Multiple pathologies are common and related to dementia in the oldest-old The 90+ Study

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ABSTRACT

Objective: The purpose of this study was to examine the role of multiple pathologies in the expression of dementia in the oldest-old.

Methods: A total of 183 participants of The 90+ Study with longitudinal follow-up and autopsy were included in this clinical-pathologic investigation. Eight pathologic diagnoses (Alzheimer disease [AD], microinfarcts, hippocampal sclerosis, macroinfarcts, Lewy body disease, cerebral amyloid angiopathy, white matter disease, and others) were dichotomized. We estimated the odds of dementia in relation to each individual pathologic diagnosis and to the total number of diagnoses. We also examined dementia severity in relation to number of pathologic diagnoses.

Results: The presence of multiple pathologic diagnoses was common and occurred more frequently in those with dementia compared with those without dementia (45% vs 14%). Higher numbers of pathologic diagnoses were also associated with greater dementia severity. Participants with intermediate/high AD pathology alone were 3 times more likely to have dementia (odds ratio = 3.5), but those with single non-AD pathologies were 12 times more likely to have dementia (odds ratio = 12.4). When a second pathology was present, the likelihood of dementia increased 4-fold in those with intermediate/high AD pathologies may interrelate in different ways.

Conclusions: In the oldest-old, the presence of multiple pathologies is associated with increased likelihood and severity of dementia. The effect of the individual pathologies may be additive or perhaps synergistic and requires further research. Multiple pathologies will need to be targeted to reduce the burden of dementia in the population. *Neurology*® 2015;85:535-542

GLOSSARY

AD = Alzheimer disease; CAA = cerebral amyloid angiopathy; DSM-IV = Diagnostic and Statistical Manual of Mental Disorders (Fourth Edition); H&E = hematoxylin & eosin; HS = hippocampal sclerosis; LBD = Lewy body disease; MMSE = Mini-Mental State Examination; NIA = National Institute on Aging; OR = odds ratio; SAE = subcortical arteriolosclerotic leukoencephalopathy; UCI = University of California, Irvine.

The oldest-old are the fastest growing segment of the population and the age group with the highest prevalence and incidence of dementia.^{1,2} Although the prevalence of Alzheimer disease (AD), Lewy bodies, and other brain pathologies appears to plateau in the tenth decade and beyond,³ the risk of dementia continues to double with every 5 years of age reaching a staggering 40% per year in centenarians.²

The causes of dementia and cognitive loss in extreme aging have been difficult to discern. Although AD continues to be the most frequent pathology in the oldest-old, many clinical pathologic studies have suggested a weakening of the association between dementia in this age group and individual pathologies including AD, vascular disease, and Lewy bodies.^{4–6} The presence of mixed cerebral pathologies, however, becomes more frequent with advancing age.^{3.7} Using The 90+ Study, we investigated the contribution of 8 individual neuropathologic diagnoses and the role of multiple neuropathologies in the expression of dementia in the oldest-old.

Supplemental data at Neurology.org

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METHODS Participants. Participants were members of The 90+ Study, a population-based cohort study of aging and dementia in people aged 90 years and older initiated in 2003.^{8,9} All longitudinally followed 90+ Study participants were invited to be part of the autopsy program. As of December 31, 2012, a total of 303 participants, about 40% of those with in-person longitudinal evaluations, had enrolled in the autopsy program. Autopsies were performed on 188 of the 207 participants (90%) who died. The current study excluded 4 participants with incomplete pathologic evaluation and one participant on whom a cognitive diagnosis could not be determined for a total of 183 participants.

Standard protocol approvals, registrations, and patient consents. All participants or their designated informants provided consent to participate in the study. Procedures were reviewed and approved by the University of California, Irvine (UCI) institutional review board.

Pathologic evaluations. The UCI Alzheimer's Disease Research Center Pathology Core performed all procedures to procure and prepare tissue samples. The evaluation included analysis of the following brain regions: middle frontal, superior temporal, inferior parietal, occipital, entorhinal, and cingulate gyri, as well as basal ganglia, thalamus, hippocampus, amygdala, midbrain, pons, and medulla. Pathologic evaluations were done between 2003 and 2012, thus we used recommended procedures and criteria available during this time interval. The standard protocol included the use of the following stains: hematoxylin & eosin (H&E) stain, modified Bielschowsky silver stain, and immunostaining for tau, β -amyloid, ubiquitin, and α -synuclein. Pathologic evaluations were performed blinded to clinical diagnosis by 2 board-certified neuropathologists. Joshua Sonnen performed evaluation for microinfarcts with the same methodology he applied in other population-based cohorts.^{10,11} Ronald Kim performed evaluations for all other pathologies.

