

# pKBU13, a KPC-2-Encoding Plasmid from *Klebsiella pneumoniae* Sequence Type 833, Carrying Tn4401b Inserted into an Xer Site-Specific Recombination Locus

Luigi Garbari,<sup>a</sup> Marina Busetti,<sup>b</sup> Lucilla Dolzani,<sup>a</sup> Vincenzo Petix,<sup>b</sup> Anna Knezevich,<sup>c</sup> Raffaella Bressan,<sup>a</sup> Fabrizia Gionechetti,<sup>a</sup> Enrico A. Tonin,<sup>a</sup> Cristina Lagatolla<sup>a</sup>

Department of Life Sciences, University of Trieste, Trieste, Italy<sup>a</sup>; Institute for Maternal and Child Health IRCCS Burlo Garofolo, Trieste, Italy<sup>b</sup>; Department of Laboratory Medicine, Microbiology CS, Azienda Ospedaliero-Universitaria Ospedali Riuniti, Trieste, Italy<sup>c</sup>

Here, we report the first detection of a *Klebsiella pneumoniae* carbapenemase 2 (KPC-2)-producing *Klebsiella pneumoniae* strain belonging to sequence type 833 (ST833), collected in an Italian hospital from a patient coming from South America. Its *bla*<sub>KPC</sub> determinant was carried by a ColE1 plasmid, pKBU13, that showed the Tn4401b::*bla*<sub>KPC-2</sub> transposon inserted into the regulatory region of an Xer site-specific recombination locus. This interfered with the correct resolution of plasmid multimers into monomers, lowering plasmid stability and leading to overestimation of the number of plasmids harbored by a single host cell. Sequencing of the fragments adjacent to Tn4401b detected a region that did not have significant matches in databases other than the genome of a carbapenem-resistant *Escherichia coli* strain collected during the same year at a hospital in Boston. This is interesting in an epidemiologic context, as it suggests that despite the absence of *tra* genes and the instability under nonselective conditions, the circulation of pKBU13 or of analogous plasmids might be wider than reported.

During the last decade, *Klebsiella pneumoniae* strains producing *K. pneumoniae* carbapenemase (KPC) enzymes have become a matter of great concern, as they are often susceptible to only a few antibiotics, cause high mortality among patients with bloodstream infections, and are increasingly being reported worldwide (1).

KPC-type beta-lactamases include 22 variants (<http://www.lahey.org/Studies/other.asp>) that have been detected in a large number of *K. pneumoniae* lineages. Among them, KPC-2 and KPC-3 are predominant and largely disseminated worldwide by strains belonging to the clonal complex 258 (CC258), including the sequence type 258 (ST258) lineage defined by multilocus sequence typing (MLST) and its single-locus variants (e.g., ST11, ST437, and ST512) (2–6). Dissemination of *bla*<sub>KPC</sub> genes is fueled by their association with Tn4401, a 10-kb Tn3-like element that has been detected on plasmids belonging to different incompatibility groups (FII, N, L/M) and of different sizes (10 to 170 kb) (7).

In Italy, KPC-producing *K. pneumoniae* (KPC-*K. pneumoniae*) strains have increasingly been reported since 2009 (8). Most of them belong to the globally spread ST258 and ST512 clones, but some isolates of different STs (ST101 and ST307) have been detected too (9).

In the present work, we report the isolation in the Trieste area (northeast Italy) of a KPC-*K. pneumoniae* strain belonging to ST833 from the blood culture of a patient coming from a Venezuelan hospital. ST833 is a single locus variant of ST11, which has recently been described as one of the lineages responsible for dissemination of *bla*<sub>KPC</sub> determinants carried on different plasmids in Latin America (2, 10, 11). To our knowledge, this is the first finding of a KPC-*K. pneumoniae* strain belonging to ST833. In addition we describe its *bla*<sub>KPC-2</sub>-carrying plasmid, which shows interesting features in an epidemiologic context.

