of rats were used for the studies. Separate groups of rats were used for studies 1 and 2; another group of rats was used for studies 3, 4, and 6; and 2 final groups of rats were used for study 5.

### Surgery

Rats were anesthetized with a ketamine/xylazine mixture (50 mg/kg; 15 mg/kg), and implanted with a 26-gauge stainless steel cannula (Plastics One, Roanoke, VA) directed towards the VLPO. Rats were also implanted<sup>35</sup> with a radiotelemetric transmitter and EEG/EMG electrodes to record vigilance states (F40-EET, Data Sciences International [DSI], St. Paul, MN). Stereotaxic coordinates for the VLPO were determined from Paxinos and Watson<sup>45</sup> and are as follows: –0.12 mm posterior, 0.8 mm lateral to bregma, and 8.0 mm below the skull surface. Landmarks for positioning the EEG leads on the cranium were as follows: 3.1 mm posterior and 1.5 mm lateral to bregma. The EMG leads were secured in the nuchal musculature. For all cannulations, the incisor bar was set at 3.3 mm below the ear bars. Animals were allowed to recover from surgery for at least 7–10 days before experimental trials began.

### Drugs

Orexin-A (15.6–125 pmol/0.5  $\mu$ L, American Peptides, Sunnyvale, CA) was dissolved in artificial cerebrospinal fluid

(Sigma-Aldrich, St. Louis, MO), which was used as the vehicle (control) for the injection studies with orexin-A. The selective OX2R antagonist and DORA (62.5–250 nmol/0.5  $\mu$ L, JNJ-10397049 and 62.5–250 pmol/0.5  $\mu$ L, TCS-1102, respectively, Tocris Bioscience, St. Paul, MN) were dissolved in DMSO/methanol HCl/sterile water. All drugs were stored at 4°C for < 48 h.

### Injections

A volume of 0.5  $\mu$ L was injected gradually over 30 s with a 33-gauge injector (Plastics One, Roanoke, VA) that extended 1.0 mm beyond the tip of the guide cannula.<sup>21</sup> Injections were performed between 08:00 and 10:00 (zeitgeber time 2–4) with  $\geq 48$  h between treatments. Repeated injections did not cause tissue damage as measured by the lack of gliosis around the injection site under 100x microscopy after 50 injections.<sup>46,47</sup> Additionally, we have shown that the behavioral responses to orexin-A do not decrease with repeated injections,<sup>48</sup> indicating repeated injections do not affect tissue or cellular integrity.

### Verification of Cannula Placement

Cannula placement was verified by histology.<sup>21</sup> Briefly, brains were dissected from the skull and stored in a 10% formaldehyde solution. A cannula was deemed incorrect if the actual injection site was further than 0.25 mm medial and/or lateral from the targeted site. This rationale is based on diffusion coefficients of the injection volume.<sup>49</sup> Rats with incorrectly placed cannulae were excluded from data analysis and are not reported here. Figure 1 displays a map of actual injection sites and a photomicrograph to show rats with correctly placed cannulae.

### EEG/EMG Recording and Determination of Behavioral States

To allow freely moving polysomnogram recordings, a receiver (PhysioTel RPC-1, DSI, St. Paul, MN) was placed beneath the testing cage to detect EEG/EMG signals from the implanted transmitter.<sup>35</sup> Briefly, signals were digitized by a Data Exchange Matrix connected to a PC with Dataquest A.R.T 4.1 software (DSI, St. Paul, MN). Electroencephalogram signals (0.3–30.0 Hz bandpass) and EMG signals (1.0–100.0 Hz bandpass) were stored on a computer, visualized with Neuroscore software (version 2.0.1, DSI, St. Paul, MN), and sleep and wakefulness states were scored manually in accordance with previously described methods.<sup>35</sup> Briefly, consecutive 15-s epochs of EEG and EMG signals were classified into one of the following 4 behavioral states: NREM sleep, REM sleep, active wakefulness, or quiet wakefulness,<sup>50</sup> and percent time spent in each state was then calculated from the scored data. The following dependent variables were quantified for each recording session: (a) percent time spent in active wakefulness, quiet wakefulness, NREM sleep, REM sleep; (b) total number of episodes for each vigilance state; (c) mean duration of episodes for each vigilance state.

### Spontaneous Physical Activity (SPA) Measurement

In studies 3 and 4, SPA was measured by infrared activity sensors placed around an acrylic cage (425  $\times$  265  $\times$  305 mm, TSE Systems, Chesterfield, MO). Briefly, ambulation was detected by 2 infrared arrays along the x- and y-axes, and vertical movement was detected by a third elevated x array. Movement

was therefore simultaneously detected in all dimensions. Components of SPA (distance traveled and vertical activity [e.g., rearing]) were determined from the infrared beam-break data. Rats were acclimated to the SPA chambers on 3 consecutive days for 3–5 h/day prior to the start of the studies. Food and water were available *ad libitum* during acclimation, and water was available *ad libitum* during testing.