Braak & Braak tangle stage¹² and CERAD (Consortium to Establish a Registry for Alzheimer's Disease) plaque score¹³ were combined using National Institute on Aging (NIA) Reagan Criteria¹⁴ to classify the neuropathologic findings as no, low, intermediate, or high likelihood of AD. Three different vascular pathologies were considered in the present study. Ischemic and

Characteristics of autopsied participants with and without dementia in

The 90+ Study No dementia All participants Dementia Characteristic (n = 183)(n = 85)(n = 98)Age at death, y 97.4 (90-108) 97.5 (90-108) 97.3 (90-106) Brain weight, g 1,130 (672-1,403) 1,149 (672-1,390) 1,114 (871-1,403) Months between last 4.1 (0.2-45.8) 4.1 (0.2-45.8) 4.2 (0.2-44.0) MMSE and death^a Sex Men 55 (30) 31 (36) 24 (24) 128 (70) 54 (64) 74 (76) Women Education^b <College degree 91 (50) 38 (45) 53 (55) ≥College degree 91 (50) 47 (55) 44 (45)

Abbreviation: MMSE = Mini-Mental State Examination. Data are median (range) or n (%).

^aExcludes 4 participants without an MMSE score.

^bExcludes 1 participant without education information.

hemorrhagic macroinfarcts identified grossly were evaluated for size, location, and number. Infarctions rated as proximal to death by the pathologist were excluded from analyses because they did not contribute to cognition before the end of life. The presence of microinfarcts was evaluated through H&E-stained sections of 6 predefined brain regions: middle frontal, inferior parietal, superior temporal, occipital, basal ganglia, and thalamus. Microinfarcts were defined as foci of pallor, loss of vulnerable cells, gliosis, and macrophage presence10 (figure e-1 on the Neurology® Web site at Neurology.org). White matter disease in the form of subcortical arteriolosclerotic leukoencephalopathy (SAE), defined by lipohyalinosis/arteriolar sclerosis, widening of perivascular spaces, white matter gliosis, and pallor of myelin staining,15 was assessed within periventricular white matter immediately adjoining the rostral cingulate gyrus and caudal cingulate gyrus (figure e-2). Lewy body disease (LBD) was identified using α-synuclein immunostaining according to established recommendations.16 Hippocampal sclerosis (HS) was characterized by the presence of severe nerve cell loss and astrocytosis within CA1 and subiculum¹⁷ (figure e-3). The presence of cerebral amyloid angiopathy (CAA) was assessed with β-amyloid immunostaining of cerebral blood vessels and classified as absent, mild, moderate, or severe. Corticobasal degeneration and other frontotemporal lobar degeneration were identified by finding achromatic neurons in H&E or Klüver-Barrera-stained sections as suggested in consensus criteria.18

Dementia determination. Participants were examined every 6 months. The evaluations included a neurologic examination, physical examination, neuropsychological battery, including the Mini-Mental State Examination (MMSE),¹⁹ review of medical history, examination of medication containers, and interviews with informants. Dementia diagnosis (*DSM-IV*)²⁰ was assigned postmortem during a consensus conference that used all available information from the longitudinal evaluations, brain imaging when clinically available, and medical records. If dementia was present, the year when the participant met dementia criteria was recorded. All clinical evaluations and cognitive diagnosis assignments were done blinded to the results of the pathologic evaluations.

Statistical analyses. The 8 pathologies used in these analyses were dichotomized to represent substantial levels of each as follows: AD (NIA Reagan intermediate or high likelihood),⁷ microinfarcts (\geq 3),¹¹ HS (present), macroinfarcts (\geq 2 or more large infarcts or lacunar infarcts),¹¹ LBD (limbic or neocortical Lewy bodies), CAA (moderate or severe),¹¹ white matter disease/SAE (present), and other pathologies (present). Dichotomies were selected to agree with standard criteria, previous similar studies, or to represent significant associations with dementia in our own cohort. A variable totaling the number of these pathologies was created as the sum of the 8 pathologies.