## MATERIALS AND METHODS

**Bacterial strains and growth conditions.** The carbapenem-resistant strain *K. pneumoniae* KBu-1 was recovered from the blood culture of a 3-year-old patient coming from Venezuela and admitted to the Trieste Pediatric Hospital to undergo marrow transplantation. Both identification and antimicrobial susceptibility were determined by Vitek 2 (bioMérieux, Marcy l'Etoile, France). Extended-spectrum beta-lactamase (ESBL) production was further investigated by the Etest method (AB Biodisk, Solna, Sweden). Detection of carbapenemase production was performed by disc diffusion synergy test (Rosco Diagnostica, Taastrup, Denmark).

*Escherichia coli* J53 (*met-63 pro-22* Rif<sup>r</sup>) and J62 (*lac-28 proC23 his-11 trp-30* Rif<sup>r</sup>) were used as recipients for conjugation experiments.

*E. coli* JM101 [*supE thi Δ(lac-proAB) F'(lacI<sup>r</sup> lacZΔM15 traD36 proAB<sup>+</sup>)*] was used as a recipient for electroporation of plasmid DNA isolated from *K. pneumoniae* KBu-1 and for plasmid DNA preparation for further studies (DNA sequencing, restriction analysis).

Bacteria were grown in Luria-Bertani (LB) medium, supplemented with 100 μg/ml rifampin, 100 μg/ml ampicillin, or 10 μg/ml imipenem when required.

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Address correspondence to Cristina Lagatolla, clagatolla@units.it.

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Antibiotic susceptibility profiles of all strains were evaluated according to the guidelines of the CLSI using Sensititre plates produced by Trek Diagnostic Systems (Westlake, OH, USA) and, in the case of imipenem, meropenem, and ceftazidime, by standard microdilution method (12). Antimicrobial agent powders were obtained from Sigma Chemical Co. (St. Louis, MO, USA).

**PCR amplification and DNA sequencing.** Molecular confirmation was performed by PCR assays for the ESBL genes (*bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>CTX-M</sub>, and *bla*<sub>OXA-9</sub>) and for the carbapenemase genes (*bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>NDM</sub>, *bla*<sub>OXA-48</sub>, and *bla*<sub>KPC</sub>). Specific primers, used to amplify the *bla*<sub>KPC</sub> determinant and other resistant genes, are listed in the supplemental material (see Table S1 in the supplemental material). PCRs were performed, as previously described (13–17), directly on 2 to 3 colonies picked from a pure culture. *bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>CTX-M</sub>, and *bla*<sub>KPC</sub> amplicons were entirely sequenced to identify the allelic form.

Sequencing reactions were carried out at a commercial sequence facility (BMR Genomics, Padua, Italy).

The region upstream of *bla*<sub>KPC</sub> was amplified and sequenced with the primers 3098U and KPC-Rev (see Table S1 in the supplemental material) to identify the isoform of Tn4401.

The region of the plasmid outward transposon Tn4401 was amplified using the Expand long template PCR system (Roche Molecular Biochemicals, Mannheim, Germany) and two outward-directed primers (EcoRIout and 141R-6). For determination of the sequence of the fragment adjacent to transposon Tn4401, primer walking was carried out with primers Bu13-1 and Bu13-2, designed from sequences obtained with EcoRIout and 141R-6.

Multilocus sequence typing (MLST) was performed according to the protocol described on the *K. pneumoniae* MLST website (<http://www.pasteur.fr/recherche/genopole/PF8/mlst/Kpneumoniae.html>).

**Conjugation experiments.** Direct transfer of carbapenem resistance into *E. coli* strains J53 and J62 was attempted by a filter-mating procedure (18). Transconjugant selection was performed on LB agar supplemented with rifampin and imipenem.

**Molecular investigations.** Plasmid DNA from *K. pneumoniae* KBu-1 was extracted by the alkaline lysis method (19) and electroporated into *E. coli* JM101 using a Gene Pulser apparatus (Bio-Rad, Hercules, CA, USA) according to the manufacturer's instructions. Transformants were selected on LB agar plus ampicillin and analyzed by PCR for the presence of all the *bla* genes previously detected in the donor strain.