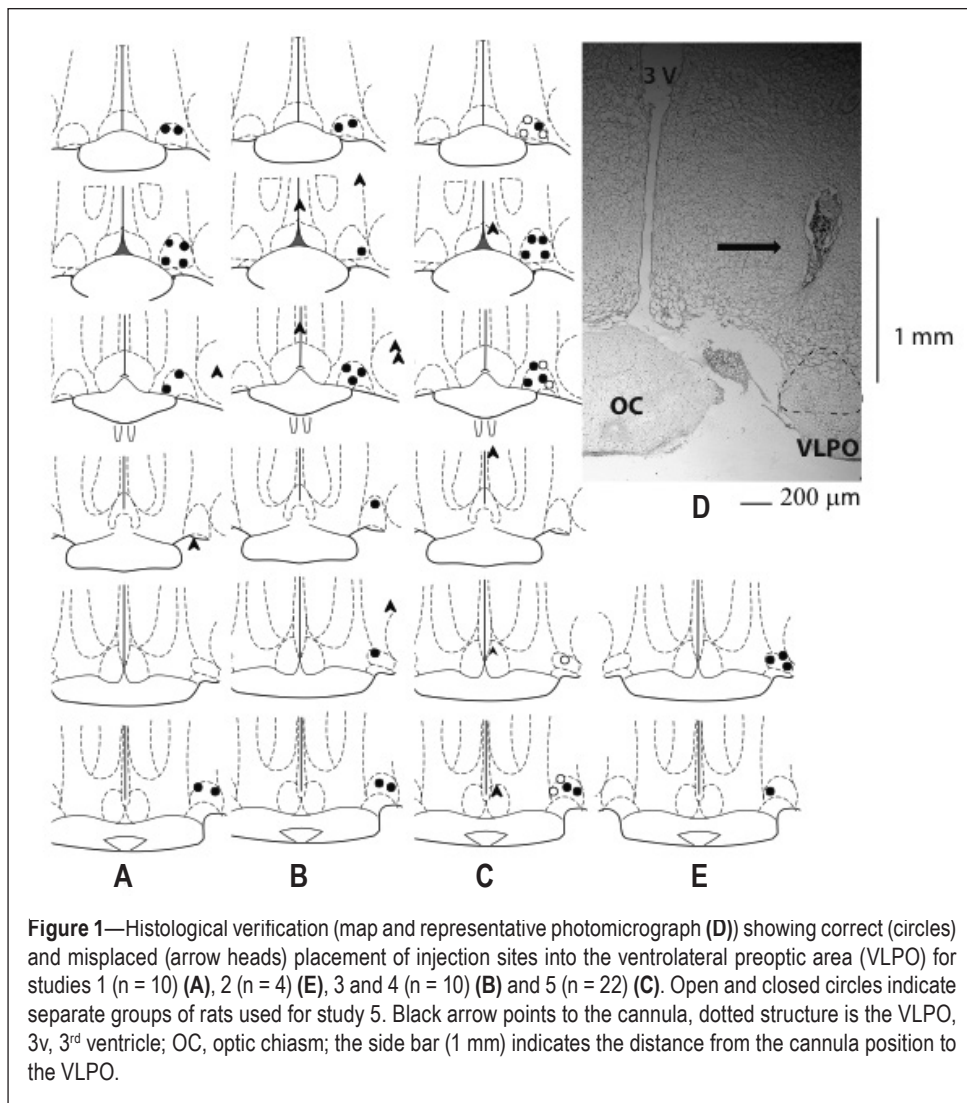
### Concurrent Indirect Calorimetry and SPA

In study 6, energy expenditure and SPA was determined with a pull-mode open-circuit continuous indirect calorimeter that measured simultaneous and continuous O<sub>2</sub> consumption, CO<sub>2</sub> production and water vapor every second from each chamber (Promethion-C, Sable Systems Inc. Las Vegas, NV). Oxygen, carbon dioxide, and water vapor sensors were calibrated prior to each test with primary gas standards. Chamber airflow was maintained at 2,500 mL/min. Spontaneous physical activity was measured concurrently with energy expenditure each second from each chamber by infrared beam break sensors in the x-y and z planes (Sable Systems Inc. Las Vegas, NV). Rats were acclimated to the chambers on 3 consecutive days for 3 h each day. Food and water were available *ad libitum* during acclimation, and water was available *ad libitum* during testing. Reference measurements from room air were determined at 15-min intervals over the testing period. The respiratory quotient was defined as the mean of the respiratory exchange ratio values taken over the measurement period. Energy expenditure was calculated with Expedata software version 1.7.30 (Sable Systems Inc. Las Vegas, NV).

### Specific Experimental Designs

#### Study 1. Effect of Orexin-A in the VLPO on Sleep and Wakefulness

Orexin-A (15.6, 31.2, 62.5, and 125.0 pmol/0.5 μL) or vehicle was injected into the VLPO in a repeated-measures Latin-square counter-balanced design (n = 11). Thus rats were randomly assigned to a treatment group, each animal received each treatment once, and all treatments were represented on each day. One rat was excluded due to an incorrectly placed cannula so n = 10 for this study. Doses were chosen based on our prior studies<sup>51</sup> and were comparable to studies that tested the effect of orexin-A on sleep and wakefulness when administered in other brain regions. Continuous EEG/EMG recordings



**Figure 1**—Histological verification (map and representative photomicrograph (D)) showing correct (circles) and misplaced (arrow heads) placement of injection sites into the ventrolateral preoptic area (VLPO) for studies 1 (n = 10) (A), 2 (n = 4) (E), 3 and 4 (n = 10) (B) and 5 (n = 22) (C). Open and closed circles indicate separate groups of rats used for study 5. Black arrow points to the cannula, dotted structure is the VLPO, 3v, 3<sup>rd</sup> ventricle; OC, optic chiasm; the side bar (1 mm) indicates the distance from the cannula position to the VLPO.

were obtained for 3.5 h post-injection. The following endpoints were analyzed: percent time spent in active wakefulness, quiet wakefulness, NREM, and REM sleep; the total number of episodes for each vigilance state; and the mean duration of these episodes.

#### Study 2. Effect of the Dual Orexin Receptor Antagonist and Orexin-A in the VLPO on Sleep and Wakefulness

The DORA (62.5, 125.0, 250.0 nmol/0.5 μL) or vehicle was injected into the VLPO 20 min prior to orexin-A (62.5 pmol/0.5 μL) or vehicle in a randomly assigned Latin-square counter-balanced design (n = 4). All rats had correctly placed cannulae. This dose of orexin-A was based on the lowest effective dose of orexin-A that increased wakefulness time in study 1 and doses of DORA were based on a previous report.<sup>52</sup> The following endpoints were analyzed: percent time spent in active wakefulness, quiet wakefulness, NREM and REM sleep; the total number of episodes for each vigilance state; and the mean duration of these episodes

#### Study 3. Effect of Orexin-A in the VLPO on SPA

Orexin-A (15.6, 31.2, 62.5, and 125.0 pmol/0.5 μL) or vehicle was injected into the VLPO in a repeated-measures



Latin-square counter-balanced design ( $n = 14$ ). Four rats were excluded due to incorrectly placed cannulae, leaving  $n = 10$  for this study. Doses of orexin-A were based on results from study 1 and our past experience.<sup>51</sup> SPA was measured continuously for 4.5 h post-injection.