Logistic regression was used to first estimate the odds of dementia in relation to the presence of each individual pathology and then estimate the independent contribution of each pathology by including all pathologies simultaneously in a regression model. We also estimated the odds of dementia in relation to the number of pathologies using the group with no pathologies as the reference. Because no participant without dementia had more than 3 pathologies, all participants with 3 or more pathologies were combined into one group. We also classified people into mutually exclusive groups according to the types of pathologies present: no pathologies, intermediate/high AD pathology alone (AD alone), one non-AD pathology alone (non-AD alone), intermediate/high AD with another pathology (AD plus), and more than one non-AD pathology (non-AD plus). The odds of dementia were then compared in the 4 mutually exclusive groups

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Table 1

Table 2 Association between pathologies and dementia in the oldest-old					
Characteristic	All participants (n = 183)	No dementia (n = 85)	Dementia (n = 98)	OR (95% Cl)ª	p Value
Individual pathologies ^b					
AD pathology					
No/low	92 (50)	52 (61)	40 (41)	1.00 (reference)	_
Intermediate/high	91 (50)	33 (39)	58 (59)	2.21 (1.21-4.03)	0.01
Microinfarcts					
<3	152 (83)	78 (92)	74 (76)	1.00 (reference)	-
≥3	31 (17)	7 (8)	24 (24)	3.70 (1.49-9.23)	0.005
Hippocampal sclerosis					
No	152 (83)	82 (96)	70 (71)	1.00 (reference)	_
Yes	31 (17)	3 (4)	28 (29)	10.67 (3.09-36.82)	<0.001
Cerebral amyloid angiopathy					
None/mild	159 (87)	77 (91)	82 (84)	1.00 (reference)	-
Moderate/severe	24 (13)	8 (9)	16 (16)	1.89 (0.76-4.69)	0.17
Subcortical arteriolosclerotic leukoencephalopathy					
No	168 (92)	84 (99)	84 (86)	1.00 (reference)	-
Yes	15 (8)	1 (1)	14 (14)	13.34 (1.70-104.40)	0.01
Macroinfarcts ^c					
<2	176 (96)	84 (99)	92 (94)	1.00 (reference)	-
≥2	7 (4)	1 (1)	6 (6)	4.53 (0.52-39.09)	0.17
Lewy body disease					
No/brainstem	175 (96)	84 (99)	91 (93)	1.00 (reference)	-
Limbic/neocortical	8 (4)	1 (1)	7 (7)	6.27 (0.75-52.68)	0.09
Other pathologies ^d					
No	181 (99)	84 (99)	97 (99)	1.00 (reference)	-
Yes	2 (1)	1 (1)	1 (1)	1.18 (0.07-20.46)	0.91
Multiple pathologies					
No. of pathologies					
0	55 (30)	43 (51)	12 (12)	1.00 (reference)	-
1	72 (39)	30 (35)	42 (43)	5.14 (2.31-11.43)	<0.001
2	37 (20)	11 (13)	26 (27)	8.43 (3.23-22.04)	<0.001
3+	19 (10)	1 (1)	18 (18)	59.70 (7.18-496.63)	<0.001
Type of pathologies					
No pathologies	55 (30)	43 (51)	12 (12)	1.00 (reference)	-
AD alone	47 (26)	24 (28)	23 (23)	3.48 (1.46-8.27)	0.005
Non-AD alone	25 (14)	6 (7)	19 (19)	12.39 (3.97-38.70)	<0.001
AD plus	44 (24)	9 (11)	35 (36)	13.38 (5.00-35.74)	<0.001
Non-AD plus	12 (7)	3 (4)	9 (9)	10.63 (2.45-46.04)	0.002

Abbreviations: AD = Alzheimer disease; CI = confidence interval; OR = odds ratio.

Data are n (%) unless otherwise indicated.

^a OR and 95% CI adjusted for age at death and sex.

^b Each individual pathology analyzed separately in a logistic regression model adjusting for age at death and sex.

^c Includes large infarcts or lacunes.

^d Includes one person with glioblastoma and no dementia and one person with corticobasal degeneration and dementia.

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using the no pathologies group as the reference. All analyses were adjusted for age at death and sex.