The plasmid profile was analyzed after S1 nuclease (Roche) digestion (20 U enzyme in each sample) on crude plasmid extract (30 min at 37°C) and on DNA extracted from cells embedded in agarose plugs (20) (1 h at 37°C), followed by separation on agarose gel electrophoresis using different running conditions: (i) 20 V for 20 h on 1% agarose gel and (ii) pulsed-field gel electrophoresis (PFGE) on 0.8% agarose gel with a CHEF-DR III apparatus (Bio-Rad) at 14°C and 6 V/cm for 13 h by using pulse times from 1 to 10 s. Separated DNA was hybridized with a digoxigenin (DIG)-labeled *bla*<sub>KPC</sub>-specific probe, obtained by amplification of an internal fragment of *bla*<sub>KPC</sub> with primers KPC-F and KPC-R (21) in the presence of 70 μM DIG-11-dUTP (Roche) after capillary blotting onto Hybond-N-positive (N<sup>+</sup>) membranes (Amersham Biosciences, Piscataway, NJ).

Plasmid restriction analysis was carried on with BamHI, HindIII, PstI, and SacI restriction enzymes according to the manufacturer's instructions (New England BioLabs, Mississauga, Ontario, Canada) followed by separation on 0.8% agarose gel.

The 13-kb band recognized by the *bla*<sub>KPC</sub>-specific probe was extracted from low-melting agarose by GELase digestion (Epicentre, Madison, WI, USA) and electroporated into *E. coli* JM101.

**Stability assay.** Evaluation of the number of plasmid-free cells among bacteria grown under nonselective conditions was carried out as described by Tolmashy et al. (22). Each test was replicated three times.

**Comparative analysis.** The nucleotide and protein sequences were analyzed using the *blastn*, *blastp*, and *blastseq* algorithms available at the

TABLE 1 Antimicrobial susceptibility patterns of *K. pneumoniae* KBu-1, the *E. coli* JM101 recipient, and the *E. coli* JM101 transformants

Antimicrobial agent(s)	MIC <sup>a</sup> (μg/ml) for:		
	<i>K. pneumoniae</i> KBu-1	<i>E. coli</i> JM101	<i>E. coli</i> JM101 transformants <sup>b</sup>
Imipenem <sup>c</sup>	512	0.25	4
Meropenem <sup>c</sup>	512	0.03	4
Ceftazidime <sup>c</sup>	64	0.12	8
Amoxicillin-clavulanic acid	>8	4	>8
Ampicillin-sulbactam	>32	≤8	>32
Cefepime	>32	≤1	2
Cefotaxime	>4	≤0.06	4
Piperacillin-tazobactam	>128	≤2	128
Amikacin	≤4	≤4	≤4
Gentamicin	≤1	≤1	≤1
Colistin	≤0.5	≤0.5	≤0.5
Nitrofurantoin	>64	≤32	≤32
Tigecycline	1	0.25	0.25
Trimethoprim-sulfamethoxazole	>4	≤0.5	≤0.5
Ciprofloxacin	>2	≤0.06	≤0.06
Levofloxacin	>4	≤1	≤1

<sup>a</sup> Reported MIC values were determined by Sensititre plates (Trek Diagnostic Systems) with the exception of those of imipenem, meropenem, and ceftazidime.

<sup>b</sup> *E. coli* JM101 transformed with the entire *K. pneumoniae* KBu-1 plasmid content and with the 13-kb band alone displayed the same susceptibility profile.

<sup>c</sup> For these antibiotics, the CLSI standard microdilution method was used (12) in order to obtain a more precise evaluation.

National Center of Biotechnology Information website (<http://www.ncbi.nlm.nih.gov>).

Direct and tandem repeats were detected using the Tandem Repeats Finder software, version 4.07b (23).

**Nucleotide sequence accession numbers.** The regions of pKBuS13 sequenced in this work have been deposited in GenBank under the accession numbers KM076933, KM076934, and KM076935.