#### **Study 4. Effect of the OX2R Antagonist (JNJ-10397049) and Orexin-A in the VLPO on SPA**

The OX2R antagonist (125, 250, 500 pmol/0.5  $\mu$ L) or vehicle was injected into the VLPO 20 min prior to orexin-A (62.5 pmol/0.5  $\mu$ L) or vehicle in a randomly assigned Latin-square counter-balanced design in rats from study 3 ( $n = 10$ ). An additional rat was excluded after being withdrawn from the study due to excessive grooming behavior, which can indicate abnormal physiology.<sup>53</sup> The dose of orexin-A was based on results from study 1, and doses of the OX2R antagonist were based on a previous report.<sup>54</sup> Spontaneous physical activity was measured continuously for 4.5 h post-injection.

#### **Study 5. Effect of Orexin-A in the VLPO on Feeding**

Orexin-A (15.6, 31.2, 62.5, and 125.0 pmol/0.5  $\mu$ L) or vehicle was injected into the VLPO in a repeated-measures Latin-square counter-balanced design in 2 groups of rats ( $n = 22$ , with  $n = 12$  for the first group and  $n = 10$  for the second group). Four rats were excluded due to incorrectly placed cannulae, so  $n = 18$  for this study ( $n = 10$  for the first group and  $n = 8$  for the second group). Food intake and food spillage (uneaten food crumbs that fell beneath the wire-bottom cage) were measured at 1, 2, 4, and 24 h post-injection. Doses of orexin-A were based on the results from study 1 and our past experience.<sup>51</sup>

#### **Study 6. Effect of Orexin-A in the VLPO on Energy Expenditure and SPA**

Orexin-A (125 pmol/0.5  $\mu$ L) or vehicle was injected into the VLPO in a repeated-measures Latin-square counter-balanced design ( $n = 4$ ). All rats had correctly placed cannulae. Energy expenditure and SPA were measured continuously each second for 2.5 h (Promethion-C Sable Systems International, Las Vegas, Nevada).

#### **Statistical Analyses**

Data were analyzed by repeated-measures ANOVA (GraphPad Prism version 6.0d for Macintosh, GraphPad Software, La Jolla, CA) followed by Fisher multiple comparisons tests to determine differences between individual treatments. For study 6, paired t-tests were used. Separate analyses were completed for each time point and endpoint. Since handling involved in the injection procedure augments wakefulness and SPA for up to 20 min post-injection, independent of treatment, the first 20 min of data post-injection were excluded in the data analysis.<sup>21</sup> Therefore, data were analyzed in the 20–80, 80–140, and 20–140 min post-injection time periods, which will be referred to as the 0–1, 1–2, and 0–2 h time periods for all studies except the feeding study (study 5). For study 5, data were analyzed for the 0–1, 0–2, 0–4, and 0–24 h post-injection time periods, which corresponds to the actual time after the injection. An  $\alpha$  level of 0.05 was used for all statistical tests. Data are expressed as mean  $\pm$  SEM.

## **RESULTS**

### **Study 1. Orexin-A in the VLPO increases wakefulness, reduces sleep time and sleep fragmentation.**

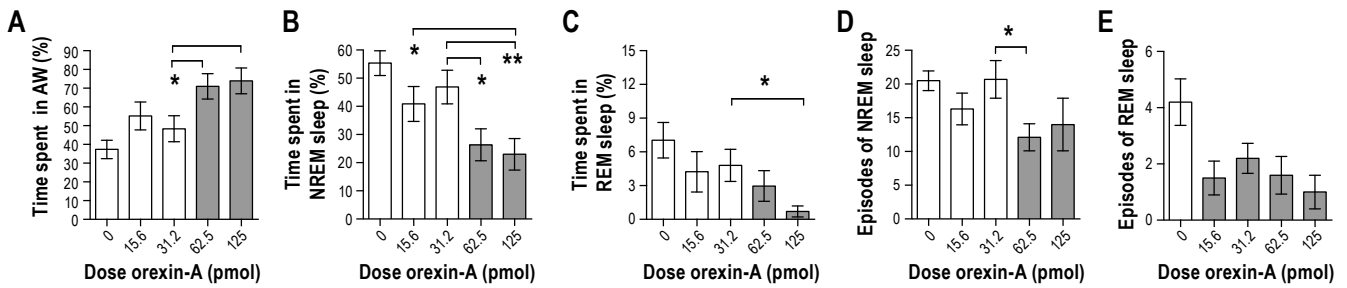
We hypothesized that orexin-A microinjection into the VLPO would reduce sleep and increase wakefulness time. There was an acute stimulating and dose-dependent effect of orexin-A on vigilance states (Figure 2). There was a main effect of treatment on active wakefulness (Figure 2A;  $F_{4,36} = 5.5$ ,  $P = 0.001$ ), NREM sleep (Figure 2B;  $F_{4,36} = 6.3$ ,  $P = 0.001$ ) and REM sleep (Figure 2C;  $F_{4,36} = 3.2$ ,  $P = 0.024$ ) during the 0–1 h post-injection time period. The 2 highest doses of orexin-A significantly increased active wakefulness and reduced NREM and REM sleep relative to the vehicle injection (Figure 2A–2C,  $P < 0.05$  all comparisons). During the 1–2, 0–2, 2–3, and 0–3 h post-injection time periods, there were no significant effects of orexin-A on active wakefulness, NREM or REM sleep ( $P > 0.05$ ) with one exception: there was a main effect of treatment on NREM sleep during the 1–2 h time interval ( $F_{4,36} = 3.0$ ,  $P = 0.03$ , data not shown), but post hoc analysis showed no significant difference between means. There was no significant effect of orexin-A on quiet wakefulness at any time point (data not shown).