Finally, to explore whether the number of pathologies was related to dementia severity, we compared the mean MMSE score closest to death in people with different numbers of pathologies. We used linear regression with MMSE score as the outcome, number of pathologies as a categorical variable, and adjusted for age at death, sex, and interval between last MMSE and death. Because MMSE scores are likely related to the duration of dementia, we also included the number of years between dementia diagnosis and death as a covariate in the analyses. This last set of analyses excluded 4 participants without an MMSE score.

RESULTS At the time of death, participants' median age was 97 years (range: 90–108), 70% were women, 50% had a college education or higher, and 54% had dementia (table 1). The median time between the last in-person evaluation and death was 4 months (range: 0.2–45.8).

The distribution of the individual pathologies is shown in table 2. A pathologic diagnosis of AD was

the most common with half of the participants in the intermediate/high level category, followed by ≥ 3 microinfarcts in 17% of participants, and HS in an equal proportion of participants (17%). Moderate or severe levels of CAA pathology were detected in 13% of participants whereas white matter disease/SAE was seen in 8%. Macroinfarcts were less frequent with only 4% of participants showing evidence of ≥ 2 lacunar or large infarcts. Similarly, Lewy body pathology (limbic or neocortical) was detected in only 4% of participants. Finally, 2 participants had other pathologies, one with corticobasal degeneration and another with glioblastoma. Note that some pathologies, such as HS, white matter disease/SAE, ≥ 2 macroinfarcts, and LBD were present almost exclusively in people with dementia. However, other than HS, these pathologies were relatively infrequent, occurring in fewer than 10% of participants. Some pathologies commonly associated with dementia in younger



AD = intermediate/high likelihood of Alzheimer disease; CAA = moderate/severe cerebral amyloid angiopathy; HS = hippocampal sclerosis present; LBD = limbic/neocortical Lewy bodies; macroinfarcts = 2 or more lacunar or large infarcts; microinfarcts = 3 or more microinfarcts; Other includes one participant with glioblastoma and no dementia and one participant with corticobasal degeneration and dementia; SAE = white matter disease/subcortical arteriolosclerotic leukoencephalopathy.

elderly, such as Parkinson disease and most types of frontotemporal lobar degeneration, were not identified in this cohort.

Figure 1 shows the frequency of each pathology alone and the frequency of multiple pathologies in people with or without dementia. No pathologies were found in 51% of people without dementia and 12% of people with dementia. Of note, intermediate/ high AD pathology alone was present in approximately the same proportion of people without dementia (28%) and with dementia (23%). Mixed pathologies were present in 45% of participants with dementia and 14% of participants without dementia.

Figure 2 shows Venn diagrams of various combinations of pathologies in people with and without dementia. All possible combinations of pathologies were evident in people with dementia but not in participants without dementia. In people with



AD = intermediate/high likelihood of Alzheimer disease; CAA = cerebral amyloid angiopathy; Other = hippocampal sclerosis, Lewy body disease, one participant with corticobasal degeneration but no other pathologies, and one participant with glioblastoma but no other pathologies; Vascular = 3+ microinfarcts, 2+ macroinfarcts, and subcortical arteriolosclerotic leukoencephalopathy.

dementia, AD + other and AD + vascular were the most frequent combinations. In participants with no dementia, the most common combination was AD + CAA. The number of people with AD pathology alone was similar in individuals with and without dementia, but vascular, other, and mixed pathologies were much more frequent in individuals with dementia.

We first analyzed the contribution of each individual pathology to dementia using the absence of the individual pathology as the reference (table 2). The odds of dementia were significantly related to the presence of several individual pathologies including intermediate/high AD (odds ratio [OR] = 2.21), \geq 3 microinfarcts (OR = 3.70), HS (OR = 10.67), and white matter disease/SAE (OR = 13.34). Although the ORs were high in people with LBD (OR = 6.27) or ≥ 2 macroinfarcts (OR = 4.53), they were not significant most likely because of the relatively few people with these pathologies. When we analyzed all pathologies simultaneously in one model, the same 4 pathologies were estimated to contribute significantly and independently to dementia (intermediate/high AD: OR = 2.56; ≥ 3 microinfarcts: OR = 3.20; HS: OR = 9.70; and white matter disease/SAE: OR = 14.85).