## RESULTS AND DISCUSSION

**Isolation and molecular characterization of KBu-1.** In May 2012, a 3-year-old patient coming from Venezuela was admitted to the Trieste Children's Hospital IRCCS Burlo Garofolo to undergo bone marrow transplantation. A culture of a surveillance rectal swab detected different multidrug-resistant organisms: extended spectrum beta-lactamase (ESBL)-producing *Escherichia coli*, vancomycin-resistant *Enterococcus faecium* (VRE), and *K. pneumoniae* resistant to all beta-lactams, with MICs for imipenem and meropenem of ≥16 μg/ml. Unfortunately, at a later stage, the patient became neutropenic, developed a severe *K. pneumoniae* sepsis, and died. Further analysis revealed identical features to the previous isolate: (i) they showed the same antibiotype (Table 1); (ii) they were positive for carbapenemase production, and screening by PCR revealed the presence of the *bla*<sub>KPC</sub> gene and was negative for other carbapenemase determinants; and (iii) ESBL production was not detected by Vitek 2 and resulted nondeterminable by Etest, as MIC values were above the test ranges; further analysis by PCR and sequencing of the amplicons revealed the presence of the *bla*<sub>CTX-M-1</sub>, *bla*<sub>TEM-1b</sub>, and *bla*<sub>SHV-11</sub> genes while *bla*<sub>OXA-9</sub> was not detected.

This KPC-*K. pneumoniae* isolate remained a unique one, thanks to strict infection control procedures (segregation and barrier nursing) adopted for patient management: cultures of rectal

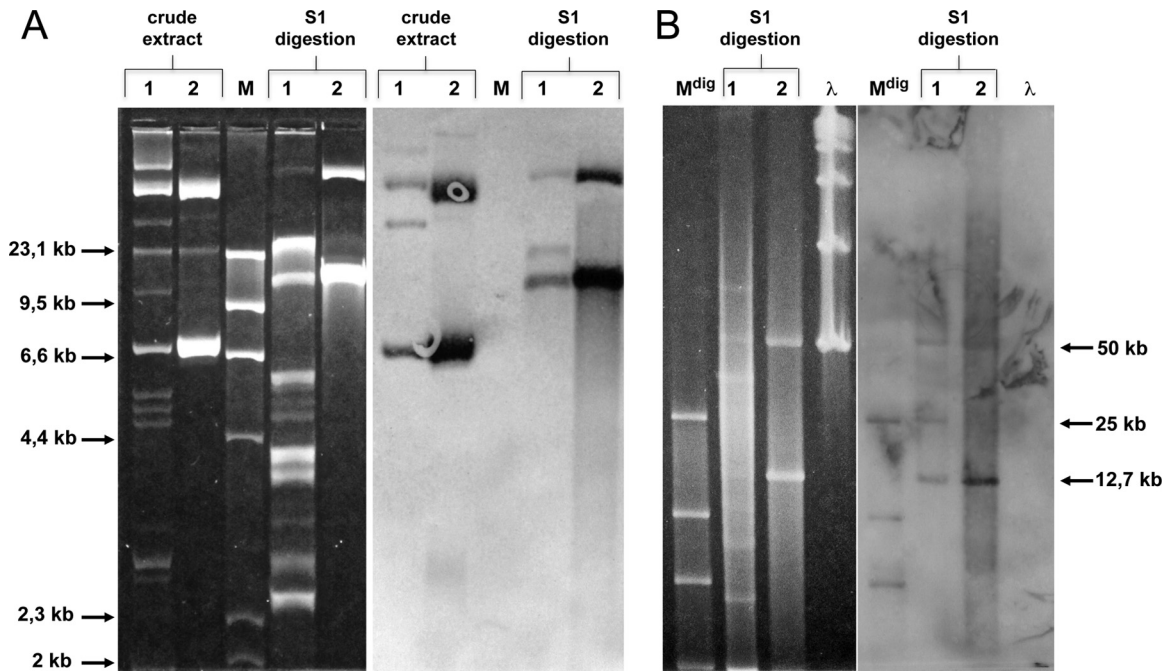


FIG 1 Hybridization with a *bla*<sub>KPC</sub> probe of plasmid DNA separated on agarose gel electrophoresis. (A) Plasmid extract from *K. pneumoniae* KBU-1 and from *E. coli* JM101 transformed with KBU-1 plasmid content was run at 20 V for 20 h before and after S1 nuclease digestion. (B) Fragments higher than 30 kb obtained by S1 digestion were better separated on PFGE, switch 1–10 s for 13 h. Lane 1, KBU-1 plasmid content; lane 2, plasmid extraction from *E. coli* JM101 transformed with KBU-1 plasmid content; lane M, Molecular Weight Marker II (Roche); lane M<sup>dig</sup>, digoxigenin-labeled Molecular Weight Marker II (Roche); lane λ, λ ladder (New England BioLabs).

swabs of all the patients recovered in the same unit gave negative results.