To test whether orexin-A influenced sleep quality, the number and the mean duration of episodes of each vigilance state were determined. The orexin-A-induced reduction in time spent in NREM and REM sleep was due to fewer episodes of NREM and REM sleep (Figures 2C and 2D). There was a main effect of orexin-A on the number of episodes of NREM sleep ( $F_{4,36} = 2.6$ ,  $P = 0.049$ ) and REM sleep ( $F_{4,36} = 3.2$ ,  $P = 0.020$ ) during the 0–1 h time period. The 62.5 pmol dose of orexin-A reduced the number of NREM sleep episodes ( $P = 0.017$ ) and all doses of orexin-A reduced the number of REM sleep episodes compared to vehicle ( $P < 0.05$  for all comparisons). There was no significant effect of orexin-A on the number of episodes of either active or quiet wakefulness during the 0–1 h time period (data not shown), and there was no effect on the mean episode duration for active wakefulness, quiet wakefulness, NREM sleep or REM sleep during the 0–1, 1–2, 0–2, 2–3, or 0–3 h post-injection time periods ( $P > 0.05$ , data not shown). Together, these data demonstrate that orexin-A administered in the VLPO acutely increases wakefulness by reducing the number, but not the duration, of episodes of NREM and REM sleep.

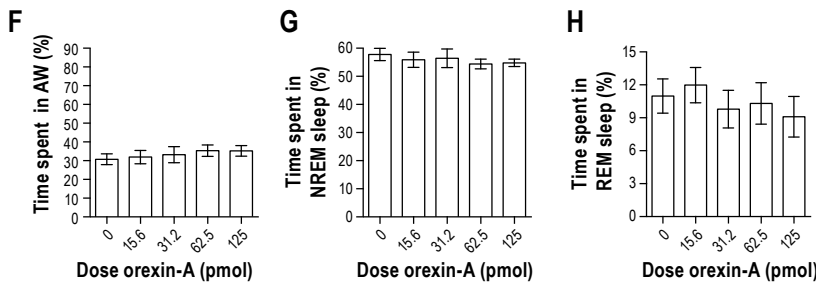
### **Study 2. Pretreatment with a DORA blocks the effects of orexin-A in the VLPO on vigilance states.**

We hypothesized that blocking both orexin receptor subtypes would prevent increased arousal by orexin-A. As expected, the DORA blocked the effects of orexin-A on active wakefulness and NREM sleep in the 0–1 h and 0–2 h time periods (Figure 3). There were thus significant main effects of DORA treatment on active wakefulness (0–1 h:  $F_{5,15} = 4.1$ ,  $P = 0.016$  and 0–2 h:  $F_{5,15} = 3.6$ ,  $P = 0.023$ ) and NREM sleep (0–1 h:  $F_{5,15} = 4.4$ ,  $P = 0.012$  and 0–2 h:  $F_{5,15} = 4.7$ ,  $P = 0.008$ ). The DORA had a dose-dependent but nonsignificant tendency to block the orexin-A-induced reduction in REM sleep 0–1 or 0–2 h post-injection ( $F_{5,15} = 1.5$ ,  $P = 0.24$  and  $F_{5,15} = 0.6$ ,  $P = 0.69$  respectively, Figure 3C). Orexin-A alone significantly

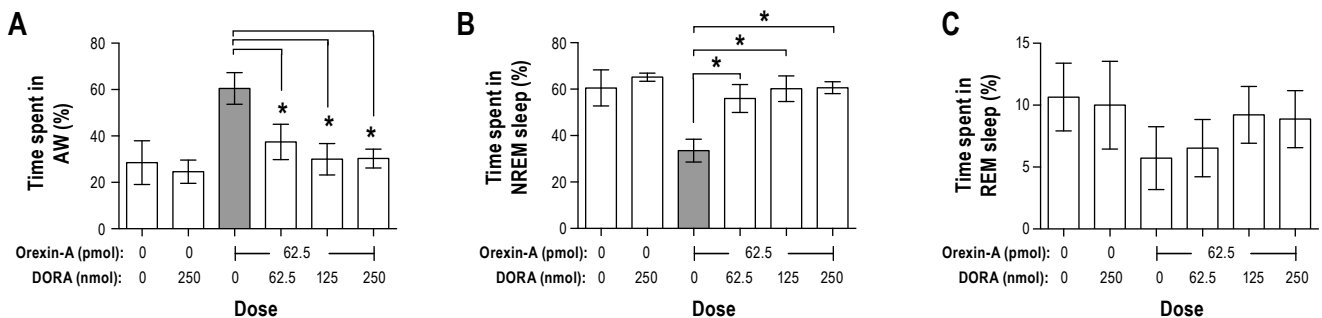
### 0–1 h



### 0–3 h



**Figure 2**—Study 1. Orexin-A administered in the ventrolateral preoptic area stimulates wakefulness by modifying the amount of time spent in vigilance states and the number of episodes of NREM sleep and REM sleep. Orexin-A increases (A) time spent in active wakefulness and reduces (B) time spent in NREM sleep and (C) REM sleep 1-h post-injection with no effect in the 3-h time period (F,G,H). Orexin-A reduces the number of episodes of NREM and REM sleep 1-h post-injection (D and E, respectively).  $n = 10$ . Data represented as mean  $\pm$  SEM. Shaded bars are significantly different from the control injection of artificial cerebrospinal fluid ( $P < 0.05$ ). Brackets above bars indicate doses of orexin-A that were significantly different from each other ( $*P < 0.05$  and  $**P < 0.005$ ). Note different scaling on y-axes.