We then analyzed the contribution of multiple pathologies to dementia using no pathologies as the reference group (table 2). Prevalence of dementia increased with number of pathologies from 22% in people with no pathologies to virtually all individuals (95%) with 3 or more pathologies. The odds of dementia increased significantly with increasing number of pathologies. The OR was 5.14 for 1 pathology, 8.43 for 2 pathologies, and 59.7 for 3 or more pathologies. We also analyzed the contribution of specific combinations of pathologies. People with intermediate/high AD pathology alone had more than 3 times the odds of dementia (OR = 3.48) compared with people who had no pathologies. The odds of dementia increased considerably among people with intermediate/high AD plus other pathologies (OR = 13.48), people with 1 non-AD pathology alone (OR = 12.39), and non-AD pathology in combination with other pathologies (OR = 10.63) suggesting that the presence of pathologies other than AD are more likely to result in dementia than AD alone. We repeated these analyses reclassifying AD pathology as high likelihood (vs no/low/intermediate) and the results were similar. The OR for people with high AD pathology alone was still the lowest (OR = 4.13) and the OR for people in the AD plus group was the highest (OR = 18.47).

Finally, we tested whether the number of pathologies was related to dementia severity (as measured by MMSE score) independent of duration of disease.

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Lower MMSE scores were associated with a higher number of pathologies ($F_{4,85} = 3.71$, p = 0.008). The average adjusted MMSE score decreased significantly from 17.7 in people with no pathologies to 5.7 in people with 4 pathologies. Duration of disease (years between dementia diagnosis and death) was not significantly different between the groups (figure 3).

DISCUSSION This clinical pathologic study of dementia showed that the presence of multiple cerebral pathologies is frequent in the oldest-old and closely related to dementia. Two or more pathologic diagnoses were present in approximately a third of all 90+ Study individuals, and in almost half of those with dementia. Prevalence of dementia increased in relation to number of pathologies from approximately half of the individuals (58%) with a single pathology to virtually all individuals (95%) with 3 or more pathologies. Moreover, in individuals with dementia, higher numbers of pathologies were associated with greater severity of dementia despite similar disease duration.

This study in the oldest-old extends the results of investigations performed in younger communitydwelling elderly that show dementia is generally associated with mixed rather than single brain pathologies.^{7,21,22} Even in the carefully characterized patients with AD of the NIA Alzheimer Disease Centers, HS, small vessel disease, and CAA were frequent



From a linear regression with MMSE score as the outcome, number of pathologies as the main predictor, and adjusted for age at death, sex, interval between last MMSE score and death, and duration of dementia (years between dementia diagnosis and death). Duration of dementia was 4.9 years in people with no pathologies, 4.1 years in people with 1 pathology, 5.0 years in people with 2 pathologies, 6.6 years in people with 3 pathologies, and 6.3 years in people with 4 pathologies. MMSE = Mini-Mental State Examination.

copathologies and contributed independently to cognitive loss with a magnitude similar to AD.²³

Only a handful of pathologic studies address multiple pathologies specifically in the oldest-old. Consistent with our investigation, these studies found that mixed rather than single pathologic diagnoses were most frequently associated with dementia in this age group.^{3,5,24,25} AD with various forms of vascular disease was the most frequent of the mixed diagnoses in these studies and increased the likelihood of dementia compared with AD alone.^{5,25} However, many of these studies did not include HS,5,25 microinfarcts,24 or other pathologic diagnoses included in the present investigation. Moreover, none of the investigations examined the relationship between number of pathologic diagnoses and severity of dementia. Thus, this investigation adds to the growing evidence that mixed pathologies contribute to dementia in the majority of elderly and may be particularly relevant to the oldestold, who have the highest rates of mixed pathologies and dementia.5,22

Higher-grade AD pathology was the most prevalent dementia-related pathology in this oldest-old sample (50%). Compared with younger elderly, some non-AD pathologies, including HS (17%), \geq 3 microinfarcts (17%), amyloid angiopathy (13%), and white matter disease/SAE (8%), were found more frequently, whereas Lewy bodies (4%) and \geq 2 macroinfarcts (4%), frontotemporal subtypes (<1%), and Parkinson disease (0%) were detected less frequently or not at all compared with younger elderly. The relative differences in frequency of these pathologies have been reported in other epidemiologic studies that included the oldest-old.^{26–28}