The isolate was named KBU-1 and was further characterized at the molecular level.

Sequencing of the *bla*<sub>KPC</sub> amplicon and of the genes used to determine the MLST group of the isolate revealed that it harbored the *bla*<sub>KPC-2</sub> gene and belonged to ST833 (allelic profile 3-3-1-1-1-1-12). To our knowledge, this is the first report of a KPC-*K. pneumoniae* strain belonging to ST833. It differs for a single point mutation from the ST11 lineage (370 C→G in the *tonB* allele, leading to the amino acid substitution 121 P→A) and belongs to CC258, which is considered of special concern as it gathers the most common lineages spread worldwide (4–6), including South America (2, 3, 11). The report of the SENTRY Antimicrobial Surveillance Program on strains collected from different South American hospitals during 2010 confirmed the expansion of CC258 in this area and particularly of strains belonging to ST11, mostly detected in Brazil (10).

Unfortunately, no data are available about STs circulating in Venezuela, as none of the hospitals were part of the study in 2010, although the circulation of the *bla*<sub>KPC</sub> determinant in Venezuelan hospitals is documented (24, 25).

Plasmid extraction followed by S1 digestion revealed at least 12 bands of various sizes (ranging from 3 to 80 kb), three of which (approximately 13, 25, and 50 kb) were recognized by an internal probe for the *bla*<sub>KPC</sub> gene (Fig. 1). All attempts to transfer resistance to imipenem by conjugation from KBU-1 to *E. coli* J53 Rif<sup>r</sup> and to *E. coli* J62 Rif<sup>r</sup> were unsuccessful. However, when the plasmid mixture was electroporated into *E. coli* JM101, transformants carrying both the 13-kb and the 50-kb plasmids were obtained

(Fig. 1). The same result was achieved when we electroporated the 13-kb band alone, extracted from low-melting agarose (see Fig. S1 in the supplemental material). Analysis by PCR on plasmid DNA from *E. coli* JM101 transformants revealed the presence of the *bla*<sub>KPC</sub> determinant, while *bla*<sub>CTX</sub>, *bla*<sub>SHV</sub>, and *bla*<sub>TEM</sub> were not detected. The 13-kb plasmid was named pKBU13 and was further investigated.