**Figure 3**—Study 2. Pre-administration of the dual orexin receptor antagonist (DORA, TCS-1102) in the ventrolateral preoptic area (VLPO) prevents the increase in (A) active wakefulness and the reduction in (B) NREM sleep following orexin-A administered in the VLPO 2 h later. (C) The DORA had a dose-dependent tendency to reduce the non-significant REM sleep suppression caused by orexin-A.  $n = 4$ . Data represented as mean  $\pm$  SEM. The shaded bar for orexin-A is significantly different from the vehicle injection ( $P < 0.05$ ). Brackets above bars indicate doses of the DORA that are significantly different from orexin-A ( $*P < 0.05$ ). Note different scaling on y-axes.

increased active wakefulness and reduced NREM sleep 0–1 h and 0–2 h post-injection relative to the vehicle injection ( $P < 0.05$  for all comparisons). All doses of DORA abolished these effects of orexin-A on active wakefulness and NREM sleep at 0–1 h and 0–2 h post-injection ( $P < 0.05$  for all comparisons). There were no significant effects of treatment on active wakefulness, NREM sleep, or REM sleep during the 1–2 h post-injection time period ( $P > 0.05$ ). Finally, we observed no significant main effect of treatment on the number or mean duration of episodes of active wakefulness, NREM or REM sleep in the 0–1, 1–2, or 0–2 h post-injection time periods (data

not shown). These data demonstrate that a DORA blocks the effects of orexin-A in the VLPO on vigilance states.

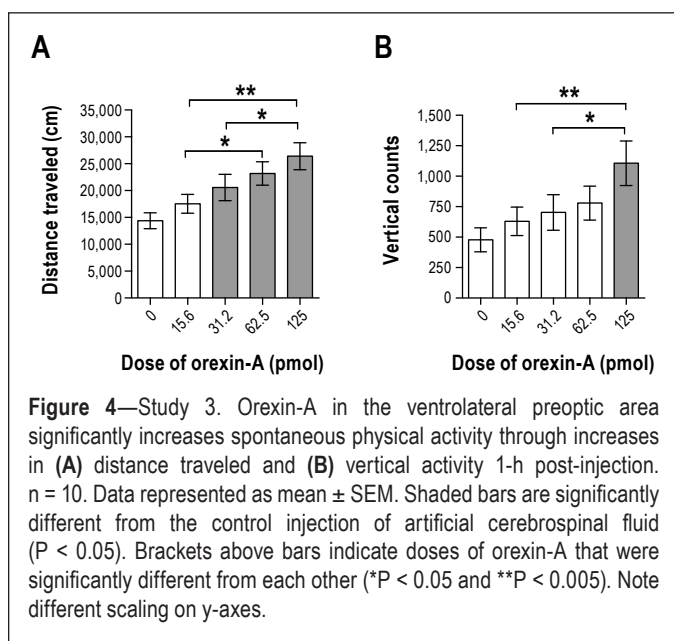
### Study 3. Orexin-A in the VLPO stimulates SPA.

We hypothesized that orexin-A microinjected into the VLPO would increase SPA based on the stimulating effect of orexin-A on SPA when administered in other brain regions.<sup>20,21</sup> Orexin-A in the VLPO significantly increased distance traveled and vertical activity counts during the 0–1 h post-injection time period ( $F_{4,36} = 5.8$ ,  $P = 0.001$ ,  $F_{4,36} = 3.7$ ,  $P = 0.0118$ , respectively, Figure 4). This effect of orexin-A on distance traveled and

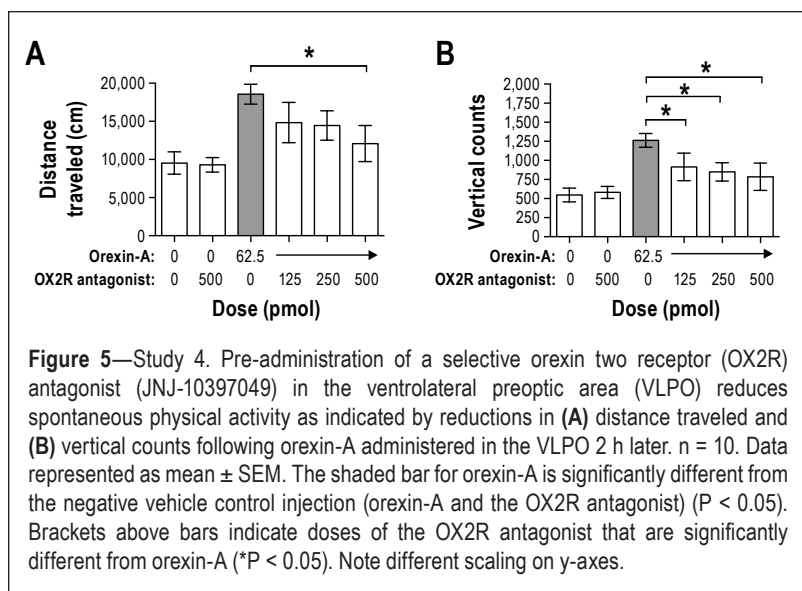
vertical counts was dose dependent ( $P < 0.05$  for all comparisons, Figure 4A). The highest dose of orexin-A significantly enhanced vertical counts relative to both the vehicle and the two lowest doses of orexin-A ( $P < 0.05$  for all comparisons, Figure 4B). During the 1–2 h post-injection time period there was no main effect of treatment on distance traveled or vertical counts ( $P > 0.05$ , data not shown). During the 0–2 h post-injection time period, there was a main effect of treatment on distance traveled ( $F_{4,36} = 3.7$ ,  $P = 0.013$ ) but no effect on vertical counts ( $P > 0.05$ , data not shown). Together, these data demonstrate that orexin-A in the VLPO increases SPA.