When alone, non-AD pathologies appeared more likely to result in dementia than intermediate/high AD pathology. Individuals with a single non-AD pathology (such as HS, white matter disease/SAE, or ≥ 3 microinfarcts) were 12 times more likely to have dementia than individuals with no pathology, and the likelihood of dementia did not change with the addition of a second pathology. In contrast, individuals with intermediate/high AD pathology alone were only 3 times more likely to have dementia than individuals with no pathologies. However, when AD pathology was accompanied by a second pathology, the likelihood of dementia increased to the level of non-AD pathologies. These results suggest that pathologies may interact in different ways, an important area for future research that will require larger samples to adequately study combinations and interactions of pathologies.

In The 90+ Study, we also found that multiple pathologies were associated with increasing severity of dementia. These results are consistent with findings from other investigations. For example, patients with AD who have cerebral infarcts show faster rates of cognitive decline and lower Clinical Dementia Rating scores compared with those who have AD pathology alone.²⁹ Similarly, individuals with mixed AD and Lewy body pathologies decline more rapidly³⁰ and have more severe dementia proximal to death compared with AD alone.³¹ Moreover, in individuals with the same level of cognitive impairment, studies show that those with mixed pathologies have lower levels of AD pathology compared with individuals who have AD pathology alone.^{32–34} Together, these investigations add further support to the notion that the effect of multiple pathologies may be additive or perhaps may interact in some way.

Finally, it is worth noting that 12% of individuals with dementia in The 90+ Study did not have substantial levels of neuropathology. Earlier research, including our own, has suggested that as many as half of individuals aged 90+ years have insufficient pathology to explain their dementia.9,35 These studies, however, frequently did not consider microinfarcts and other pathologies included in the current investigation. Moreover, many 90+ individuals with dementia but insufficient pathology do, in fact, have low levels of pathologies including AD, mild amyloid angiopathy, or 1 or 2 microinfarcts. Additional research is necessary to determine whether combinations of these low levels of pathology or other undetected pathologies contributed to their dementia.

Strengths of this clinical pathologic investigation include the variety of pathologic diagnoses assessed and the large number of well-characterized, oldestold participants with comprehensive follow-up every 6 months. The frequent follow-up allowed us to detect changes in cognitive and physical status, which can occur rapidly at these ages. The evaluations were multidisciplinary including neuropsychological assessments, neurologic examinations, informant questionnaires, medical records, and other sources. Furthermore, the clinical and pathologic measures were done using standardized protocols blinded to other information about the participant.

Some limitations also deserve attention. First, our investigation of the oldest-old limits our ability to comment on younger elderly, but it is worth noting that most literature of multiple pathologies has included younger elderly with similar findings. Participants of The 90+ Study are also predominantly Caucasian and well-educated, limiting our ability to generalize our findings to other ethnic and racial groups. However, a Census Bureau report on the oldest-old suggests that characteristics of the participants in The 90+ Study are similar to those of the oldest-old presently in the United States³⁶ particularly regarding sex and ethnicity. Our investigation highlights that multiple neuropathologies are frequently present and contribute to the presence and severity of dementia in the oldestold. The presence of mixed pathologies is common at these extreme ages and may account for the persistent increase in risk of dementia among the oldestold. The relationships between different pathologies are not well understood and more research is necessary to determine whether pathologies are additive or interrelate in other ways.

At present, dementia is one of the most frequent and most devastating obstacles to successful aging. The presence of multiple dementia-related pathologies suggests that new research paradigms should include the targeting of non-AD pathologies, more quantitative assessments of neuropathologic lesions (particularly non-AD), and multimodal approaches to treatment. If most of the dementia in the population is attributable to multiple pathologies, we will have to broaden our translational approach to successfully prevent and treat dementia, one of our greatest public health challenges.

AUTHOR CONTRIBUTIONS

C.H.K. conceived the study, interpreted the data, and drafted the manuscript. R.C.K. performed pathologic analyses and critically revised the manuscript. J.A.S. performed pathologic analyses and critically revised the manuscript. S.S.B. helped with the conception of the study and critically revised the manuscript. T.T. contributed to the displaying of data and critically revised the manuscript. M.M.C. conceived the study, performed the statistical analyses, interpreted the data, and drafted the manuscript.

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DISCLOSURE

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