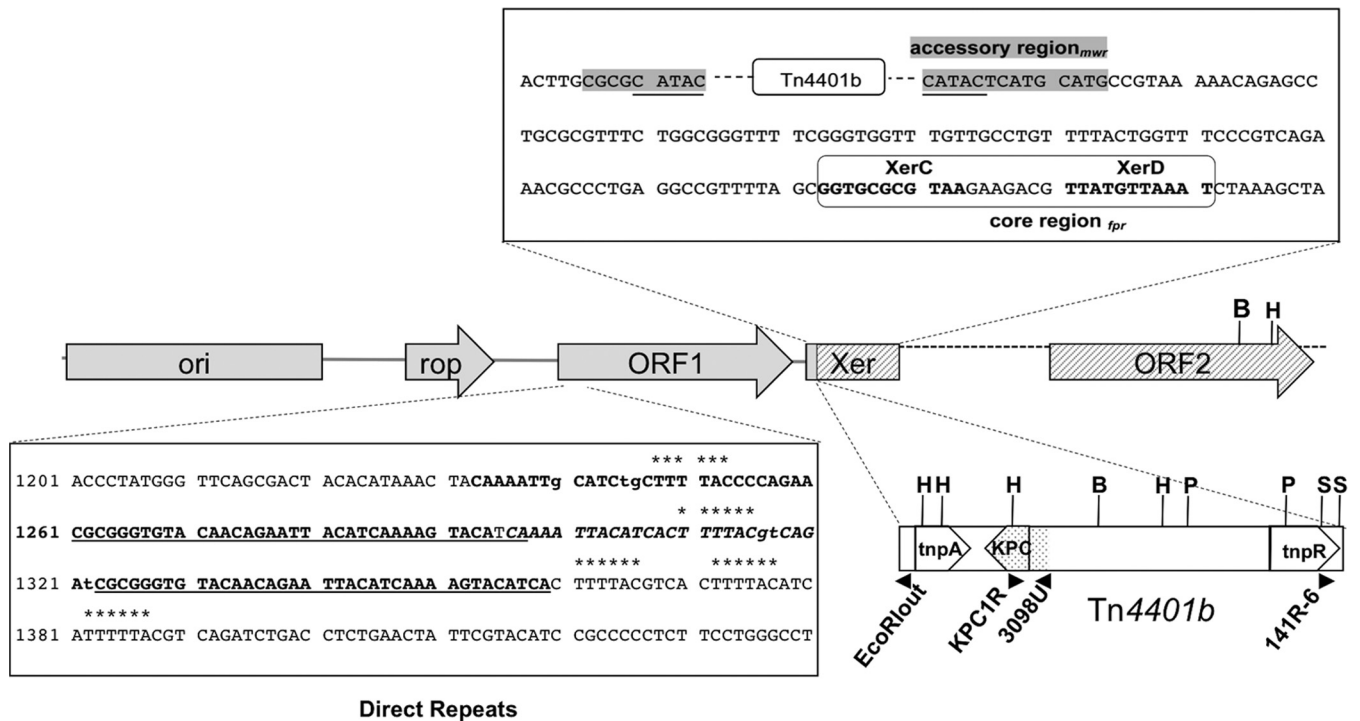
**Sequence analysis of plasmid pKBU13.** Besides the spread of few strain lineages, the worldwide dissemination of the *bla*<sub>KPC-2</sub> determinant is favored by its location on the Tn4401 transposon, a Tn3-like element that supports replicative transposition and has been found inserted at different loci on a broad variety of plasmids (7, 11).

Most of the KPC-*K. pneumoniae* strains circulating in South America carry the *bla*<sub>KPC-2</sub> determinant on the Tn4401b variant of Tn4401, located on plasmids of variable size (20 to 300 kb) and belonging to different incompatibility groups (IncFII, IncL/M, and IncN) (2, 3, 11).

On the assumption that the KBU-1 isolate carried the *bla*<sub>KPC</sub> determinant inside Tn4401, we investigated the variable region of the transposon located upstream of *bla*<sub>KPC</sub>. As expected, amplification and sequencing of this region yielded the typical structure of the Tn4401b variant, without the deletions of 100 or 200 bp detected in the Tn4401 or Tn4401a isoforms.

The region of pKBU13 adjacent to Tn4401b was amplified using outward-directed primers, and the 2,700-bp amplicon was fully sequenced. The location of genes and genetic structures identified by comparative analysis is shown in Fig. 2.

The 1,605-bp region adjacent to the *tnpA* side of Tn4401b contained two genes responsible for replication (*ori p15A*) and con-



**FIG 2** Genetic map of relevant region of pKBU13. Genes, ORFs, and genetic structures in the regions adjacent to Tn4401b are shown. The 1,605-bp region upstream the *tnpA* side (GenBank accession number KM076933) is shaded gray; the 1,118-bp region downstream of the *tnpR*-side (GenBank accession number KM076935) is crosshatched. Tn4401b is drawn schematically, not to scale, indicating the *tnpA* and *tnpR* genes located at the boundaries. The region evidenced by dots was verified by sequencing (GenBank accession number KM076934). The position of some primers used in this work and the sites of the enzymes used for restriction analysis are shown (B, BamHI; H, HindIII; P, PstI; S, SacI). Two sequences are enlarged: above, the Xer site with the *mwr* locus (interrupted by the Tn4401b insertion) in the accessory region shown in the gray box, the 5-bp duplication resulting from transposon insertion underlined, and the *fpr* locus in the core region boxed with the binding sites for XerC and XerD shown in bold; below, the sequence containing the direct repeats (DR) identified inside ORF1, with the different DR motifs marked as follows: \*\*\*\*\* (6-bp motif (5 repeats)); underlined, 37-bp stretches separated by 25 bp; bold, tandem repeat identified by the tandem repeat finder software (23): two 61-bp stretches separated by one T. It is an imperfect DR, with three mismatches (lowercase) compared with the consensus sequence CGCGGGTGTACAACAGAATTACATCAAAGTACA.