#### Study 4. Pretreatment with an OX2R antagonist reduces SPA stimulated by orexin-A in the VLPO.

Based on the presence of orexin-1 and -2 receptors in the VLPO,<sup>34,35</sup> we expected that the OX2R antagonist would reduce SPA stimulated by orexin-A in the VLPO. The OX2R antagonist reduced the stimulating effect of orexin-A on SPA both



**Figure 4**—Study 3. Orexin-A in the ventrolateral preoptic area significantly increases spontaneous physical activity through increases in (A) distance traveled and (B) vertical activity 1-h post-injection.  $n = 10$ . Data represented as mean  $\pm$  SEM. Shaded bars are significantly different from the control injection of artificial cerebrospinal fluid ( $P < 0.05$ ). Brackets above bars indicate doses of orexin-A that were significantly different from each other ( $*P < 0.05$  and  $**P < 0.005$ ). Note different scaling on y-axes.



**Figure 5**—Study 4. Pre-administration of a selective orexin two receptor (OX2R) antagonist (JNJ-10397049) in the ventrolateral preoptic area (VLPO) reduces spontaneous physical activity as indicated by reductions in (A) distance traveled and (B) vertical counts following orexin-A administered in the VLPO 2 h later.  $n = 10$ . Data represented as mean  $\pm$  SEM. The shaded bar for orexin-A is significantly different from the negative vehicle control injection (orexin-A and the OX2R antagonist) ( $P < 0.05$ ). Brackets above bars indicate doses of the OX2R antagonist that are significantly different from orexin-A ( $*P < 0.05$ ). Note different scaling on y-axes.

0–1 h and 0–2 h post-injection, but had no significant effect during the 1–2 h post-injection time period (Figure 5). There was a main effect of treatment on distance traveled and vertical counts relative to the vehicle during the 0–1 h and 0–2 h time periods (0–1 h:  $F_{5,45} = 4.2$ ,  $P = 0.003$  and  $F_{5,45} = 5.4$ ,  $P = 0.001$  and 0–2 h:  $F_{5,45} = 3.8$ ,  $P = 0.006$  and  $F_{5,45} = 5.1$ ,  $P = 0.001$ , respectively, Figure 5). Despite the fact that orexin-A significantly increased distance traveled and vertical counts relative to the vehicle ( $P = 0.002$  and  $P = 0.001$ , respectively), the highest dose of the OX2R antagonist reduced vertical counts ( $P = 0.045$ ) but failed to reduce distance traveled ( $P > 0.05$  for all doses) 1 h post-injection. Two- h post-injection, orexin-A significantly increased distance traveled and vertical counts relative to the vehicle ( $P = 0.001$  and  $P < 0.01$ , respectively). The highest dose of the OX2R antagonist reduced the effect of orexin-A on distance traveled ( $P = 0.016$ , Figure 5A). All doses of the OX2R antagonist reduced vertical counts ( $P < 0.05$  for all comparisons, Figure 5B). Together, these data show that the OX2R in the VLPO partially mediates the effects of orexin-A on SPA.

#### Study 5. There is no effect of orexin-A in the VLPO on acute or chronic feeding.

Orexin-A injection in the VLPO failed to stimulate food intake during the 0–1, 0–2, 0–4, and 0–24 h post injection intervals (Figure 6,  $P > 0.05$ , all doses). These data demonstrate that the VLPO is not a site of orexin-A action on feeding behavior.

#### Study 6. Orexin-A in the VLPO stimulates energy expenditure.

We hypothesized that orexin-A in the VLPO would increase energy expenditure as a consequence of increased SPA (Figure 7). To test this, we measured energy expenditure and SPA concurrently after injection of orexin-A or vehicle in the VLPO (Figure 7A, 7B). Orexin-A in the VLPO significantly increased distance traveled (0–1 h:  $P = 0.015$  and 0–2 h:  $P = 0.015$ , Figure 7C) and total energy expenditure (0–1 h:  $P = 0.035$  and 0–2 h:  $P = 0.006$ , Figure 7D) during the 0–1 h and 0–2 h post-injection time periods.

To test whether the energy expenditure due to SPA was increased by orexin-A, we calculated the sum of energy expenditure for each second during which the rat moved. Figures 7A and 7B display SPA coupled with energy expenditure throughout the 0–2 h post-injection time period and demonstrate the tight relationship between energy expenditure and SPA. Energy expenditure due to SPA was significantly increased after injection of orexin-A relative to the vehicle injection during the 0–1 and 0–2 h post-injection time periods (0–1 h:  $P = 0.040$  and 0–2 h:  $P = 0.009$ , Figure 7E).

## DISCUSSION

Orexin-A is a neuromodulator that integrates physiological processes, plays a critical role in stabilizing vigilance states and increases energy expenditure through SPA.<sup>14,55–57</sup> Orexin-A enhances wakefulness, SPA and energy expenditure following injection into wakefulness-promoting nuclei,<sup>20,58</sup> but the effects of orexin-A after injection specifically into the VLPO have not been

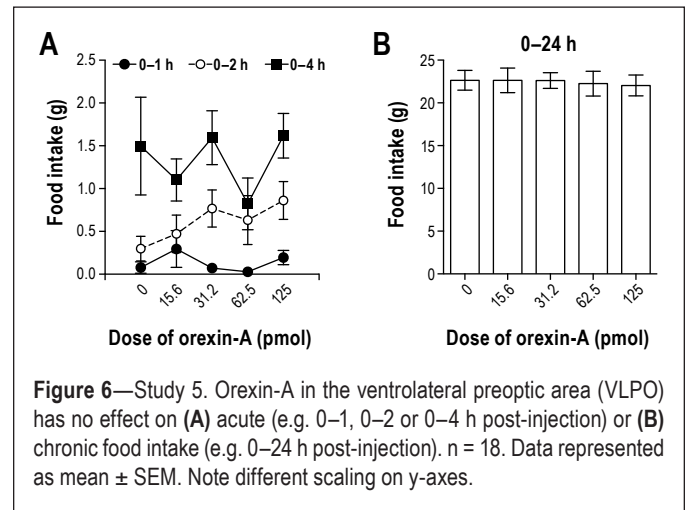
well characterized. These results show that local injection of orexin-A in the VLPO produces a behavioral profile similar to that observed after orexin-A injection into other wake-promoting nuclei. Microinjection of orexin-A in the VLPO enhances wakefulness, SPA, SPA-induced energy expenditure and total energy expenditure without any feeding effect. Furthermore, we showed that blockade of both orexin receptors in the VLPO reduces orexin-A stimulated wakefulness and SPA, while blockade of OX2R alone partially reduced orexin-A stimulated SPA. Together these data suggest that the VLPO may be a critical site of convergence for orexin-A mediation of vigilance states and energy balance regulation.