trol of the copy number (*rop*) of plasmids belonging to the Cole1 family. In addition, an open reading frame (ORF1) containing different direct repeats was found (Fig. 2).

The insertion site of Tn4401b looked peculiar, as it was inserted quite inside an Xer site-specific recombination locus. This locus, involved in the resolution of plasmid multimers (26), usually consists of a core region containing the binding sites for two recombinases (XerC and XerD) and an accessory region, which provides the binding sites for the specific accessory proteins needed for the regulation of the entire process. Different core recombination sites have been described (*mwr*, *psi*, *cer*, *dif*, *dxs*, and *fpr*), which work with different efficiency and are regulated by different accessory proteins (27, 28). Two of them, *mwr* and *fpr*, are osmoregulated: that is, at high salt concentrations their recombination efficiency is lower than that required for multimers resolution. These sites have been detected so far only on two natural plasmids, pJHCMW1 (22) and pFPTB1 (29), in a *Salmonella enterica* serovar Typhimurium isolate and in a *K. pneumoniae* isolate, respectively (22, 29), each carrying a transposon inserted about 20 bp downstream of Xer. It has been postulated that multimer resolution of these plasmids is provided by the transposon resolvase besides the Xer system, suggesting that they form a group of plasmids whose stability is significantly enhanced by transposon acquisition (28). pKBU13 is, to our knowledge, the third natural

plasmid belonging to this group. However, its Xer recombination system is probably ineffective because the *fpr* site is the less efficient among those detected in the core region (28), and, most importantly, its accessory region is broken by Tn4401b insertion. Xer system inactivity is supported by two observations: (i) under nonselective conditions, both *K. pneumoniae* KBu-1 and *E. coli* JM101 lost pKBU13 at approximately the same rate of pUC19, which lacks an Xer recombination site and is randomly partitioned during cell division (Fig. 3); and (ii) plasmid stability did not increase in the absence of NaCl (data not shown).

The low stability of pKBU13 proves that the activity of the transposon resolvase alone is not sufficient to stabilize this plasmid, suggesting that the level of dimer resolution needed for stabilization may be achieved by the cooperation between the Xer system and the transposon resolvase, and therefore they are both necessary.

These results suggested that the two plasmids detected in the *E. coli* recipient were the monomeric and tetrameric forms of pKBU13, a hypothesis that was confirmed by restriction analysis with four different enzymes (BamHI, HindIII, SacI, and PstI), of plasmids extracted from *E. coli* JM101, which always gave the pattern expected for pKBU13 (Fig. 4).

The 1,118-bp region located downstream of the *tnpR* side of the transposon carried an unknown ORF2 that retrieved a single