The VLPO contains both orexin receptor subtypes,<sup>34,35</sup> and there are reciprocal connections with orexinergic nuclei and the VLPO.<sup>32,36–38,40–42,59</sup> Neuroanatomical and functional<sup>39,44</sup> data indicate that the VLPO promotes sleep, at least partially, by inhibiting orexin neurons. This suggests that orexin can inhibit sleep-promoting neurons in the VLPO to maintain wakefulness and also that the VLPO may modulate orexin-A stimulated behavior in a push-pull relationship<sup>29,60</sup> similar to that of other arousal-promoting centers such as the tuberomammillary nucleus.<sup>61</sup> In addition, locally in the VLPO, orexin affects galaninergic/GABAergic interneurons.<sup>42,62</sup>

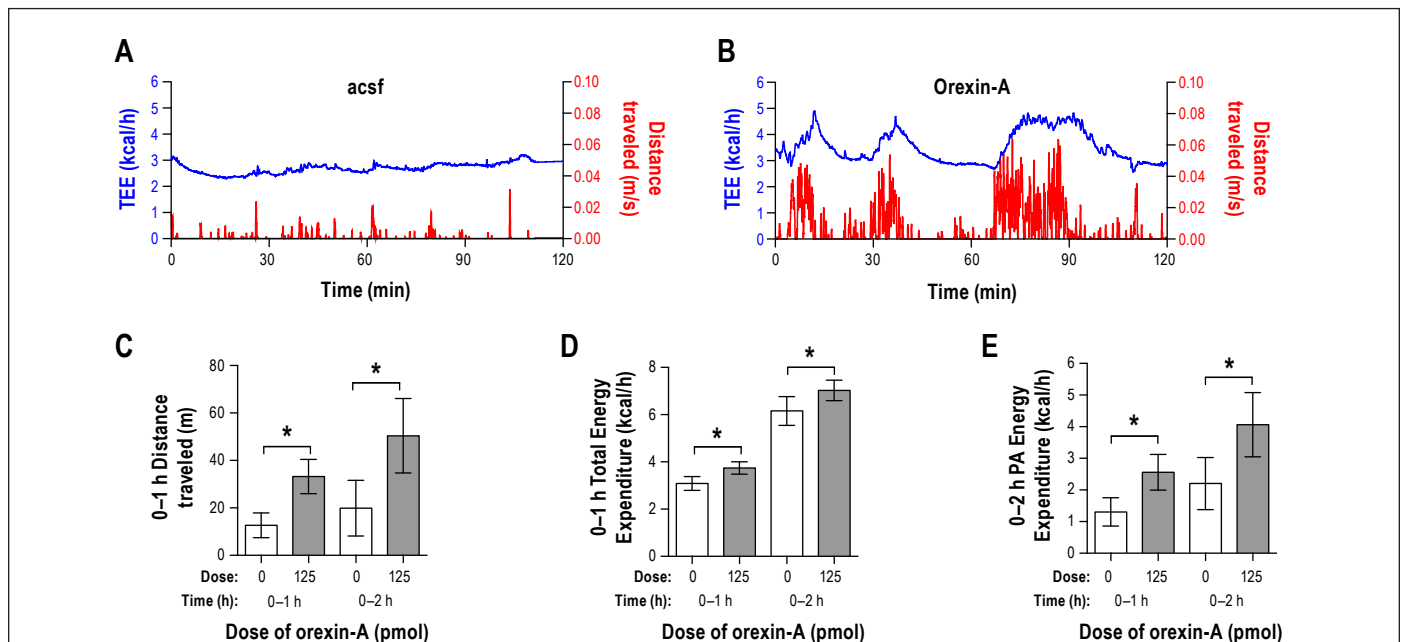
Our work and that of others suggest that orexin-A increases SPA and elevates energy expenditure in a dose-dependent and cumulative manner, which promotes obesity resistance.<sup>14,23,63,64</sup> Here, we observed significantly greater SPA, SPA-induced energy expenditure and total energy expenditure in response to orexin-A in the VLPO during the 0–2 h post-injection time period. One novelty of this finding lies in the time-locked relationship between SPA and energy expenditure in both orexin-A-treated and non-treated rats. This can be seen from the

matching of long intervals of SPA with peaks of total energy expenditure and from the decline in total energy expenditure towards that of resting metabolic rate during times of low SPA. This is the first demonstration that orexin-A in the VLPO stimulates SPA-related energy expenditure, and that increases in whole body energy expenditure after orexin-A administration in the VLPO are directly coupled to SPA-induced energy expenditure.<sup>56,64</sup> Together these data imply that pharmacological interventions to enhance orexin activity in the VLPO may be effective in combating obesity by increasing physical activity.

In contrast to the effect of orexin-A on vigilance states, SPA, and energy expenditure, VLPO administration of orexin-A failed to augment either acute or 24-h food intake. Orexin-A administered in the VLPO did not increase food intake, which is in agreement with previous findings that orexin-A



**Figure 6**—Study 5. Orexin-A in the ventrolateral preoptic area (VLPO) has no effect on (A) acute (e.g. 0–1, 0–2 or 0–4 h post-injection) or (B) chronic food intake (e.g. 0–24 h post-injection). *n* = 18. Data represented as mean ± SEM. Note different scaling on y-axes.



**Figure 7**—Study 6. Representative examples of the time course of spontaneous physical activity (SPA, right y-axis in red) and total energy expenditure (TEE, left y-axis in blue) after injection of (A) vehicle-artificial cerebrospinal fluid or (B) orexin-A, beginning 2-h post-injection. Microinjection of orexin-A into the ventrolateral preoptic area (VLPO) significantly increases (C) distance traveled, (D) TEE and (E) SPA-induced energy expenditure relative to vehicle injection. *n* = 4. Data represented as mean ± SEM. Brackets indicate bars that are significantly different from each other (\**P* < 0.05) in panels C, D, and E. Note different scaling on the y-axes.



demonstrates a brain site-dependent effect on feeding.<sup>22,65,66</sup> In contrast to the eating behavior following orexin-A injection into the rostral portion of the lateral hypothalamus,<sup>66</sup> mild feeding is observed after orexin-A is injected into the dorsal raphe,<sup>22</sup> and there is no effect of orexin-A on feeding after administration into the locus coeruleus, substantia nigra, or tuberomammillary nucleus.<sup>22,65</sup> The effects of orexin-A on feeding were dissociable here from other behavioral changes induced by the neuropeptide (i.e., vigilance states and SPA). This finding parallels results showing not only that orexin-A effects are brain site-dependent,<sup>14</sup> but they are also behavior-specific and circadian-dependent,<sup>67</sup> which might be expected if orexin-A plays a role in response to physiological disequilibrium caused by such factors as exercise, fasting or other dietary modification.<sup>56,68</sup>

The signaling mechanisms underlying the effects of orexin-A on behavior after direct VLPO administration are uncertain. The net effect of orexin-A can be excitatory or inhibitory, depending upon the particular brain region and type of interneuron present. Orexin-A can cause local glutamate and/or GABA release.<sup>69,70</sup> The VLPO neurons project to wake-promoting histaminergic neurons in the tuberomammillary nucleus, noradrenergic neurons in the locus coeruleus and serotonergic neurons in the dorsal raphe, and inhibit these neurons during sleep. As the VLPO promotes the onset of sleep through widespread GABA-mediated inhibition, it is plausible that orexin-A in the VLPO might reduce neuronal activity of sleep-active neurons in this region through local GABAergic signaling.<sup>69,71,72</sup> Though direct measurement of GABA release in the VLPO will be required to verify this possibility, this would be expected to promote arousal and SPA. It is also plausible that the behavioral and energy expenditure effects of orexin-A in the VLPO are due to pathways from the VLPO to other brain nuclei such as the paraventricular nucleus of the hypothalamus.<sup>73</sup> As mentioned before, the orexin system senses homeostatic or metabolic imbalance and modifies behavior accordingly. For example at the cellular level, orexin neuronal activity is directly sensitive to changes in pH and levels of circulating factors such as leptin, ghrelin, glucose, and insulin.<sup>74-76</sup> At the whole organism level, therefore, deficient orexin signaling renders an animal unable to respond with normal increases in arousal, physical activity, and motivation in response to fasting.<sup>75,77</sup> Taken together, these data suggest that an orexin-induced increase in GABAergic tone in the VLPO plays a role in promoting adaptation, which contributes to orexin-A induced behavioral effects.

To begin to address the mechanism underlying the arousal and SPA-promoting effects of orexin-A administered in the VLPO, we examined pharmacological antagonism of the effects by testing if a DORA would block the effects of orexin-A on arousal and if an OX2R antagonist would block the effect of orexin-A on SPA. Although we did not systematically address the functional significance of the two OX2R subtypes for each endpoint, we found that a DORA suppressed the increase in wakefulness and the reduction in NREM sleep elicited by orexin-A and the OX2R antagonist partially inhibited orexin-A stimulated SPA. This functional distinction between the DORA and the OX2R antagonist in the VLPO is presumably related to the relative abundance of each OX2R subtype,

the specific neurons on which they are localized, and the differential binding affinities for orexin-A and the antagonists. Antagonism of OX2R partially reduced SPA stimulated by orexin-A in accord with previous studies showing that both receptor subtypes contribute to SPA stimulated by orexin-A,<sup>78,79</sup> and that DORAs reduce SPA.<sup>51,80</sup> We have previously reported greater OX1R mRNA relative to OX2R mRNA in the VLPO in Sprague-Dawley rats.<sup>35</sup> This unequal abundance of orexin receptors in the VLPO but the lack of knowledge as to which neurons carry each receptor complicates our ability to address the functional role of each receptor subtype. A systematic investigation is warranted to measure concurrently vigilance states, SPA, and energy expenditure in a single group of rats to provide the necessary framework for elucidating the role of each receptor subtype in the VLPO.

It is possible that diffusion of orexin-A into the basal forebrain, media/lateral preoptic area or extended VLPO, or stimulation of fibers, may have contributed to these results. These brain areas contain both sleep and wake regulatory neurons.<sup>44</sup> They exhibit simultaneous and reciprocal discharge patterns, as well as local mutually inhibitory interactions. Basal forebrain neurons are extensively innervated by orexin neurons and is a key site through which orexin activates the cortex to promote behavioral arousal.<sup>81</sup> The extended VLPO contains GABA/galaninergic neurons that are active during REM sleep, and lesions to this area reduce REM sleep.<sup>27</sup> However, based on Nicholson's work<sup>49</sup> showing that the interaction between the ligand and receptor limits diffusion, and functional studies testing spatial limits of ligand action in other discrete brain areas,<sup>66,82</sup> it is more likely that appreciable or effective amounts did not extend beyond the VLPO. That orexin-A failed to elicit effects in rats with misplaced cannulae supports this. Finally, drug delivery was targeted more medially to avoid the possibility of drug diffusion into the basal forebrain.

In conclusion, these data verify that the VLPO is an important region receiving orexin afferents with functional implications for energy balance, sleep and wakefulness. Specifically, our results suggest that the VLPO may coordinate and integrate orexin-A enhanced behaviors to promote negative energy balance by enhancing arousal, total energy expenditure and SPA-related energy expenditure. These findings provide a basis for the investigation of the OX2R subtypes that mediate behaviors stimulated by orexin-A in the VLPO.

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