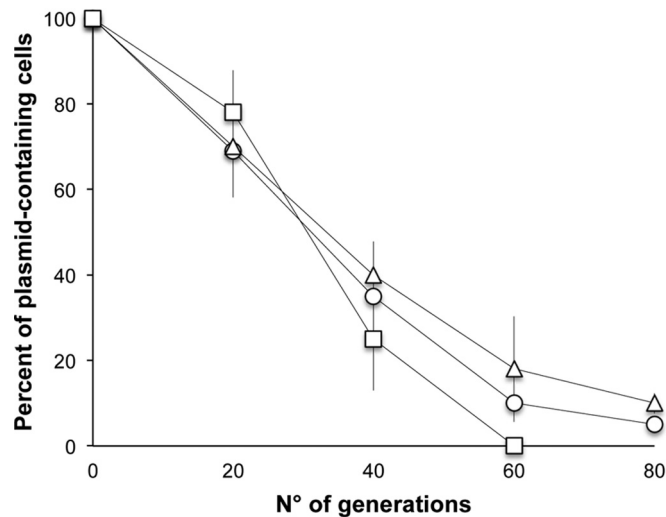


FIG 3 Stability of pKBU513 in *K. pneumoniae* KBU-1 (circles) and in *E. coli* JM101 (triangles). Plasmid pUC19 carried by *E. coli* JM101 (squares) was used as a control, as it lacks a Xer recombination site and is randomly partitioned during cell division. Plasmid content of strains cultured under nonselective conditions for the indicated number of generations was analyzed. The graph shows the means of three independent experiments plus or minus the standard deviations.

match in the database: a fragment of the genome of a carbapenem-resistant *E. coli* strain, named BIDMC43b (GenBank accession number [JAPE01000031](https://www.ncbi.nlm.nih.gov/nuccore/JAPE01000031)), detected in a blood culture from a hospital in Boston in December 2012. *E. coli* BIDMC43b is part of the Carbapenem Resistance Initiative, an epidemiologic study currently in progress at the Broad Institute of MIT and Harvard ([broadinstitute.org](http://broadinstitute.org)). Its entire genome has been sequenced by a shotgun approach and is now at the scaffold assembly level, so little information is yet available (January 2015). The same strain carries a *Tn4401b* too, although in a different region of the genome (GenBank accession number [JAPE01000025](https://www.ncbi.nlm.nih.gov/nuccore/JAPE01000025)), so the hypothesis that pKBU513 might have originated by genomic rearrangements in this strain (or in an analogous one) should be taken into account.

In conclusion, pKBU513 is a small plasmid carrying only one resistance determinant, and it is not self-transmissible by conjugation as it does not contain *tra* genes (although its mobilization in the presence of a helper plasmid cannot be excluded), so it might be considered unimportant for dissemination of antibiotic resistance. Nevertheless, the finding that part of its sequence did not have significant matches in the database other than the genome of a carbapenem-resistant *E. coli* strain detected very far from Italy and from South America is interesting for epidemiologic studies, as it might mirror a wider distribution of this kind of plasmids than that reported. Moreover, the finding that it is carried by a strain that hosts many different plasmids (Fig. 1A), along with the ability of *Tn4401* to undergo replicative transposition, agrees with the report that many different *bla*<sub>KPC</sub>-carrying genetic platforms are circulating in Latin America (2) and represents a particularly worrisome circumstance.

The plasmid instability described for pKBU513 is a peculiar feature that shows both positive and negative aspects. In the clinic, the detection of unstable plasmids might be considered less alarming compared to that of other plasmids, as their spread might be

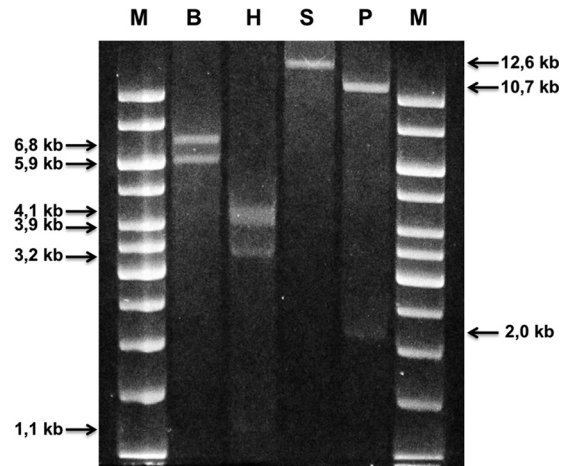


FIG 4 Restriction analysis of pKBU513 separation on 0.8% agarose gel electrophoresis of pKBU513 extracted from the *E. coli* JM101 recipient and digested with BamHI (lane B), HindIII (lane H), SacI (lane S), and PstI (lane P). Lane M, GeneRuler 1-kb DNA ladder (Thermo Scientific).

considered containable provided that appropriate antibiotic control policies were adopted. However, researchers that study the epidemiology of resistance determinants should take into account this property, as it might lead to overestimation of the number of plasmids harbored by clinical isolates.

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